

Optimization of ultrasound-assisted extraction of faba bean protein isolate: Structural, functional, and thermal properties. Part 2/2.

BADJONA, Abraham, BRADSHAW, Robert <<http://orcid.org/0000-0003-1533-2166>>, MILLMAN, Caroline <<http://orcid.org/0000-0003-4935-0477>>, HOWARTH, Martin and DUBEY, Bipro <<http://orcid.org/0000-0003-0396-9864>>

Available from Sheffield Hallam University Research Archive (SHURA) at:
<https://shura.shu.ac.uk/34131/>

This document is the author deposited version. You are advised to consult the publisher's version if you wish to cite from it.

Published version

BADJONA, Abraham, BRADSHAW, Robert, MILLMAN, Caroline, HOWARTH, Martin and DUBEY, Bipro (2024). Optimization of ultrasound-assisted extraction of faba bean protein isolate: Structural, functional, and thermal properties. Part 2/2. Ultrasonics sonochemistry, 110: 107030. [Article]

Copyright and re-use policy

See <http://shura.shu.ac.uk/information.html>

1 **Optimization of ultrasound-assisted extraction of faba bean protein isolate: structural,**
2 **functional, and thermal properties. Part 2/2**

3
4 **Abraham Badjona¹, Robert Bradshaw², Caroline Millman¹, Martin Howarth¹, Bipro**
5 **Dubey^{1*}**

6 ¹National Centre of Excellence for Food Engineering, Sheffield Hallam University, Sheffield,
7 S1 1WB, UK; A. badjona@shu.ac.uk (A.B); c.e.millman@shu.ac.uk (C.M);
8 prof.m.howarth@gmail.com (M.W); b.dubey@shu.ac.uk (B.D)

9 ²Bimolecular Research Centre, Sheffield Hallam University, Sheffield, S1 1WB, UK;
10 r.bradshaw@shu.ac.uk (R.B)

11 *Correspondence: b.dubey@shu.ac.uk (B.D)

12 **ABSTRACT**

13 Environmental concerns linked to animal-based protein production have intensified interest in
14 sustainable alternatives, with a focus on underutilized plant proteins. Faba beans, primarily
15 used for animal feed, offer a high-quality protein source with promising bioactive compounds
16 for food applications. This study explores the efficacy of ultrasound-assisted extraction under
17 optimal conditions (123 W power, 1:15 g/mL solute/solvent ratio, 41 minutes sonication, 623
18 mL total volume) to isolate faba bean protein (U-FBPI). The ultrasound-assisted method
19 achieved a protein extraction yield of 19.75 % and a protein content of 92.87 %, outperforming
20 the control method's yield of 16.41% and protein content of 89.88%. Electrophoretic analysis
21 confirmed no significant changes in the primary structure of U-FBPI compared to the control.
22 However, Fourier-transform infrared spectroscopy revealed modifications in the secondary
23 structure due to ultrasound treatment. The U-FBPI demonstrated superior water and oil holding
24 capacities compared to the control protein isolate, although its foaming capacity was reduced
25 by ultrasound. Thermal analysis indicated minimal impact on the protein's thermal properties

26 under the applied ultrasound conditions. This research highlights the potential of ultrasound-
27 assisted extraction for improving the functional properties of faba bean protein isolates,
28 presenting a viable approach for advancing plant-based food production and contributing to
29 sustainable protein consumption.

30

31 **Keywords:** Ultrasound, faba bean, Protein isolate, Structural properties, functional properties,
32 thermal analysis

33

34

35

36

37

38

39

40

41

42

43

44

45

46

47 INTRODUCTION

48 Economic growth heightened cultural and social awareness, and an improved standard of living
49 over the past decade has driven consumer preference for nutritious and flavourful foods. This
50 shift has resulted in over \$30 billion in revenue for the dietary supplement industry (1). To meet
51 the rising nutritional demands of the world's growing population, plant protein has become a
52 crucial part of diets. One of its key benefits is providing sufficient amounts of essential amino
53 acids (2). Moreover, the unique physicochemical properties of plant proteins affect food
54 processing, storage, and consumption, thereby impacting food quality and sensory attributes.
55 This shift is often referred to as the "protein transition" (3).

56 Compared to other common protein crops, faba bean seeds (*V. fabaceae*) have a higher protein
57 content, approximately 30 % of dry matter, making them an appealing raw material for
58 producing protein-rich foods (4). Additionally, faba beans are increasingly favoured as a plant-
59 based protein source due to their effective nitrogen-fixing abilities and ease of cultivation (5).
60 Moreover, faba bean protein offers a quality of amino acid profile and digestibility comparable
61 to animal proteins, distinguishing it from many other plant-based proteins (6–8). A whole faba
62 bean contains approximately 27 % protein, 2 % fat, 60 % carbohydrates, and 15 % fibre, along
63 with various vitamins and minerals (9,10). Globulins, making up 70 – 80 % of the storage
64 protein in faba bean seeds, are classified into two types based on their sedimentation
65 coefficient: 7S vicilin-type globulins and 11S legumin-type globulins. Additionally, the
66 albumin fraction in faba beans is notable for its high content of sulphur-containing amino acids
67 compared to other seed proteins (11). The physicochemical and functional properties of
68 globulin and other protein fractions vary due to differences in their structures. These structural
69 variations lead to differences in attributes such as denaturation temperature, solubility profile,
70 and functional characteristics (12,13). Protein-rich products from faba beans are typically
71 classified into two categories: isolates, which contain approximately 90 % protein, and

72 concentrates, which have around 65 % protein (9). Protein isolates from pulses are generally
73 obtained using various methods, including alkaline solubilization with subsequent precipitation
74 at the isoelectric point, or salt extraction followed by micellization (9,14). Traditional
75 extraction methods are often limited by their lower extraction yields and reduced protein purity.
76 Additionally, many commercially available proteins exhibit poor solubility and are prone to
77 significant denaturation, which can adversely affect their structural integrity and functional
78 performance (15).

79 Ultrasound, a novel non-thermal technology for processing and chemical applications, is
80 classified as a method for process intensification (16). Enhanced extraction with ultrasound
81 primarily results from the benefits of acoustic cavitation, which is induced by ultrasonic waves
82 traveling through the suspension (17). Ultrasound enhances extraction by mechanically
83 increasing the contact area between liquid and solid phases, which improves solvent
84 penetration into the sample and accelerates the diffusion of solutes into the solvent (18,19).
85 However, optimizing the process for ultrasound-assisted extraction (UAE) remains limited.
86 Effective UAE can lead to improved extraction yields and modified functional properties of
87 proteins (20). Successful protein extraction relies on understanding how UAE parameters such
88 as time, power, frequency, solvent-to-sample ratio, and temperature affect the extraction of faba
89 bean proteins. Using response surface methodology to optimize these variables helps in
90 selecting the best conditions to maximize extraction yield and purity.

91 Although ultrasound-assisted extraction (UAE) is a promising technique, there is a lack of in-
92 depth studies on optimizing protein extraction from faba bean seeds. This research aims to fill
93 this gap by introducing UAE for faba bean protein isolation. It involves: (i) optimizing UAE
94 parameters using Box-Behnken Design (BD) to enhance extraction efficiency; and (ii)
95 evaluating and comparing the structural, thermal, and functional properties of proteins isolated

96 through this method. The study offers a detailed analysis of the protein physicochemical and
97 thermal characteristics obtained through optimized UAE.

98

99 **MATERIALS AND METHOD**

100

101 **Raw Materials and Chemicals**

102 Faba bean seeds were purchased from Whole Foods Earth (Kent, United). NaOH, ($\geq 99.9\%$
103 pure), HCl, phosphate-buffered saline (PBS) was also obtained from Sigma-Aldrich (United
104 Kingdom). β -mercaptoethanol was obtained from Thermo Fisher scientific. Rapeseed oil was
105 obtained from a local shop in Sheffield (United Kingdom). All the chemicals and reagents used
106 in this study were of analytical grade. The seeds were finely powdered using a cyclone mill
107 and kept at $-20\text{ }^{\circ}\text{C}$ until needed.

108 **Optimization of ultrasonic-assisted extraction of faba bean protein**

109 The optimization process aimed to determine the optimal set of parameters for achieving the
110 highest extraction yield and protein content, thereby identifying the key influencing factors.
111 This was informed by previous research findings (21) and other studies on protein isolation
112 from plant sources, which guided the selection of minimum and maximum values for each
113 factor (22,23). Different dispersions of faba bean flour in water (1:5 – 1:20 w/v) with variable
114 total volumes (500 – 1000 mL) were agitated at $25\text{ }^{\circ}\text{C}$ for 20 min at 500 rpm before ultrasonic-
115 assisted extraction. PH of the dispersion was then adjusted to pH 11, then subjected to
116 ultrasonic treatment at varying ultrasonic power (50 – 180 W) and varying sonication duration
117 (10 - 60 min) using a S24d22D titanium ultrasonic horn with a sonotrode diameter of 22 mm
118 and radiating surface of 3.8 cm^2 (Teltow, Germany). Temperature was maintained at $20 - 25\text{ }^{\circ}\text{C}$
119 using an ice bath. The resultant mixture was centrifuged for 20 minutes at $25\text{ }^{\circ}\text{C}$ at 6,000 rpm
120 using a centrifuge (accuSpinTM 400, United Kingdom). After gathering the supernatant, 1 N

121 HCl was used to bring the pH to 4.0 while stirring continuously for 20 min. Protein isolate
122 pellets were then obtained after centrifuging at 6,000 rpm for 20 min at 25 °C. After 48 hrs of
123 lyophilization of the protein pellet, samples were stored at -20 °C for further analysis. Protein
124 content was determined by the Dumas method. Control protein isolate was generated using
125 optimized conditions without ultrasound treatment.

126 The weight of the protein isolate obtained was divided by the initial weight of the measured
127 faba bean flour to calculate the extraction yield, as given in Equation (1).

128 **Extraction yield (%) = $\frac{m_i}{m_s} \times 100$ (Eq.1)**

129 The mass of the initial flour and final protein isolate are represented by m_s and m_i , respectively.

130 **Box-Behnken analysis experiment**

131 The independent variables in the study were ultrasonic power, sonication time, solid/solvent
132 ratio, and total extraction volume. To maximize extraction yield and protein content from faba
133 bean flour, a response surface-based optimization method was employed using Design Expert
134 software. Each of the four variables was tested at three distinct levels: low (1), medium (0),
135 and high (+1). These variables were explored for their effects on the ultrasonic-assisted alkaline
136 extraction of faba bean protein isolates. Both the extraction yield and protein content of the
137 freeze-dried faba bean protein isolate served as the response variables. The comprehensive
138 results of the optimization process are documented (24). The coded factors for each variable
139 are displayed in Table 1.

140

141

142

143 **Table 1.** Actual and coded variables were used in the ultrasound-assisted extraction design of
144 the experiment.

Independent Variables	Unit	Levels		
		Low	optimal	High
Power	W	50	115	180
Solute/water ratio	w/v	0.06	0.15	0.25
Extraction time	min	10	35	60
Total volume	ml	500	750	1000

145

146 **Functional properties**

147 **Protein oil and water holding capacity (OHC and WHC).**

148 For WHC and OHC, 1.0 g of faba bean protein isolate was dispersed in 40 mL of distilled water
149 and rapeseed oil, respectively. The mixtures were vortexed at maximum speed for one minute,
150 and then allowed to stand at room temperature (20 – 23 °C) for six hours. After that, samples
151 were centrifuged for 30 minutes at 20 °C at 3000 x g.

$$152 \text{ WHC/OHC} = \frac{W_0 - W_1}{W_3}$$

153 Where W_0 is the mass of the tube and protein isolate and absorbed water or oil; W_1 is the mass
154 of the tube and protein isolate while W_3 is the mass of faba bean protein.

155

156

157 **Foaming properties**

158 To study the foam stability and capacity, the method described by Loushigam et al., (25) was
159 employed. Faba bean protein isolate solutions (1 % weight protein basis, pH 7) were dissolved
160 in distilled water and stirred at room temperature for one hour. 15 mL of the protein mixture
161 were homogenized for three minutes at room temperature using a homogenizer (IKA T18 ultra-
162 turrax basic, United Kingdom). Using a graduated cylinder, the total volumes (mL) were
163 measured before and after whipping. The following formula was used to compare the volume
164 of the foam layer at 15 and 30 minutes to the initial foam volume of the samples to determine
165 the foaming capacity (FC) and stability (FS):

166
$$FC (\%) = \frac{\text{foam volume}}{15 \text{ mL}} \times 100 \%$$

167

168
$$FS (\%) = \frac{\text{foam volume after 15 and 30 min}}{\text{Initial foam volume}} \times 100 \%$$

169

170 **Qualitative analysis of proteins using electrophoresis (SDS-PAGE)**

171 Electrophoresis was carried out on SDS-PAGE in a reducing solution of β -mercaptoethanol
172 (26). 50 mg protein powders were dissolved in 10 mL of PBS buffer (0.01 M, pH 7) and stirred
173 at 200 rpm for 2 hr at room temperature. 10 μ L protein solution was dissolved, and vortexed
174 with 10 μ L loading buffer (reducing solution containing 10% 2-mercaptoethanol). The samples
175 were heated for 4 min at 95 °C, followed by cooling, and centrifugation at 13300 x g for 3 min.
176 An aliquot was injected into the pocket of the Bio-Rad 4 % acrylamide stacking gel. Separation
177 at a current of 25 mA was performed for one hour at a voltage of 200 V for 35 mins. SDS-
178 PAGE pre-stained ladder ranging from 260 – 8 kDa was used as standard marker. The gel was
179 rinsed with water and stained sequentially with commasie blue and imperial stain. Destained
180 gel was scanned using Gel analysis instrument (Nugenius, United Kingdom).

181

182 **Fourier-transform infrared spectroscopy analysis**

183 For the FTIR investigation, an Attenuated Total Reflectance (ATR)-FTIR spectrophotometer
184 (Spectrum 100 FT-IR, PerkinElmer, USA) was used. A total of 16 scans were conducted within
185 the wavenumber range $4000 - 650 \text{ cm}^{-1}$ at a resolution of 4 cm^{-1} .

186 **Thermal properties**

187 **Thermogravimetric analysis (TGA)**

188 A thermogravimetric analyzer type Q50 was used to study the thermal properties of the protein
189 isolates. About 2 mg of the material was heated from 30 to 900 °C under ambient nitrogen (200
190 mL/min).

191 **Differential scanning calorimetry**

192 The thermal profile of the protein isolates was measured using differential scanning
193 calorimetry. Aluminum pans with a hermetically sealed interior held 10 – 20 mg of protein
194 isolates. In an inert nitrogen environment, samples were heated at a rate of $10 \text{ }^{\circ}\text{Cmin}^{-1}$ using a
195 heat ramp from 25 to 180 °C.

196 **X-ray diffraction (XRD)**

197 For XRD studies, an X'pert X-ray diffractometer was employed. The anti-scatter slits were set
198 at 0.04 mm along with 1 mm diverging and receiving slits. The diffractogram was measured
199 between 5 and 70° (2θ) with a step size of 0.05° .

200 **Statistical analysis**

201 All statistical analyses were performed by Origin 2019. All the values were expressed as means
202 \pm standard deviation (SD).

203

204 RESULTS AND DISCUSSION

205 Optimal extraction conditions by RSM

206 The experimental results indicated that the conditions of 123 W power, a solute to solvent ratio
207 of 0.06 (1:15 g/mL), a sonication time of 41 minutes, and a total volume of 623 mL were
208 predicted to yield a maximum extraction yield of 18.71 ± 1 % and a protein content of $89.76 \pm$
209 1 % after optimization. Upon experimental validation, these conditions achieved an extraction
210 yield of 19.75 ± 0.87 % and a protein content of 92.87 ± 0.53 %. Therefore, the quadratic model
211 used in this study proved effective in determining the optimal conditions for producing protein
212 isolate from faba bean flour. In contrast, a control sample processed under similar conditions
213 but without ultrasound treatment yielded an extraction rate of 16.41 ± 0.02 % and a protein
214 content of 89.88 ± 0.40 % (24). Thus, the ultrasound treatment enhanced both the extraction
215 efficiency and the protein content of the extracts, consistent with findings reported in the
216 literature for other plant materials (27). The effectiveness of ultrasonication could be linked to
217 cell disruption during the extraction process. Plant proteins are often covalently bound to other
218 macromolecules like carbohydrates, cellulose, hemicellulose, and pectin. These bonds hinder
219 protein release from plant matrices and reduce their availability in the extraction medium,
220 necessitating an extraction method capable of effectively disrupting the cell matrix (28). In
221 alkaline medium, ultrasonic waves produce cavitation, turbulence, and shear forces near the
222 plant matrix, disrupting plant tissue and enhancing protein extraction (29). This is attributed to
223 cavitation and the mechanical vibrations of ultrasound waves, which break cell walls and
224 molecular bonds, increasing the contact surface area between the solid and liquid matrices (30).

225

226

227

228 **Functional properties**

229 **Foaming capacity (FC) and foaming stability (FS)**

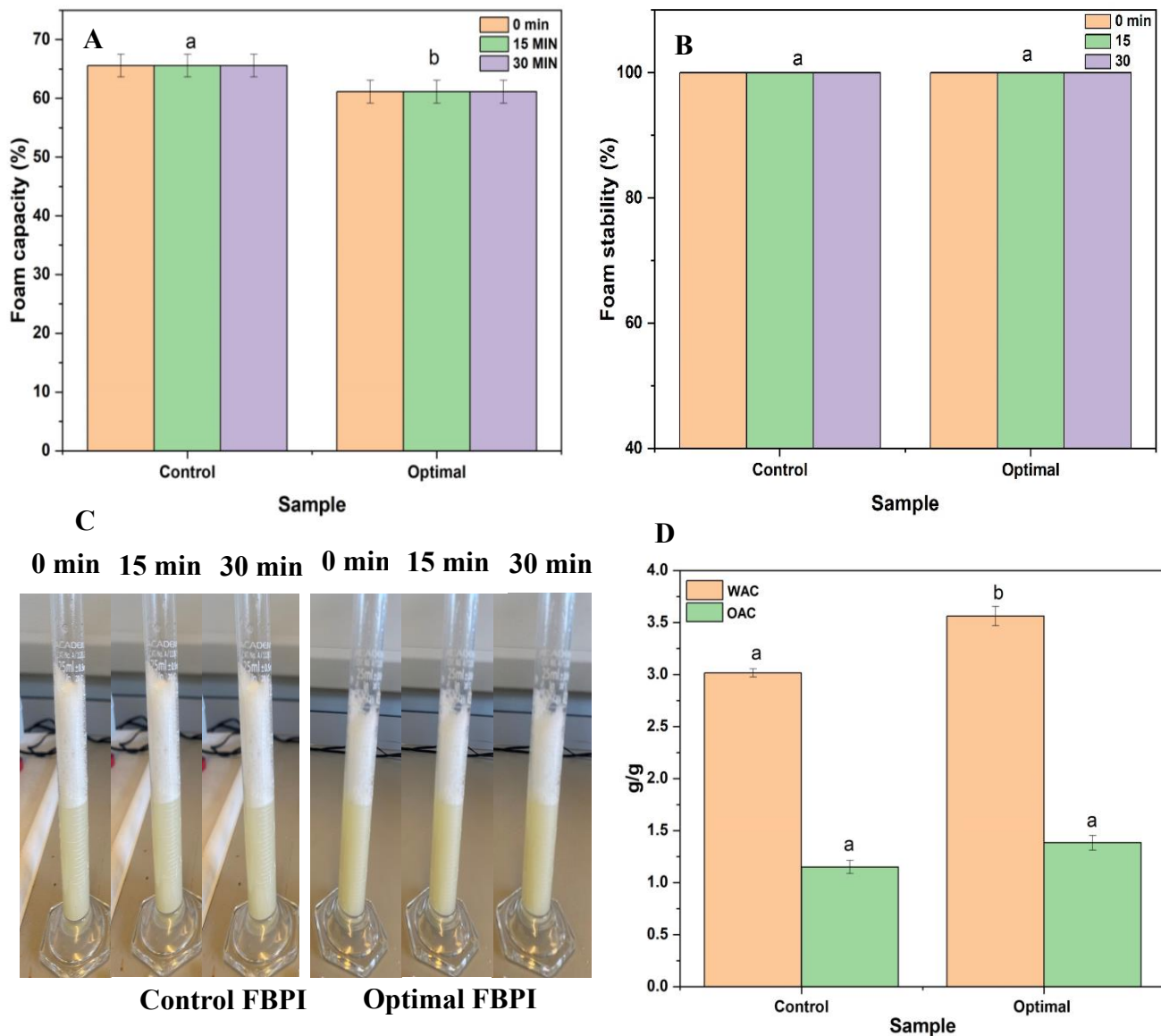
230 The foaming capacity and foaming stability of control and ultrasound FBPI are presented in
231 **Fig 6.A& B**. While foaming stability (FS) refers to a protein's ability to produce stable foams
232 by constructing a continuous intermolecular polymer network that encloses air cells, foaming
233 capacity (FC) describes a protein's ability to unfold quickly forming a cohesive layer that
234 surrounds gas bubbles (31). In theory, the main phases for protein to form foams are
235 transportation, penetration, and reorganization of protein molecules at the air/water interface.
236 The Foaming capacity of the control FBPI was 65.56 % which was higher than the optimal U-
237 FBPI (61.11 %). Several studies have reported an improvement in foaming capacity after
238 ultrasound treatment (32,33) which are contrary to those observed in these studies. However, a
239 study by Gao et al. (34) on soluble pea proteins showed that ultrasound treatment can reduce
240 foaming capacity through major modifications in protein structure and network which may
241 affect FC. Apart from variations in the foaming method used, final power input per unit volume
242 and other ultrasonic parameters may influence foaming capacity. In terms of foam stability,
243 both samples showed 100 % stability as shown in **Fig.6.B & C** after 10, 15, and 30 min. This
244 indicates that both protein isolates had sufficient mechanical strength, and flexibility to keep
245 the foams intact. Chittapalo et al. (35) found that the alkaline extraction method resulted in a
246 higher foaming capacity for rice bran protein compared to the ultrasonic extraction method.
247 This reduction may be due to ultrasonic treatment altering the protein structure and changing
248 the ratio of hydrophilic to hydrophobic groups. This increases surface tension and decreases
249 surface activity, affecting the protein's adsorption capacity and migration speed at the air-water
250 interface (36).

251

252 **Water holding capacity and oil holding capacity (WHC)**

253 Information on the water and oil absorption capacity of proteins is useful in predicting protein
254 behaviour in food systems such as meat analogs, yogurt analogs, and bakery products. This is
255 necessary to prevent liquid loss (water or oil) during processing and avoiding undesirable
256 textural and sensorial properties (37,38). The water and oil absorption capacity of the optimal
257 ultrasound-assisted faba bean protein isolate and the control is shown in **Fig.6. D**. The water
258 holding capacity was significantly higher in the optimal ultrasound protein isolate (3.56 g/g)
259 compared to the control sample (3.01 g/g). The WHC of proteins is influenced by numerous
260 factors such as conformational structure, particle size, surface hydrophobicity as well as the
261 amino acid sequence (39). Similarly, a higher OHC was observed in the optimal ultrasound
262 protein isolate (1.38 g/g) than in the control sample (1.15 g/g). Lipid-protein interactions are
263 attributed to the binding of non-polar amino acid side chains to aliphatic chains of lipids, thus
264 proteins with high surface hydrophobicity tend to have a high OHC (40).

265 The relatively high fluid binding properties of the faba bean protein after ultrasound treatment
266 may have been due to the formation of a more porous structure (41). An increase in OBC values
267 may also be because ultrasonic cavitation caused partial denaturation of the faba bean's
268 proteins, which exposed hydrophobic groups at the surfaces of the protein powders, thereby
269 leading to greater oil retention (42). Proteins with higher hydrophobicity typically exhibit better
270 fat absorption, as the non-polar amino acid chains interact easily with lipid aliphatic chains
271 (43). The application of protein in specific foods often depends on the WHC to OHC ratio.
272 Non-protein substances such as starch and lipids can interact with proteins, altering the WHC
273 and OHC, highlighting the importance of efficient protein isolation from the plant matrix.



274

275 **Fig 6.** Functional properties of optimal ultrasound-assisted and control extracted faba bean
 276 protein isolate (A) foaming capacity (B) foaming stability (C) representative photographs of
 277 the foam prepared from (1 % wt., protein basis, pH 7) at 0, 15 and, 30 (D) water and oil
 278 absorption capacity.

279

280

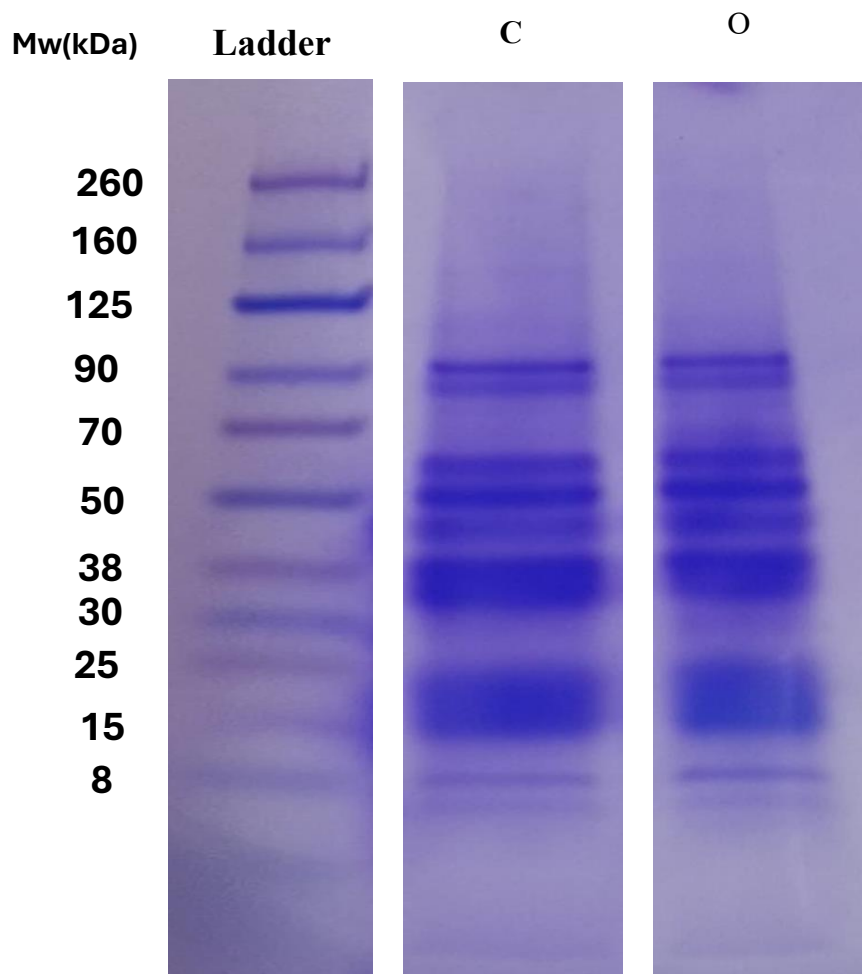
281

282 **SDS-PAGE analysis**

283 SDS electrophoresis was performed to analyze the protein profiles of the two protein isolates:
284 optimized ultrasound-assisted extraction and conventional faba bean protein isolates. **Fig 7.**
285 shows the protein profile of the two isolation conditions under reducing conditions. Several
286 bands were observed from 8 - 90 kDa. An additional smearing band was observed from 260-
287 90 kDa. Similar subunit bands were observed between optimized and controlled protein
288 isolates. These results are in agreement with different studies which observed no changes on
289 primary structure after ultrasound application in soy protein isolate, moringa protein and pea
290 protein isolate (44,45). Two strong protein bands detected ~90 kDa could correspond to seed
291 lipoxygenase (46). A strong protein band was detected at ~50 – 55 kDa in both samples. This
292 band could be the globulin convicilin (46). The trimeric protein is one of the primary storage
293 proteins in *Vicia faba* L. The dense protein bands detected at from ~38kDa may represent
294 vicilin subunits while protein subunits at 15 kDa and below may be associated with albumins
295 (46).

296 The results indicate that ultrasound treatment did not alter the primary structure of
297 macromolecules, as the applied sonication conditions were insufficient to disrupt this structure.
298 Polypeptides in the 20–29 kDa and 29–44 kDa ranges are likely related to the acidic and basic
299 subunits of glycinin (47,48). Subunits around 50 kDa and 70 kDa are probably vicilin and
300 convicilin, respectively (47). Singh & Kaur, (2019) identified polypeptides with molecular
301 weights of 12–120 kDa and 11.5–122 kDa as corresponding to albumin and globulin fractions,
302 respectively. The SDS-PAGE results support the findings from Zou et al. (50) and O'sullivan
303 et al. (51), which demonstrated that ultrasound does not significantly alter the molecular
304 structure of protein isolates.

305
306
307
308
309
310
311
312
313
314
315
316



317 **Fig. 7.**SDS-PAGE protein profile of faba bean isolates under reducing conditions. C represents
318 conventional protein extraction while O represent ultrasound-assisted extraction.

319 FTIR

320 FTIR spectra of optimised protein isolate and conventionally extracted protein were both in
321 accordance with those found from other protein isolates such as pea, quinoa, and album
322 proteins (52,53) (**Fig. 8**). Dominant regions attributed to protein functional groups Amide I,
323 Amide II and Amide II (**Fig 8.B**) were found in both spectra. Additionally, other regions such
324 as Amide A and B (region $3500 - 2500 \text{ cm}^{-1}$) were also observed in both samples. Bands
325 ranging from $1200-1000 \text{ cm}^{-1}$ mostly ascribed to carbohydrate regions showed major

326 differences between the two samples (54). Major differences were observed in the spectra in
327 all amide regions especially in amide A and B regions however slight differences in the Amide
328 I, II, and III regions. Optimized ultrasound-extracted proteins showed lower absorbance in
329 amide A and B regions in comparison with the control protein isolate. The lower peak intensity
330 of ultrasound-produced protein isolates could be attributed to protein-protein interaction
331 occurrence via hydrogen bonds in a higher degree. Amide I band which is the most sensitive
332 protein region was also confirmed to result from C = O stretching vibrations and N-H bending
333 vibrations (52,55). The amide I band of both optimized and control samples showed similar
334 wavenumber and intensities, however, the Amide II band of optimized protein isolate showed
335 a higher intensity compared to control isolates. Additionally, the carbohydrate region (1200 –
336 1000 cm^{-1}), showed lower intensity in ultrasound extracted proteins compared to the control,
337 indicating high protein purity in ultrasound-assisted protein extraction. Modification of the
338 secondary structure after ultrasonic-assisted treatment can be attributed to the breaking of β -
339 sheet secondary bonds resulting in the rearrangement into α -helices and β -turns (36).
340 Additionally, the reduction in absorption intensity in the Amide A and B regions may be
341 attributed to partial swelling of the protein structure and disruption of hydrogen bonding
342 interactions. This results in the cleavage of β -sheet and β -turn bonds and their reorganization
343 into α -helices, altering the protein structure (36).

344

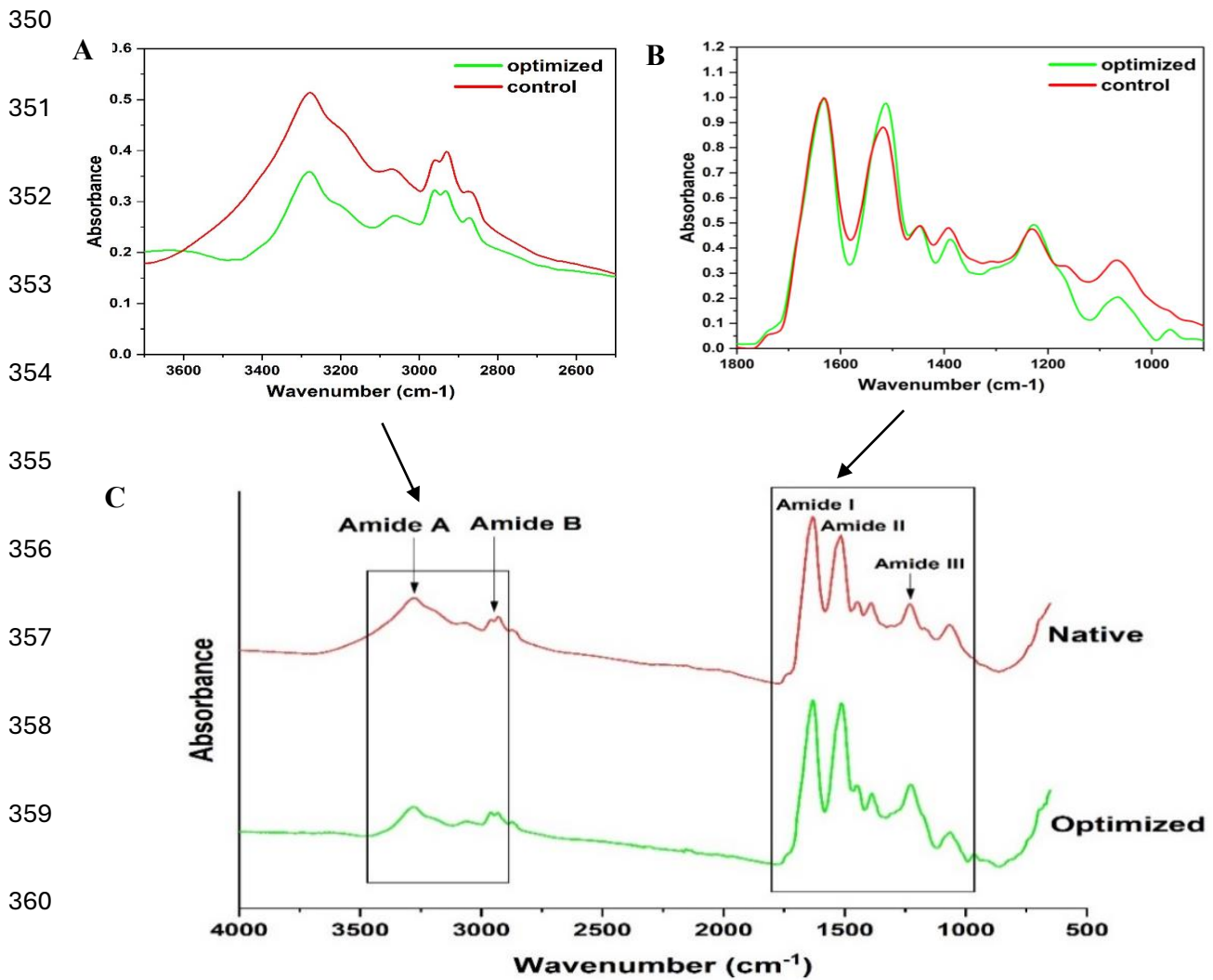
345

346

347

348

349

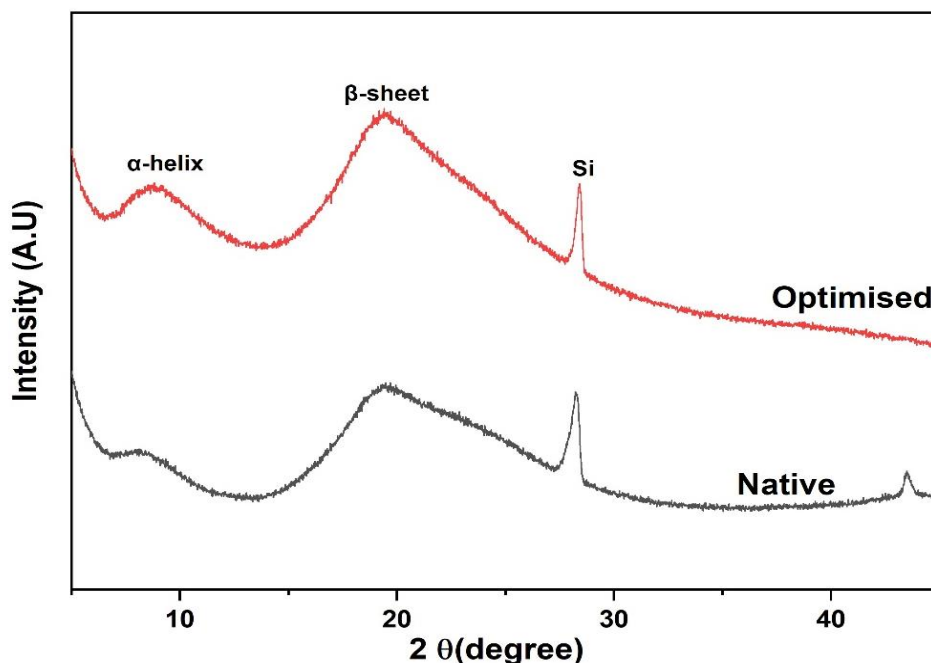


362 **Fig 8.** FTIR spectra of freeze-dried protein isolate from faba beans flour by optimized
 363 ultrasound-assisted and conventional extraction process.

365 **X-ray diffraction**

366 X-ray diffraction is used to investigate the phase composition and crystal structural properties
 367 (56). XRD patterns of protein obtained from faba bean flour by ultrasound and conventional
 368 extraction process were studied to provide further structural information. The diffractogram of
 369 ultrasonic-assisted and conventional faba bean isolates is shown in **Fig. 9**. Slight differences
 370 between both samples showed that extraction conditions influenced diffractogram patterns. The

371 first diffraction peak was observed between 5° and 10° (low intensity), which is related to a
372 relatively sharp diffraction peak. The second peak was observed around 20° (high intensity). A
373 very small peak was observed between 40° – 45° for untreated extracted faba bean protein
374 isolate. The crystalline region I and II is attributed to $2\theta=10^\circ$ and $2\theta=20^\circ$ respectively.
375 Information on crystalline size can be obtained from diffraction intensity and area. A small
376 crystal size usually shows a low diffraction intensity and vice versa (57). The results are similar
377 to those of 7S and 11S proteins obtained from soy proteins (58) as well as results obtained from
378 mung bean proteins (59). Whey protein isolates were also reported to have comparable peaks
379 at $2\theta = 8^\circ$ and 19.5° (60).



388 **Fig 9.** XRD diffraction pattern of optimised and control faba bean protein isolate.

390 **Differential scanning calorimetry (DSC)**

391 The thermal stability of proteins plays a key role in the functionality and hence their
392 applicability in food systems. The thermal properties are useful in different food application as

393 most processes involve some heating steps. DSC can serve as a means of examining protein
394 properties during processing. The $T_{denaturation}$ is the temperature of protein degradation and is
395 useful observed as a peak and reflects thermal stability of proteins. DSC thermogram of the
396 optimized and control faba bean protein isolate is shown in **Fig 10**. Control FBPI displayed an
397 endothermic peak at 109.5 °C while the peak of ultrasound-assisted optimized FPPI had a
398 similar endothermic peak but slightly lower. Slightly lower denaturation temperature may be
399 due to physical structural modification resulting from ultrasound treatment. Ultrasonicated
400 protein did not denature after extraction, instead, proteins were stable. This indicates that
401 native and optimized ultrasound treatments are both thermally stable and could serve several
402 benefits in the food industry. Previous researchers have reported different denaturation
403 temperatures for commercially and laboratory-prepared faba bean protein isolates. Kimura et
404 al. (12) observed that the 11S fraction of faba bean protein showed T_d value of 95.4 °C while
405 the 7S fraction showed a T_d value of 83.8 °C. Variations in the denaturation temperature of
406 protein isolates from faba beans could be attributed to extraction conditions, varietal
407 differences, and technique used.

408

409

410

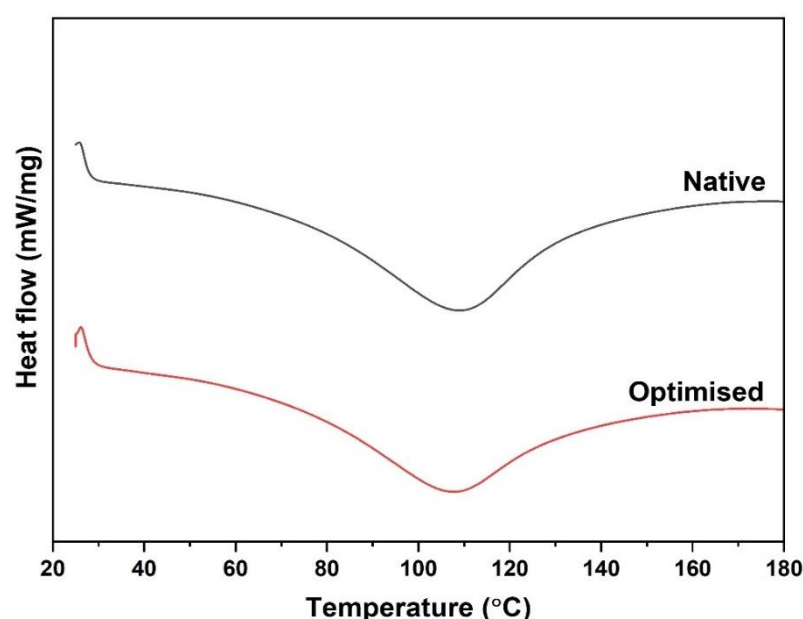
411

412

413

414

415



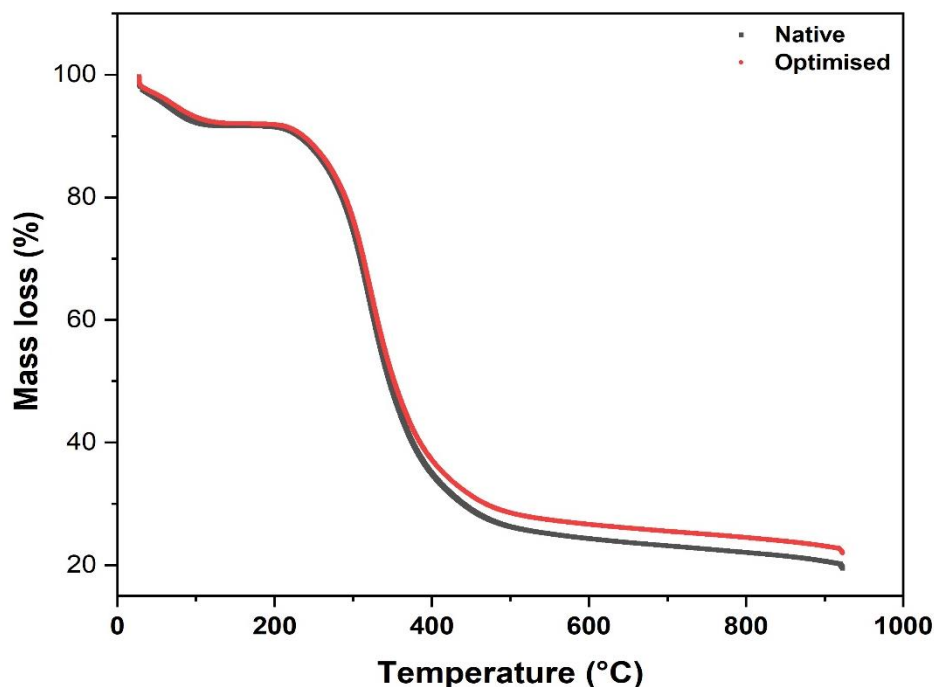
416 **Fig 10.** DSC spectrum of optimized and control Faba bean protein isolates.

417 **Thermogravimetric analysis (TGA)**

418 Thermal investigation of proteins is useful for determining the temperature-dependent
419 behaviour of proteins before significant thermal decomposition occurs, which is critical for
420 various food applications. This is of particular interest during high-temperature processes such
421 as cooking, pasteurization, and sterilization (61). TGA curves of ultrasound-treated and
422 conventional faba bean protein isolates are shown in **Fig. 11**. Both samples showed similar
423 degradation curves up to 950 °C. Weight loss in the temperature range 0 to 200 °C is often
424 associated with water loss and some volatile compounds. Control samples showed a slightly
425 rapid water loss compared to optimal ultrasound-assisted protein isolate. At temperatures
426 between 200 to 400 °C, there was a drastic weight loss in both samples mostly attributed to the
427 presence of polymeric substances which in this case is proteins. Control protein isolate however
428 showed slightly lower degradation compared to optimal ultrasound-aided extraction from 400
429 to 900 °C. This observation is related to the structural modifications induced by ultrasound,
430 such as the modification in secondary structure. This finding agrees with the results reported
431 by Mir et al. (62) for album seed protein isolates treated with high-intensity ultrasound. Finally,
432 a slight constant weight loss was observed from 400 to 900 °C, which may be attributed to
433 residual materials such as oxidation products. Comparable results have been reported by
434 Yılmaz & Gultekin Subasi (63) for laurel and Olive protein isolates, and He et al (64) noted a
435 similar pattern for quinoa protein isolates.

436

437
438
439
440
441
442
443
444



445 **Fig. 11.** TGA profile of optimal ultrasound-aid and control faba beans protein isolates

446
447

Conclusion

448 In this study ultrasound-assisted process parameters for the production of faba bean protein
449 isolate were compared to the conventional alkaline extraction process. Following BBD
450 optimization of the ultrasound process, a higher extraction yield (19.75 vs 16.41 %) and protein
451 content (92.87 vs 89.88 %) were obtained under optimum conditions (Power (123 W),
452 solute/solvent ratio (0.06) (1:15 g/mL), sonication time (41 min), and total volume (623 mL))
453 compared to the conventional approach. When comparing the ultrasound-treated FBPI to the
454 conventional protein isolate, the ultrasound FBPI demonstrated enhanced water holding and
455 oil absorption capacities. However, it exhibited a decreased foaming capacity. Both protein
456 isolates showed similar foaming stability. FTIR analysis indicated modifications in the
457 secondary structure and fingerprint regions of the ultrasound FBPI, while electrophoresis
458 studies showed no changes in the primary structure. Thermal analysis using DSC and TGA
459 revealed changes in the thermal characteristic profile of ultrasound-treated FBPI. This provides

460 the opportunity to use the recommended ultrasound optimum parameters in food and industrial
461 settings to produce functional faba bean protein for different food applications.

462

463

464

465

466

467

468

469

470

471

472

473

474

475

476

477

478

479

480

481

482

483

484

485

486

487

488

489

490 **Declaration of competing interest**

491 The authors declare that they have no known competing financial interests or personal
492 relationships that could have appeared to influence the work reported in this paper.

493 **Authorship contribution statement**

494 Conceptualization: Abraham Badjona, Bipro Dubey, Robert Bradshaw, and Martin Howarth;
495 methodology: Abraham Badjona, Bipro Dubey, Robert Bradshaw and Martin Howarth;
496 Investigation: Abraham Badjona, Bipro Dubey, and Robert Bradshaw; Writing—original draft
497 preparation: Abraham Badjona, Robert Bradshaw, Bipro Dubey, Martin Howarth.; Project
498 administration: Bipro Dubey, Robert Bradshaw, Caroline Millman and Martin Howarth. All
499 authors have read and agreed to the published version of the manuscript.

500 **Data Availability Statement**

501 The data generated during the current study are available upon reasonable request.

502 **Rights Retention Statement**

503 For the purpose of open access, the author has applied a Creative Commons Attribution
504 (CCBY) licence to any Author Accepted Manuscript version of this paper arising from this
505 submission.

506

507

508

509

510

511

512 **References**

- 513 1. Dong, W. *et al.* Comparative evaluation of the volatile profiles and taste properties of
514 roasted coffee beans as affected by drying method and detected by electronic nose,
515 electronic tongue, and HS-SPME-GC-MS. *Food Chem* **272**, 723–731 (2019).
- 516 2. Zha, F., Rao, J. & Chen, B. Modification of pulse proteins for improved functionality and
517 flavor profile: A comprehensive review. *Compr Rev Food Sci Food Saf* **20**, 3036–3060
518 (2021).
- 519 3. Aiking, H. & de Boer, J. The next protein transition. *Trends Food Sci Technol* **105**, 515–522
520 Preprint at <https://doi.org/10.1016/j.tifs.2018.07.008> (2020)
- 521 4. Martineau-Côté, D., Achouri, A., Karboune, S. & L'Hocine, L. Faba Bean: An Untapped
522 Source of Quality Plant Proteins and Bioactives. *Nutrients* **14**, Preprint at
523 <https://doi.org/10.3390/nu14081541> (2022)
- 524 5. Augustin, M. A. & Cole, M. B. Towards a sustainable food system by design using faba
525 bean protein as an example. *Trends Food Sci Technol* **125**, 1–11 Preprint at
526 <https://doi.org/10.1016/j.tifs.2022.04.029> (2022)
- 527 6. Badjona, A., Bradshaw, R., Millman, C., Howarth, M. & Dubey, B. Faba Beans Protein as
528 an Unconventional Protein Source for the Food Industry: Processing Influence on
529 Nutritional, Techno-Functionality, and Bioactivity. *Food Reviews International* Preprint at
530 <https://doi.org/10.1080/87559129.2023.2245036> (2023)
- 531 7. Badjona, A., Bradshaw, R., Millman, C., Howarth, M. & Dubey, B. Faba Bean Processing:
532 Thermal and Non-Thermal Processing on Chemical, Antinutritional Factors, and
533 Pharmacological Properties. *Molecules* **28**, Preprint at
534 <https://doi.org/10.3390/molecules28145431> (2023)
- 535 8. Badjona, A., Bradshaw, R., Millman, C., Howarth, M. & Dubey, B. Faba Bean Flavor
536 Effects from Processing to Consumer Acceptability. *Foods* **12**, Preprint at
537 <https://doi.org/10.3390/foods12112237> (2023)
- 538 9. Vogelsang-O'Dwyer, M. *et al.* Comparison of Faba bean protein ingredients produced
539 using dry fractionation and isoelectric precipitation: Techno-functional, nutritional and
540 environmental performance. *Foods* **9**, (2020).
- 541 10. De Angelis, D. *et al.* Data on the proximate composition, bioactive compounds,
542 physicochemical and functional properties of a collection of faba beans (*Vicia faba* L.)
543 and lentils (*Lens culinaris* Medik.). *Data Brief* **34**, (2021).
- 544 11. Fiel, H. E. A. El, Tinay, A. H. El & Elsheikh, E. A. E. *Effect of nutritional status of faba bean*
545 *(Vicia faba L.) on protein solubility profiles.* (2002). doi:[https://doi.org/10.1016/S0308-](https://doi.org/10.1016/S0308-8146(00)00314-9)
546 [8146\(00\)00314-9](https://doi.org/10.1016/S0308-8146(00)00314-9)
- 547 12. Kimura, A. *et al.* Comparison of physicochemical properties of 7S and 11S globulins from
548 pea, fava bean, cowpea, and French bean with those of soybean-french bean 7S globulin
549 exhibits excellent properties. *J Agric Food Chem* **56**, 10273–10279 (2008).
- 550 13. Vioque, J., Alaiz, M. & Girón-Calle, J. Nutritional and functional properties of *Vicia faba*
551 protein isolates and related fractions. *Food Chem* **132**, 67–72 (2012).

- 552 14. Eze, C. R., Kwofie, E. M., Adewale, P., Lam, E. & Ngadi, M. Advances in legume protein
553 extraction technologies: A review. *Innovative Food Science and Emerging Technologies*
554 **82**, Preprint at <https://doi.org/10.1016/j.ifset.2022.103199> (2022)
- 555 15. Lee, K. H., Ryu, H. S. & Rhee, K. C. Protein solubility characteristics of commercial soy
556 protein products. *JAOCS, Journal of the American Oil Chemists' Society* **80**, 85–90 (2003).
- 557 16. Meroni, D., Djellabi, R., Ashokkumar, M., Bianchi, C. L. & Boffito, D. C. Sonoprocessing:
558 From Concepts to Large-Scale Reactors. *Chem Rev* **122**, 3219–3258 Preprint at
559 <https://doi.org/10.1021/acs.chemrev.1c00438> (2022)
- 560 17. Badjona, A., Bradshaw, R., Millman, C., Howarth, M. & Dubey, B. Structural, thermal, and
561 physicochemical properties of ultrasound-assisted extraction of faba bean protein
562 isolate (FPI). *J Food Eng* **377**, (2024).
- 563 18. Rahman, M. M. & Lamsal, B. P. Ultrasound-assisted extraction and modification of plant-
564 based proteins: Impact on physicochemical, functional, and nutritional properties.
565 *Compr Rev Food Sci Food Saf* **20**, 1457–1480 Preprint at [https://doi.org/10.1111/1541-](https://doi.org/10.1111/1541-4337.12709)
566 [4337.12709](https://doi.org/10.1111/1541-4337.12709) (2021)
- 567 19. Yusoff, I. M., Mat Taher, Z., Rahmat, Z. & Chua, L. S. A review of ultrasound-assisted
568 extraction for plant bioactive compounds: Phenolics, flavonoids, thymols, saponins and
569 proteins. *Food Research International* **157**, Preprint at
570 <https://doi.org/10.1016/j.foodres.2022.111268> (2022)
- 571 20. Ampofo, J. & Ngadi, M. Ultrasound-assisted processing: Science, technology and
572 challenges for the plant-based protein industry. *Ultrason Sonochem* **84**, Preprint at
573 <https://doi.org/10.1016/j.ultsonch.2022.105955> (2022)
- 574 21. Badjona, A., Bradshaw, R., Millman, C., Howarth, M. & Dubey, B. Structural, thermal, and
575 physicochemical properties of ultrasound-assisted extraction of faba bean protein
576 isolate (FPI). *J Food Eng* **377**, (2024).
- 577 22. Alvarez-Ossorio, C. *et al.* Composition and Techno-functional Properties of Grape Seed
578 Flour Protein Extracts. *ACS Food Science and Technology* **2**, 125–135 (2022).
- 579 23. Fatima, K. *et al.* Ultrasound-Assisted Extraction of Protein from Moringa oleifera Seeds
580 and Its Impact on Techno-Functional Properties. *Molecules* **28**, (2023).
- 581 24. Badjona, A., Bradshaw, R., Millman, C., Howarth, M. & Dubey, B. Response surface
582 methodology guided approach for optimization of protein isolate from Faba bean. Part
583 1/2. *Ultrason Sonochem* **109**, 107012 (2024).
- 584 25. Loushigam, G. & Shanmugam, A. Modifications to functional and biological properties of
585 proteins of cowpea pulse crop by ultrasound-assisted extraction. *Ultrason Sonochem*
586 **97**, (2023).
- 587 26. Laemmli, U. K. Cleavage of structural proteins during the assembly of the head of
588 bacteriophage T4. *Nature* **227**, 680–685 (1970).
- 589 27. Kumar, M. *et al.* Advances in the plant protein extraction: Mechanism and
590 recommendations. *Food Hydrocoll* **115**, Preprint at
591 <https://doi.org/10.1016/j.foodhyd.2021.106595> (2021)

- 592 28. Hadidi, M., Orellana Palacios, J. C., McClements, D. J., Mahfouzi, M. & Moreno, A. Alfalfa
593 as a sustainable source of plant-based food proteins. *Trends Food Sci Technol* **135**, 202–
594 214 Preprint at <https://doi.org/10.1016/j.tifs.2023.03.023> (2023)
- 595 29. Zia, S., Moazzam Raaq Khan, P., Muhammad Aadil, R. & Gabriela Medina-Meza, I.
596 Bioactive Recovery from Watermelon Rind Waste Using Ultrasound-Assisted Extraction.
597 (2023). doi:10.21203/rs.3.rs-3568664/v1
- 598 30. Görgüç, A., Bircan, C. & Yılmaz, F. M. Sesame bran as an unexploited by-product: Effect
599 of enzyme and ultrasound-assisted extraction on the recovery of protein and antioxidant
600 compounds. *Food Chem* **283**, 637–645 (2019).
- 601 31. Jiang, Y. *et al.* Impact of ultrasonication/shear emulsifying/microwave-assisted
602 enzymatic extraction on rheological, structural, and functional properties of *Akebia*
603 *trifoliata* (Thunb.) Koidz. seed protein isolates. *Food Hydrocoll* **112**, (2021).
- 604 32. Sert, D., Rohm, H. & Struck, S. Ultrasound-Assisted Extraction of Protein from Pumpkin
605 Seed Press Cake: Impact on Protein Yield and Techno-Functionality. *Foods* **11**, (2022).
- 606 33. Du, H., Zhang, J., Wang, S., Manyande, A. & Wang, J. Effect of high-intensity ultrasonic
607 treatment on the physicochemical, structural, rheological, behavioral, and foaming
608 properties of pumpkin (*Cucurbita moschata* Duch.)-seed protein isolates. *LWT* **155**,
609 (2022).
- 610 34. Gao, K., Zha, F., Yang, Z., Rao, J. & Chen, B. Structure characteristics and functionality of
611 water-soluble fraction from high-intensity ultrasound treated pea protein isolate. *Food*
612 *Hydrocoll* **125**, (2022).
- 613 35. Chittapalo, T. & Noomhorm, A. Ultrasonic assisted alkali extraction of protein from
614 defatted rice bran and properties of the protein concentrates. *Int J Food Sci Technol* **44**,
615 1843–1849 (2009).
- 616 36. Tang, S. Q., Du, Q. H. & Fu, Z. Ultrasonic treatment on physicochemical properties of
617 water-soluble protein from *Moringa oleifera* seed. *Ultrason Sonochem* **71**, (2021).
- 618 37. Cornet, S. H. V., Snel, S. J. E., Lesschen, J., van der Goot, A. J. & van der Sman, R. G. M.
619 Enhancing the water holding capacity of model meat analogues through marinade
620 composition. *J Food Eng* **290**, (2021).
- 621 38. Pico, J., Reguilón, M. P., Bernal, J. & Gómez, M. Effect of rice, pea, egg white and whey
622 proteins on crust quality of rice flour-corn starch based gluten-free breads. *J Cereal Sci*
623 **86**, 92–101 (2019).
- 624 39. Mao, X. & Hua, Y. Composition, structure and functional properties of protein
625 concentrates and isolates produced from walnut (*Juglans regia* L.). *Int J Mol Sci* **13**, 1561–
626 1581 (2012).
- 627 40. Nishinari, K., Fang, Y., Guo, S. & Phillips, G. O. Soy proteins: A review on composition,
628 aggregation and emulsification. *Food Hydrocoll* **39**, 301–318 Preprint at
629 <https://doi.org/10.1016/j.foodhyd.2014.01.013> (2014)
- 630 41. Fatima, K. *et al.* Ultrasound-Assisted Extraction of Protein from *Moringa oleifera* Seeds
631 and Its Impact on Techno-Functional Properties. *Molecules* **28**, (2023).

- 632 42. Jahan, K., Ashfaq, A., Islam, R. U., Younis, K. & Yousuf, O. Optimization of ultrasound-
633 assisted protein extraction from defatted mustard meal and determination of its
634 physical, structural, and functional properties. *J Food Process Preserv* **46**, (2022).
- 635 43. Li, R. & Xiong, Y. L. Ultrasound-induced structural modification and thermal properties of
636 oat protein. *LWT* **149**, (2021).
- 637 44. Tang, S. Q., Du, Q. H. & Fu, Z. Ultrasonic treatment on physicochemical properties of
638 water-soluble protein from Moringa oleifera seed. *Ultrason Sonochem* **71**, (2021).
- 639 45. Gao, K., Zha, F., Yang, Z., Rao, J. & Chen, B. Structure characteristics and functionality of
640 water-soluble fraction from high-intensity ultrasound treated pea protein isolate. *Food*
641 *Hydrocoll* **125**, (2022).
- 642 46. Warsame, A. O., Michael, N., O'Sullivan, D. M. & Tosi, P. Identification and Quantification
643 of Major Faba Bean Seed Proteins. *J Agric Food Chem* **68**, 8535–8544 (2020).
- 644 47. Shevkani, K., Singh, N., Kaur, A. & Rana, J. C. Structural and functional characterization of
645 kidney bean and field pea protein isolates: A comparative study. *Food Hydrocoll* **43**, 679–
646 689 (2015).
- 647 48. Ruan, S. *et al.* Analysis in protein profile, antioxidant activity and structure-activity
648 relationship based on ultrasound-assisted liquid-state fermentation of soybean meal
649 with *Bacillus subtilis*. *Ultrason Sonochem* **64**, (2020).
- 650 49. Singh, A. & Kaur, A. Comparative studies on seed protein characteristics in eight lines of
651 two *Gossypium* species. *Journal of Cotton Research* **2**, (2019).
- 652 50. Zou, Y. *et al.* Modifying the structure, emulsifying and rheological properties of water-
653 soluble protein from chicken liver by low-frequency ultrasound treatment. *Int J Biol*
654 *Macromol* **139**, 810–817 (2019).
- 655 51. O'Sullivan, J., Murray, B., Flynn, C. & Norton, I. The effect of ultrasound treatment on the
656 structural, physical and emulsifying properties of animal and vegetable proteins. *Food*
657 *Hydrocoll* **53**, 141–154 (2016).
- 658 52. Vatansever, S., Ohm, J. B., Simsek, S. & Hall, C. A novel approach: Supercritical carbon
659 dioxide + ethanol extraction to improve techno-functionalities of pea protein isolate.
660 *Cereal Chem* **99**, 130–143 (2022).
- 661 53. Mir, N. A., Riar, C. S. & Singh, S. Rheological, structural and thermal characteristics of
662 protein isolates obtained from album (*Chenopodium album*) and quinoa (*Chenopodium*
663 quinoa) seeds. *Food Hydrocolloids for Health* **1**, (2021).
- 664 54. Amir, R. M. *et al.* Application of Fourier transform infrared (FTIR) spectroscopy for the
665 identification of wheat varieties. *J Food Sci Technol* **50**, 1018–1023 (2013).
- 666 55. Carbonaro, M., Maselli, P. & Nucara, A. Relationship between digestibility and secondary
667 structure of raw and thermally treated legume proteins: A Fourier transform infrared (FT-
668 IR) spectroscopic study. *Amino Acids* **43**, 911–921 (2012).
- 669 56. Surdu, V. A. & György, R. X-ray Diffraction Data Analysis by Machine Learning Methods—A
670 Review. *Applied Sciences (Switzerland)* **13**, Preprint at
671 <https://doi.org/10.3390/app13179992> (2023)

- 672 57. Ameh, E. S. A review of basic crystallography and x-ray diffraction applications.
673 *International Journal of Advanced Manufacturing Technology* **105**, 3289–3302 (2019).
- 674 58. Chen, J. *et al.* Determination of the domain structure of the 7S and 11S globulins from
675 soy proteins by XRD and FTIR. *J Sci Food Agric* **93**, 1687–1691 (2013).
- 676 59. Moghadam, M., Salami, M., Mohammadian, M. & Emam-Djomeh, Z. Development and
677 characterization of pH-sensitive and antioxidant edible films based on mung bean
678 protein enriched with Echim amoenum anthocyanins. *Journal of Food Measurement*
679 *and Characterization* **15**, 2984–2994 (2021).
- 680 60. Seiwert, K., Kamdem, D. P., Kocabaş, D. S. & Ustunol, Z. Development and
681 characterization of whey protein isolate and xylan composite films with and without
682 enzymatic crosslinking. *Food Hydrocoll* **120**, (2021).
- 683 61. Sá, A. G. A., Moreno, Y. M. F. & Carciofi, B. A. M. Food processing for the improvement of
684 plant proteins digestibility. *Crit Rev Food Sci Nutr* **60**, 3367–3386 Preprint at
685 <https://doi.org/10.1080/10408398.2019.1688249> (2020)
- 686 62. Mir, N. A., Riar, C. S. & Singh, S. Physicochemical, molecular and thermal properties of
687 high-intensity ultrasound (HIUS) treated protein isolates from album (*Chenopodium*
688 album) seed. *Food Hydrocoll* **96**, 433–441 (2019).
- 689 63. Yılmaz, H. & Gultekin Subasi, B. Distinctive Processing Effects on Recovered Protein
690 Isolates from Laurel (Bay) and Olive Leaves: A Comparative Study. *ACS Omega* (2023).
691 doi:10.1021/acsomega.3c04482
- 692 64. He, X. *et al.* Effect of Hydrothermal Treatment on the Structure and Functional Properties
693 of Quinoa Protein Isolate. *Foods* **11**, (2022).
- 694
- 695
- 696
- 697