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1 **Simulation of the phenol and chlorophenol removal using combined adsorption and**
2 **biodegradation: regression analysis and data-mining approach**

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19
20 **Abstract:**

21 It is widely known that phenols and chlorophenols (CP) are two of the most toxic chemicals
22 and a versatile treatment is imperative to tackle this evil of industrialization. Adsorption using
23 low-cost adsorbents is advantageous and economical; however, it has not turned out to be
24 feasible technology. Biological treatment is much more flexible, useful, and environmentally
25 friendly and a combination of biological treatment and adsorption has yielded much better
26 results compared to using them individually. However, very few works are applying statistical
27 methods in elucidating the importance of various options in such a combined study. This work
28 focused on the effect of temperature, initial concentration of chemicals and adsorbent dosage
29 on the removal of these chemicals. Furthermore, it compares various processes, viz., biological
30 treatment (bio), sequential biological and adsorption (seq), and simultaneous biological and
31 adsorption (sim) methods in treating phenols and chlorophenols. A range of linear regression
32 models was developed to predict the percentage reduction for each of the processes used (bio,
33 sim & seq), and each of these models was statistically significant as evident from R-square
34 values and the ANOVA table for regression parameters. A data-mining tree-classifier for

35 modelling the phenol and CP removal was also developed. The data mining study indicates the
36 initial concentration of the solvent and temperature to be the primary classifying parameters.

37

38 **Keywords:** Biodegradation, Combined adsorption and biodegradation, Phenols,
39 Chlorophenols, linear regression, data-mining tree-classifier

40 **Introduction**

41 Phenol and chlorophenol are two of the major waste products in a wide range of manufacturing
42 industries, viz. chemical and pharmaceutical, paint and textiles, paper and pulp, plastics and
43 polymer, oil, and gasoline as well as cooking ovens and metallurgical furnaces (Loncar et al.,
44 2011). Most of the wastewaters have a very high organic load as well as toxicity, and these
45 certainly present a clear danger to aquatic organisms. Most of the methods that are generally
46 engaged to treat the wastewater are meant for removing and reducing contaminants to a safe
47 level (Tetteh et al., 2019). However, toxic chemicals treatments are not encouraging, as even
48 so-called clean water seems to have a significant amount of these chemicals. Thus, it is
49 important to improve existing technologies to eliminate these compounds from wastewater.
50 Researchers have tried advanced oxidation processes (AOPs), coagulation-flocculation,
51 ozonation, wet air oxidation, photocatalysis, constructed wetlands, membrane filtration,
52 adsorption, etc (Amor et al., 2019; Goel et al., 2011; Karunakaran et al., 2012). There are also
53 reports of integrating two or more than two methods to produce a complementary result. Goel
54 et al. (2010) tried the integrated biological and photochemical methods for the treatment of
55 chlorophenol and found a substantial increase by combining both methods. Similar other
56 methodologies are reported for the integrated treatment of various toxic effluents (Goel et al.,
57 2012; Parthiban et al., 2019, 2020; Pookpoosa et al., 2015; Orozco et al., 2016; Zielinska et al.,
58 2014).

59 Statistics is being applied in all the fields of engineering and technology and slowly gaining its
60 due attention in wastewater treatment. Recent computational advancements provide a rare
61 opportunity for data-driven control of the treatment and also have a huge scope for performance
62 improvement. However, big data in wastewater treatment plants are not adequately explored
63 areas, which is mainly due to the lack of knowledge in data science in the wastewater treatment
64 industry (Alam et al., 2007). Saleh et al (2018) emphasised the implementation of statistical

65 optimization of the parameters and conditions in evaluating the performance of adsorbents
66 in water treatment to refine the usage of chemicals and reagents in experimental work. The
67 treatment processes exhibit non-linear, non-stationary, random correlations, which are not easy
68 to be modelled. Some of the researchers working on wastewater treatment have screened key
69 determinants such as phosphate, iron sulphate, calcium chloride, etc. using Plackett–Burman
70 design, which was further optimized by response surface methodology (Basaleh et al., 2021,
71 Subhasis et al., 2013, Garg et al., 2008, Silva et al., 2007). Sivasubramanian et al., (2014) have
72 also used response surface methodology to assess the effect of various parameters like initial
73 phenol concentration, temperature, pH and time on phenol degradation. Similarly, Ray et al.
74 (2009) developed a four-factor three-level Box-Benken design (BBD) to describe the
75 photocatalytic degradation of phenol in an aqueous media.

76 Extraction of knowledge from data is called data mining. Data mining performed on the
77 collected data helps in different ways. It is also progressively applied in various fields of
78 science and technology (Han et al., 2011). Its application in wastewater treatment is increasing
79 in the last decade (Haimi et al., 2013). They are found to be significant for refining wastewater
80 treatment operation and automation. It is especially applicable in the characterisation of inlet
81 wastewater (Kim et al., 2016). This work aims to correlate phenol and chlorophenol
82 degradation using statistical models to optimize the interactive factors involved in the
83 combined adsorption and biodegradation of phenol and CP. Subsequently, models concerning
84 sequential adsorption and biodegradation of phenol and CP in terms of COD are developed
85 through a data-mining tree-classifier for modelling the toxicity removal.

86 87 **Materials and Methods**

88 *Reagents*

89 Both phenol and chlorophenol were supplied by Merck. Analysis was carried out using the 4-
90 Amino Antipyrine method using UV spectrophotometer (Make: Systronics, Model: 2202).

91 Chromatographic measurements were carried out using HPLC (Make Shimadzu, Model:
92 10AS). Distilled water was used for all the experimental studies. All the reagents used were of
93 analytical grade.

94 ***Preparation of adsorbents***

95 Jatropha deoiled cake (JDC) used for adsorption was procured from Bannari Amman sugar
96 mills, Erode, Tamilnadu, India. They were dried overnight at 105°C in a hot air oven, followed
97 by crushing and sieved with a 125 µm mesh. The powdered samples were dried using a
98 desiccator and stored in airtight containers. The dried adsorbents were impregnated with H₃PO₄
99 in the ratio of 1:2. The activation method involves the use of 100 g of adsorbents with 120 ml
100 of H₃PO₄ mixed and kept overnight at room temperature. It was then kept in a muffle furnace
101 in the presence of a nitrogen atmosphere at 500°C for 2 hours.
102 The samples so generated were washed with distilled water until the pH becomes neutral. Then
103 the powder obtained was dried and sieved (at 125 microns) for the adsorption process
104 (Parthiban et al., 2019).

105 ***Adsorption experiments***

106 Adsorption studies were conducted routinely by batch technique. For this purpose, 0.2 g of
107 JDC was added to 50 mL phenol solution in 100 mL flasks. The experiments with phenol and
108 CP were conducted for six different initial concentrations ranging from 25 ppm to 400 ppm
109 and 20 ppm to 150 ppm respectively. The flasks were placed on a rotating shaker (Systronics)
110 with constant agitation, 150 rpm, at room temperature for 24 hrs. Samples were centrifuged
111 and the phenol or chlorophenol concentration was determined using a spectrophotometer. All
112 the experiments were carried out in triplicates.

113 114 ***Biological experiments***

115
116 Phenol and CP were subjected to combined treatment by using adsorption and biological
117 degradation. Integrated adsorption and biological treatment were conducted in sequential

118 mode. The adsorbed sample was made up to 30 ml by adding the required quantity of media
119 containing glucose and inoculum. The conical flasks (100 mL) were kept in an orbital shaker
120 at the desired temperature and neutral pH was maintained during the biological experiments.
121 The glucose concentrations used were 1 g/L 2 g/L and 3 g/L and the temperatures maintained
122 were 25, 30, 35 and 40⁰C. Both the COD and phenol, CP concentrations were recorded every
123 24 hours.

124 *Multivariate analysis using statistics tools*

125 Multivariate analysis is utilized to analyse complex sets of data, which are not possible
126 with univariate analysis and even bivariate analysis. The analysis involves several
127 dependent variables yielding a singular outcome. The real-world data such as weather data,
128 machine performance data, human-behaviour data and so on are all multivariate. This
129 analysis is generally performed with statistical software, such as SPSS or SAS. The
130 conclusion drawn using multivariate analysis is more accurate and realistic (Clautier et al.,
131 2008; Edet et al., 2013). In our work, process parameters like temperature, dosage and initial
132 concentrations were selected as the independent variables. COD reduction was the dependent
133 variable. The significance of the data obtained during the degradation of phenol and
134 chlorophenol was further analysed, and empirical models were developed for percentage COD
135 reduction as a function of glucose concentration, initial concentration, and temperature.

136 The multiple regression modeling, employed in the present work, has been computed by
137 solving the following equations:

$$138 \hat{Y} = b_0 + b_1x_{i1} + b_2x_{i2} \dots \dots \dots b_nx_{in}$$

139 where \hat{Y} is the calculated Y value, $x_{i1}, x_{i2} \dots x_{in}$ refer to the independent variables of i^{th} equation
140 and $b_1, b_2 \dots b_n$ refer to the corresponding coefficients computed using least square method.

141 For logistic regression modelling, the following equations have been used to solve:

$$142 \log\left(\frac{p}{1-p}\right) = b_0 + b_1x_{i1} + b_2x_{i2} \dots \dots \dots b_nx_{in}$$

143 where P is the probability of having the outcome and P / (1-P) is the odds of the outcome.

144 ***Development of a data-mining tree-classifier for modelling the phenol and CP removal***

145 Data mining is gaining a lot more attention in wastewater treatment (Mannina et al., 2011).
146 Decision tree (or classifier) learning is regularly applied in data mining. They are some of the
147 learning methods of a concept, produced by a learning algorithm, given the instances of that
148 notion. Decision trees are straightforward to understand, and the model generated can be
149 conveyed as a set of decision rules. It produces the output as a binary tree-like structure,
150 resulting in relatively easy explanation to the end-user and effortless classification of important
151 variables. The tree model will have the rules to forecast the target variable (Balakrishnan et al.,
152 2019; Peter and Petermann, 2018). The flowchart consists of root, intermediate and leaf nodes.
153 The root divides all data into two or compound subgroups. The intermediate node articulates a
154 condition for each independent variable and the leaf node signifies the consequences of a blend
155 of decisions (Deepnarain et al., 2019). In this work, the Weka classifier was used for
156 developing a data-mining tree-classifier. Weka is a data-mining workbench comprising several
157 algorithms for classification, data pre-processing, clustering, etc. It is a very broad workbench
158 and is free, open-source software (Hall et al., 2009). A chemical-based classifier system (C 4.5
159 algorithm) was also developed in this study using J48 classifier (Ross Quinlan, 1993), with
160 confidence factor = 0.25 and minimum number of objects = 2 and number of folds =3 (Pruned)
161 and evaluated the performance of empirical models regarding the baseline classifier (ZeroR)
162 and the combined classifier. This study also aims at exploring the levels of contribution and
163 threshold values of the process parameters used (namely, glucose concentration, initial
164 concentrations, and temperature).

165 The objective function used here is maximization of information gain, i.e., minimization of
166 difference in entropies ($h(x)$) across the branches about any given node, defined by:

$$167 \quad h(x) = - \sum_{i=1}^n P(x_i) \cdot \log P(x_i)$$

168 where, the discrete random variable, with possible outcomes x_1, x_2, \dots, x_n , occurs with
169 corresponding probabilities $P(x_1), P(x_2), \dots, P(x_n)$

170 **Results and Discussion**

171 *Exploring the relationship of experimental conditions with phenol and CP removal*

172 The experimental parameters (i.e., temperature, dosage, and concentration) were used to draw
173 a scatterplot against the percentage removal of phenol and chlorophenol, to estimate the
174 strength of their interrelationship. There is a definitive inverse correlation between
175 concentration and percentage reduction and a mild direct correlation between temperature and
176 percentage removal in both cases (phenol and chlorophenol) using all methods (Figure 1) and
177 concentration seems to have a direct relationship with the removal of both phenol and
178 chlorophenol.

179 Hence, to estimate the variation of removal percentage with concentration, descriptive statistics
180 for all the concentrations were evaluated and the means of percentage reduction were plotted
181 against various initial concentrations of phenol and chlorophenol. The representations are
182 detailed in Figure 2.

183 *Estimation of the significance of phenol and CP removal methods*

184 To evaluate whether the variation amongst the methods used for the reduction of phenol and
185 chlorophenol is statistically significant or just a random outcome, a one-way Analysis of
186 Variance (ANOVA) was conducted between reductions of the solvents (i.e., phenol or
187 chlorophenol) about the methods used (bio, sim and seq) (Table-1)

188 As indicated by table 1, the methods involved show statistically significant variation at a 95%
189 confidence interval, as indicated by p-value < 0.05. Interestingly, sequential removal seems to
190 be statistically most significant, compared to others (i.e., bio and sim), as indicated by high F-
191 statistics in both chlorophenol and phenol, the former being 3-times more significant compared
192 to the latter.

193 Combined adsorption and biodegradation treatment was found to be especially suitable for the
194 recalcitrant chemicals, such as phenol and CP. Ananthanarayan et al. (2018) found that the
195 greater success of combined mode could be due to improved adsorption of non-biodegradable
196 compounds. Biodegradation generates many intermediates which can easily attract adsorbents
197 facilitating the adsorption of these intermediates.

198 *Linear regression for prediction of phenol and CP removal*

199 In order to predict the percentage removal of phenol and CP, linear regression was carried out
200 with reduction percentage as the dependent variable and temperature, dosage and initial
201 concentration as independent variables. The statistical software IBM SPSS Statistics 24.0 was
202 chosen to execute the regression analyses. It is a highly popular and reliable program and is
203 generally used by several academicians and researchers. The residuals of regression were found
204 to be normally distributed through histogram and P-P plots (data not shown). The results of the
205 regression study are presented in Table 2. However, there are regression modelling for the
206 adsorption of various chemicals processes, the combined adsorption and biological work has
207 not been explored using regression modelling (Das et al., 2015). Wu et al. (2011) studied the
208 bioavailability of herbicides in the soil after the adsorption. Detailed regression modelling of
209 the adsorption process was presented, but only kinetic parameters of biological degradation
210 were obtained. Lin (2017) developed an unsteady state mathematical model for the kinetics of
211 2-chlorophenol adsorption and biodegradation.

212 All the models presented in Table 2 are found to have F-statistics higher than table values, as
213 indicated by the ANOVA table for regression (not shown here). All the models developed have
214 r^2 values of more than 0.75, and each parameter is significant in all the models provided, except
215 dosage in sequential approach for chlorophenol (and hence there is no loss in model accuracy
216 in dropping dosage from the regression model in this case only, although, in all other models,
217 dosage does play an important role). The sequential removal yielded a higher value especially
218 in the case of phenol removal.

219 The actual and predicted values (through regression) are presented in Figure 3. As discussed
220 before, the linear regression model best fits the experimental values. It was observed that each
221 parameter is significant in all the models provided, except dosage in a sequential approach for
222 chlorophenol. Hence there is no loss in the model accuracy in dropping dosage from the
223 regression model in this case only, although in all other models, dosage does play an important
224 role.

225 *Logistic regression modelling of the phenol and CP removal for the different methods* 226 *studied*

227 To avoid the experimental variability and to utilize the categorical variables as well in
228 prediction, the percentage reduction of the solvents used were codified as ordinal values,
229 namely, > 90 (*highest*), 90 to 75 (*high*), < 75 to 50 (*average*), < 50 to 25 (*low*) and < 25
230 (*lowest*) and multinomial logistic regression was attempted.

231 As observed, in all the cases studied, -2 Log Likelihood of reduced model for all the parameters
232 is highest for concentration, followed by the temperature. These are significantly higher than
233 an intercept-only model, except dosage, which is close to the intercept-only model. The same
234 pattern is also observed by their chi-square values. In fact, only in the case of average and low-
235 level reduction, a logistic model was found to be applicable. It was found that the logistic

236 regression was less robust than the linear regression and indicates that only in the case of
237 average and low-level reduction, a logistic model could be applicable.

238 *Data-mining tree-classifier for modelling the phenol and CP removal*

239 C4.5 classifier using Weka 3.8 was used to develop the data-mining tree-classifier for
240 modelling the phenol and CP removal. J48 algorithm was employed in the WEKA for initiation
241 of decision trees. The data were normalized between 0.05 and 0.95 before the model was
242 implemented. The input parameters were the effect of initial concentration, dosage, and
243 temperature in all three processes, viz., biological treatment, sequential biological and
244 adsorption, and simultaneous biological and adsorption methods in treating phenols and
245 chlorophenols. The data set is allocated to the learning set, which was employed for model
246 building and the test set. The test set determines the model precision. Predicted classes are in
247 the leaves of the decision trees. The models are compared with ZeroR model, which was used
248 as the benchmark. The model estimates are given in Table 3. Fig. 4-6 shows the biological
249 treatment, sequential treatment, and simultaneous biological & adsorption methods in the J48
250 model tree with three input parameters respectively. The results of the J48 model tree of all the
251 three treatment methods of chlorophenol shows a significant number of domains. The data
252 mining study indicates the initial concentration of the solvent and temperature to be the primary
253 classifying parameters. The dosage plays a role only at a higher temperature and/or
254 concentration. It can also be noted that sequential treatment is preferred by both phenol and
255 chlorophenol which has the most significant number of domains than other tree regression
256 models.

257 **Conclusions**

258 This paper optimizes the synergistic factors affecting the combined adsorption and
259 biodegradation of phenol and chlorophenol (CP) using statistical models. A data-mining tree-
260 classifier was then used for the sequential adsorption and biodegradation of phenol and CP in

261 terms of COD. The result shows there is a definitive inverse correlation-ship between
262 concentration and percentage reduction and mild direct correlation-ship between temperature
263 and percentage removal in both cases (phenol and chlorophenol) using all methods as indicated
264 by scatterplots, plots, and data of variation of mean percentage reduction with the initial
265 concentration of the solution. One-way ANOVA results show statistically significant variation
266 at a 95% confidence interval for reductions of the solvents (i.e., phenol or chlorophenol) with
267 regard to the methods used (bio, sim and seq). Based on the three methods, sequential removal
268 seemed to be statistically most significant, compared to others (i.e., bio and sim), as indicated
269 by high F-statistics in both chlorophenol and phenol, the former being 3-times more significant
270 compared to the latter. Linear regression models were also developed involving temperature,
271 concentration and dosage to predict the percentage reduction. These models were found to be
272 statistically significant as apparent from high R-square values and the ANOVA table. The
273 results are matching with the experimental values. Data mining reveals the importance of the
274 initial concentration of the solvent and temperature as the primary classifying parameters. The
275 adsorbent dosage seems to have a presence only at a higher temperature and/or concentration.
276 Future work involves blending neural networks, fuzzy logic, and other data analysis tools to
277 the created expert system, that would result in an improved expert system for parameters
278 governing the wastewater treatment. Detailed mathematical models would also be developed
279 to predict the effluent quality.

280 **Data Availability Statement**

281 All data, models, or codes that support the findings of this study are available from the
282 corresponding author upon reasonable request.

283

284

285

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