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**Correlation between the microstructure and corrosion performance of the HIPIMS nitrided bio-grade CoCrMo alloy.**

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**Abstract:**

Corrosion performance of CoCrMo alloy (F75) plasma nitrided with High-Power Impulse Magnetron Sputtering (HIPIMS) technique was thoroughly investigated. Open Circuit Potential (OCP) measurements and potentiodynamic polarisation tests exhibited a strong correlation between the transmuting microstructure (as a result of varying nitriding voltage from -700 V to -1100 V) and its corrosion performance. A significant improvement in the  $E_{\text{Corr}}$  values was noticed (around -590 mV for untreated as compared to -158.17 mV for -1000 V) when analysed against 3.5% wt. NaCl solution. Similarly, results against Hank's solution also exhibited a significant increase in  $E_{\text{Corr}}$  values (around -776 mV for untreated as compared to -259 mV for -1000 mV). Irrespective of the nitriding voltage, HIPIMS nitriding led to a significant improvement in the corrosion resistance of the alloy. For nitriding voltages -700 V and -900 V, a diffusion based S phase layer played a significant role in imparting corrosion resistance. On the

contrary, precipitation of chromium-based nitrides (CrN and Cr<sub>2</sub>N), observed in samples nitrided at relatively higher voltages of -1000 V and -1100 V, resulted in its relative deterioration. A preferential dissolution of the  $\epsilon_N$  grains and its grain boundaries, along with a sluggish dissolution of the  $\gamma_N$  grains and metal carbides appeared to be the dominant corrosion mechanism for the nitrided alloys. Specimens nitrided at -700 V and -900 V displayed the best corrosion resistance, which was deemed to be derived from the right combination of a thicker S phase layer and the compound layer consisting of M<sub>2-3</sub>N and M<sub>4</sub>N phases.

**Keywords:** Hip joints; CoCrMo alloy; HIPIMS nitriding; simulated body fluid; corrosion.

## **1. Introduction.**

High and Low-carbon CoCrMo (F75/F1537) alloys remain a popular choice for the development of total joint replacements (artificial) such as Hip/Knee prosthetics owing to its good corrosion resistance, biocompatibility and superior mechanical properties in the physiological environment [1], [2], [3], [4]. However, wear of this material due to friction in the long run, is still a major concern for researchers as it can damage the articulating surfaces and the surrounding cartilage [5][6]. Along with this, the release of metal ions in the presence of larger debris (50-120 nm) [7] can induce numerous adverse health effects [8], [9].

Besides mechanical integrity, corrosion resistance of the alloy is also critical and a lack of it can have an antagonistic effect on the mechanical properties and biocompatibility. The main source of extra ions in the body is the dissolution of surface oxides and corrosion of the material,[8], [10], [11]. In order to avoid any toxic reaction on the human body, the implant material should retain its functional properties and corrosion resistance at the same time. Considerable amount of ion release from the implanted material can lead to serious illness due to biological reactions. For example, research shows that the presence of excessive amount of Cr (VI) in the human body can change the reproductive behaviour, and can increase the chances of cellular toxicity [12]. On the other hand, excess amount of Co ions in human body can also increase the chances of thyroid which may increase the chances of asthma, overproduction of erythrocytes and fibrosis in lungs [13], [14]. It is now well known that biocompatibility of an alloy is related to its corrosion resistance and its ability to passivate. In the case of CoCrMo alloy, formation of mostly Cr<sub>2</sub>O<sub>3</sub> passive layer with some contribution from Co and Mo based oxides has been widely reported [15], [16], [17], [18], [19], [20], [21].

To minimise the wear rate of the implant material (here CoCrMo alloy) various state of the art methods have been applied including surface alloying technique [1], [3], [22], [23] coatings such as TiN, CrN and NbN, [24], [25], nanoscale multilayer coatings, [26], [27] and duplex coatings, [28]. Literature on plasma nitriding of biomaterials such as Ti alloys and stainless steel suggests that nitriding leads to a significant reduction in wear rate and friction coefficient of these alloys as compared to the untreated alloys, [29], [30], [31]. There is also a clear consensus that nitriding of CoCrMo alloys improves its hardness and consequently its wear resistance. Plasma-assisted alloying has been promising in that aspect. It has proven to be an effective and reliable solution, wherein formation of a thick compound layer consisting of  $\text{Co}_{2-3}\text{N}+\text{Cr}_4\text{N}$  phases was found to improve mechanical properties, and wear resistance significantly [32]. However, there is a lack of consensus on the corrosion resistance offered by the nitrided surfaces irrespective of the base alloy (Ti, Fe as well as CoCrMo alloys) owing to the severe lack of literature. Sparsely available reports suggest that corrosion resistance of nitrided surfaces is highly influenced by its structural and phase composition. For example, in the case of CoCr based alloys, both, an improvement [33], [34] as well as deterioration in corrosion resistance [3], [35] due to nitriding has been reported. On the contrary, further enhancement in corrosion resistance due to the precipitation of Cr based nitrides ( $\text{CrN}$  and  $\text{Cr}_2\text{N}$ ) in the nitrided layer has also been reported [34]. Corrosion tests results has also shown a dependency on the chemical composition of the test solution used (different Simulated Body Fluids (SBFs), bovine serum, different NaCl concentrations, etc.) [36], [37], [16] and that the test results cannot be simply carried over across different corrosive mediums. It is evident that more work is necessary to understand this complex phenomenon.

Earlier work on nitriding of CoCrMo alloy utilising the novel High Power Impulse Magnetron Sputtering (HIPIMS) discharge showed that this technique can be successfully applied to

improve the wear resistance of the base alloy [1], [32], [38]. These works showed that the choice of nitriding voltage determines the phase composition and microstructure of the nitrided layer and hence the mechanical properties of these engineered surfaces [1]. However, the effect of the metallurgy and the microstructure of these modified layers on the corrosion resistance had yet to be explored.

This work aims to thoroughly investigate the corrosion performance of the CoCrMo alloys nitrided at different nitriding voltages with the HIPIMS technique. The effect of nitrided layer composition on the corrosion resistance of the CoCrMo alloy has been investigated in both 3.5 wt% NaCl solution (widely available literature on corrosion on corrosion of metals and alloys, hence facilitates an easy comparison) and a SBF-Hank's solution. Three cell electrode electrochemistry and scanning electron microscopy has been utilised thoroughly to investigate the relation between microstructure and the corrosion performance of the nitrided specimen. These results provide further insight to clear the existing ambiguity on the corrosion resistance offered by nitrided CoCrMo alloys.

## **2. Experimental Method**

### **2.1 Plasma Nitriding utilising HIPIMS discharge:**

Disc-shaped specimens of 26 mm diameter ( $\phi$ ) and 6 mm thickness ( $d$ ) made from CoCrMo alloy (ASTM F75, Zimmer-Biomet, UK) were prepared to a mirror-like surface finish ( $R_a = 13$  nm) by using a standard metallographic procedure. The chemical composition of the CoCrMo alloy (F75) alloy is presented elsewhere, [1]. Before the nitriding procedure, the specimens were cleaned using an automated industrial cleaning line consisting of several ultrasonic baths containing different alkali solutions, rinsing with deionised water followed by vacuum drying. After cleaning, the specimens were immediately loaded into the vacuum chamber (industrial

sized PVD coating machine-Hauzer techno Coating 1000-4, The Netherlands empowered by HIPIMS technology at Sheffield Hallam University, UK). Prior to the nitriding process, the surface of the specimens was pretreated to remove remaining surface contaminants (oxides) by metal ion etching [39], [40]. At this stage of the process, the surface was subjected to an intensive bombardment of metal ions ( $\text{Cr}^+$ ) produced by HIPIMS discharge sustained on one Cr target (99.9 % pure) operated with a HIPIMS power supply (Hüttinger Elektronik Sp. z o.o., Warsaw, Poland), [41]. The nitriding voltage was applied with the help of a dedicated bias power supply (Hüttinger Elektronik Sp. z o.o., Warsaw, Poland), [42]. Four different nitriding voltages were employed; namely -700V, -900 V, -1000 V and -1100 V which represent 4 different conditions of the ionic energies (flux) incident on the specimens. Details of the nitriding process can be found in the previous publication [1].

## **2.2 Characterisation Techniques:**

1. Thickness, microstructure of the compound layer of the nitrided CoCrMo alloy and the corroded surfaces were investigated using cross sectional (CS-SEM) and plan view scanning electron microscopy (*FEI Quanta 650 3D DualBeam FIB FEG-SEM*) equipped with both secondary and backscattered detectors and an Energy Dispersive X-Ray Spectroscopy (EDS) system. For cross-section SEM analysis, small sections from the nitrided samples were cut, metallurgically mounted and polished. Following polishing, the surfaces were chemically etched using a mixture of hydrogen peroxide ( $\text{H}_2\text{O}_2$ ) and hydrochloric acid (HCl) (Marble's reagent) for 15-25 seconds and washed with de-ionised water. For imaging corroded surfaces, the samples were rinsed with de-ionised water and dried with a handheld dryer after the experimentation before imaging. An Olympus (BX51M) optical microscope was used to capture optical images of the surfaces

wherever appropriate. X-ray diffraction (XRD) studies were performed on an Empyrean X-ray diffractometer using Cu K $\alpha$  radiation (1.5418 Å) using a glancing angle (2° incidence) technique (2 $\theta$ , 20-100° (step size = 0.026 (°2 $\theta$ ), step time = 198.64 s)).

2. Potentiodynamic polarisation tests were performed to analyse the corrosion performance of the nitrated and untreated specimens in 3.5 wt% NaCl solution using a computer-controlled *Gill-AC* potentiostat. The test set-up formed a 3-electrode corrosion cell with the specimen as the working electrode, carbon rods as auxiliary electrode and a saturated Silver/Silver chloride electrode (Ag/AgCl) as the reference electrode. The test specimens were masked with a non-conductive lacquer to expose 1 cm<sup>2</sup> of the specimen (area of interest) to the corrosive solution. The specimens were cathodically cleaned at -1500 mV. After immersion in the aerated solution for 20 mins, Open Circuit Potentials (OCP) were recorded for 40 mins. Following OCP measurements, the test specimens were polarised from -1000 mV to +1000 mV with the scan rate of 1 mVs<sup>-1</sup>. All electrochemical potentials reported in this article are with respect to the Ag/AgCl reference electrode. Every experiment was repeated twice to examine repeatability of the results.
3. To predict the corrosion behaviour of the specimens in a biological environment (human body environment), tests were also repeated in a SBF (Hank's solution). The measured pH value of the solution was in the range of 7.1-7.3. All the tests were performed at room temperature conditions and the temperature of the solution was in the range of 27°-30° C.
4. Corrosion products formed on the surfaces were analysed using a Raman spectrometer (*LabRam HR800, Horiba-Jobin-Yvon, France*) fitted with a green laser ( $\lambda = 532$  nm, 5 mW output power). To collect the spectra, 100 % power was used. Before the analysis,

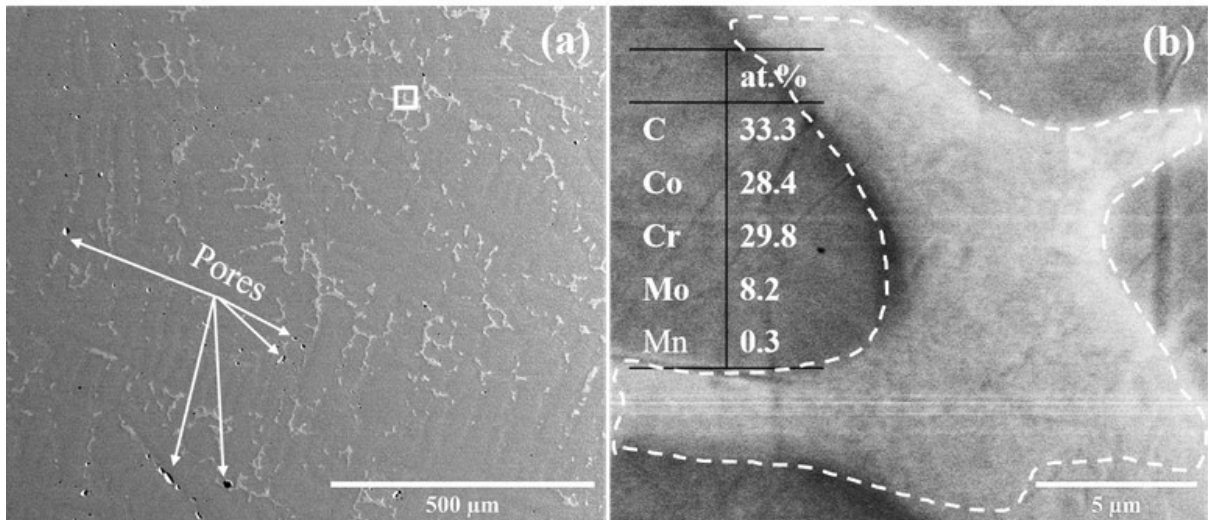


corroded surfaces were rinsed with de-ionised water followed by hot air drying. The Raman spectra were collected using computer software (*Lab-Spec version 5*) embedded with spectrometer. Later, data was further processed using *Origin 9.0* data analysis software.

### **3 Results and Discussion**

#### **3.1 Microstructure and Cross-Section Analysis:**

Fig.1a shows the SEM image of the untreated CoCrMo (F75) alloy microstructure. The structure consists of a metastable f.c.c austenite ( $\gamma$ ) and h.c.p ( $\epsilon$ ) phase matrix with a random distribution of metal carbide dendrites, which is consistent as reported earlier [43], [44]. In order to understand the metal carbide composition in depth, EDS analysis of the untreated alloy was performed. Results showed that the carbide phases consisted of a mixture of metals (Co, Cr, Mo and Mn) of around 66.7 at% with a carbon concentration of around 33.3 at %. Figure 1b shows the carbide phase at high magnification (indicated by a square inset in figure 1a) and the chemical composition of the carbide phase (inset table).



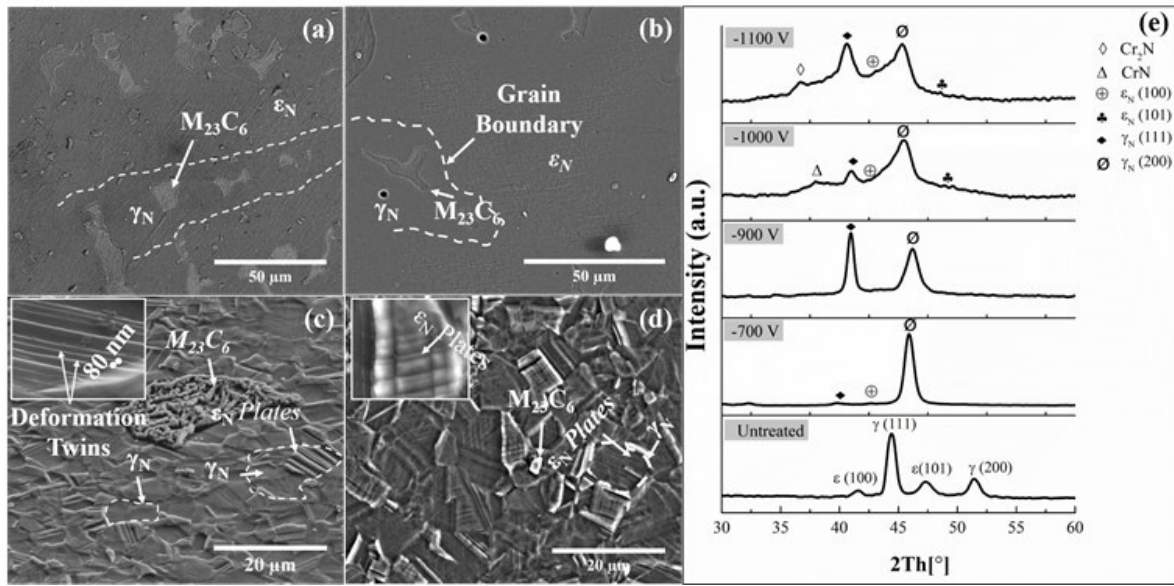
**Figure 1:** (a) Low magnification image of the microstructure of CoCrMo (F75) alloy in plan view. (b) Area indicated by square inset of 1a at high magnification showing the carbide phase and the results obtained from the compositional EDS analyses.

The metal carbides can be found in different shapes and sizes depending on the crystal structure of the phase. These finely distributed carbides can be identified as  $M_{23}C_6$ , however the size, transformation (into  $M_6C$ ) and distribution of this phase can vary based on the manufacturing process and the composition [45], [46]. As exhibited in figure 1 (a), the carbide phases in the microstructure of the alloy used in this study had irregular shapes and sizes. Moreover, they had a dense and blocky appearance which is typical for  $M_{23}C_6$  type of metal carbide [45]. Apart from the carbides, porosity (indicated by the arrows in figure 1a) resultant due to the breaking of brittle carbide phases during polishing could also be observed.

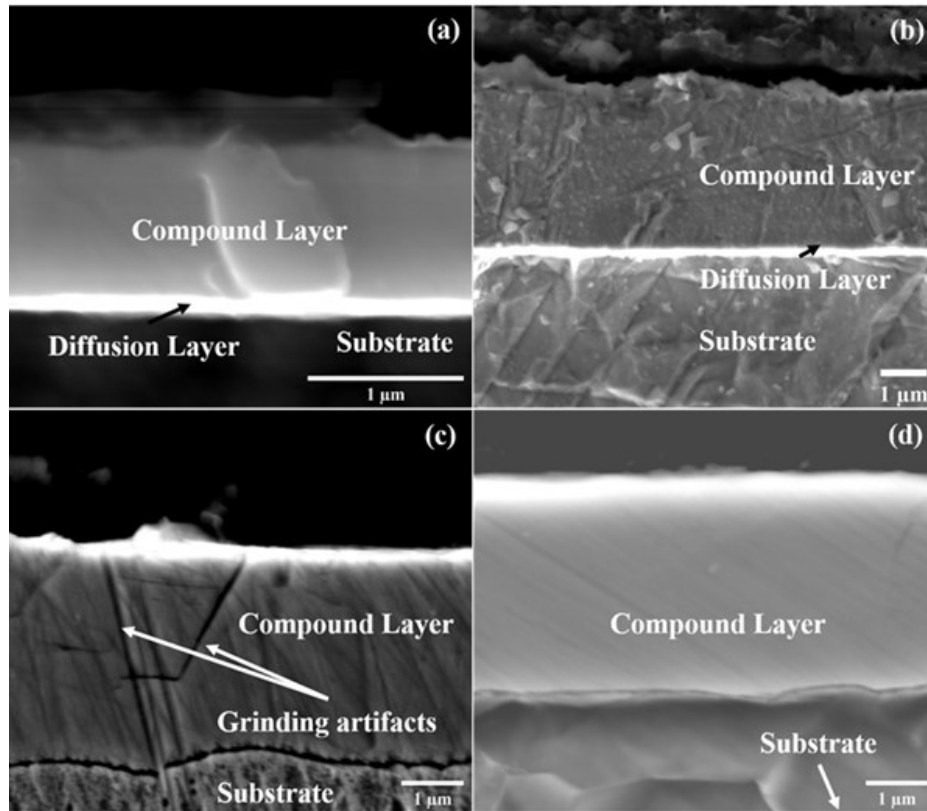
Figure 2 (a-d) shows the microstructures of the specimens nitrided at different voltages in a plan view. As observed in figure 2(a-b) and the XRD results in 2(e) the microstructure of the nitrided layers (-700 and -900 V specimens) consisted of a mix of equiaxed grains of  $\gamma_N$  phase, and randomly distributed carbides (mainly  $M_{23}C_6$  phases) within the  $\gamma_N$  phase. Presence of equiaxed

grains of the  $\epsilon_N$  phase could also be speculated considering the evidence from the current xrd results. Interestingly,  $\gamma_N$  phase dominated the microstructure in the case of the two specimens nitrided at lower voltages.

Microstructure of the specimens treated at higher nitriding voltages (-1000 V, and -1100 V), figure 2(c-d), also exhibited equiaxed grains of  $\gamma_N$  phase along with the random distribution of  $M_{23}C_6$  carbides. However, a striking difference in the microstructure for these specimens was the presence of possible  $\epsilon$  plates and possibly of deformation twins within the  $\gamma_N$  phase grains (inset image of figure 2c). In this case, deformation twins were visible at high magnification with the distance between two lines in the range of 60-80 nm. No deformation twins were visible in the case of -1100 V. With the available SEM and xrd results (figure 2) it could be speculated that higher nitriding voltages (>1000 V and -1100 V in this case) promoted the formation of  $\epsilon_N$  phase, which makes the surface much harder. Earlier work on HIPIMS plasma nitriding on CoCrMo alloy [1] showed that the texture of these nitrided specimens changed from a predominant (200) orientation (-900 V nitrided specimen) to a mixture of (111) + (200) orientations (-1100V nitrided specimen).



**Figure 2:** SEM microstructure of plasma nitrided CoCrMo alloy at (a) -700 V (b) -900 V (c) -1000 V and (d) -1100 V. Inset images in (c) and (d) show a selected area at a higher magnification with (possibly) deformation twins and  $\epsilon_N$  plates respectively (e) XRD results showing the presence of different phases in the untreated and the treated alloys (reproduced from reference [1]).



**Figure 3:** Cross-sectional micrograph of the specimens nitrided at (a) -700 V (b) -900 V (c) -1000 V and (d) -1100 V showing the grey compound layer ( $\text{Co}_{2-3}\text{N}+\text{Co}_4\text{N}$ ) and the light contrast diffusion layer ( $\text{Co}_4\text{N}$ ). Specimens were etched for 20 seconds with Marble's reagent ( $\text{H}_2\text{O}_2+\text{HCL}$ ).

Figure 3 presents the cross-sectional view of these plasma nitrided specimens. Specimens nitrided at -700 V and -900 V clearly revealed the formation of two distinct layers; namely a diffusion layer-  $\text{Co}_4\text{N}$  (also defined as a S phase layer [3], [33], [47]) which appeared as a bright layer at the substrate interface and a compound layer ( $\text{M}_{2-3}\text{N}$  and  $\text{M}_4\text{N}$ , where M= was mainly Co dominated)) which appeared as a grey colour layer on top of the diffusion layer [1]. The thickness of the diffusion layer increased with the increase in nitriding voltage from 130 nm (-700 V) to 283 nm (-900 V), Fig. 3a and b respectively. The diffusion layer was not clearly

visible for specimen nitrided at higher voltages of -1000 V and -1100 V and the cross-sectional imaging only revealed the thick compound layers formed on the top of the substrate (Fig. 3c and d respectively). XRD studies (figure 2(e)) also suggested the precipitation of CrN and Cr<sub>2</sub>N phases for these high voltage nitrided specimens; details of which have been presented elsewhere [1]. It can be speculated that, even though not visible, the conditions of nitriding may have promoted a limited formation of the diffusion-based S phase layer at the substrate interface and beneath the build-up of the thick compound layer.

In general, an increase in the nitriding voltage led to an increase in the overall thickness of the nitrided layer. The total nitrided layer thickness (diffusion layer + compound layer) measured were  $1.28 \pm 0.05 \mu\text{m}$  for -700 V,  $2.83 \pm 0.12 \mu\text{m}$  for -900 V,  $3.68 \pm 0.19 \mu\text{m}$  for -1000 V and  $4.77 \pm 0.19 \mu\text{m}$  for -1100 V. This increment in thickness could be attributed to the augmentation of ion energies with more negative voltages which are incident on the substrate and also to the associated increase in the surface temperature [1] which lead to the increment of the diffusion rate of N [1], [34], [45], [49]. Irrespective of the applied voltage, the nitrided layers appeared uniform in thickness, dense in nature and defect free (any plasma process related defects such as contamination from the chamber walls) which also resulted, in general, in a smoother surface finish of the specimens.

## **3.2 Corrosion Studies:**

### **3.2.1 Electrochemical studies in 3.5 wt% NaCl solution**

Figure 4a displays the evolution of OCP as a function of time. All the nitrided specimens exhibited a rapid increase in the potential values before reaching near-steady state values. The

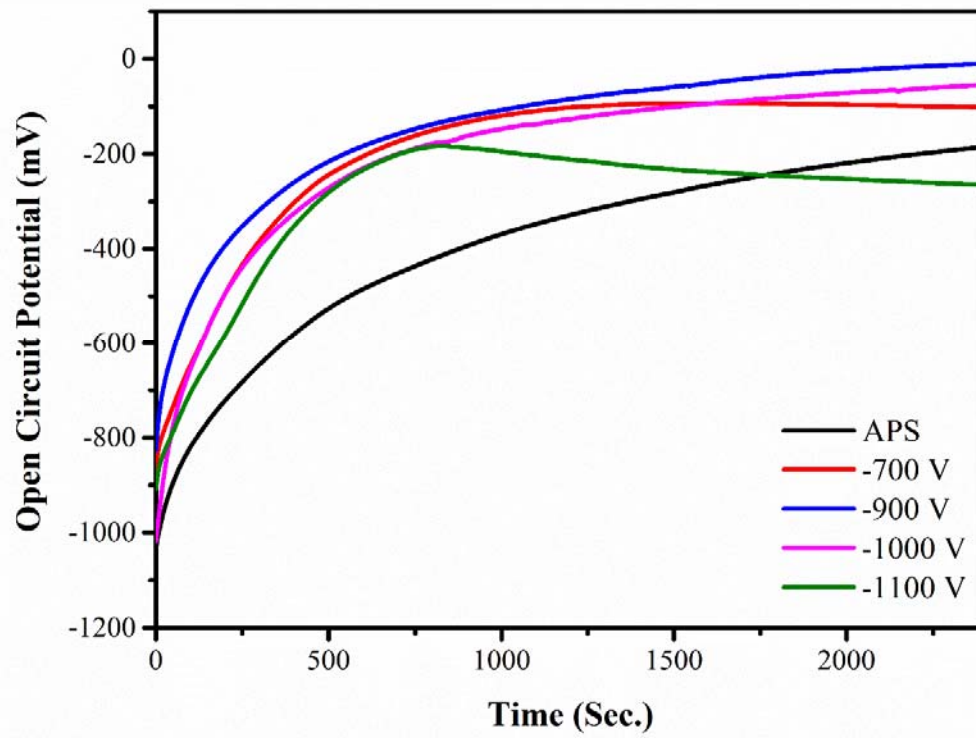
untreated CoCrMo alloy had the lowest rate of increase, which suggested a gradual passivation of the surface. The specimen nitrated at -900 V had the noblest OCP value followed by specimen nitrated at -700 V and -1000 V. Barring the -1100 V specimen, which exhibited a gradual drop in the curve after attaining a peak (around 750 seconds of recording), all the nitrated specimens performed better as compared to the untreated alloy. Smooth, unfluctuating, and continuous nature of the OCP curves suggests that the nitrated layers were homogenous, defect free and continuous in structure indicating a superior quality.

The potentiodynamic polarisation curves of the untreated and nitrated specimens are shown in figure 4b. Corrosion current densities ( $I_{\text{Corr}}$ ,  $\text{mAcm}^{-2}$ ) and corrosion potentials ( $E_{\text{Corr}}$ , mV) were extracted from the polarisation curves using standard Tafel analysis (using the Sequencer software-ACM instruments) and values are presented in Table 1. As evident, all the specimens showed a clear difference in terms of  $E_{\text{Corr}}$  values, anodic dissolution, passivation and transpassivation domains and the significance of nitrating voltage and consequential microstructure in determining them was enormous. Amongst these, the shift in  $E_{\text{Corr}}$  values was noticeable. It is evident that, irrespective of the nitrating voltage, the improvement in corrosion resistance of the base CoCrMo alloy was significant. In comparison, the untreated CoCrMo alloy exhibited a clear and a stable passivation domain in the potential ranges of -380 mV to +100 mV. This passive behaviour has been attributed to the formation of Cr based oxides films (mainly to the formation of  $\text{Cr}_2\text{O}_3/\text{Cr}(\text{OH})_3$ ) formed in air which are difficult to remove [50], [51]. Beyond +200 mV formation of Cr (VI) species in the passive layers, increasing fraction of CoO and Mo, dissolution of Co at higher anodic potentials, significant increase in the passive layer thickness and dissolution of these passive films along with the water oxidation reactions led to the rapid rise in the current values [34], [37], [48], [52]. Despite a limited but a clear and stable

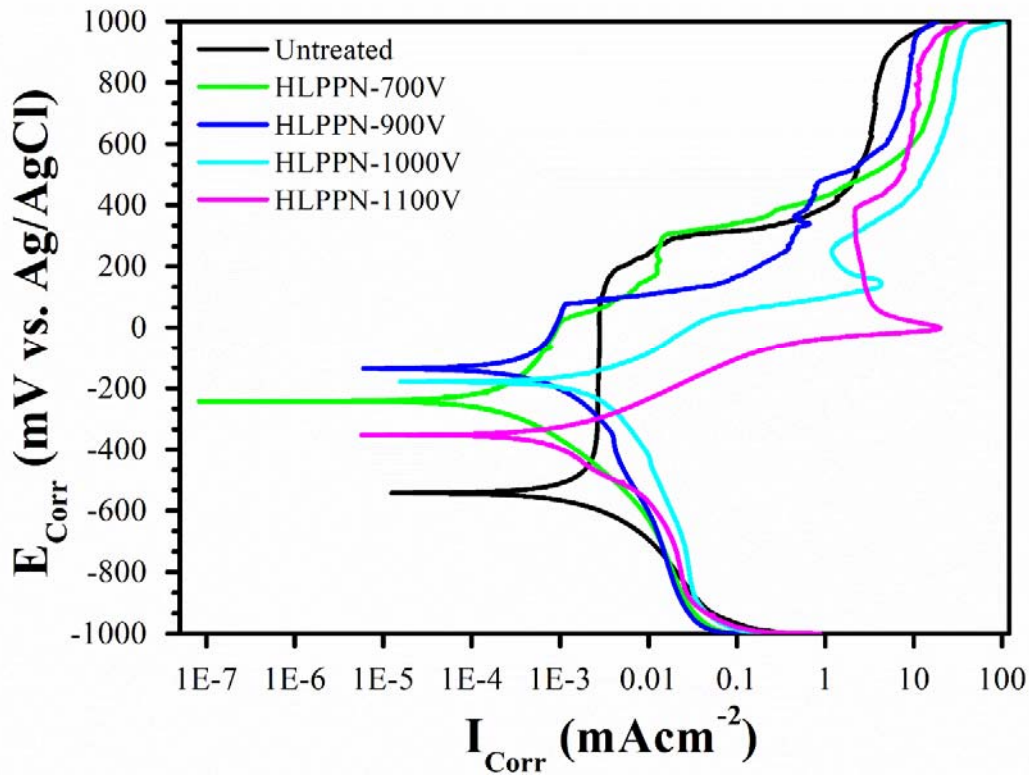
passive domain, the bare alloy exhibited higher anodic currents and had the lowest  $E_{\text{Corr}}$  value ( - 527 mV) among the samples analysed thus implied a poor corrosion resistance.

The sample nitrided with -900 V showed a significant upward shift to exhibit the most noble  $E_{\text{Corr}}$  value (-126 mV). The corrosion kinetics of this sample could be described as follows. After a brief passivation in the -150 mV to 60 mV polarisation interval, the polarisation curve indicated a high dissolution rate in the 60 mV to 260 mV interval, followed by the tendency of re-passivation in the potential range of around +250 mV to +460 mV. The microstructure of this specimen, figure 3b, predominately consisted of a distinct, uniform S phase band at the interface of the base alloy and a compound layer consisting of both  $M_{2-3}N$  and  $M_4N$  (where M is mostly Co dominated). Several reports on nitriding of CoCrMo or Fe based alloys in the literature have attributed the improvement of corrosion resistance to the presence of the S phase structure [33], [35], [47]. On the other hand, structures dominated by Co based compounds have shown a poor corrosion performance due to the ready dissolution of the Co from these compounds [52]. Thus, consistent with the literature, the corrosion behaviour of the -900 V nitrided specimen seemed to be a weighted mixture of the corrosion performances of the two microstructures; namely the S phase and the Co based compounds. Improvement in the  $E_{\text{Corr}}$  value and lower corrosion currents in the anodic ranges unto +60 mV could be attributed to the presence of a distinct but a homogenous S phase diffusion layer. The smaller repassivation attempt of the specimen above +250 mV (potentials between +250 mV and +460 mV) could be attributed to the passivation of Cr (either from the base alloy or dispersed Cr present in the nitrided layer). Beyond potentials of around + 460 mV, the polarisation curve was dominated by the dissolution of both Co and Cr [50].





(a)



(b)

**Figure 4:** (a) Open circuit potential (OCP) and (b) Potentiodynamic polarisation curves of the untreated specimen and specimens nitrided at various bias voltage (-700 V to -1100 V) submerged in 3.5 wt.% NaCl solution.

The specimen nitrided at -700 V nearly mimicked the corrosion performance of the -900 V, except for a slight drop in the  $E_{\text{Corr}}$  value (-242 mV) and the repassivation potentials (a drop in value from +250 mV to around +180 mV). The microstructure of this specimen, figure 3a, essentially, also resembled to -900 V, albeit a thinner compound layer and a slimmer diffusion

band consisting of the S phase structure. Thus, the reduction in  $E_{\text{Corr}}$  value of -700 mV sample was consistent with the microstructural changes.

In the case of -1000V and -1100 V specimens, figure 3 (c-d), the microstructures were made up of relatively thicker compound layers which consisted of a mixture of both  $M_{2-3}N$  and  $M_4N$  compounds and were also estimated to have a thin S phase diffusion band at the interface between nitrated layer and the base alloy. XRD results [figure 2(e)] also showed peaks pertaining to precipitation of CrN (-1000 V) and  $Cr_2N$  (-1100 V) phases as a result of temperature rise leading to a localised heating (for e.g., temperatures reached 520 °C in the case of nitriding with -1100 V) due to the intense bombardment of the ions. Despite having thicker compound layers, the corrosion performance of -1000 V and -1100 V specimens, in general, was inferior compared to -700 V and -900 V specimens. The  $E_{\text{Corr}}$  value of -1000 V specimen (-158.17 mV) and -1100 (-351.33 mV) was lower to -700 V (-126.28 mV) and -900 V (-133.39 mV) specimens, but higher than the untreated base alloy (-590.63 mV). The anodic domains of these were identical as far the shape is concerned and showed a high dissolution (one of the highest  $I_{\text{Corr}}$  values in this study), with no tendency to passivate until potentials of around +140 mV for -1000 V specimen and around +20 mV for -1100 V specimen. The clear difference in performance between the -1100 V specimen and other specimens is the observation of a broader passive domain, albeit higher corrosion currents, between potentials +20 mV and +370 mV and could be attributed to the limited passivation, in this case, offered by the thinner S phase layers and to some extent by the Cr precipitates [50], [53]. The disadvantages of Cr precipitates in the nitrated microstructure was consistent with those reported earlier [21], [52].

Thus, in this study, when analysed against 3.5 % NaCl, the corrosion performance of the nitrated specimens showed a strong dependency on the microstructure. A clear passivating behaviour of

the untreated CoCrMo alloy (because of the readily available Cr from the metal matrix composite) was lost to the nitriding process. However, this loss appeared to be compensated by the S phase structure and the metal nitrides (mostly Co) obtained by nitriding; evident in terms of higher  $E_{\text{Corr}}$  values and lower  $I_{\text{Corr}}$  values in most anodic domains for -700 V and -900 V nitrided specimens.

Electrolyte	3.5 wt.% NaCl					
Repetition	SET-1			SET-2		
Sample ID	$E_{\text{Corr}}$ (mV)	$I_{\text{Corr}}$ (mAcm <sup>-2</sup> )	OCP (mV)	$E_{\text{Corr}}$ (mV)	$I_{\text{Corr}}$ (mAcm <sup>-2</sup> )	OCP (mV)
Untreated	-590.63	8.50E <sup>-5</sup>	-186.29	-601.03	8.68E <sup>-06</sup>	-188.57
-700 V	-242.24	1.35E <sup>-4</sup>	-13.33	-237.56	1.51E <sup>-05</sup>	-31.31
-900 V	-133.39	6.06E <sup>-5</sup>	-96.46	-178.52	4.71E <sup>-05</sup>	-57.21
-1000 V	-158.17	9.19E <sup>-5</sup>	-153.65	-177.46	2.60E <sup>-04</sup>	-171.77
-1100 V	-351.33	2.21E <sup>-4</sup>	-257.86	-334.76	1.11E <sup>-04</sup>	-259.97

**Table 1:** Corrosion analysis data obtained from the polarisation curves of the nitrided specimens and the untreated specimen when analysed against 3.5 wt% NaCl.

### 3.2.2 Electrochemical Studies in SBF (Hank's Solution)

CoCrMo alloy has been widely used in medical implant applications such as hip replacement (metal-on-metal). The body environment is considered to be very complex and contains various salts, amino acids, lipids and proteins [54]. Once the implant submerges in the body fluids such as saliva and buffer solution, the behaviour of the material exceedingly changes due to corrosion process [16], [19], [55]. Hence, analysis of the untreated and nitrided specimens for its corrosion

behaviour in SBF was deemed essential. In this study, Hank's solution was chosen as the SBF and the composition of Hank's solution is presented in table2.

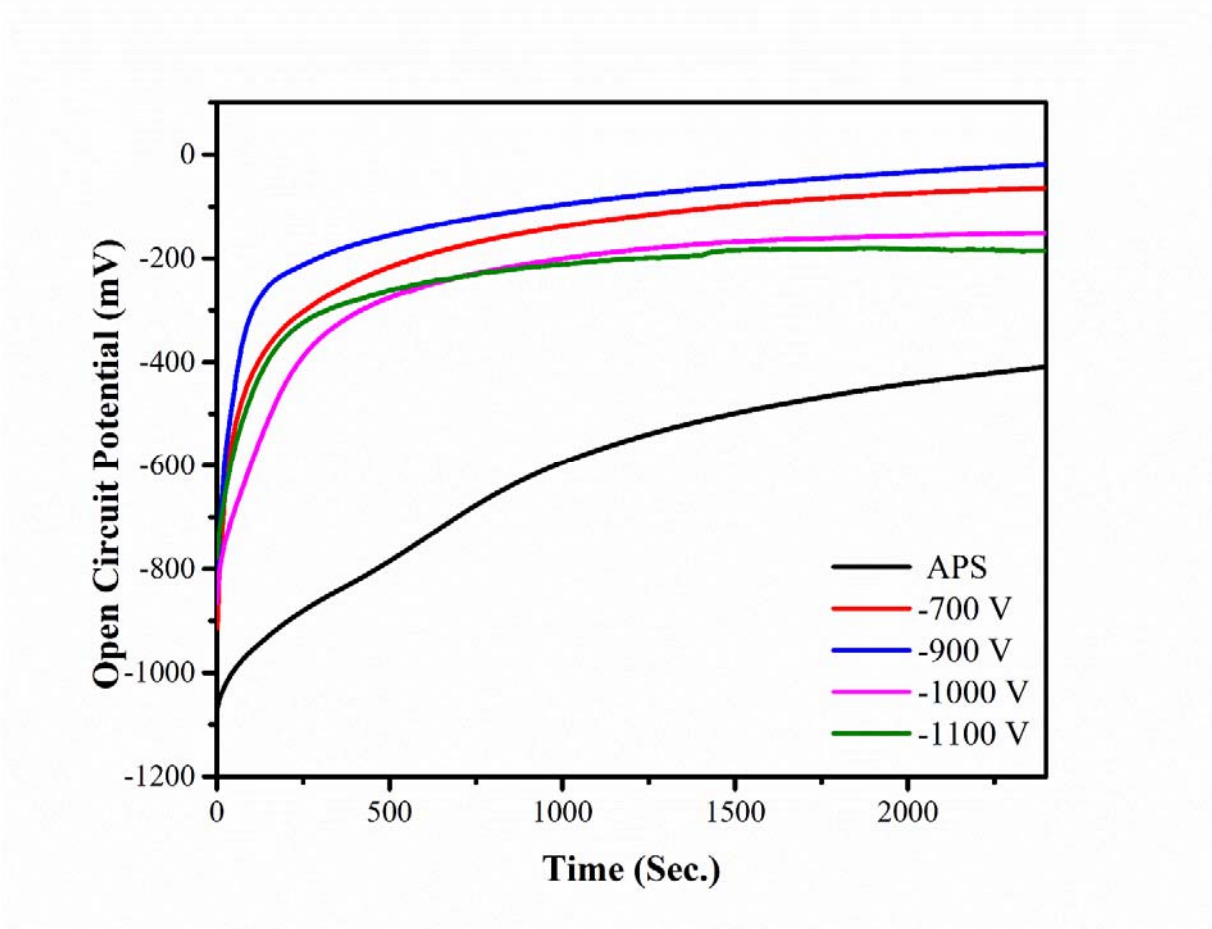
<b>Inorganic Salts</b>	<b>Concentration (mg/L)</b>	<b>Amino Acids</b>	<b>Concentration (mg/L)</b>
CaCl <sub>2</sub> -2H <sub>2</sub> O	185	L-Arginine hydrochloride	126
MgCl <sub>2</sub> -6H <sub>2</sub> O	100	L-Cystine	21
MgSO <sub>4</sub> -7H <sub>2</sub> O	100	L-Glutamine	292
KCl	400	L-Histidine hydrochloride-H <sub>2</sub> O	42
KH <sub>2</sub> PO <sub>4</sub>	60	L-Isoleucine	52
NaHCO <sub>3</sub>	350	L-Leucine	52
NaCl	8000	L-Lysine hydrochloride	73
Na <sub>2</sub> HPO <sub>4</sub>	48	L-Methionine	15
Glucose-D	1000	L-Phenylalanine	32
		L-Threonine	48
		L-Tryptophan	10
		L-Tyrosine	36

**Table 2:** Composition of Simulated body fluid (Hank's Solution).

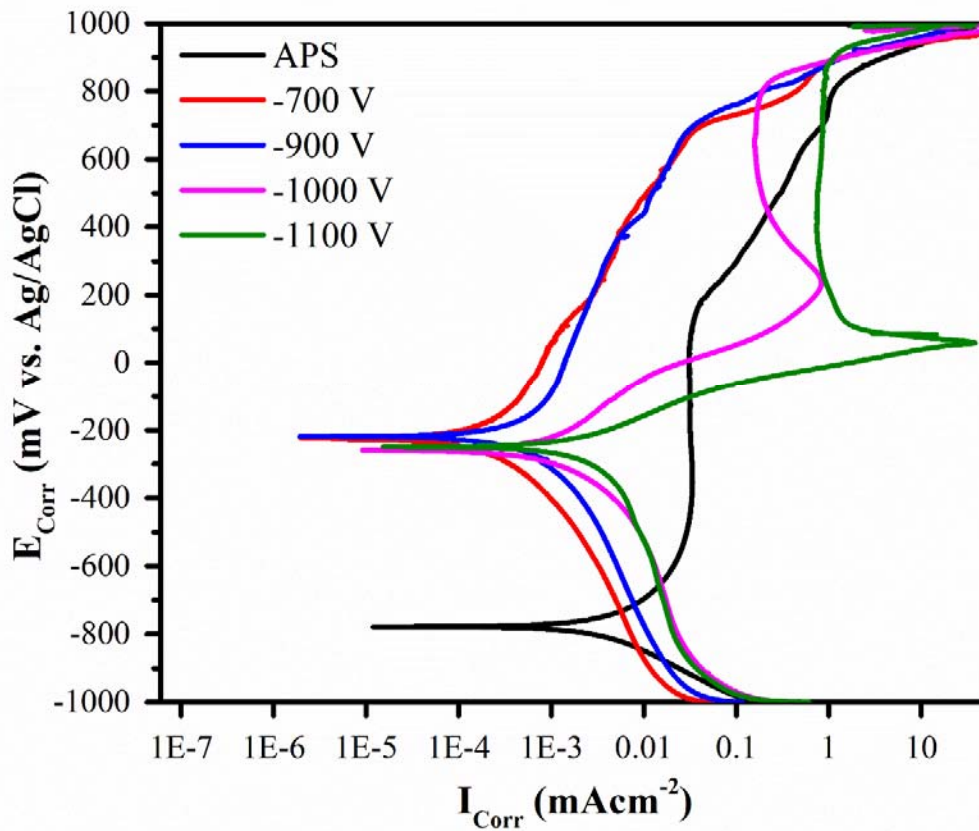
Figure 5a shows the OCP curves recorded as a function of time. Similar to the NaCl results, all the nitrated specimens exhibited a rapid increase in the potential values with time before reaching near-steady state values. However, in the case of the untreated alloy, the potential rose gradually to a near-steady state suggesting that passive layer formation was less effective in

retarding the corrosion currents as compared to the nitrided specimens. A clear hierarchy in the OCP values was observed where the specimen nitrided at -900 V had the noblest OCP value followed by those nitrided at -700 V, -1000 V and -1100 V respectively. Table 3 provides the corrosion parameters such as  $I_{\text{Corr}}$  (corrosion current density, mAcm<sup>-2</sup>) and  $E_{\text{Corr}}$  (corrosion potential, mV) deduced from these polarisation curves.

Figure 5b shows the polarisation curves recorded for the specimens when tested against Hank's solution. In the case of the untreated alloy, similar to the performance against 3.5% NaCl solution, a stable passive domain was observed until potentials of around +150 mV. However, the  $E_{\text{Corr}}$  value was found to be significantly lower, at around -789 mV, which suggested an inferior corrosion performance as compared to against 3.5% NaCl solution.



(a)



(b)

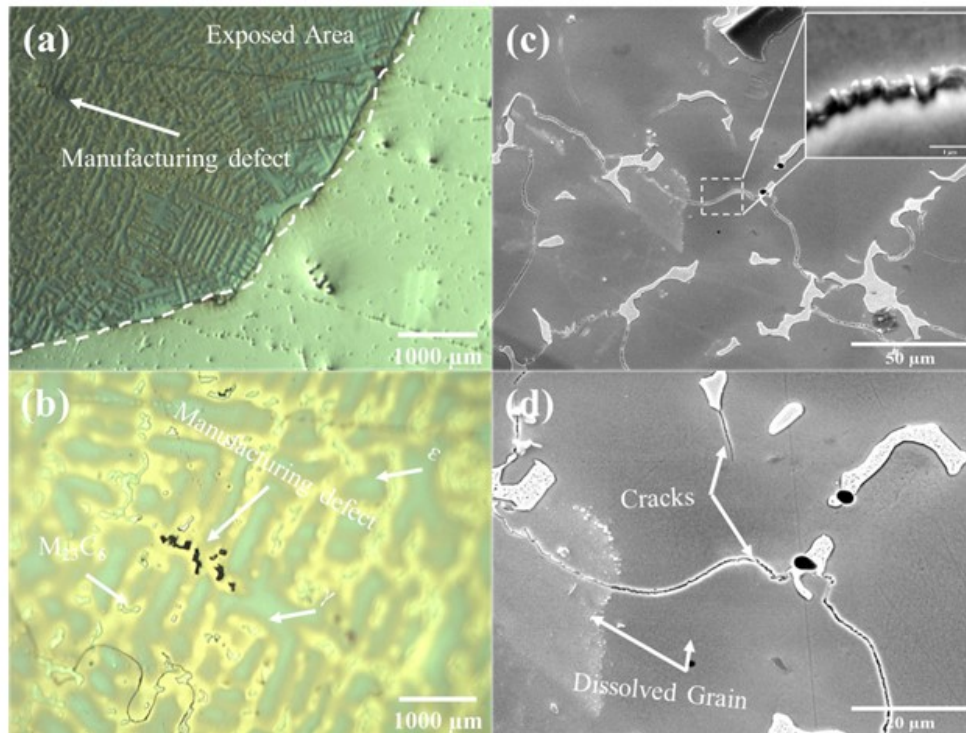
**Figure 5:** (a) Open circuit potential curve and (b) Potentiodynamic polarisation curves of the untreated specimen and specimens nitrided at various bias voltage (-700 V to -1100 V) in Hank's solution.

Dissolution of CoCrMo alloy has been considered sensitive to a number of factors such as the type of BSF, its pH value, protein build-up, oxidation reactions and consequent changes in electrochemical potentials [16], [36], [37]. Literature suggests that, on immersion in Hank's solution, Co from the alloy readily undergoes dissolution by the outward migration through the passive layers [17], [52]. Number of corrosion studies on CoCrMo alloys against serum solutions



also suggest that passive layer formation based on Co does not occur and in turn retards the formation of Cr based passive layers [16], [52]. Thus, it can be inferred that Co dissolution starts as soon as specimens are immersed into the solution due to the complex organic molecular structure. There is a consensus among researchers that Cr based oxide layers (mainly  $\text{Cr}_2\text{O}_3$  along with some Mo oxide) once formed remain stable when in contact with the serum and only dissolve gradually [56]. Thus, the observed complex behaviour of the untreated CoCrMo alloy, consisting of stable passive domain but a higher dissolution current observed in this study against Hank's solution, could be attributed to the passive layers based on Cr but along with the dissolution of Co. In the transpassive region (beyond +150 mV), the rapid rise in the corrosion current could be attributed to a number of complex phenomena consisting of formation of Cr (VI) species in the passive layers, increasing fraction of CoO and Mo (up to around 0.79 mV), dissolution of Co beyond 0.79 mV, significant increase in the passive layer thickness and water oxidation reactions [34], [37], [50].

Figure 6 shows the surface of the untreated CoCrMo alloy after the potentiodynamic polarisation tests against Hank's solution. Figure 6a shows the optical microscopic image of a partially exposed and partially unaffected (which was masked) as-polished surface. Porosity associated with the manufacturing technology of the alloy could be observed prominently in both the areas. The area exposed to the solution (figure 6a and 6b) during the test clearly showed a preferential dissolution of the  $\epsilon$  and  $\gamma$  phases (as compared to the carbides) along with the eventually protruded but somewhat less corroded  $\text{M}_{23}\text{C}_6$  carbide dendrites. Thus, the Cr oxide based passive layers along with the relatively inert  $\text{M}_{23}\text{C}_6$  offered some protection from corrosion.



**Figure 6:** (a-b) Optical micrograph (c-d) SEM plan view of exposed area of the untreated alloy in Hank's solution at room temperature.

Raman analysis of this exposed area confirmed the formation of Cr and Mo based oxides (figure 10). These results were found to be consistent with the literature and with the higher anodic tranpassive dissolution currents recorded in this study. SEM images (Figure 6c and d) show this exposed area at higher magnification. No pitting was found within the exposed area. However, transgranular micro-cracks, presumably abetted by stress-corrosion (due to residual stress), linking the spaced-out metal carbides within the grains could also be observed in both these images. The presence of these cracks suggests that apart from matrix dissolution, abrupt removal of more corrosion resistant carbides due to matrix cracking could also act as an additional material removal mechanism. It is worth mentioning, that these cracks appear thicker than the

passive layers, which are estimated to be a few nanometer thick, suggesting that they ran deeper into the underneath grains.

Table 3 provides the  $E_{\text{Corr}}$  and the  $I_{\text{Corr}}$  values extracted from the polarisation curves of all the specimens when analysed against Hank's solution. A marked improvement in  $E_{\text{Corr}}$  values of the nitrided specimens (in the range of 500 mV noble compared to untreated alloy) could be observed clearly. Contrary to the results obtained against 3.5% NaCl solution, nitriding voltage did not show a clear bearing on the improvements in the  $E_{\text{Corr}}$  values. In general, the shape of the polarisation curves, especially samples nitrided at the higher voltages (-1000 V and -1100 V) mostly resembled with those obtained against the NaCl solution.

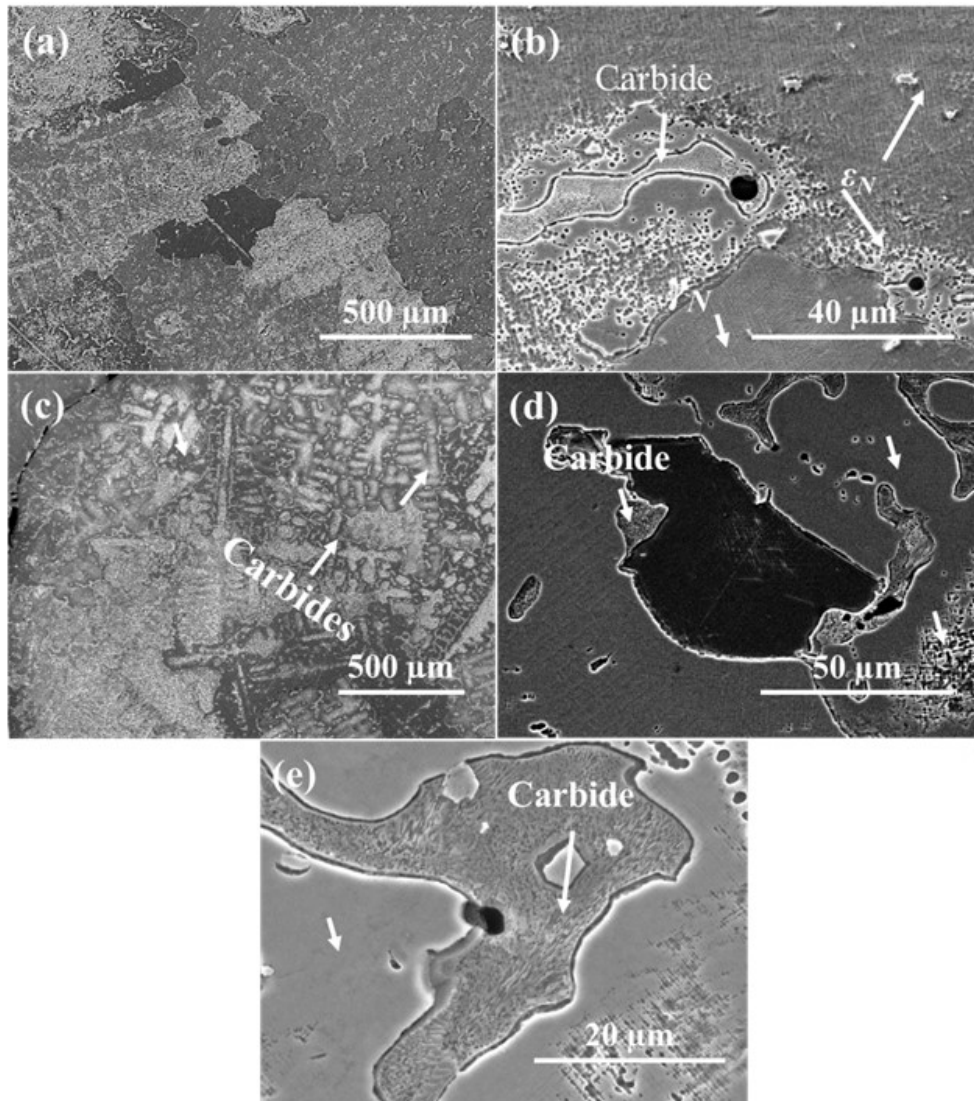
<b>Electrolyte</b>	<b>SBF (Hank's Solution)</b>					
<b>Repetition</b>	<b>SET-1</b>			<b>SET-2</b>		
<b>Sample ID</b>	<b><math>E_{\text{Corr}}</math> (mV)</b>	<b><math>I_{\text{Corr}}</math> (mAcm<sup>-2</sup>)</b>	<b>OCP (mV)</b>	<b><math>E_{\text{Corr}}</math> (mV)</b>	<b><math>I_{\text{Corr}}</math> (mAcm<sup>-2</sup>)</b>	<b>OCP (mV)</b>
<b>Untreated</b>	-776.37	2.42E <sup>-03</sup>	-776.54	-762.53	2.50E <sup>-03</sup>	-737.50
<b>-700 V</b>	-222.67	1.63E <sup>-04</sup>	-61.56	-231.36	1.52E <sup>-04</sup>	-62.25
<b>-900 V</b>	-218.43	4.12E <sup>-04</sup>	-19.31	-205.19	3.18E <sup>-04</sup>	-55.61
<b>-1000 V</b>	-259.51	6.58E <sup>-04</sup>	-149.27	-243.01	6.92E <sup>-04</sup>	-155.36
<b>-1100 V</b>	-249.51	4.00E <sup>-03</sup>	-182.65	-240.02	3.61E <sup>-03</sup>	-197.46

**Table 3:** OCP and polarisation data obtained from the polarisation curves of the nitrided specimens and the untreated specimen in Hank's solution (0.9 wt.% NaCl). All the electrochemical potentials reported are with respect to Ag/AgCl reference electrode.

A significant influence of the nitriding process (voltage and hence microstructure) can be observed on the corrosion currents recorded. According to the corrosion currents recorded until around +800 mV, the results could be divided into two categories. One: results which had significantly lower anodic corrosion currents; namely specimens nitrided at low voltages (-700V and -900V). And secondly: results which show higher corrosion currents amid a stable passivation domain; namely samples nitrided at higher voltages (-1000 V and -1100V).

As described in section 3.1, the microstructure of nitrided layer for -770 V and -900 V samples (figure 3) predominately consisted of a distinct, uniform S phase band at the interface of the base alloy followed by a compound layer consisting of both  $M_{2-3}N$  and  $M_4N$  (where M is mostly Co dominated) amid slight differences in thickness. Despite the solution being made up of several inorganic salts and aggressive chloride and sulphate ions, the beneficial effect of the diffusion-based S phase and the  $Co_{2-3}N + Co_4N$  compound layer in retarding the dissolution of the passive layers and the outward diffusion of Co was clearly evident from the polarization curves.

Figure 7 shows the SEM images of the corroded surfaces of the samples nitrided at -700 V and -900 V specimens.



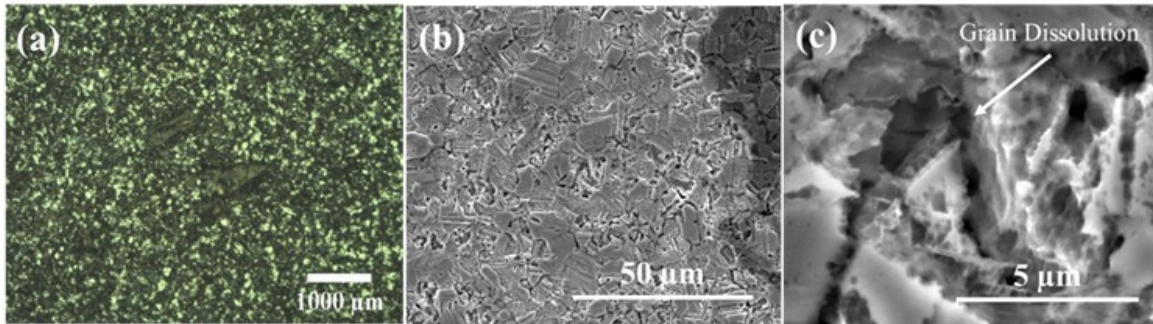
**Figure 7:** SEM plan view images of the corroded areas (a) -700 V sample: centre of the exposed area (b) high magnification image of corroded metal carbide (c) -900 V sample (d-e) area depicting the intersection of  $\gamma_N$  and metal carbide after potentiodynamic polarisation test in Hank's solution.

The low magnification SEM image of the corroded surface of the -700 V nitrided sample (figure 7a) shows different grains with a varying degree of corrosion intensity, however, exposing the partially dissolved metal carbides, most of them protruding prominently from the surrounding

matrix, irrespective of their concentration in the grains. Figure 7b shows the corroded surface at a higher magnification of the same sample. The image focuses on a metal carbide surrounded by a  $\epsilon_N$  and a  $\gamma_N$  grain. Extensive preferential dissolution of a  $\epsilon_N$  grain (grain boundary) and the metal carbide interface could be observed along with extensive micro pitting in the  $\epsilon_N$  grain in the immediate vicinity of the metal carbide. Similar results of preferential dissolution of grain boundaries surrounding the metal carbides (due to Cr denudation leading to enhanced galvanic effects) have been reported before [57]. Inherent porosity arising from the manufacturing technology could also be observed. In general, the  $\gamma_N$  grains exhibited negligible changes in spatial features suggesting a gradual corrosion of these which was consistent with the gradual rise in the corrosion currents observed in the polarisation curve recorded for this sample. Figure 7(c-e) shows the corroded surface of the -900 V nitrated sample. In this case as well, preferential dissolution of the  $\epsilon_N$  grains resulting in the carbides being exposed (figure 8c), preferential dissolution of the interface of metal carbide and  $\epsilon_N$  grain (figure 7(d-e)) could be observed prominently. Table 4 gives the atomic percentage (at%) of Cr and N measured in the  $\gamma_N$  and the  $\epsilon_N$  grains of the uncorroded nitrated specimens in this study with the help of the EDS technique. The results suggest that the  $\epsilon_N$  grains are depleted of Cr as compared to the  $\gamma_N$  grains. This could explain the preferential dissolution of the  $\epsilon_N$  since they lose out on the protective Cr oxide based passive layer formation [58]. The lower corrosion rate of the -700V and -900 V nitrated samples as compared to the untreated could be thus attributed to corrosion resistant  $\gamma_N$  grains and to some extent to the thicker 'S' phase diffusion layer (around 123-283nm).

Sample ID		Phases (at. %)	
		$\gamma_N$	$\epsilon_N$
-700 V	Cr	$24.9 \pm 0.34$	$23.6 \pm 0.32$
	N	$26.9 \pm 0.26$	$29.1 \pm 0.03$
-900 V	Cr	$25.5 \pm 0.15$	$24.4 \pm 0.21$
	N	$26.5 \pm 0.08$	$23.2 \pm 0.17$
-1000 V	Cr	$24.3 \pm 0.14$	$23.9 \pm 0.16$
	N	$24.7 \pm 0.28$	$25.6 \pm 0.50$
-1100 V	Cr	$26.16 \pm 0.14$	$24.2 \pm 0.19$
	N	$20.56 \pm 0.21$	$25.23 \pm 0.19$

**Table 4:** Elemental composition in terms of Cr and N content measured in the  $\gamma_N$  and the  $\epsilon_N$  grains with the help of EDX technique.



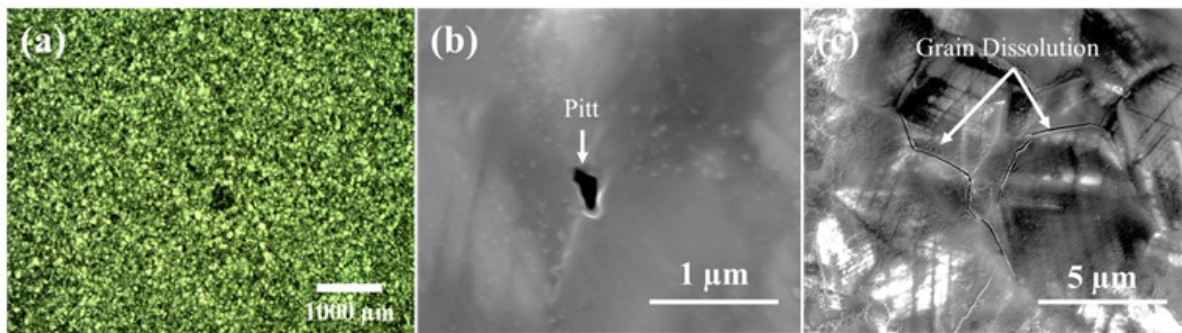
**Figure 8:** (a) Bright field optical micrograph of the -1000 V sample (b) SEM plan view of centre of exposed area of sample and (c) SEM plan view of dissolved grain boundary area along the  $\epsilon_N$  grains at higher magnification.

Figure 8 shows the exposed surface area of sample nitrided at -1000 V after potentiodynamic polarisation test in Hank's solution. At low magnification, the microstructure of the sample showed random distribution of fine grains of  $\epsilon_N$  (dark contrast)  $\gamma_N$  (bright contrast) phases (figure 8a). The dark contrast in the bright field optical image was due to the scattering of light associated with roughness due to corrosion. Figure 8 (b) shows the SEM image of this corroded area at higher magnification. On a closer look, significant dissolution of the grain boundaries, preferably along the  $\epsilon_N$  grains could be prominently observed. Literature suggests that any precipitation of CrN/Cr<sub>2</sub>N will happen along the grain boundaries [59], [60]. Figure 8c shows such a dissolved area near the grain boundaries at a higher magnification. It revealed that these terminate into pits which run deeper into the specimen, presumably breach the diffusion-based S phase layer and reach untreated alloy with the passage of time. It could also be speculated that, the application of higher nitriding voltages, results in S phase diffusion layer with higher defect densities due to the more intensive ion bombardment. XRD results also suggested that higher nitriding voltages (>1000 V and -1100 V in this case) promoted the formation of  $\epsilon_N$  phase. Thus, the poor corrosion resistance of the  $\epsilon_N$  grains along with the amplified galvanic effects due to compositional changes associated with nitride precipitation along the grain boundaries could be attributed to the enhanced corrosion of these areas. This is consistent with the steep rise of corrosion currents recorded for this sample from the  $E_{Corr}$  to almost +200 mV (figure 5b). The sharp passivation domain seen from around +200 mV to around +800 mV could be thus attributed to the response from the corrosion resistant S phase [53] (albeit thinner than the previous two samples) and the freshly exposed base alloy.

Figure 9 shows the corroded areas of the sample nitrided at -1100 V. As evident from the bright and dark contrast areas in the optical image 9 (a), the corrosion performance and mechanism of

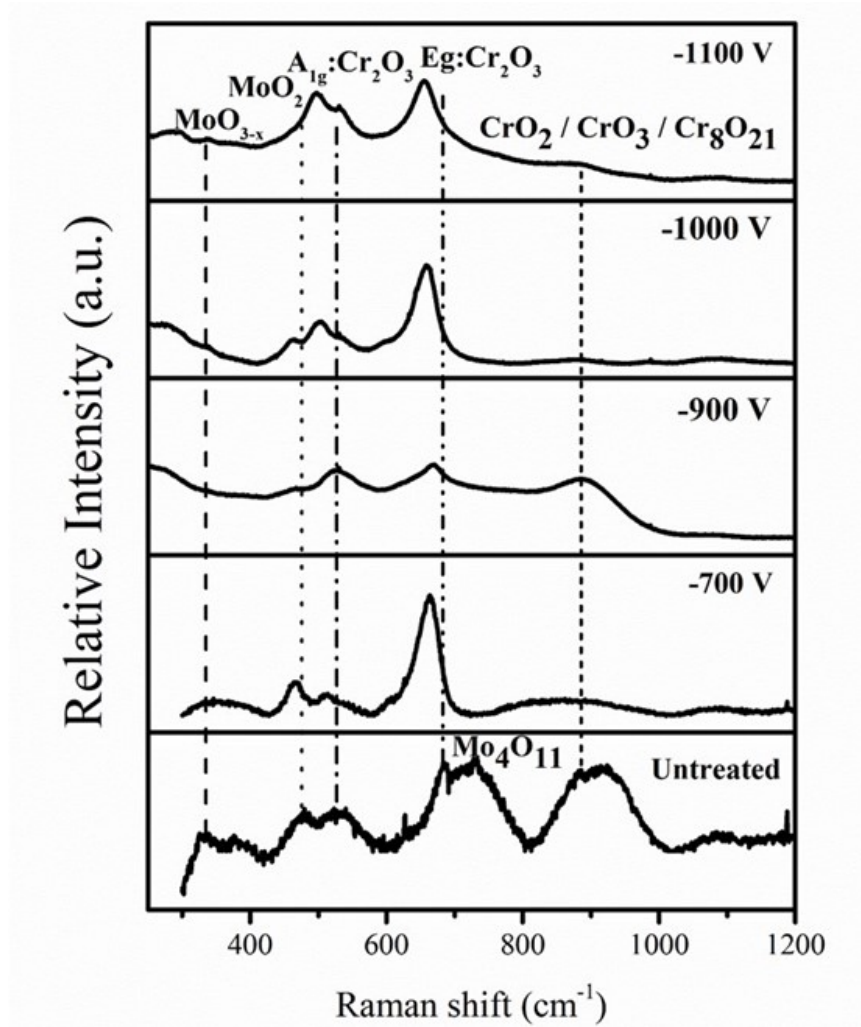


this sample resembled to that of the -1000 V. Figure 9 (b), shows a pit formed at the junction of three different grains due to preferential dissolution. Apart from the grain boundary dissolution mechanism, intergranular cracks were also found for this sample. XRD results showed that this sample had the maximum lattice expansion due to higher nitrogen incorporation along with the preferred formation of  $\epsilon_N$  phase [1]. Thus, the cracking could be attributed to higher intrinsic stress of the nitrided layer facilitated by corrosion (stress-corrosion), which in turn contributed to the higher corrosion currents by providing the solution a direct path to the areas below.



**Figure 9:** Corroded area of the sample nitrided at -1100 V; (a) Optical micrograph of the corroded area (b) SEM image of pit formed near the grain boundaries (c) Intergranular cracking.

Figure 10 exhibits the Raman spectra of the nitrided, and untreated specimens obtained from different sections of the corroded area (which were peculiar and anticipated to be associated with the formation of corrosion products). It was clear from the Raman spectra, that all the specimens indicated the formation of metal oxides (MOs) mainly based on Cr and Mo. No evidence of Co based oxides was found throughout the exposed area, which reiterated that Co did not form oxides which reaffirms results available through the literature.



**Figure 10:** Raman spectra obtained from the corroded areas of the untreated and the nitrided alloys.

From the figure 10, it could be seen that no phases of any MOs were observed in the frequency range of 200-400  $\text{cm}^{-1}$  for untreated alloy. After 400  $\text{cm}^{-1}$  frequency, peaks related to  $\text{CrO}_2$ ,  $\text{MoO}_2$  (Eg band),  $\text{Cr}_2\text{O}_3$  (both  $A_{1g}$  and  $E_g$  band),  $\text{CrO}_2$  ( $B_{2g}$  band),  $\text{CrO}_3$ ,  $\text{Cr}_8\text{O}_{21}$  and  $\text{Mo}_4\text{O}_{11}$  could be observed [61], [62], [63], [64], [65], [66], [67], [68], [69], [70], [71], [72]. Most of these peaks originated from the areas near the  $\gamma_N$  and carbides. However, no clear peaks on  $\epsilon_N$  phases were found. Even though the intensity of the  $\text{Cr}_2\text{O}_3$  and  $\text{CrO}_2/\text{MoO}_2$  peaks changed as the sample

was scanned from different areas within the corroded portions, the nature and the combination of the phase remained the same.

Raman spectra obtained for nitrated specimen hinted the formation of different Cr and Mo oxides. As it could be seen from the figure 10,  $\text{Cr}_2\text{O}_3$  phase dominated in all nitrated specimens. The highest intensity of  $\text{Cr}_2\text{O}_3$  was observed in the case of -700 V which corresponded to  $E_g$  bending. Whereas, for -900 V sample, both  $A_{1g}$  and  $E_g$  bending of  $\text{Cr}_2\text{O}_3$  contributed the most compared to other Cr and Mo oxide phases. Apart from these phases, spectra of nitrated specimens were also found to have three extra MO peaks which were observed at the start of the scan ( $200\text{-}400\text{ cm}^{-1}$ ). As presented in table 5, these peaks corresponded to the formation of  $\text{MoO}_3$ ,  $\text{CrO}_4$  and  $\text{CrO}_6$  and correlates to the  $\nu_4$  bending mode [70], [71]. However, peak position of these phases were slightly shifted from original position ( $285\text{ cm}^{-1}$   $B_{2g}$ :  $\delta\text{ O}=\text{M}=\text{O}$ , wagging) [70]. Peak shifting is often associated with the roughness of the exposed area due to the multiple scattering of phonons. Surprisingly, both  $\text{CrO}_4$  and  $\text{CrO}_6$  phases were not observed for the -700 V and -1000 V samples. On the other hand,  $\text{MoO}_3$  was observed for all sample nitrated at higher voltage ( $\geq -900\text{ V}$ ). At the same time, no evidence of  $\text{CrO}_2$  ( $B_{2g}$ ) and  $\text{Mo}_4\text{O}_{11}$  was found in any of nitrated specimens. At the end of the spectra, a broad peak (frequency range between  $800\text{ to }900\text{ cm}^{-1}$ ) was observed which corresponded to amorphous Cr oxides.

Some of the peaks were found to have similar frequency as other phases such as  $\text{CrO}_3$  or  $\text{Cr}_8\text{O}_{21}$  and  $\text{Mo}_4\text{O}_{11}$  which have orthorhombic crystal structure. The peak at  $907\text{ cm}^{-1}$  corresponded to both  $\text{CrO}_3$  and  $\text{Mo}_4\text{O}_{11}$ , whereas this peak ( $\text{Mo}_4\text{O}_{11}$ ) attributed to terminal Mo-O vibration. Interestingly, Raman peaks related to Co oxides (balanced element) were not found which indicated that Co mainly dissolves during the tests into electrolyte (Hank's solution). Likewise, CrO and MoO phases were absent and could not be indexed.

Type of Metal Oxide	APS	Sample A	Sample B	Sample C	Sample D
<b>MoO<sub>3-x</sub></b>			274.6	280.19	287.41
<b>CrO<sub>4</sub> (v<sub>4</sub> bending)</b>			335.53		337.93
<b>CrO<sub>6</sub> (v<sub>4</sub> bending)</b>			391.66		387.83
<b>CrO<sub>2</sub> (E<sub>g</sub>)</b>	479.92	464.3	465.44	472.65	497.51
<b>MoO<sub>2</sub> (E<sub>g</sub>)</b>	508.08	514.3		516.76	
<b>Cr<sub>2</sub>O<sub>3</sub> (A<sub>1g</sub>)</b>	522.94		526.38		531.19
	540.15	540.9			
<b>Cr<sub>2</sub>O<sub>3</sub> (E<sub>g</sub>)</b>	626.98	627		609.78	
	634.81	663.8	668.32		654.59
	683.31	665.3		675.54	
<b>CrO<sub>2</sub> (B<sub>2g</sub>)</b>	702.86				
	709.09				
<b>Mo<sub>4</sub>O<sub>11</sub></b>	733.37				
	746.68				
<b>CrO<sub>3</sub> or Cr<sub>8</sub>O<sub>21</sub></b>	829.59	857			
	885.92	862.5	886.45	878.43	870.41
<b>Mo<sub>4</sub>O<sub>11</sub></b>	907.82				
	923.47				

**Table 5:** Raman spectra obtained from the corroded area of the untreated and the nitrided alloys.

#### 4 Conclusions

The current work demonstrated that the HIPIMS technique could be effectively used for nitriding CoCrMo alloys in order to improve its corrosion resistance. The corrosion performance, in both cases of 3.5% wt NaCl and Hank's solution, was sensitive to the microstructure of the untreated and the nitrided alloys, which in turn could be controlled by varying the nitriding voltage. From this study the following important inferences could be drawn:

1. The thickness and the composition of the nitrided layer changed with an increase in bias voltage ( $U_b = -700$  V to  $-1100$  V) and reached around 5 microns for samples nitrided at  $-1100$  V.
2. A significant improvement in the  $E_{\text{Corr}}$  values was observed irrespective of the nitriding voltage as compared to the untreated alloys.
3. Microstructure played a significant role in determining the corrosion resistance, wherein the  $\epsilon_N$  grains and the grain boundaries seemed to preferentially corrode as compared to the  $\gamma_N$  grains. Also, precipitation of Cr based nitrides ( $\text{CrN}$  and  $\text{Cr}_2\text{N}$ ) observed for higher nitriding voltages of  $-1000$  V and  $-1100$  V deteriorated the corrosion resistance of the nitrided alloy.
4. The nitrided alloy appeared to draw its corrosion resistance from the corrosion resistant  $\gamma_N$  grains and the diffusion-based S phase layers. CS-SEM studies revealed that this layer was thickest in the case of  $-900$  V.
5. The specimens nitrided at  $-900$  V exhibited the best corrosion resistance followed by the those nitrided at  $-700$  V in both test environments. This could be attributed due to right combination of a thicker S phase diffusion-based layer at the substrate interface and a

compound layer ( $M_{2-3}N$  and  $M_4N$ , where M= is mainly Co dominated) which produced Cr + Mo based passive layers when subjected to corrosive conditions.

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## 6 Authors Contribution:

**Krishnanand S. P. Shukla:** Experimental work, data collection, processing and analyses, draft preparation. **Yashodhan P. Purandare:** Experimental work, processing and analyses, draft preparation. **Arunprabhu A. Sugumaran:** Nitriding experimental work **A. P. Ehasarian:** Leading the HIPIMS research, results interpretation, and draft verification. **Imran Khan:** Defining the research idea, **P. Eh Hovsepien:** Defining the research idea, Technology and material development, Supervision, and scientific interpretation of the experimental results.

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