

Response surface methodology guided approach for optimization of protein isolate from Faba bean. Part 1/2

BADJONA, Abraham, BRADSHAW, Robert <<http://orcid.org/0000-0003-1533-2166>>, MILLMAN, Caroline <<http://orcid.org/0000-0003-4935-0477>>, HOWARTH, Martin and DUBEY, Bipro <<http://orcid.org/0000-0003-0396-9864>>

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1 **Response surface methodology guided approach for optimization of protein isolate from**
2 **Faba bean. Part 1/2**

3 **Abraham Badjona¹, Robert Bradshaw², Caroline Millman¹, Martin Howarth¹, Bipro**
4 **Dubey^{1*}**

5 ¹National Centre of Excellence for Food Engineering, Sheffield Hallam University, Sheffield,
6 S1 1WB, UK; c.e.millman@shu.ac.uk (C.M); prof.m.howarth@gmail.com (M.W);
7 b.dubey@shu.ac.uk (B.D)

8 ²Bimolecular Research Centre, Sheffield Hallam University, Sheffield, S1 1WB, UK;
9 r.bradshaw@shu.ac.uk (R.B)

10 *Correspondence: b.dubey@shu.ac.uk (B.D)

11 **ABSTRACT**

12 Ultrasound-assisted extraction (UAE) was evaluated as a green procedure to produce faba
13 beans protein isolates from faba beans. Magnetic stirring was performed as conventional
14 extraction. A three-level five-factor Box-Behnken Design (BBD) was applied to obtain the
15 optimal UAE conditions to concurrently maximize extraction yield and protein content. The
16 response surface methodology (RSM) showed a quadratic curvature for extraction yield and
17 protein. The optimal extraction conditions were determined as: Power of 123 W, solute/solvent
18 ratio of 0.06 (1:15 g/mL), sonication time of 41 min, and total volume of 623 mL with a
19 desirability value of 0.82. Under these conditions, the extraction yield of 19.75 ± 0.87 %
20 (Protein yield of 67.84%) and protein content of 92.87 ± 0.53 % were obtained for optimum
21 ultrasound extraction. Control samples using magnetic stirring under similar conditions
22 without ultrasound treatment showed an extraction yield of 16.41 ± 0.02 % (Protein yield of
23 54.65 %) and a protein content of 89.88 ± 0.40 %. This shows that BBD can effectively be

24 used to optimize the extraction of proteins from faba beans using optimal extraction conditions,
25 resulting in a higher extraction yield and protein purity.

26 **Keywords:** Faba beans, Ultrasound extraction, response surface methodology, Box-Behnken
27 Design, Process optimization, Protein isolate

28

29 INTRODUCTION

30 Expected demand for conventional proteins from animals, seafood and dairy sources is
31 projected to increase by 2050 globally mostly for animal proteins (Hayes, 2023). Additional
32 animal farming is linked to higher emissions of greenhouse gases (Manzano et al., 2023),
33 increasing land and water use, along with growing concerns about risk of health issues related
34 to red meat intake, as well as ethical and religious disagreements tied to the slaughter of animals
35 by certain sectors of the population (Pam Ismail et al., 2020). These growing concerns and
36 issues have driven researchers within the food industry to explore alternative environmentally
37 friendly and renewable sources of proteins to curb these problems (Surya Ulhas et al., 2023).
38 Thus, there has been a transition towards the search for alternatives, which generally includes
39 proteins from aquatic sources (duckweed, microalgae, and macroalgae), bacterial and fungal
40 sources, and plants-based sources (pulse, legume, oilseed, cereal, and food- byproducts)
41 (Badjona et al., 2023c; Fasolin et al., 2019). In comparison to conventional sources, these
42 alternative protein sources have several benefits, such as lower greenhouse gas emissions and
43 carbon footprint during production, low production costs, efficient resource utilisation, and
44 increased acceptance by consumer as the nutritional trends of individuals such as flexitarianism
45 is on the rise (Badjona et al., 2023a; Takefuji, 2021).

46 Faba beans are a cool seasonal legume that is widely cultivated in Australia, Egypt, Ethiopia,
47 Germany, Canada, and the United Kingdom. While this legume has a high protein content, ease

48 of cultivation, and superior nitrogen-fixing capabilities; large amounts of faba bean ingredients
49 are not employed in food systems (Khazaei et al., 2021). Whole faba beans contain 20–35%
50 protein, 1–2% fat, 55–65% carbohydrate, 10–15% fiber, and vitamins and minerals such as
51 iron, zinc, calcium, potassium, and magnesium. The presence of phytochemicals in faba beans
52 has been suggested to provide numerous health benefits (Badjona et al., 2023b). According to
53 their sedimentation coefficient, globulins, which make up 70–80 % of the storage protein in
54 faba beans, may be divided into two classes: the 7S vicilin-type globulins and the 11S legumin-
55 type globulins (Fiel et al., 2002). Extraction of proteins from this sustainable and renewable
56 legume is worth considering for specialized applications in food systems such as emulsions
57 (Dubey et al., 2016; Paximada et al., 2021).

58 Extraction of proteins from plant materials by alkaline-isoelectric precipitation generally
59 involves solubilisation of the aqueous systems in alkaline condition followed by precipitation
60 of the proteins at their isoelectric point for food applications. Unfortunately, this approach only
61 extracts roughly half of the proteins, with the remaining lost to discarded solids and liquids
62 (Chandran et al., 2023; Hewage et al., 2022). Lower extractability may be attributed to inherent
63 protein-carbohydrate complexes present in certain locations of the raw material (Eze et al.,
64 2022a). Hence, to improve the extraction yield of proteins, advanced and novel technologies
65 such as ultrasound-assisted extraction, ohmic heating, microwave extraction supercritical fluid
66 extraction and pulsed electrical field application have been promoted (Eze et al., 2022b).
67 Ultrasound processing is regarded as an eco-friendly, non-toxic, relatively cheaper and time-
68 efficient technique that can be employed to improve extraction yield (Suchintita Das et al.,
69 2022a). The effect of ultrasound can be ascribed to cavitation effects which aid in the
70 disruption and disintegration of cellular matrices and the subsequent release of proteins.

71 Thus, this present study aims to examine the efficiency of ultrasound-assisted protein extraction
72 from faba beans by varying key processing factors such as sonication power, treatment time,

73 solute-to-solvent ratio, and total extraction volume through the application of response surface
74 methodology (RSM). RSM studies may also differ in the response variable. In this study, the
75 response variable was optimized for extraction yield and protein content.

76 MATERIALS AND METHOD

77 Raw Materials and Chemicals

78 Faba bean seeds was obtained from Whole Foods Earth (Kent, United). NaOH, ($\geq 99.9\%$
79 pure), and HCl was also obtained from Sigma-Aldrich (United Kingdom). The seeds were
80 milled using a cyclone mill.

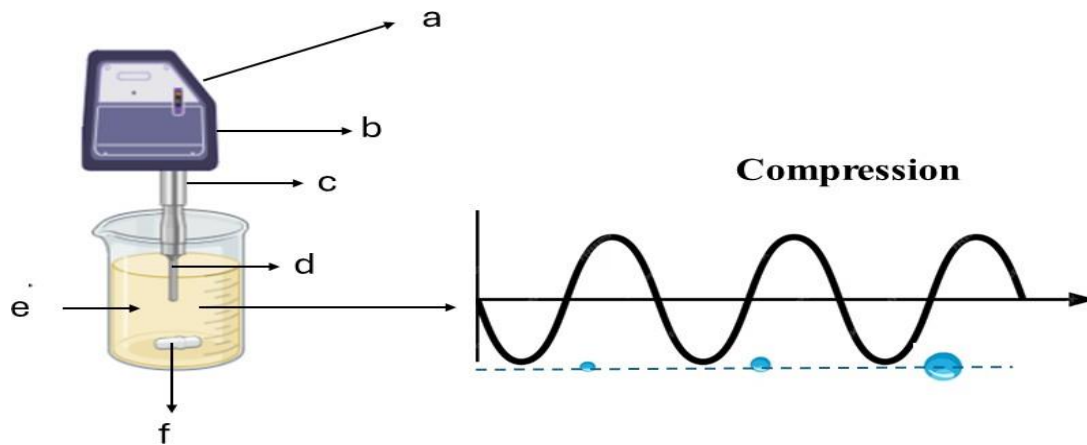
81 Ultrasound-assisted alkaline extraction (UAE) of protein isolates from faba beans

82 Different dispersions of faba bean flour in water (1:5 – 1:20 w/v) with variable total volumes
83 (500 – 1000 mL) were agitated at 25 °C for 20 minutes at 500 rpm prior to ultrasonic-assisted
84 extraction. The dispersion was then adjusted to pH 11 using 1M NaOH, then subjected to
85 ultrasonic treatment at varying ultrasonic power (50 – 180 W) and varying sonication duration
86 (10 - 60 min) based on a previous study (Badjona et al., 2024a) using a S24d22D titanium
87 ultrasonic horn (Teltow, Germany). Temperature was maintained at 20 - 25 °C using an ice bath.
88 The resultant mixture was centrifuged for 20 minutes at 25 °C at 6,000 rpm (accuSpin™ 400,
89 United Kingdom). After gathering the supernatant, 1 N HCl was used to bring the pH to 4.0
90 while stirring continuously for 20 minutes. Protein isolate pellets were then obtained after
91 centrifuging at 6,000 rpm for 20 min at 25°C. After 48 hrs of lyophilization of the protein pellet,
92 samples were stored at -20 °C for further analysis. Protein content was determined by the
93 Dumas method using a nitrogen conversion factor of 6.25. Control protein isolate was
94 generated using optimized conditions without ultrasound treatment.

95 The weight of the protein isolate obtained was divided by the initial weight of the measured
96 faba bean flour to calculate the extraction yield, as given in Equation (1).

97 **Extraction yield (%)** = $\frac{m_p}{m_i} \times 100$ (Eq.1)

98 The mass of the initial flour and final protein isolate is represented by m_i and m_p , respectively.



99 **Fig.1.** Schematic diagram of ultrasound-assisted extraction of faba bean protein isolate (NB:
100 (a) screen values (b) ultrasound control system (c) Converter (d) probe horn (e) flour
101 suspension (f) magnetic stirrer.

102 Experimental design and optimization

103 The Box-Behnken design was implemented to establish the optimal conditions for ultrasound-
104 assisted extraction of proteins from faba beans. The response surface-based optimization
105 method was carried out using Design Expert software to obtain the maximum extraction yield
106 and protein content from faba bean flour. The extraction variables consisted of three distinct
107 levels for each of the four variables. The solid/solvent ratio (g/mL) (X_1), total volume (mL)
108 (X_2), ultrasound power (W) (X_3), and extraction time (min) (X_4) were the independent variables
109 for the ultrasonic-assisted alkaline extraction of faba bean protein isolates that were
110 investigated at three different levels of low (1), medium (0) and high (+1). Both the extraction
111 yield and protein content of the freeze-dried faba bean protein isolate were used as the response
112 variables. The coded factors for each variable are displayed in **Table 1**.

113 **Table 1.** Actual and coded variables were used in the ultrasound-assisted extraction design of
 114 the experiment.

Independent Variables	Unit	Levels		
		Low	optimal	High
Power	W	50	115	180
Solute/water ratio	w/v	0.06	0.15	0.25
Extraction time	min	10	35	60
Total volume	ml	500	750	1000

115

116 The experimental data were evaluated with the goal of identifying the optimal set of parameters
 117 that would produce the highest extraction yield and protein content values to identify the major
 118 influencing factors. The results of our earlier research (Badjona et al., 2024a) and those of other
 119 authors who obtained protein isolate from plant sources were used to determine the minimum
 120 and maximum amounts assigned to each factor (Alvarez-Ossorio et al., 2022; Fatima et al.,
 121 2023). Actual and coded variables employed in the UAE experimental design are shown were
 122 used. The second-order polynomial model was obtained by data analysis of the response and
 123 independent variables.

$$\begin{aligned}
 124 \text{ EY (\%)} &= \beta_0 + \beta_1X_1 + \beta_2X_1^2 + \beta_3X_2 + \beta_4X_2^2 + \beta_5X_3 + \beta_6X_3^2 + \beta_7X_4 + \beta_8X_4^2 \\
 125 &+ \beta_9X_1X_2 + \beta_{10}X_1X_3 + \beta_{11}X_1X_4 + \beta_{12}X_2X_3 + \beta_{13}X_2X_4 + \beta_{14}X_3X_4 \text{ (Eq.2)}
 \end{aligned}$$

126 where X_i and X_j are independent variables; β_o is the intercept; β_i , β_{ii} , and β_{ij} are the
127 coefficients of the linear, quadratic, and interaction term, respectively; and EY is the response
128 variable, which includes the protein content and extraction yield.

129 RESULTS AND DISCUSSION

130 Fitting response surface models

131 The process of extraction has a significant impact on the functional attribute of any given
132 protein. As a result, choosing and verifying the best extraction technique requires a thorough
133 examination. Since the current conventional procedures have numerous drawbacks, novel
134 enhanced extraction techniques have been suggested as an alternative (Suchintita Das et al.,
135 2022b). To achieve maximal response in terms of extraction yield and protein content
136 simultaneously in UAE, variables such as Power (A), Solute-to-solute ratio (B), Sonication
137 time (C), and Total volume (D) optimization were carried out using a statistical response
138 surface model. A total of 29 runs were carried out utilizing the BBD to evaluate and optimize
139 the combined influence of the four process parameters on both response variables. The
140 methodology for fitting models is a significant advancement over earlier approaches because
141 it makes explicit assumptions that might otherwise remain hidden, makes the most use of the
142 information contained in a set of data, and provides a "goodness-of-fit test" to determine
143 whether a model is significant prior to analysis (Boateng et al., 2023). As observed in **Table 2**,
144 the extraction yield ranged from 15.23 to 19.13 %. The highest yield value (19.13 % was
145 achieved at a solute-to-solvent ratio of 0.06 (1:15 g/mL), sonication power of 180 W, total
146 extraction volume of 750 mL, and 35 min of ultrasound treatment.

147

148

150 Table 2. Predicted and experimental values from the Box-Behnken design matrix

	Factor 1	Factor 2	Factor 3	Factor 4	Response 1		Response 2	
Run	A: Power	B: Solute/ water	C: Sonication time	D: Total volume	Extraction yield (g/100g)	Predicted	Protein content %	Predicted
	W	S/W	min	ml	Experimental		Experimental	
					%			
6	115	0.155	35	750	17.85	17.56	92.86	91.20
15	115	0.155	35	750	17.89	17.56	91.94	91.20
24	115	0.06	35	1000	16.61	16.69	91.69	89.04
17	50	0.06	35	750	16.59	16.06	91.19	92.52
14	180	0.155	10	750	16.96	17.58	90.56	86.39
25	115	0.155	35	750	17.36	17.56	90.50	91.20
28	115	0.155	35	750	17.36	17.56	90.38	91.20
27	115	0.155	35	750	17.35	17.56	90.30	91.20
2	115	0.25	35	1000	17.01	17.45	90.06	84.74
29	115	0.06	35	500	18.52	18.66	90.00	89.11
12	50	0.155	35	1000	15.23	15.23	89.94	89.91
26	50	0.155	10	750	15.27	15.72	89.50	87.16

9	50	0.155	60	750	16.39	16.35	89.31	87.28
4	180	0.155	60	750	18.04	18.04	88.75	84.89
13	115	0.06	60	750	18.11	18.63	87.88	88.18
23	115	0.06	10	750	16.82	16.99	87.00	87.62
16	115	0.155	10	500	17.94	17.68	86.81	87.33
5	115	0.25	35	500	17.32	17.32	86.5	82.94
3	50	0.155	35	500	16.54	16.54	86.13	86.89
22	180	0.155	35	500	18.52	18.24	84.81	87.46
1	180	0.06	35	750	19.13	18.74	84.63	85.91
7	115	0.155	60	1000	17.15	17.12	84.44	87.51
10	180	0.155	35	1000	17.56	17.24	84.31	86.17
8	115	0.155	60	500	18.08	17.95	83.88	84.39
20	180	0.25	35	750	17.64	17.87	83.45	85.70
18	115	0.155	10	1000	16.34	16.17	82.88	85.94
19	115	0.25	10	750	18.79	17.98	81.31	83.63
11	50	0.25	35	750	16.77	16.86	79.94	82.25
21	115	0.25	60	750	18.01	17.56	79.69	81.69

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154 As shown in **Table 3**, analysis of variance (ANOVA) was used to evaluate the proposed model
155 equation. A lower p-value ($p < 0.0001$) for extraction yield demonstrated that the fitted models
156 were significant. The F-values and p-values of lack-of-fit models implied that it was not
157 significantly relative to the pure error indicating the suitability of the model for optimization
158 (Sahu et al., 2020). For the quadratic regression models, the calculated correlation coefficient
159 (R^2) was 0.83 indicating that 83 % of the variances could be explained by the fitted model (**Fig**
160 **2**). In this experiment, A, C, D, BC, and A^2 were significant model items while the other terms
161 were insignificant ($p > 0.05$). With regards to protein content, the developed model showed a
162 p-value of 0.20 indicating that the model was not significant.

163 The computed correlation coefficients (R^2) for protein content in the quadratic regression
164 model were 0.61, meaning that 61% of the variations could be accounted for by the fitted
165 model. In this case, B, B^2 , and C^2 were the only significant model terms with regard to protein
166 content. The reason for the insignificance in protein content could be due to the use of constant
167 solubilization pH and precipitation pH. In this study, there was no need to optimize the pH as
168 the precipitation pH of proteins from legumes is well documented (Jeganathan et al., 2023;
169 Langton et al., 2020). Herein, the experimental dataset was subjected to a regression analysis
170 to fit in the established second-order quadratic model. Regression analysis was performed on
171 this experimental dataset to attempt to fit it into the established second-order quadratic model.
172 The following polynomial equation expresses the predicted extraction yield and protein
173 content.

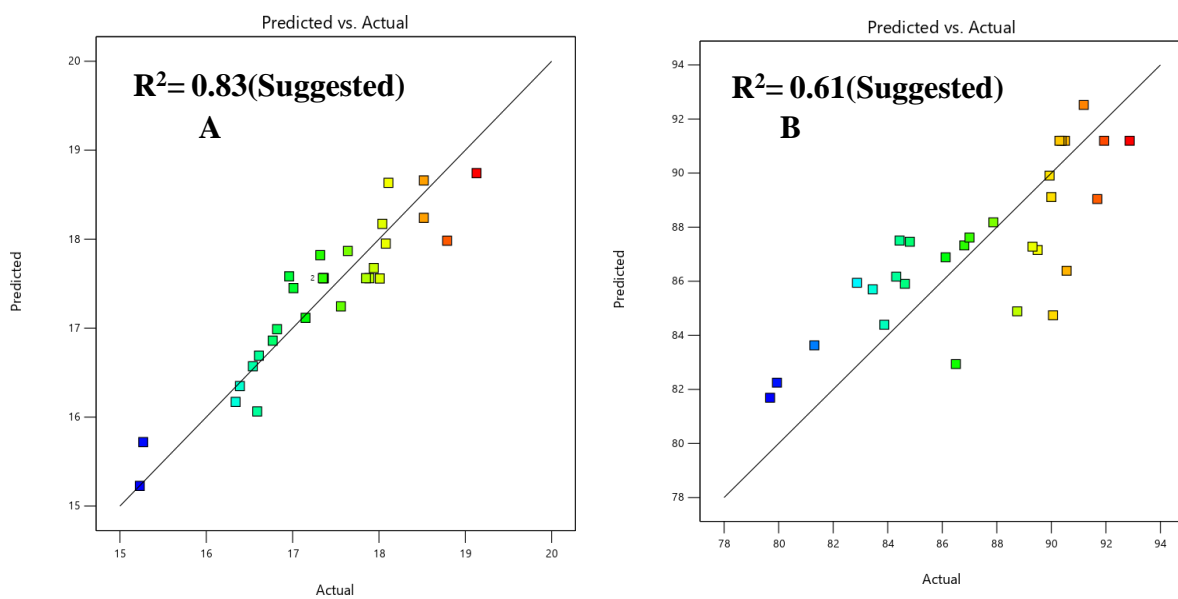
174 **Extraction yield (%)** = $17.562 + 0.92A - 0.02B + 0.305C - 0.585D - 0.4175AB - 0.01AC +$
175 $0.0875AD - 0.5175BC + 0.4BD + 0.1675CD - 0.51A^2 + 0.33B^2 - 0.099C^2 + 0.234D^2$

176 **Protein content (%) = 91.1975 - 0.790625A - 2.619166667B - 0.343541667C + 0.4325D +**
 177 **2.5175AB - 0.40625AC - 1.078125AD - 0.625BC + 0.46875BD + 1.124375CD -**
 178 **1.726979167A² - 2.875416667B² - 3.042604167C² - 1.862916667D²**

179 where A, B, C, and D are the independent variables for Power (A), Solute-to-solvent ratio
 180 (B), Sonication time (C), and Total volume (D), respectively.

181

182



183

184 **Fig 2.** Regression coefficient of quadratic model for extraction yield (%) and protein content
 185 (%).

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Table 3. Variance analysis for the protein content and extraction yield (%) regression model.

Source	Extraction yield (%)			Protein content (%)		
	Sum of Squares	F-value	p-value	Sum of Squares	F-value	p-value
Model	21.16	6.34	0.0007	236.19	1.57	0.20
A-Power (W)	10.19	42.79	<0.0001	7.50	0.70	0.42
B-Solute/water(g/ml)	0.01	0.02	0.88	82.32	7.67	0.02
C-Sonication time (min)	1.12	4.69	0.04	1.42	0.13	0.72
D-Total volume (ml)	4.11	17.24	0.0009	2.25	0.21	0.65
AB	0.69	2.93	0.11	25.35	2.36	0.15
AC	0.00	0.00	0.96	0.66	0.06	0.81
AD	0.03	0.13	0.72	4.65	0.43	0.52
BC	1.07	4.50	0.05	1.56	0.15	0.71
BD	0.64	2.68	0.12	0.89	0.08	0.78
CD	0.11	0.47	0.50	5.06	0.47	0.50
A ²	1.67	6.99	0.02	19.35	1.80	0.20
B ²	0.70	2.93	0.11	53.63	4.99	0.04
C ²	0.06	0.27	0.61	60.05	5.60	0.03
D ²	0.36	1.49	0.24	22.51	2.10	0.17
Residual	3.34			150.18		
Lack of Fit	3.02	3.81	0.11	144.85	10.87	0.02

Pure Error	0.32			5.33		
Cor Total	24.48			386.36		

193 significant at a 5 % level of significance.

194

195 Perturbation plot

196 As the focal point of the experimental design, **Fig. 3** illustrates the combined influence of
197 factors on the yield and protein content of faba bean protein extraction. By changing one
198 variable while keeping the other variables constant, the extraction yield perturbation plot was
199 generated. With the exception of factor B (solute/solvent ratio), it was shown that power,
200 sonication duration, and total volume significantly impacted extraction yield. This was
201 indicated by the relatively flat line of factor B in **Fig 3.A** indicating lower influence on
202 extraction yield. Power, or Factor A, has the steepest curve, indicating its exceptional
203 significance in the extraction process. Followed by total volume D, with also a positive effect
204 on extraction yield. In contrast to factors A and D, factor C (sonication duration) showed a
205 comparatively flat trend, yet it significantly affected the extraction yield. Perturbation results
206 showed increasing total volume was not suitable for maximizing extraction yield. In the case
207 of protein content (%), the one factor that was observed to be significant was the ratio of solute
208 to solvent ratio. Both **Fig. 3.A and B**, show that the solid-to-solvent ratio had a significant
209 impact on the protein content and extraction yield and protein content. This behavior may be
210 attributed to an enhanced driving force for the mass transfer of proteins, which promotes the
211 diffusion of the solvent into cell compartments and facilitates protein release from the solute
212 (Bedin et al., 2020).

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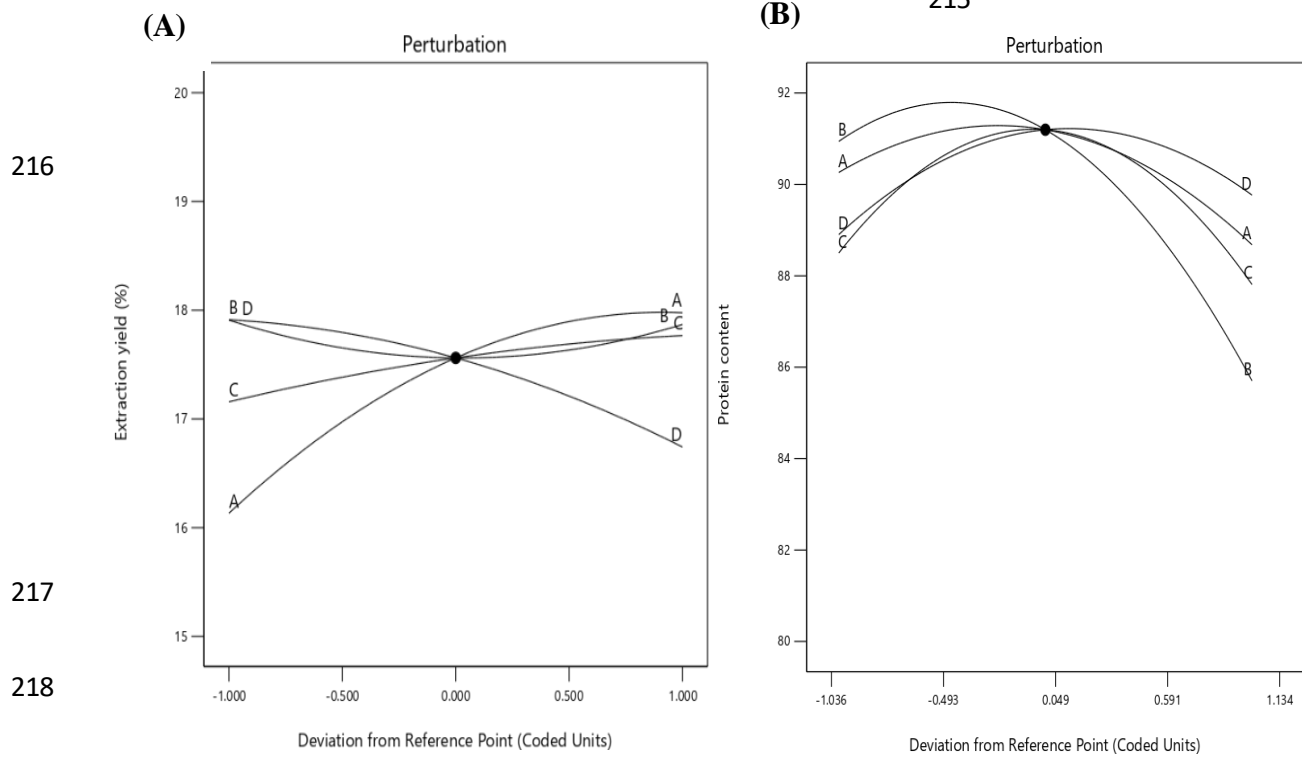


Fig 3. Perturbation plot for faba bean protein (A) extraction yield and (B) Protein content (A: Power, B: Solid/solvent ratio, C: Sonication time, D: Total volume).

Effect of independent variables on extraction yield (%) and protein content (%)

UAE was more effective in the current investigation at extracting proteins from faba beans. Given its excellent scalability, Suchintita Das et al., (2022b) claimed that the UAE represent a very promising approach in this regard. Through the combined effects of cavitation, agitation, and thermology, UAE demonstrates greater extraction efficiency from plant sources (Navaf et al., 2023). Numerous research studies support UAE's effective deployment to extract proteins from plant sources such as those from mustard meal (Jahan et al., 2022), alfafa flour (Hadidi et al., 2020), and moringa oleifera seeds (Fatima et al., 2023).

231 Using Design-Expert software, the three-dimensional (3D) response surface plot was
232 constructed. The 3D plots allow the possibility to visualize the interactions between the
233 experimental factors and the response between two test factors (Guo et al., 2018). Every
234 response surface displays the function of two variables, while the third variable remains
235 constant. In the event where the response surface graph was curved, the quadratic term was
236 significant on the plot (Fatima et al., 2023). Extraction of proteins was done using a constant
237 Alkaline solubilization of pH 11 and isoelectric precipitation of pH 4 based on previous studies
238 (Badjona et al., 2024b; Jeganathan et al., 2023). Fig.4. A-F illustrates the 3-D plots interactions
239 for extraction yield. The values of extraction yield by solute to solvent ratio and power while
240 maintaining total volume and sonication time constant are represented in Fig.4. A. Increasing
241 the solute and solvent ratio and higher ultrasonic power showed an increasing extraction yield.
242 High solute/solvent ratio enhances the contact between faba bean flour and the solvent,
243 resulting in an increase of protein in the dispersion.

244 At high solvent to solute ratios, there was a greater rate of extraction, which may indicate
245 improved interaction with the sample environment through increased sonication power,
246 allowing mass transfer and cell wall penetration. Further increasing sonication power results in
247 a decrease in extraction yield due to protein gradient reduction (Rashid et al., 2022). This can
248 also be observed in the quadratic effects where both solute-to-solvent ratio and power had a
249 significant impact on the extraction yield. Therefore, 0.06 (1:15 g/mL) was selected as the best
250 flour-to-water ratio. As shown in Fig.4.B, the relationship between sonication time and
251 sonication power showed that increasing sonication time increased the yield of protein
252 extraction (not significant) with minimal effect compared to ultrasonic power. High ultrasound
253 power and relatively longer sonication time resulted in ultrasonic cavitation which was
254 conducive to the diffusion of protein from the cell to the solvent (Liu et al., 2019a). The results
255 of the current investigation supported the claims made by Brahmi et al., (2022), which indicated

256 that the extraction rate of biological compounds increase in 30 minutes before subsequent
257 reduction in yield.

258 **Fig.4.C** showed that increasing sonication time and solute-to-solvent ratio led to an increase in
259 extraction yield with a significant effect observed for solute/solvent ratio. In general, maximal
260 extraction yield was found higher between 30 – 60 min. Similar research has shown that
261 extending the extraction period beyond 60 min did not increase the protein extraction yield
262 (Eromosele et al., 2008; Qiu et al., 2023). On the other hand, **Fig.4.D** shows the effect of total
263 solution volume and ultrasonic power on extraction yield. A higher total extraction volume was
264 found to be less desirable while a higher power was suitable to increase extraction yield. Total
265 extraction volume had negative effect, meaning that the extraction yield of faba bean protein
266 was more suitable at low extraction volume.

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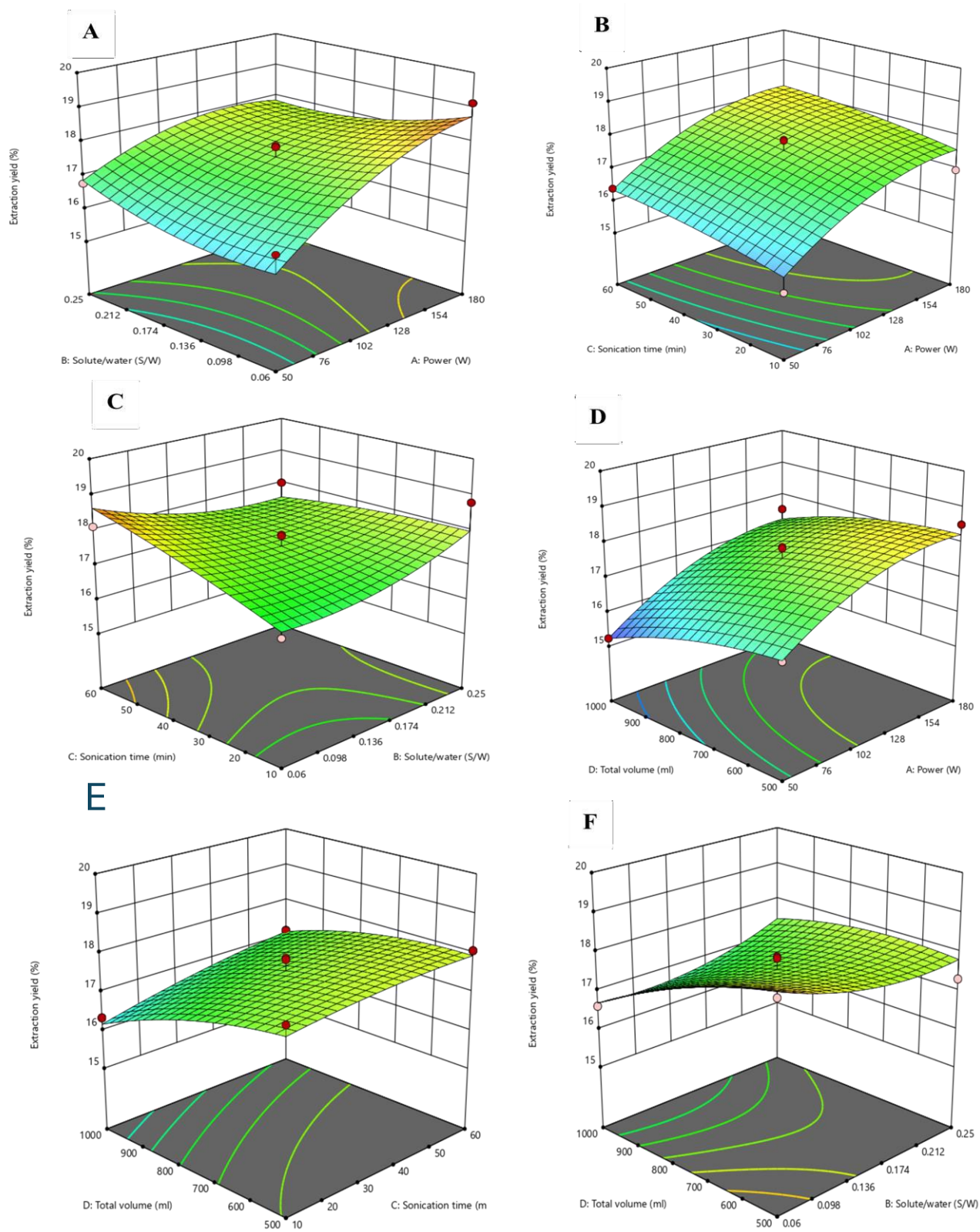
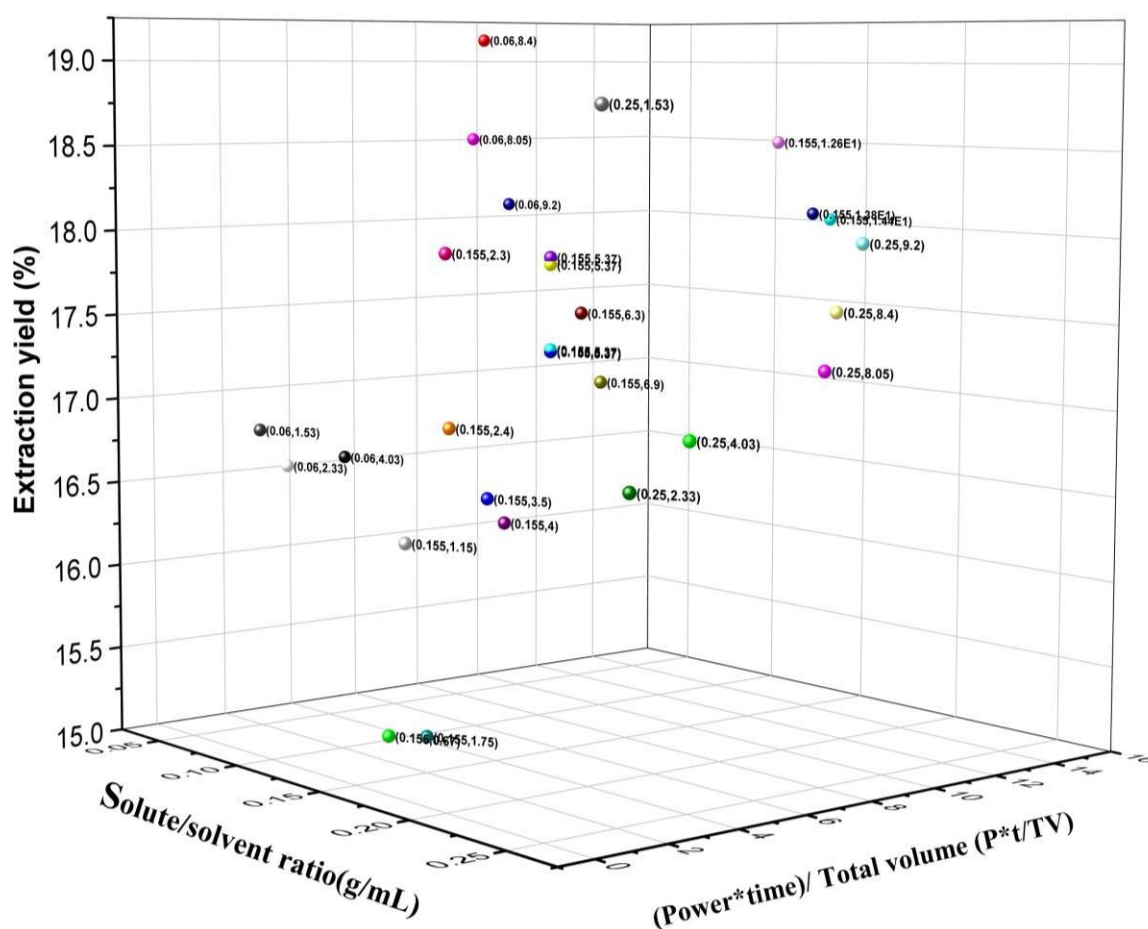


Fig.4. Response surface plots for the interaction between sonication power, sonication time, solute-to-solvent ratio, and extraction volume on extraction yield (%). (A) shows the interaction between solute/solvent ratio and Power; (B) sonication time vs Power; (C) sonication time vs solute/water ratio; (D) Total volume vs Power; (E) Total volume vs sonication time (F) Total volume VS solute/solvent ratio on extraction yield.



292

293 **Fig.5.** 3D master plot of combined effect of solute to solvent ratio(g/mL) against Wmin/mL

294 (Power*time/Total volume). Labels (x,y) represent x(solute/solvent ratio: g/mL); y

295 (power*Total volume : P*t/TV).

296 Aside from extraction yield that is mostly used to characterize extraction efficiency, protein

297 content also represents a major variable for quantifying effectiveness of an optimization

298 process. Depending on the process conditions, the protein content in the current study ranged

299 from 79 to 92%. In the case of protein content, somewhat similar observations were observed

300 as shown in **Fig.6. A-F**. Generally, higher protein content is obtained with a moderate volume

301 of sonicating solution, sonication power and sonication time, and a higher solute-to-solvent

302 ratio of 0.06 (1:15 g/mL). As shown in **Fig.6. A**, there was no significant increase in protein

303 content with longer sonoprocessing times; nonetheless, the maximum protein content was
304 reached at ~30 min as opposed to 60 min. The prolonged treatment may have caused a
305 temperature rise, which in turn reduced surface tension and viscosity and increased vapour
306 pressure, hence minimizing sonication impact (Suchintita Das et al., 2022b). In contrast, the
307 protein content increased with a high solid/solvent ratio as shown in **Fig.6. B**. A high solute-
308 to-solvent ratio creates a high gradient in protein concentration in and out the cell matrices,
309 thereby improving protein content (Fatima et al., 2023). Thus, an optimum value of 0.06 (1:15
310 g/mL) was found to be the best. Protein matrix, extraction process, source of material and other
311 factors affects the choice of solute/solvent ratio (Chemat et al., 2017). Other studies have shown
312 an improvement in protein content after sonication, for instance soybean protein (Ding et al.,
313 2021), yam bean protein (Eromosele et al., 2008) and wampee protein (Liu et al., 2019b).

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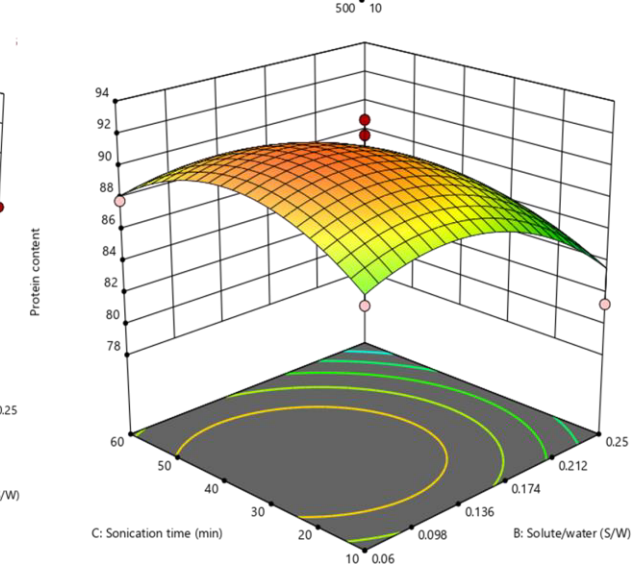
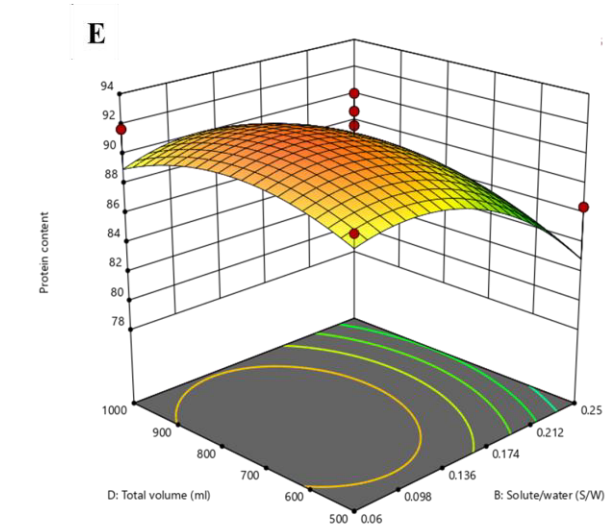
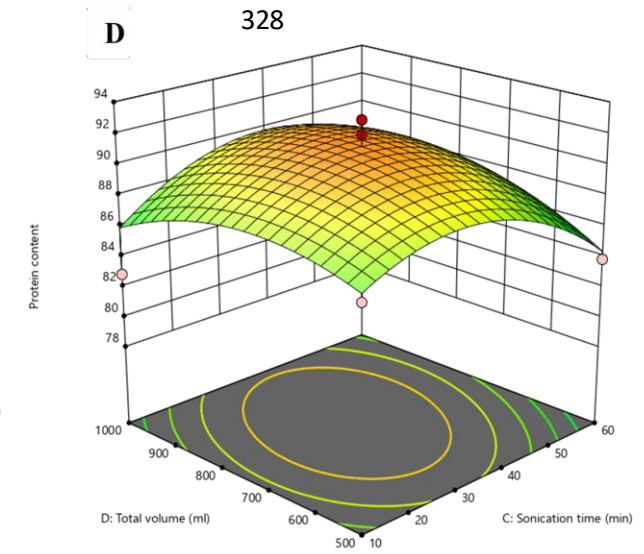
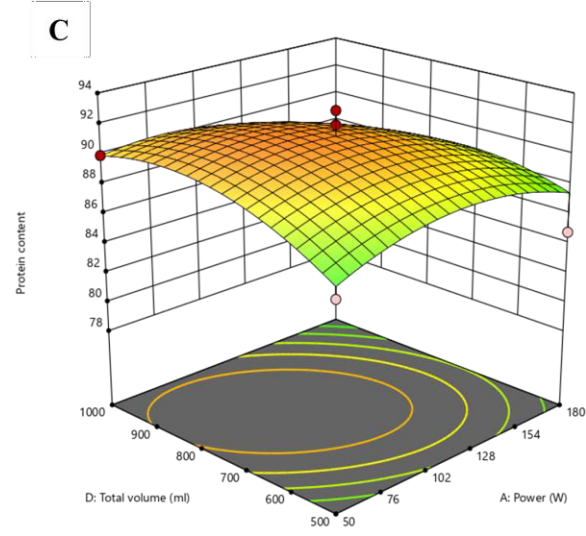
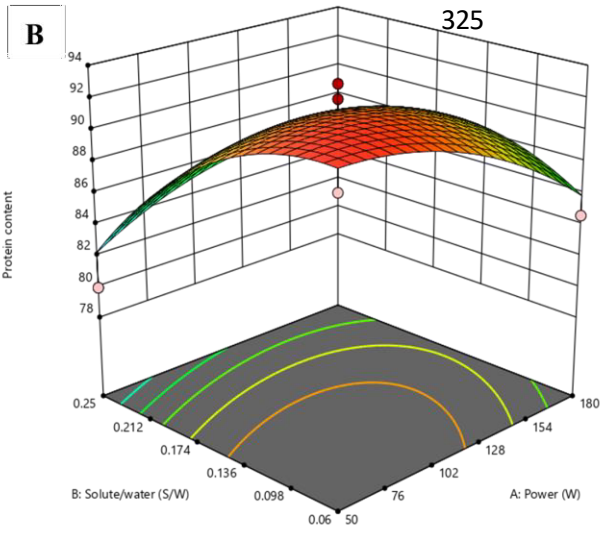
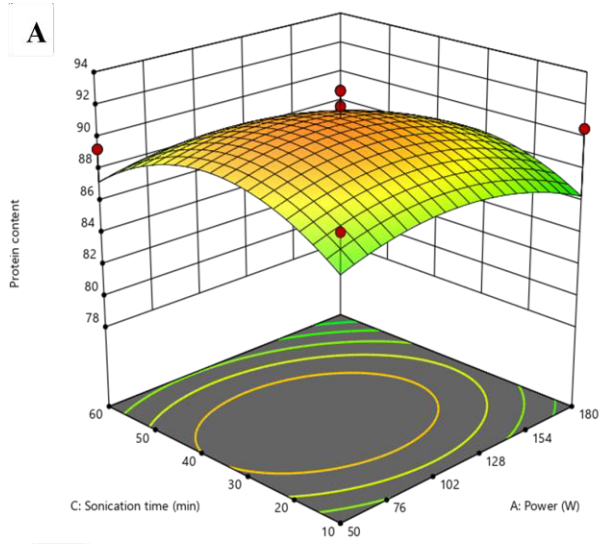
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336 **Fig 6.** Response surface plots for the interaction between sonication power, sonication time,
337 solute-to-solvent ratio, and extraction volume on protein content (%). (A) shows the interaction
338 between sonication time vs Power; (B) Solute/water ratio vs Power; (C) Total volume vs Power;
339 (D) Total volume vs sonication time; (E) Total volume vs solute/water ratio; (F) sonication time
340 vs solute/water ratio on protein content %.

341 The plot in **Fig.7.** shows values of extraction yield (%) and protein content (%) variables to
342 solute/solvent ratio and Power(W) variables. These contour diagrams were used to analyze the
343 relationship between the three variables. One dependent variable is displayed on the z-axis,
344 while two independent variables are displayed on the x and y axes. Contour plots are a useful
345 tool for determining which combinations yield favorable results. **With the desirability**
346 **technique, responses are assigned a numerical value between 0 and 1, and variable settings are**
347 **selected to increase the score for the optimisation of aggregate responses (Ares, 2014). A**
348 **composite desirability of 0.6 - 0.8 is considered a satisfactory value according to Jarpa-Parra**
349 **et al., 2014), hence the result of 0.83 in this present study is suitable. Verification tests were**
350 **carried out in these conditions in order to assess and validate the reliability of the results.**

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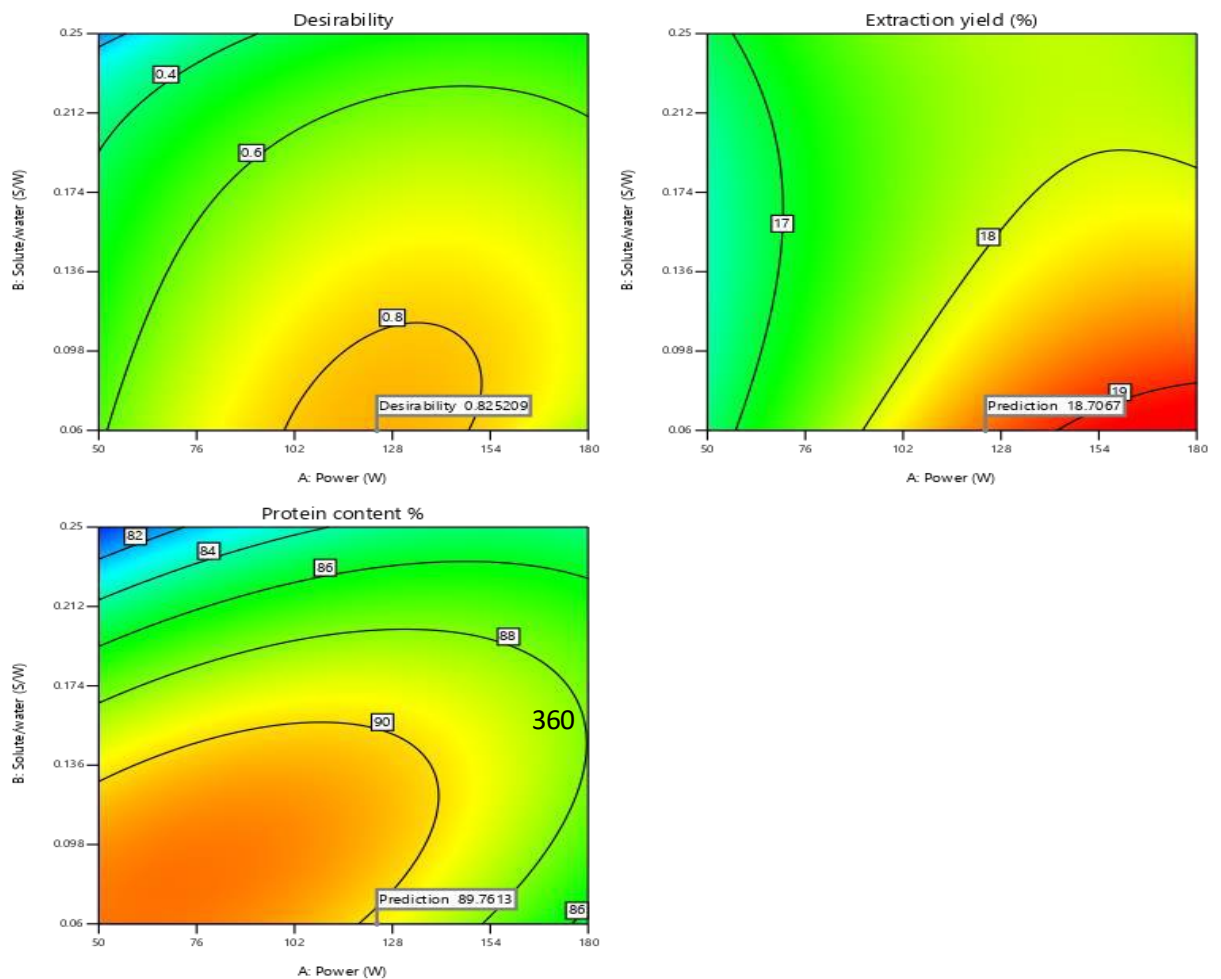
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363 **Fig 7.** Contour plot on Desirability (Solute/solvent ratio vs Power).

364

365 Simultaneous validation and optimization of the isolation process

366 Simultaneous optimization of extraction yield and protein content of the ultrasound-assisted
367 alkaline isoelectric precipitation on faba bean protein isolate was carried out experimentally to
368 compare to predicted results. The suitability of the generated model was validated and tested
369 based on the optimal conditions recommended to give maximal responses.

370 The following conditions: Power 123 W, solute to solvent ratio 0.06 (1:15 g/mL), sonication
371 time 41 min, and total volume 623 mL were predicted to give a maximal yield of $18.71 \pm 1\%$

372 and protein content of $89.76 \pm 1\%$. In most ultrasound assisted extraction of proteins from plant
373 materials, the crucial limit range between 20 and 60 min (Suchintita Das et al., 2022b). This
374 duration may vary based on ultrasound equipment and other extraction conditions applied.
375 These fixed conditions: Power 123 W, solute to solvent ratio 0.06 (1:15 g/mL), sonication time
376 41 min, and total volume 623 mL after experimental confirmation showed an extraction yield
377 of $19.75 \pm 0.87\%$ and protein content of $92.87 \pm 0.53\%$ (n=3). Thus, the quadratic model
378 used in this study was useful to obtain optimal conditions necessary to produce protein isolate
379 from faba beans flour. A control sample under similar conditions without ultrasound showed
380 an extraction yield of $16.41 \pm 0.02\%$ and protein content of $89.88 \pm 0.40\%$ (n=3). Using
381 alkaline extraction of faba bean isolates, a protein purity of roughly 80 – 90 % has been attained
382 by Krause et al., (2023). Optimised ultrasound-assisted alkaline extraction in the present study
383 resulted in an improvement in protein purity which could be attributed to ultrasound effects
384 (Kingwascharapong et al., 2021) as well as the optimised process conditions.

385

386 Conclusion

387 The market for faba bean protein is predicted to rise sharply as a result of consumers' rising
388 interest in eco-friendly and sustainable products. For the food and other industries, faba beans
389 can provide a reliable source of alternative protein. This work investigated the production of
390 faba bean protein isolates using ultrasound-assisted alkaline isoelectric precipitation. A Box-
391 Behnken RSM was used to optimize extraction yield and protein content simultaneously. The
392 obtained findings indicated that the solid-to-solvent ratio, sonication time, Power (W), and total
393 extraction volume, affected the measured responses. The maximum extraction yield (19.75 %) and
394 protein content (92.87 %) were reached following optimized conditions: Power of 123 W,
395 solute/solvent ratio of 0.06 (1:15 g/mL), sonication time of 41 min, and total volume of 623

396 mL. Additional control protein isolates without ultrasound application generated an extraction
397 yield and protein content of 16.41 % and 89.99 % respectively. This work demonstrates the
398 excellent potential of utilizing the DoE-based approach for the optimization of protein
399 extraction from faba beans, and a BBD model with specified parameters was found to be the
400 most effective for a quicker and more efficient protein recovery with a superior extraction yield
401 and protein purity. The green protein extraction process presented in this study might be further
402 explored for possible industrial scale-up to understand its limitations and cost implications.

403

404 Declaration of competing interest

405 The authors declare that they have no known competing financial interests or personal
406 relationships that could have appeared to influence the work reported in this paper.

407 Authorship contribution statement

408 **Abraham Badjona:** Investigation, Writing – review & editing, Data curation, Formal analysis,
409 Methodology, Writing – original draft. **Robert Bradshaw:** Conceptualization, Methodology,
410 Supervision, Writing – original draft, Writing – review & editing. **Caroline Millman:**
411 Supervision, Writing – review & editing. **Martin Howarth:** Conceptualization, Supervision,
412 Writing – review & editing. **Bipro Dubey:** Conceptualization, Data curation, Methodology,
413 Supervision, Writing – original draft, Writing – review & editing.

414 Data Availability Statement

415 The data generated during the current study are available upon reasonable request.

416

417

418 Rights Retention Statement

419 For the purpose of open access, the author has applied a Creative Commons Attribution
420 (CCBY) licence to any Author Accepted Manuscript version of this paper arising from this
421 submission.

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