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Citation:

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PII: S0013-9351(25)00399-8

DOI: <https://doi.org/10.1016/j.envres.2025.121148>

Reference: YENRS 121148

To appear in: *Environmental Research*

Received Date: 30 June 2024

Revised Date: 14 February 2025

Accepted Date: 14 February 2025

Please cite this article as: Hobbs, M., Deng, B., Woodward, L., Marek, L., McLeod, G., Sturman, A., Kingham, S., Ahuriri-Driscoll, A., Eggleton, P., Campbell, M., Boden, J., Childhood air pollution exposure is related to cognitive, educational and mental health outcomes in childhood and adolescence: a longitudinal birth cohort study, *Environmental Research*, <https://doi.org/10.1016/j.envres.2025.121148>.

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Childhood air pollution exposure is related to cognitive, educational and mental health outcomes in childhood and adolescence: a longitudinal birth cohort study

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Conflicts of interest

None to declare.

Funding

This project was funded by a New Zealand Health Research Council Emerging Researcher First Grant (REF:22/528) awarded to Associate Professor Matthew Hobbs in June 2022. The data contained in the study were collected as part of the Christchurch Health and Development Study. The Study is funded by grants from the Health Research Council of New Zealand, the National Child Health Research Foundation, the Canterbury Medical Research Foundation and the New Zealand Lottery Grants Board.

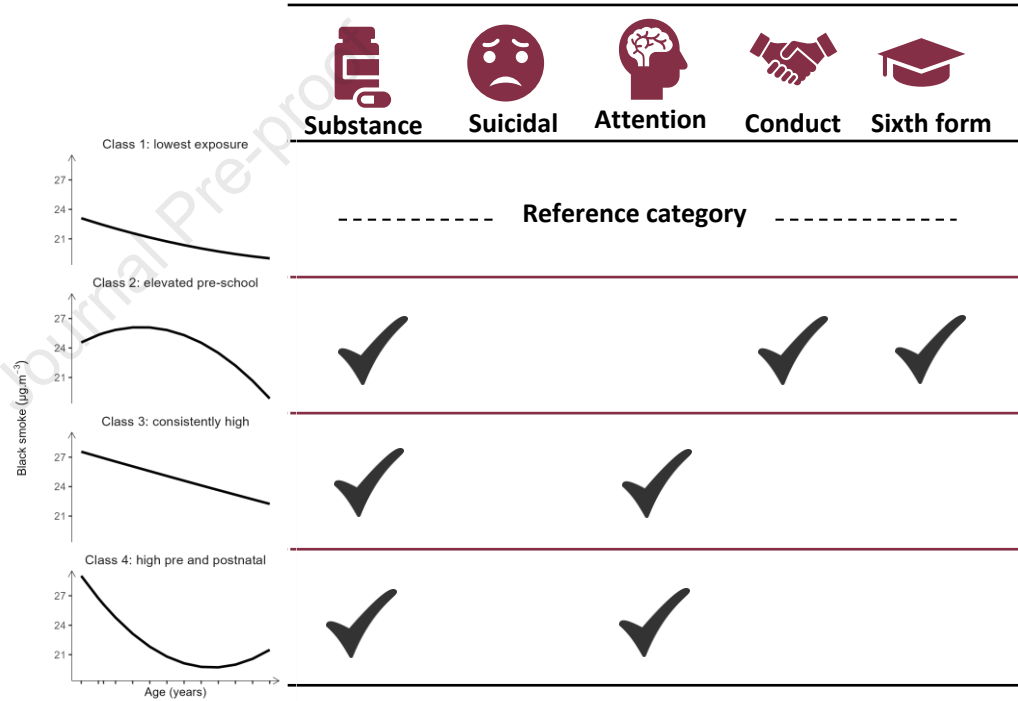
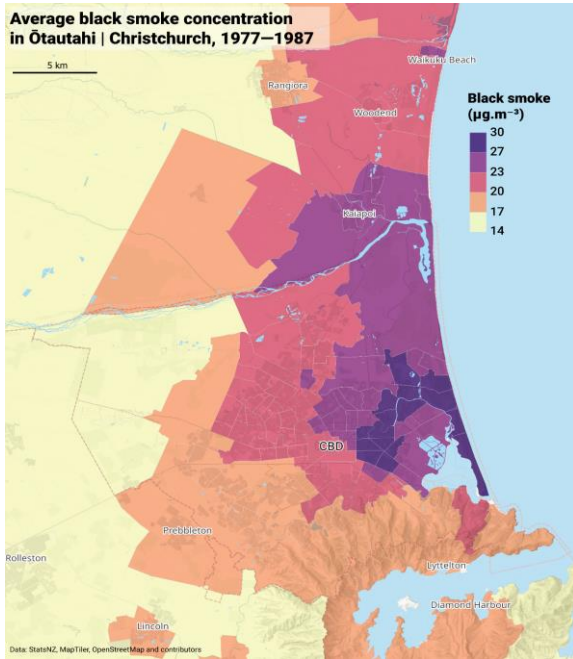
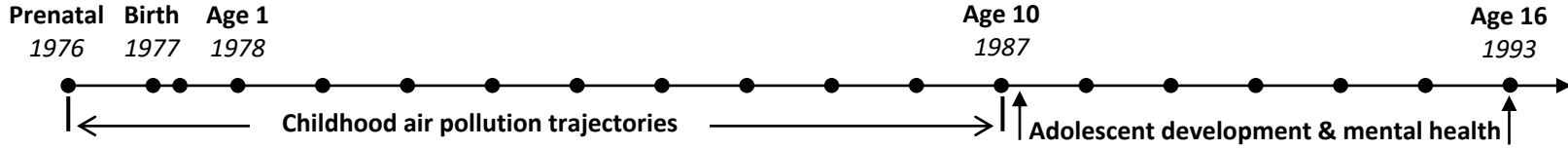
Acknowledgements

The authorship team would like to acknowledge the contributions of Dr. Mike Epton alongside colleagues at Environment Canterbury, Te Whatu Ora and Whānau Whanake for their wider support for this study.

Data availability

The Christchurch Health and Development Study data are not freely available as we do not currently have ethical approval to upload these data to any repository and this prevents us from sharing this data in this way. However, the data are available on request subject to approval by the CHDS Director at: chds.uoc@otago.ac.nz

Air pollution data (see map below left) from 1976 to 1987 were linked to the Christchurch Health and Development birth cohort study. We examined the association between four air pollution exposure trajectories/classes and cognitive, educational and mental health outcomes. Statistically significant associations are outlined in the table below alongside associated trajectory class.



“Relative to the lowest exposure trajectory (Class 1), consistently high and high prenatal and postnatal exposure were both related to attentional problems. Elevated pre-school exposure was associated with conduct problems, sixth form certificate and substance abuse and persistently high childhood exposure increased risk of substance abuse.”

1 Abstract

2 **Background:** A growing body of evidence supports an association between air pollution exposure and adverse
3 mental health outcomes, especially in adulthood however, very little is known about the effects of early life air
4 pollution exposure during childhood. We examined longitudinal associations between the extent and timing of
5 children's annual air pollution exposure from conception to age 10 years and a wide range of cognitive, educational
6 and mental health outcomes in childhood and adolescence that were assessed prospectively as part of a large
7 birth cohort study. **Methods:** We linked historical air pollution data ($\mu\text{g}\cdot\text{m}^{-3}$) from pregnancy to age 10 years (1976–
8 1987) using the addresses of all cohort members ($n=1,265$) of the Christchurch Health and Development Study
9 (CHDS) who were born in New Zealand in mid-1977. Latent Class Growth Mixture Models were used to
10 characterise different trajectories of air pollution exposure from the prenatal period to age 10 years. We then
11 examined associations between these air pollution exposure trajectories and 16 outcomes in childhood and
12 adolescence using R Studio and Stata V18. **Findings:** Four air pollution exposure trajectories were identified: i)
13 low, ii) persistently high, iii) high prenatal and postnatal, and iv) elevated pre-school exposure. While some
14 associations were attenuated, after adjusting for a variety of covariates spanning childhood, family
15 sociodemographic background and family functioning characteristics, several associations remained. Relative to
16 the lowest exposure trajectory, persistently high and high prenatal and postnatal exposure were both related to
17 attentional problems. High prenatal and postnatal was also related to higher risk of substance abuse. Elevated pre-
18 school exposure was associated with conduct problems, lower educational attainment and substance abuse and
19 persistently high childhood exposure increased risk of substance abuse. **Conclusions:** Our study highlights
20 potential adverse and longer-term impacts of air pollution exposure during childhood on subsequent development
21 in later life.

22 23 24 25 26 27 28 **Key words:**

29 Childhood air pollution; mental health; longitudinal; environmental health; spatial; GIS.
30

31 1. Introduction

32 Outdoor air pollution is one of the most important environmental threats to human health^{1,2}. It is estimated to cause
33 3 million premature deaths globally, with disadvantaged populations disproportionately affected¹. To date, most
34 research has focused on the adverse impacts of air pollution on physical health³. Disparities in pollution-related
35 physical health outcomes include, but are not limited to, respiratory and cardiovascular diseases which have been
36 consistently linked to variations in air pollution exposure⁴⁻⁶. While air pollution's harmful physical health sequelae
37 are well known⁷⁻⁹, associations between air pollution exposure and mental illness have not yet been established⁵
38 with results less consistent¹⁰⁻¹². Moreover, even less evidence has considered the detrimental impact of air pollution
39 exposure during childhood on developmentally important cognitive functioning and mental health in adolescence¹³.
40 The causes of mental health are clearly multifaceted in aetiology however, given the already high¹⁴ and rising
41 burden of mental illness worldwide¹⁵, especially during childhood and adolescence¹⁶, understanding the potential
42 effect of air pollution on a range of developmental outcomes to adolescence is especially crucial considering the
43 human and societal cost of poor mental health and reduced human potential¹⁷, the global shift toward urban living¹
44 and the backdrop of emissions-induced climate change¹⁸.

45
46 Childhood is critically important as a foundational phase in human development, characterised by heightened
47 sensitivity to environmental influences which often shape lifelong health and development¹⁹⁻²¹. This neuroplasticity
48 is particularly marked during the prenatal period and early childhood years which form an important foundation for
49 children's cognitive, emotional and behavioural growth/development²²⁻²⁴. These periods of life represent windows
50 of heightened opportunity but also vulnerability, where to environmental experiences and exposures. Thus,
51 exposure to environmental factors such as air pollution may potentially exert significant and lasting effects on a
52 young person's health, development and life course opportunities during this time^{5,13}. Similarly, early childhood,
53 marked by rapid brain development and skill acquisition, is a crucial phase when exposure to pollutants can disrupt
54 neurodevelopmental processes, potentially influencing later cognitive function, emotional regulation, and mental
55 health²². Understanding the potential interplay between air pollution exposure and these sensitive developmental
56 periods will better inform public health efforts aimed at mitigating the adverse impacts of air pollution on children,
57 to prevent lasting harm and promote healthier developmental outcomes for future generations.

58
59 Developing evidence supports a possible biologically plausible aetiological link between air pollution and range of
60 cognitive, educational and mental health outcomes in childhood and adolescence^{7,25}. Indeed, there is mounting
61 evidence for several tenable mechanisms by which air pollution might affect risk of multiple mental health outcomes
62 and broader cognition⁵. Emerging evidence suggests air pollution exposure is related to inflammation²⁶,
63 hypothalamic–pituitary–adrenal (HPA) axis dysregulation²⁷ and increased stress hormone (cortisol) production²⁸.

64 Emerging evidence also suggests that air pollution can also impact directly for example, via translocation of ultrafine
65 pollutant particles across the nasal olfactory nerve or indirectly for instance, via inflammatory signalling from other
66 organ systems, particularly the lungs, harming the central nervous system (CNS)¹³. In addition, it is plausible that
67 cumulative exposure to air pollution which starts at conception and continues throughout childhood may be of
68 particular concern^{29,30}. Research suggests that air pollution exposure in early life can result in lasting injury to cells
69 and tissues that increases risk of disease in childhood, with effects persisting across the lifecourse²⁴.

70

71 A growing body of evidence suggests that air pollution exposure, especially during the prenatal period and early
72 childhood may have negative impacts on brain development as well as other markers of childhood and adolescent
73 functioning. This evidence has been further strengthened by recent neuroimaging research³¹⁻³⁴. Higher air pollution
74 exposure has been implicated in a greater risk of various deleterious outcomes in childhood and adolescence^{5,18,35-}
75 ³⁷ including, but not limited to, attention-deficit/hyperactivity disorder³⁸⁻⁴², conduct disorder¹¹, intelligence quotient
76 (IQ)^{43,44}, psychomotor development⁴⁵, cognitive performance⁴⁶, adaptive functioning, behavioural problems^{47,48} and
77 behavioural indices³¹. Despite this, the evidence is not unequivocal and inconsistent results remain which limit
78 confidence in drawing inferences from any associations identified. These inconsistencies likely reflect variations in
79 study design, exposure assessment methods and definitions, diverse outcome definitions, as well as a lack of
80 appropriate control for confounding factors⁵. While most studies support the hypothesis that prenatal and early life
81 exposure to air pollution can have a lasting negative impact on brain development and function further research
82 will be required to confirm any potential association.

83

84 Air pollution may also impact on other outcomes, including cognitive development, educational achievement and
85 school performance²⁵. Potential mechanisms are not fully understood but are posited to include
86 neurodevelopmental disruptions^{49,50}. For instance, while more research is required, air pollution may negatively
87 impact neural connectivity in brain networks involved in language, executive functioning, learning, and creativity²⁵.
88 Research linking early-life exposure to air pollution with an increased risk of other outcomes such as substance
89 use disorders or alcohol use has shown more variability in findings. However, recent evidence has suggested that
90 increases in ambient NO₂ and PMs are associated with increased hospital admissions for substance abuse,
91 possibly because of impacts of air quality on depression and other aspects of mental health^{5,11,49}. However,
92 evidence is sparse and not consistent in effect size or direction, highlighting the need for further investigation in
93 this area.

94

95 Evidence linking air pollution exposure in childhood or adolescence to mental health outcomes in adolescence is
96 emerging but is less clear than adult studies. In adulthood, meta-analyses are suggestive of an association between
97 long-term (>6 months) particulate matter (PM)^{2,5} exposure and depression, as well as possible associations

98 between long-term PM^{2.5} exposure and anxiety and between short-term (0–2 days) PM¹⁰ exposure and suicide⁵.
99 In contrast, the limited quality of existing studies⁴² and increased potential for bias due to sample selection bias,
100 measurement differences and inadequate control for confounding, serve to limit what can be meaningfully
101 concluded about the links between air pollution exposure and mental health risk in earlier life.⁵¹ For example, many
102 existing studies have not adequately controlled for pre-existing and/or socioeconomic factors earlier in life. Despite
103 this, contemporary evidence has suggested PM^{2.5} during critical periods of pregnancy and childhood is associated
104 with elevated odds for psychotic experiences, with PM^{2.5} exposure during pregnancy also associated with
105 depression¹⁸. Other research has indicated that air pollution exposure is significantly positively associated with
106 symptoms of depressed mood¹¹, generalised anxiety disorder⁵², psychotic disorders⁵³ and poorer general mental
107 health⁵⁴. Notably, a study from the United Kingdom (UK) recently demonstrated that age-12 pollution exposure was
108 not associated with age-12 mental health problems but age-12 pollution exposure was significantly associated with
109 age-18 depression¹¹. This is important, as these findings highlight the importance of longer-term follow up periods
110 to fully investigate potential associations between air pollution and youth mental health, and importantly understand
111 not only concurrent effects, but also the potential cumulative or chronic effects of air pollution exposure on mental
112 health outcomes¹¹. It could be that air pollution exposure takes time to impact on the processes underlying such
113 behavioural problems²⁴. Considering there is a plausible mechanism this preliminary evidence indicates a need for
114 not only more, but higher quality research, to comprehensively understand the impact of childhood air pollution
115 exposure on adolescent mental health.

116

117 This evidence base has several broad and notable limitations. As noted by a recent meta-analysis, most limitations
118 reflect the considerable effort and resources needed to prospectively evaluate the long-term effects of air pollution
119 on human health over time and age, as well as the specialised technology required to measure and estimate the
120 level of exposure to air pollutants over a wide range of geographical areas and time^{5,7}. First, much previous work
121 has been based on cross-sectional observations, limiting causal inference⁵. Second, previous work has often
122 investigated associations between air pollution and individual psychiatric disorders, an approach that does not take
123 into account the multidimensional nature of psychiatric problems or the high rate of comorbidity among disorders¹³.
124 Third, studies that have repeated measures of historical air pollution seldom combine it with robust birth cohort
125 data which has been collected prospectively, and for those that do have longitudinal data, seldom are individual-
126 level data available across the lifecourse or from birth⁵. Fourth, other historical factors such as socioeconomic
127 status at birth or parental mental health history are often not included to fully mitigate the risk of confounding⁵. Such
128 data is essential to begin to more fully interrogate the nature and potential causality of any associations observed.
129 Fifth, few studies can control for exposure at or pre-conception. Sixth, although more evidence using individual-
130 level data is emerging, previous work has often relied on ecological or aggregated, i.e. city or census block level

131 data, making findings prone to the ecological fallacy. These are notable limitations with few studies to date ruling
132 out key threats to causal inference posed by unmeasured confounding⁵.

133

134 Seldom has historical air pollution been linked to repeated measures birth cohort data to examine the longer-term
135 impacts of air pollution exposure on wide range of cognitive, educational and mental health outcomes in childhood
136 and adolescence^{55,56}. This study uses prospectively collected observational data from the Christchurch Health and
137 Development Study (CHDS), a birth cohort study established in Christchurch, Aotearoa New Zealand (NZ) in 1977
138 from birth to 18 years of age to test the hypothesis that greater air pollution exposure in childhood is associated
139 with adverse consequences for development and mental health in adolescence. Christchurch, NZ has a well-
140 documented and significant historical air pollution problem in which inequities are evident^{57,58}. Disparities exist in
141 the population exposed to different levels of both ambient and extreme air-pollution episodes⁴. Suspended
142 particulate matter, particularly in the form of smoke from domestic heating and industrial processes, has long been
143 a major environmental problem for the city^{59,60}. Therefore, temporal and spatial variability of smoke concentration
144 and intraurban variability in pollution levels⁶¹ have been extensively and repeatedly assessed^{59,60} particularly
145 because of their impact on respiratory disease⁶¹. This unique combination of prospective birth cohort data and
146 annual air pollution data for the prenatal period and the first 10 years of life creates a unique opportunity to better
147 understand the longer-term impacts of air pollution exposure. Finally, to rule out confounding attributable to the
148 selective effects of family socioeconomic and neighbourhood disadvantage, air pollution and outcome associations
149 were adjusted using a series of high-quality measures of family and individual factors and adverse neighbourhood
150 characteristics. We hypothesise that air pollution exposure will be more strongly associated with cognitive
151 outcomes in childhood and adolescence than mental health outcomes.

152 **2. Methods**

153 **2.1 Study design**

154 A prospective longitudinal birth cohort study, representative of the Christchurch, NZ population in mid-1977 forms
155 the basis of this work.

156

157 **2.2 The Christchurch Health and Development Study**

158 Data in this study were collected as part of the Christchurch Health and Development Study (CHDS), a longitudinal
159 study of a cohort of 1,265 children born in the Christchurch, NZ urban region during a 4-month period from April to
160 August 1977. This cohort has been studied on 24 occasions from birth to 40 years using a combination of interviews

161 with parents and participants, standardised testing, teacher reports, and medical record data^{62,63}. Ethical approval
162 was obtained for all aspects of data collection and all data collected with informed written consent.

163

164 **2.3 Participants and sample**

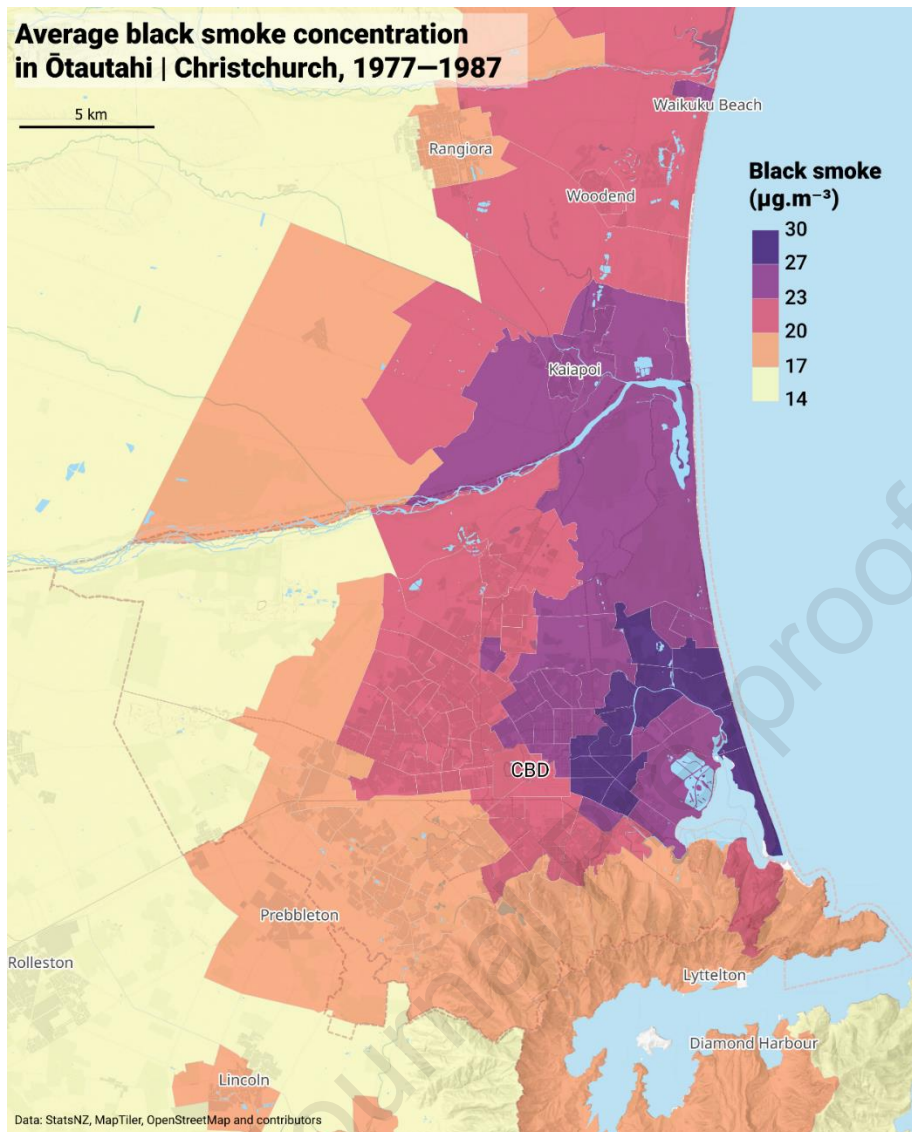
165 Residential address data were sourced from the interview sheets of 1,265 cohort members, with 1,220 (96.4%)
166 successfully geocoded to a NZ address at birth (1977). Specifically, 1,075 were resident in Christchurch, 140 in
167 the rest of the South Island and 5 in the North Island. At age 4 years (1981), 1,103 of the 1,265 original cohort
168 members (80.1%) were successfully geocoded to a NZ address including: 795 in Christchurch, 197 in the rest of
169 the South Island, and 111 in the North Island.

170

171 **2.4 Exposure measures - prenatal and childhood air pollution**

172 We used a combination of data sources and methods to create an estimate of air pollution for the birth cohort in
173 childhood from the prenatal period and then annually until age 10 years. The prenatal period was defined as the
174 period the year before birth. Further details are provided in the online supplementary materials (Supplement 4).
175 Briefly, we used local historical records of the (black) smoke that was commonly measured either in time-restricted
176 campaigns (usually over Southern Hemisphere winters) or continually at a limited number of locations. The
177 historical air pollution data (1971–1994) were obtained from the Canterbury Regional Council (now named
178 Environment Canterbury or ECan) and included monthly (black) smoke estimates (micrograms (one-millionth of a
179 gram) per cubic metre of air or $\mu\text{g}/\text{m}^{-3}$) from up to 30 monitoring stations across the wider Christchurch area. Point
180 estimates at monitoring locations were interpolated into both monthly and annual air pollution surfaces in a 100×100
181 m grid using spatiotemporal random forest regression kriging. Air pollution exposure of CHDS cohort members was
182 then approximated by a spatial join of cohort members' residential addresses from prenatal to age 10 years and
183 annual smoke/aggregated area-level estimates. Data from 1977–1987 were averaged over 1991 census area units
184 to provide an air pollution metric for the first 10 years of life for CHDS cohort members including the prenatal period
185 (Figure 1). Census Area Units (CAUs) are geographic units used by Statistics New Zealand for statistical analysis
186 during the national census. CAUs are designed to remain stable over time to facilitate reliable comparisons.
187 However, they may be adjusted between census periods to reflect population changes or urban development.

188



189

190 **Figure 1.** Average black smoke concentrations in Christchurch, New Zealand from 1977 to 1987.191 **2.5 Outcomes - adolescent development and mental health**

192 A comprehensive set of adolescent cognitive, educational and mental health outcomes were assessed as part of
 193 CHDS. A full description of outcomes selected for inclusion in this study based on previous research and theory,
 194 is provided in our online supplementary materials (Supplement 4). First, five cognitive indicators and standardised
 195 tests of educational achievement were selected for inclusion: cognitive ability (mean WISC IQ) (8–9 years), reading
 196 comprehension (age 10), mathematical reasoning (11 years), teacher rated GPA (11–13 years) and scholastic
 197 ability (13 years). Second, there were three education and learning outcomes which included: number of passing
 198 grades in School Certificate (15–18 years), Sixth Form Certificate (16-17 years) and university Bursary (17-18
 199 years). There were three outcomes of adolescent disruptive behaviour disorders (14–16 years): attentional
 200 problems, conduct problems and oppositional defiant disorder. Finally, the five mental health outcomes (14–16
 201 years) included: depression, anxiety, suicidal ideation, substance problems and alcohol problems). We also

202 included a count measure of mental health outcomes as another variable to account for the multidimensional nature
203 of mental health problems or the high rate of sequential comorbidity among disorders (see Supplement 9).

204

205 **2.6 Family and individual-level covariates**

206 A range of confounding factors potentially associated with air pollution trajectory assignment were selected from
207 the CHDS database based on previous research and theory linking these to air pollution exposure. These factors
208 spanned the following domains including: (i) *childhood characteristics*: sex at birth (male or female) and ethnicity
209 at birth (Māori ethnicity or other); (ii) *family socio-demographic background*: family socioeconomic status at birth (1
210 = low; 2 = moderate; 3 = high), family type at birth (0 = two-parent family; 1 = single-parent family), family living
211 standards from birth to age 10, and maternal age at birth (years) and; (iii) *family functioning and parental behaviour*:
212 the number of changes of parental figures living in the home from birth to age 10 years, breastfeeding (number of
213 months breastfed in the first year of life) (count in months), maternal smoking during pregnancy (0 = no; 1=yes).
214 Further details on the definitions and measurement of these covariates are provided in the online supplementary
215 materials (Supplement 4).

216

217 **2.7 Disadvantageous aspects of the neighbourhood environment**

218 To capture neighbourhood disadvantage we used a recently developed historic area-level deprivation metric⁶⁴
219 which was available for use to define the socioeconomic status of areas where cohort members resided from birth
220 to age 10 years of age. The metric was constructed for the years 1981, 1986, and 1991 at the Census Area Unit
221 (CAU) level which provided a temporal match with the air pollution data and to the CHDS birth cohort. These
222 measures have been described in detail previously⁶⁴. We used area-level deprivation at birth to control for the
223 potential impact of neighbourhood disadvantage. Area-level deprivation quintiles were further divided into two
224 categories, with the fifth quintile categorised as the most deprived and the rest as less deprived. In the models we
225 controlled for whether the cohort member lived in the most deprived areas at birth (0 = no; 1=yes).

226

227 **2.8 Statistical analysis**

228 Latent Class Growth Mixture models (LCGMMs) were used to identify subgroups of trajectories of air pollution
229 exposure from the prenatal period as well as from birth to age 10 years. The modelling process was conducted
230 using the “lcm” R package⁶⁵. The LCGMMs assume that there are multiple mixed effects models, each
231 representing a subgroup of trajectories that share a common mean and shape with class-specific error variance
232 structure⁶⁶. We fitted LCGMMs using black smoke as the dependent variable and age as the independent variable,
233 while also incorporating random effects allowing both intercepts and slopes to vary randomly across subjects within
234 each trajectory group. First, a single-class model was fitted to estimate the initial start values for subsequent

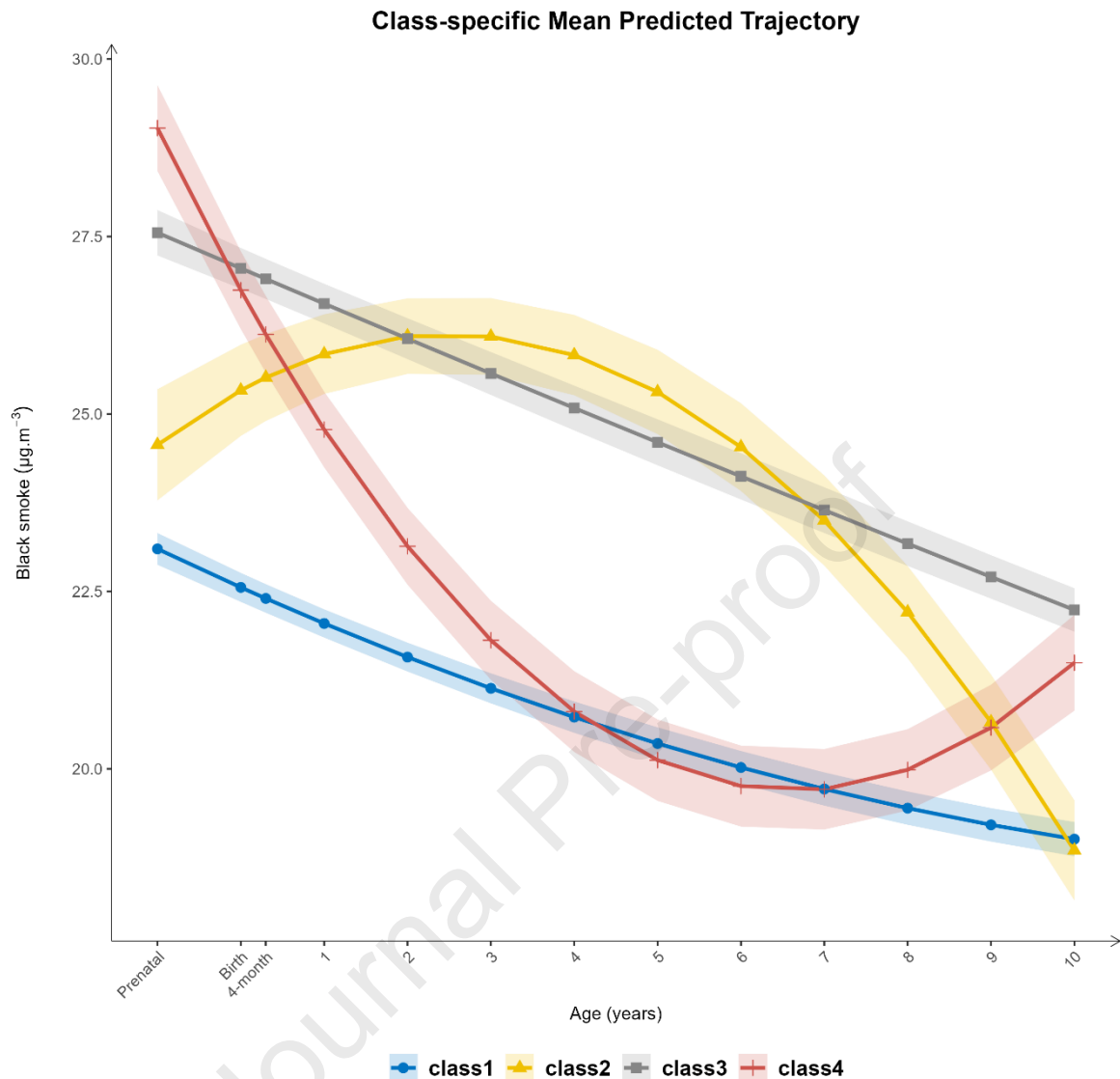
235 multiple-class models. Second, two- to seven- class models with three polynomial forms (i.e., linear, quadratic, and
236 cubic) were fitted using an automatic grid search procedure to avoid local maxima. Specifically, it involved a
237 maximum of 1000 iterations using 100 random vectors of initial values from the estimates of the single-class model,
238 yielding output results based on the initial values that yielded the optimal log-likelihood. Models of different numbers
239 and polynomial forms of trajectories were compared using several criteria including Bayesian Information criterion
240 (BIC), sample-adjusted BIC (SBIC), Akaike Information Criterion (AIC), entropy, and the sample proportion in the
241 smallest group. We selected the model with a lower BIC, SBIC, AIC, and higher entropy as well as ensuring the
242 interpretability of trajectories and reasonable sample size in the smallest group (see supplement 10 for further
243 details of the posterior probabilities).

244
245 We then examined bivariate associations between trajectory classes and mental health outcomes using one-way
246 analysis of variance (ANOVA) for continuous outcomes or χ^2 for dichotomous outcomes. The strength of
247 associations between childhood air pollution exposure trajectories classes and mental health outcomes were tested
248 using logistic regression models for binary outcomes, linear regression for normally distributed continuous
249 outcomes, and negative binomial regression for over-dispersed count outcomes. Specifically, teacher rated GPA,
250 cognitive ability, and scholastic ability used linear regression, the number of school certificate passing grades,
251 reading comprehension and mathematical reasoning used negative binomial regression. The coefficients are odds
252 ratio for all logistic regression models, $\text{Exp}(b)$ for all linear regression models, and incidence-rate ratios for all
253 negative binomial models. The models were constructed with the classes of childhood air pollution exposure
254 trajectories as the independent variable and the mental health outcomes as the dependent variables. A series of
255 childhood covariates (see Section 2.6 and the online supplementary materials Supplement 4 for covariate details)
256 were considered in adjusting the models, based on a) theoretically related to air pollution exposure based on
257 previous literature and b) statistically correlated with air pollution exposure. A backward and forward stepwise
258 selection method was applied in adjusting the models as consistent with previous method typically used in the
259 CHDS^{67,68}. Sex and family socioeconomic status at birth were used as fixed variables in all models and not involved
260 in the selection process. For variables for which imputed versions are available, the imputed version was used to
261 avoid losing more samples; these included parental history of illicit substance use, family psychiatric history,
262 parental alcohol problems, and parental criminal offending. We also repeated these analyses with the averaged air
263 pollution exposure across childhood as opposed to the trajectory classes for sensitive analysis and to examine the
264 impact of average air pollution exposure. Statistical analyses were conducted in R Studio and Stata V18.

265 3. Results

266 **3.1 Classification of air pollution exposure trajectories**

267 A series of Latent Class Growth Mixture Models (LCGMMs) were fitted to the repeated measures of air pollution
268 exposure in childhood to identify cohort members with different air pollution exposure trajectories from conception
269 (i.e. prenatal period) and over the first ten years of life (see the online supplementary materials supplement 1 and
270 2 for further model fit indices). A four-class quadratic LCGMM was defined as the best fit to the data. Figure 2
271 shows the estimated response profile (mean frequency of air pollution exposure for each year) for the four-class
272 quadratic model. For instance, the four trajectories all experienced some degree of decline in air pollution from
273 prenatal to age 10 years. However, there were also several notable differences in the trajectories identified. Class
274 1 ("*Consistently Low*", 52.7% of the birth cohort) was a group comprising the lowest relative air pollution exposure
275 throughout childhood which steadily decreased over time. Class 2 ("*Elevated Pre-School*", 6.2% of the birth cohort)
276 was a group which experienced the highest exposure from around age three to six years and then a rapid decline
277 in air pollution exposure in later childhood. Class 3 ("*Consistently High*", 34.9% of the birth cohort) was a group
278 which started high and remained high relative to the other groups but did decline over time. Class 4 ("*High Prenatal
279 and Postnatal*", 6.3% of the birth cohort) had the highest level of exposure to air pollution in the prenatal period and
280 one of the highest in the first one to two years of life, which then declined greatly over time until around age eight
281 when it increased again slightly.



Shaded areas indicate 95% confidence intervals for predicted trajectories

282
283 **Figure 2.** Mean air pollution (black smoke) trajectories from prenatal and birth to 10 years of age by air pollution
284 class trajectory (Note – Class 1: *Consistently Low*; Class 2: *Elevated Pre-School*; Class 3: *Consistently High*; Class
285 4: *High Prenatal and Postnatal*).

286

287 **3.2 Examining the association between air pollution exposure trajectories and**
288 **adolescent development and mental health**

289 **3.2.1 Unadjusted analyses**

290 The trajectory analyses were valuable for investigating individual-level changes and predicting future outcomes
291 accurately by more dynamically accounting for heterogeneity within the population in terms of their air pollution
292 exposure over time and age. The prevalence of cognitive, educational and mental health outcomes in childhood

293 and adolescence by childhood air pollution trajectory are fully described in the online supplementary materials
 294 (Supplement 3). Figure 3 visualises the unadjusted and adjusted associations between the air pollution trajectory
 295 classes and each outcome of interest (full model specifications are shown in the online supplementary materials
 296 Supplement 5). All odds ratios and beta coefficients were calculated with Class 1 as the reference category. Logistic
 297 regression models were used for depression, anxiety, suicidal ideation, alcohol problems, substance problems,
 298 attentional problems, conduct problems, oppositional defiant disorder, sixth form certificate, and university bursary
 299 (Odds Ratio [95% Confidence Interval (CI)]), negative binomial regression models were used for number of school
 300 certificate passing grades, reading comprehension and mathematical reasoning (Incidence Rate Ratio [95% CI])
 301 and linear regression models were used for teacher rated GPA, cognitive ability and scholastic ability
 302 (exponentiation of the beta coefficient [95% CI]).

303

304 Cognitive indicators and standardised tests of academic achievement

305 In the unadjusted analyses, relative to Class 1 (*Consistently Low*), there were no statistically significant associations
 306 for Class 2 (*Elevated Pre-School*). Class 3 (*Persistently High*) was related to lower teacher rated GPA 7–13 years
 307 ($\text{Exp}(b)=0.86[0.78-0.96]$), lower cognitive ability ($\text{Exp}(b)=0.06[0.01-0.33]$), reading comprehension
 308 ($\text{IRR}=0.89[0.81-0.99]$), mathematical reasoning ($\text{IRR}=0.95[0.91-0.99]$) and scholastic ability ($\text{Exp}(b)=0.02[0.00-0.20]$).
 309 Class 4 (*High Prenatal and Postnatal*) was related to lower teacher rated average GPA 7 to 13 years
 310 ($\text{Exp}(b)=0.77[0.62-0.95]$), cognitive ability ($\text{Exp}(b)=0.01[0.00-0.26]$) and mathematical reasoning ($\text{IRR}=0.89[0.80-0.98]$).

312

313 Educational and training

314 In the unadjusted analyses, relative to Class 1 (*Consistently Low*), Class 2 (*Elevated Pre-School*) was related to
 315 lower school certificate passing grades ($\text{IRR}=0.65[0.49-0.85]$) and sixth form certificate ($\text{OR}=0.27[0.15-0.46]$).
 316 Class 3 (*Consistently High*) was related to lower school certificate passing grades ($\text{IRR}=0.83[0.75-0.92]$), lower
 317 odds of sixth form certificate ($\text{OR}=0.58[0.43-0.78]$) and university bursary ($\text{OR}=0.57[0.42-0.77]$). Class 4 (*High Prenatal and Postnatal*) was related to lower school certificate passing grades ($\text{IRR}=0.76[0.61-0.95]$), sixth form certificate ($\text{OR}=0.43[0.25-0.74]$) and university bursary ($\text{OR}=0.35[0.17-0.72]$).

320

321 Adolescent behaviour problems (14–16 years)

322 In the unadjusted analyses, relative to Class 1 (*Consistently Low*), the Class 2 (*Elevated Pre-School*) trajectory
 323 group had higher odds of attentional problems ($\text{OR}=3.63[1.45-9.11]$), conduct problems ($\text{OR}=3.52[1.91-6.50]$) and
 324 Oppositional Defiant Disorder ($\text{OR}=3.30[1.78-6.13]$). Class 3 (*Consistently High*) had higher odds of attentional
 325 problems ($\text{OR}=2.65[1.45-4.87]$), conduct problems ($\text{OR}=1.86 [1.27-2.73]$) and Oppositional Defiant Disorder

326 (OR=1.49[1.00–2.23]). Class 4 (*High Prenatal and Postnatal*) had higher odds of attentional problems
327 (OR=4.32[1.78–10.45]) and conduct problems (OR=2.14[1.09–4.20]).

328

329 Mental health (14–16 years)

330 In the unadjusted analyses, relative to Class 1 (*Consistently Low*), Class 2 (*Elevated Pre-School*) had a higher risk
331 of depression (OR=2.08[1.04–4.17]), anxiety (OR=2.02[1.11–3.69]), suicidal ideation (OR=2.34[1.23–4.46]),
332 alcohol problems (OR=2.57[1.20–5.50]) and substance problems (OR=4.14[1.39–12.38]). Class 3 (*Consistently*
333 *High*) was related to increased odds of alcohol problems (OR=1.93[1.21–3.07]) and substance problems
334 (OR=2.63[1.23–5.66]). Finally, Class 4 (*High Prenatal and Postnatal*) was related to an increased odds of alcohol
335 problems (OR=2.32[1.05–5.09]) and substance problems (OR=4.22[1.41–12.63]).

336 3.2.2 Fully adjusted analyses

337 As shown in Figure 3, when models were adjusted for a comprehensive range of family and sociodemographic
338 background factors, many of the associations seen in the unadjusted analyses were attenuated to null although a
339 few remained. For Class 2 (*Elevated Pre-School*), there was an increased odds of conduct problems
340 (OR=2.03[1.02–4.06]) and lower odds of obtaining sixth form certificate (OR=0.36[0.20–0.64]). Class 3
341 (*Consistently High*) also had higher odds of attentional problems (OR=2.09[1.04–4.21]). Finally, Class 4 (*High*
342 *Prenatal and Postnatal*) had higher odds of attentional problems (OR=3.13[1.13–8.67]).

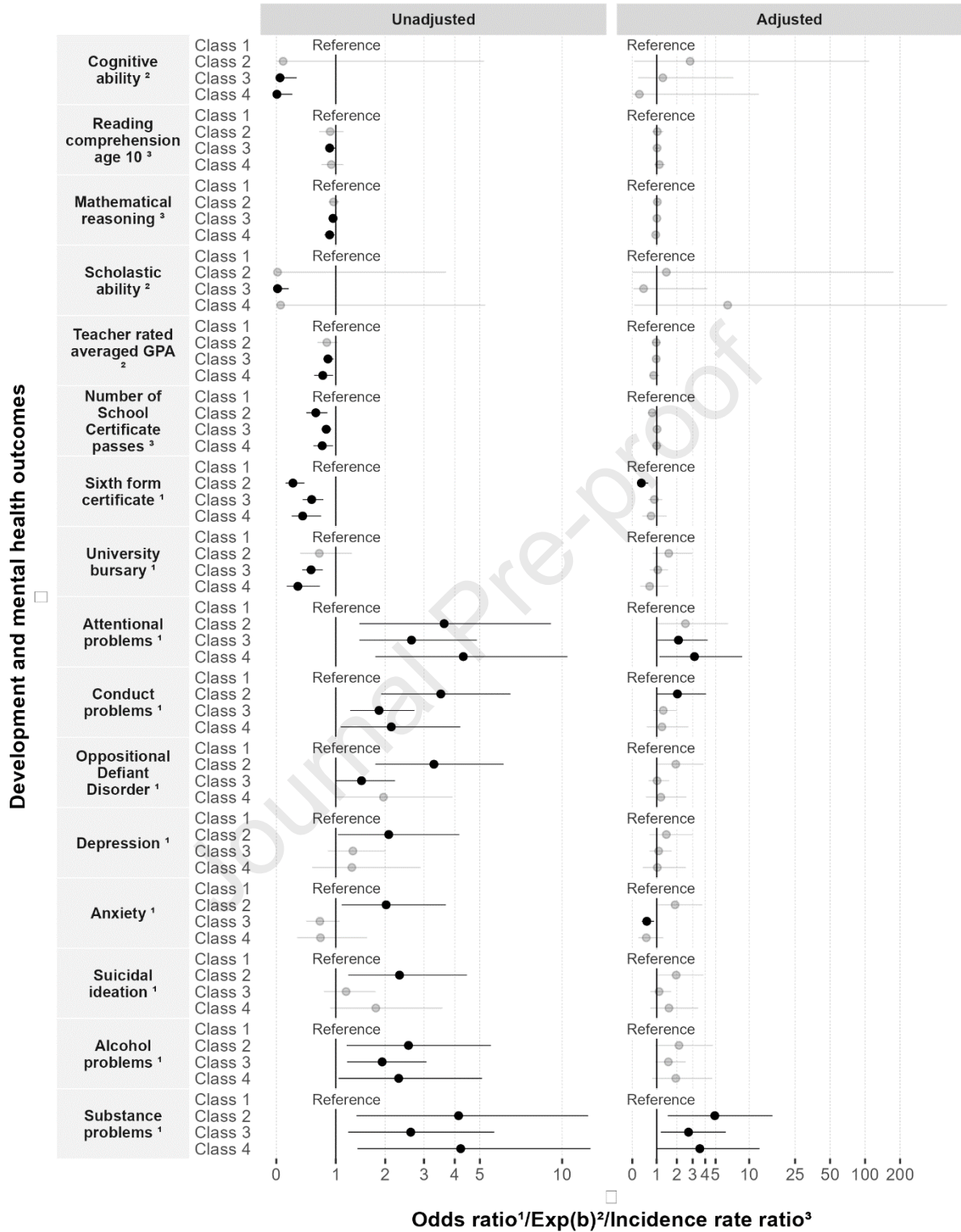
343

344 In terms of mental health outcomes, Class 2 (*Elevated Pre-School*) had close to a higher odds of suicidal ideation
345 (OR=1.96[1.00–3.80]) and substance abuse problems (OR=4.92[1.52–15.95]), Class 3 (*Persistently High*) had a
346 lower odds of anxiety (OR=0.58[0.38–0.89]) and had higher odds of substance abuse problems (OR=2.71[1.19–
347 6.20]) and Class 4 (*High Prenatal and Postnatal*) also had a higher odds of substance abuse problems
348 (OR=3.54[1.02–12.31]). It should be noted that in the adjusted models, all statistically significant estimates had
349 wide CIs around the coefficient or odds ratio. Full model specifications are shown in the online supplementary
350 materials (Supplement 5). In line with our study aims, we also examined the impact of air pollution on development
351 and mental health outcomes by age group (Supplement 6 and 7). However, due to a lack of statistical power there
352 were few associations between exposure to average air pollution by age again highlighting the usefulness of
353 trajectory analyses to capture inherent variability in exposure to air pollution over time.

354

355

Unadjusted and adjusted associations between trajectories and adolescent development and mental health outcomes



Class1 = Consistently Lowest Exposure (Reference)
 Class 2 = Peak Mid-Childhood Exposure
 Class 3 = Persistently High Exposure With Decline
 Class 4 = Elevated Prenatal and Early-Life Exposure
 Transparent lines and points show variables with p-value ≥ 0.05

356
 357

Figure 3. Examining the association between air pollution trajectories classification and development and mental health in adolescence (please note that the x-axis is on a logarithmic scale).

358

359 4.0 Discussion

360 This study utilised prospectively collected birth cohort data and annual air pollution exposure data over the prenatal
361 period and up to child age 10 years, to examine associations between air pollution exposure and a wide range of
362 cognitive, educational and mental health outcomes in childhood and adolescence. It is well-recognised that the
363 developing brain is much more vulnerable than the mature nervous system⁶⁹ and our findings that after adjustment
364 for covariates, persistently high and elevated prenatal and postnatal air pollution exposure were associated with
365 attentional problems. Elevated pre-school exposure was linked to conduct problems, lower educational attainment
366 and substance abuse. Persistently high exposure throughout childhood increased the odds of substance abuse.
367 While it is important to be critical of the small yet statistically significant effect sizes in this study, the relatively
368 consistent patterns observed, along with the direction of associations and the relatively modest prospective sample
369 indicate that these findings should not be dismissed as inconsequential especially when considered alongside
370 contemporary neuroimaging evidence³¹⁻³⁴. It is also important to interpret the small effects in our study in the light
371 of individual- versus population-level effects⁷⁰. For instance, 10-year exposure to air pollution may explain little of
372 an individual's risk of mental health outcomes developing however, it may help to explain the shift in population-
373 level outcomes which have gradually shifted upwards with the likelihood of increased burden of disease over time⁷⁰.
374 This is one of many factors impacting on mental health, but also one we might expect in some regions of the world
375 to worsen with climate change⁷¹.

376
377 Our findings support an emerging body of scientific study which tend to confirm the importance of air pollution
378 exposure, especially during prenatal and postnatal periods and early childhood for later development. A recent
379 systematic review concluded that air pollution exposure was potentially linked to disruptive, impulse control and
380 conduct disorders among pollution-exposed adolescents^{12,51,69,72,73}. However, it is important to note that few of the
381 included studies excluded the possibility of historical confounders available in our study. An exception being a
382 prospective study demonstrating an association between prenatal and postnatal exposure to air pollution and
383 higher risk of externalising behaviour problems in children^{13,73}. Less evidence has considered broader
384 developmental outcomes such as educational attainment²⁵ or conduct disorder, despite these mental health issues
385 often developing or worsening during adolescence^{12,51}. Supporting some of the findings in this study, 16 years of
386 exposure data in a nationwide Danish cohort of adolescents showed that an increase of 5 $\mu\text{g}/\text{m}^3$ in $\text{PM}_{2.5}$ was linked
387 to a one-point decrease in GPA²⁵. Our findings, considered alongside previous evidence, indicate that in children,
388 poorer performance may be seen across a broad spectrum of developmental outcomes in those children exposed
389 to higher levels of air pollution.

390

391 Evidence on the association between air pollution exposure and mental health is equivocal, with a dearth of
392 evidence in childhood and adolescence. There is a distinct lack of consideration of how air pollution exposure
393 relates to broader mental health difficulties that classically challenge adolescents such as substance use disorders
394 or even broader mental health conditions such as anxiety⁵¹. Despite this, most available evidence is at a high risk
395 of bias thus limiting what can meaningfully be said about the links between air pollution exposure and mental health
396 outcomes in childhood or adolescence^{51,74}. Despite this, our findings showed that several trajectories were
397 adversely related to risk of suicidal ideation and substance abuse albeit with relatively wide effect size confidence
398 intervals. In our study while several associations were noted in unadjusted models, after adjustment, many of these
399 were attenuated highlighting the importance of controlling for a wide set of family background and
400 sociodemographic factors^{5,7}. A recent review suggested that air pollution exposure increased the risks of
401 depressive symptoms (in 10 out of the 14 included papers) and suicide in the adolescents (in 4 out of 5 included
402 papers)⁷⁵. Further robust epidemiological evidence is required to support the associations seen within this study
403 relating air pollution trajectories to mental health in adolescence and to explore if the null associations seen for
404 depressive symptoms and anxiety are confirmed.

405

406 Several scientific studies have demonstrated support for biological plausibility and mechanistic link in associations
407 seen with plausible toxicological mechanisms also demonstrated⁶⁹. For instance, neuroimaging studies have found
408 air pollution-related brain structural and functional alterations with several neuroimaging studies revealing
409 decreased grey-matter volume in the Cortico-Striato-Thalamo-Cortical neurocircuitry and others detecting white
410 matter hyperintensities in the prefrontal lobe⁷⁵. In addition, a recent animal study indicated that after 10 months of
411 exposure to air pollution at levels similar to those faced by many people daily, mice showed signs of depression,
412 anxiety, and learning difficulties⁷⁶. Theoretically, this also fits with a well-established body of literature which
413 documents the importance of prenatal and early childhood development and it may be that exposure to air pollution
414 within these sensitive windows of development may be important for potential disruptions in neurodevelopmental
415 pathways^{23,24}. Some studies have suggested that poorer cognitive function at 5–6 years among males followed
416 increased maternal exposure to PM₁₀, PM_{2.5} and NO₂ during mid-pregnancy and child exposure to PM_{2.5} around
417 3-4 years. While more research is needed on any critical window or timing of exposure, evidence has posited that
418 in utero exposure may be important for intelligence and neurodevelopment around ages 3–5 years⁶⁹ whilst other
419 evidence has suggested that the most time critical windows were in utero and infancy for PM₁₀ exposure⁷⁷. Our
420 findings support both an '*accumulation hypothesis*' where persistently high exposure may be related to adverse
421 outcomes and '*critical window hypothesis*' where time periods such as prenatal may be especially important.
422 Further research with sufficient statistical power and repeated measures of air pollution over time is required to
423 further explore this timing effect.

424

425 This study is unable to explain the differences in associations across exposure trajectories; however, they may
426 reflect diverse neurodevelopmental sensitivities at different ages. The prenatal and postnatal periods are critical
427 for brain development, which could explain why high prenatal and postnatal exposure was linked to slightly different
428 outcomes to later childhood exposure which may have more influence on cognitive or emotional regulation,
429 potentially contributing to conduct problems and lower educational attainment. However, further research is
430 needed, especially as findings for the persistently high class do not consistently align with the hypothesis that
431 chronic higher exposure would have the strongest effects. This is possibly due to unmeasured confounding. Further
432 supporting this uncertainty, the lower odds of anxiety for the persistently high class may reflect residual confounding
433 or selection effects. Given the wide confidence intervals and the complex interplay of individual and environmental
434 factors, these associations should be interpreted with caution.

435

436 Our findings, if replicated, may have important implications for policy. First, even small elevations in risk may result
437 in significant increases in the burden of disease at the population-level. Indeed, a recent World Health Organisation
438 (WHO) estimate suggests that around 9 out of 10 of the global population is exposed to outdoor air pollutants in
439 excess of current guidelines^{1,2}. Given the ubiquity of air pollution exposure, as well as spatial and temporal
440 variations resulting in high levels of air pollution even in countries with low average levels of air pollution, air pollution
441 could represent a meaningful contributor to the global burden of mental illness and poorer child and adolescent
442 development particularly in poor air-quality regions¹³. Associations with less susceptibility of residual confounding
443 and selection bias in this study for air pollution trajectories further raise the possibility of the impact of sensitive
444 periods of exposure to air pollution for children including the prenatal period of life and during early- or mid-
445 childhood in a period of rapid growth, maturation and development. Further research is required to confirm our
446 findings using different contemporary measures of air pollution. Moreover, we acknowledge that some of our
447 outcomes are likely to be correlated and we have not explored the pathways by which such factors may be
448 associated. Therefore, future research should explore the extent to which attentional and behavioural problems
449 and/or cognitive ability may play a role in explaining the association between early life air pollution exposure and
450 later poorer educational achievement as well as other plausible pathways not included in this study.

451

452 The findings in this study must be interpreted with several strengths and limitations in mind. First, the trajectory
453 analyses enabled an examination of heterogenous patterns of exposure that would otherwise have been missed
454 when using averaging methods over time which obscure dynamic patterns and only provide a static snapshot of
455 the air pollution exposure⁷⁸. Capturing the trajectory of exposure is important and reveals patterns and trends that
456 may not be apparent when looking at averages of exposure over time⁷⁹. In addition, examining trajectories helps
457 identify critical periods of transition or stability within the air pollution exposure. However, while prospective in
458 design, pollutant exposure estimates relied on modelled data rather than individual-level monitoring. The use of

459 black smoke data from the 1970s-1980s may not fully represent contemporary PM exposure. Additionally,
460 unaccounted factors such as traffic-related noise could confound results^{5,7,80}. Generalisability to regions with
461 different pollution levels and types of particulate matter is uncertain, and findings may not fully translate to
462 contemporary society. However, strengths include prenatal and preschool period measurement, multiple exposure
463 and outcome measures, and robust covariate adjustment often missing in much contemporary literature. Yet we
464 were unable to account for every residential move during the prenatal period relying only on one address in the
465 year before birth. In addition, the observational nature and potential unmeasured confounders imply caution in
466 interpreting any causality. Small sample sizes in some trajectories may also limit result confidence, often reflected
467 in large confidence intervals around any statistically significant effects. Related to this, while a multilevel model is
468 recognised as best practice, there were insufficient observations per area to complete such analyses in this study.
469 Moreover, sample attrition impacts the CHDS study somewhat with the analysis sample to likely underrepresent
470 children from socially disadvantaged families characterised by low maternal education, low socioeconomic status
471 and single parenthood. Finally, residual confounding from green space and wider socioeconomic factors remains
472 possible. Greenspace for instance, may buffer air pollution effects via stress reduction and physical activity, while
473 unmeasured socioeconomic factors may introduce additional social and environmental stressors. Although we
474 adjusted for wide-ranging factors future research should integrate these data better to disentangle these influences.

475 **5.0 Conclusion**

476 There are many factors that contribute to child and adolescent development and mental health. Our study
477 addressed the lack of prospective evidence linking air pollution exposure in childhood to cognitive, educational and
478 mental health outcomes in childhood and adolescence. Responding to calls for higher quality, prospectively
479 collected data, we found statistically significant associations between childhood air pollution exposure trajectories
480 and adverse outcomes in adolescence. Specifically, high prenatal and postnatal exposure, persistently elevated
481 exposure, and elevated pre-school exposure were linked to several adverse developmental and mental health
482 outcomes. The effect sizes and wide confidence intervals suggest that future research should confirm our findings
483 and explore sensitive childhood periods in more detail. However, these findings, alongside other contemporary
484 evidence of air pollution's harmful effects, underscore the importance of targeted interventions and policies to
485 reduce exposure to air pollution, especially for children.

486 **Data availability statement**

487 The CHDS data are not freely available as we do not currently have ethical approval to upload these data to any
488 repository and this prevents us from sharing this data in this way. However, data are available on request, subject
489 to approval by the Christchurch Health and Development Study Director: chds.uoc@otago.ac.nz.

490

491 **Funding**

492 This project was funded by a New Zealand Health Research Council Emerging Researcher First Grant (22/528).
493 The Christchurch Health and Development Study age 40 assessment was supported by a Health Research Council
494 of New Zealand Programme Grant [16/600]. Previous work has been supported by the National Child Health
495 Research Foundation (Cure Kids), the Canterbury Medical Research Foundation and the New Zealand Lottery
496 Grants Board.

497

498 **Ethical approval**

499 All phases of the CHDS were subject to ethical approval by the New Zealand Health and Disabilities Ethics
500 Committee.

501

502 **Competing interests**

503 None to declare.

504

505 **Acknowledgments**

506 We thank Dr Mike Epton for his contributions during the conception of this project.

507

6.0 References

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Highlights

- Childhood is an important period of brain development during which air pollution exposure may be potentially harmful to child and adolescent development and mental health.
- Historical air pollution estimates were combined with a large birth cohort study.
- Latent Class Growth Mixture Models were used to identify air pollution trajectories which included high exposure during prenatal and pre-school.
- Air pollution exposure was related to some developmental and mental health outcomes.
- Findings underscore the importance of targeted interventions and policies to reduce exposure to air pollution.

Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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