

Treatment choices for older women with primary operable breast cancer and cognitive impairment: Results from a prospective, multicentre cohort study.

MARTIN, Charlene, SHRESTHA, Anne, MORGAN, Jenna, BRADBURN, Michael, HERBERT, Esther, BURTON, Maria <<http://orcid.org/0000-0002-5411-8181>>, TODD, Annaliza, WALTERS, Stephen, WARD, Sue, HOLMES, Geoffrey, REED, Malcolm, COLLINS, Karen <<http://orcid.org/0000-0002-4317-142X>>, ROBINSON, Thompson G, RING, Alistair, CHEUNG, Kwok-Leung, AUDISIO, Riccardo, GATH, Jacqui, REVELL, Deirdre, GREEN, Tracy, LIFFORD, Kate, EDWARDS, Adrian, CHATER, Tim, PEMBERTON, Kirsty and WYLD, Lynda

Available from Sheffield Hallam University Research Archive (SHURA) at:

<https://shura.shu.ac.uk/27906/>

This document is the Published Version [VoR]

Citation:

MARTIN, Charlene, SHRESTHA, Anne, MORGAN, Jenna, BRADBURN, Michael, HERBERT, Esther, BURTON, Maria, TODD, Annaliza, WALTERS, Stephen, WARD, Sue, HOLMES, Geoffrey, REED, Malcolm, COLLINS, Karen, ROBINSON, Thompson G, RING, Alistair, CHEUNG, Kwok-Leung, AUDISIO, Riccardo, GATH, Jacqui, REVELL, Deirdre, GREEN, Tracy, LIFFORD, Kate, EDWARDS, Adrian, CHATER, Tim, PEMBERTON, Kirsty and WYLD, Lynda (2020). Treatment choices for older women with primary operable breast cancer and cognitive impairment: Results from a prospective, multicentre cohort study. *Journal of Geriatric Oncology*. [Article]

Copyright and re-use policy

See <http://shura.shu.ac.uk/information.html>



Contents lists available at ScienceDirect

Journal of Geriatric Oncology



Treatment choices for older women with primary operable breast cancer and cognitive impairment: Results from a prospective, multicentre cohort study

Charlene Martin ^a, Anne Shrestha ^a, Jenna Morgan ^a, Michael Bradburn ^b, Esther Herbert ^b, Maria Burton ^c, Annaliza Todd ^a, Stephen Walters ^c, Sue Ward ^d, Geoffrey Holmes ^d, Malcolm Reed ^e, Karen Collins ^c, Thompson G. Robinson ^f, Alistair Ring ^j, Kwok-Leung Cheung ^g, Riccardo Audisio ^h, Jacqui Gath ⁱ, Deirdre Revell ⁱ, Tracy Green ⁱ, Kate Lifford ^k, Adrian Edwards ^k, Tim Chater ^b, Kirsty Pemberton ^b, Lynda Wyld ^{a,*}

^a Department of Oncology and Metabolism, University of Sheffield, The Medical School, Beech Hill Road, Sheffield S10 2RX, UK

^b Clinical Trials Research Unit, School for Health and Related Research, University of Sheffield, Sheffield, UK

^c Centre for Health and Social Care Research, Sheffield Hallam University, Collegiate Crescent, Sheffield, UK

^d Department of Health Economics and Decision Science, School for Health and Related Research, University of Sheffield, Sheffield, UK

^e Brighton and Sussex Medical School, Falmer, Brighton, UK

^f Department of Cardiovascular Sciences and NIHR Leicester Biomedical Research Centre, University of Leicester, The Glenfield Hospital, Leicester LE3 9QP, UK

^g School of Medicine, University of Nottingham, Royal Derby Hospital Centre, Uttoxeter Road, Derby DE22 3DT, UK

^h Department of Surgery, Institute of Clinical Sciences, Blå Stråket 5, Sahlgrenska University Hospital, 413 45 Göteborg, Sweden

ⁱ Yorkshire and Humber Consumer Research Panel, UK

^j Breast Unit, Royal Marsden Hospital NHS Foundation Trust, London, UK

^k Division of Population Medicine, School of Medicine, Cardiff University, Neuadd Meirionnydd, Heath Park, Cardiff CF14 4YS, UK

ARTICLE INFO

Article history:

Received 3 July 2020

Received in revised form 16 November 2020

Accepted 9 December 2020

Available online xxxx

Keywords:

Older people

Oncology

Cognitive impairment

Dementia

Breast cancer

ABSTRACT

Objectives: The presence of dementia co-existing with a diagnosis of breast cancer may render management more challenging and have a substantial impact on oncological outcomes. The aim of this study was to examine the treatment and outcomes of older women with co-existing cognitive impairment and primary breast cancer.

Materials and methods: A prospective, multicentre UK cohort study of women aged 70 years or over with primary operable breast cancer. Patients with and without cognitive impairment were compared to assess differences in treatment and survival outcomes.

Results: In total, 3416 women were recruited between 2013 and 2018. Of these, 478 (14%) had a diagnosis of dementia or cognitive impairment, subcategorised as mild, moderate and severely impaired. Up to 85% of women with normal cognition underwent surgery compared to 74%, 61% and 40% with mild, moderate, and severe impairment ($p = 0.001$). Among women at higher risk of recurrence, the uptake of chemotherapy was 25% for cognitively normal women compared to 20%, 22% and 12% for mild, moderate and severe impairment groups ($p = 0.222$). Radiotherapy use was similar in the subgroups. Although patients with cognitive impairment had shorter overall survival (HR: 2.10, 95% CI: 1.77–2.50, $p < 0.001$), there were no statistically significant differences in breast cancer specific or progression-free survival.

Conclusion: Cognitive impairment appears to play a significant part in deciding how to treat older women with breast cancer. Standard treatment may be over-treatment for some women with severe dementia and careful consideration must be given to a more tailored approach in these women.

© 2020 The Author(s). Published by Elsevier Ltd. This is an open access article under the CC BY-NC-ND license (<http://creativecommons.org/licenses/by-nc-nd/4.0/>).

1. Introduction

Many diseases and co-morbidities are linked to ageing; the majority of cancers are more prevalent in older age groups and often present in patients with age-related co-morbidities, including dementia. With

increasing age, the possibility of having a co-existing cancer and a diagnosis of dementia increases [1] and is associated with decreased cancer specific and overall survival [2,3].

It is estimated that 7–10% of breast cancer patients have a co-existent diagnosis of cognitive impairment (CI) or dementia [4]. Compared to non-cognitively impaired patients, this group has a six-fold higher risk of all-cause mortality within two years of diagnosis, which emphasises the importance of minimising treatment morbidity in this

* Corresponding author.

E-mail address: l.wyld@sheffield.ac.uk (L. Wyld).

group [5]. Patients with dementia often present with later stage disease [6], which contributes to inferior breast cancer specific survival for these women [4,7]. Overall survival is also reduced in patients with dementia and cancer, as dementia increases the risk of all-cause mortality [8], in particular pneumonia [9]. Our previous analysis of UK registry data showed that patients with breast cancer and dementia have inferior overall and breast cancer specific survival if surgery is omitted [10]. Dementia was also an independent risk factor for non-guideline concordant care [4,11].

People living with dementia may present complex challenges from legal, ethical, and practical perspectives, particularly in cases where the patient does not have capacity to give informed consent to treatment and has not put in place an advance care plan. Dementia itself is a complex disease with multiple aetiologies and symptoms including memory loss, lack of cognitive capacity, confusion, and anxiety. The impact of cancer treatments will vary according to the severity of dementia and ability to monitor side effects. Surgery under general anaesthetic in the over 70s may cause prolonged post-operative cognitive dysfunction [12], acute post-operative delirium [13], and long-term cognitive decline, especially following major surgical resections, further compromising cognitive function [14]. The precise aetiology of this is not clear [15]. Cognitive impairment may also complicate the delivery of adjuvant treatments, including chemotherapy. For example, it is vital that patients receiving chemotherapy proactively report side-effects, including fever, which may signal life-threatening sepsis. Furthermore, patients undergoing chemotherapy may experience a degree of cognitive dysfunction, such as chemo-brain, which might exacerbate existing cognitive problems in a person living with dementia [16,17].

In some cases, reduced life expectancy may reduce the risk of breast cancer mortality, especially with indolent cancers, and some low grade, oestrogen receptor positive (ER+) breast cancers. Primary endocrine therapy (PET), where surgery is omitted in women with ER+ breast cancer, may be selected in these situations, trading reduced therapeutic benefit (reduced breast cancer specific survival and a higher rate of local disease progression) against reduced surgical morbidity [18]. Primary endocrine therapy is not without adverse effects. These may include hot flushes, bone density loss and joint pain due to use of aromatase inhibitors, although the majority of women who have surgery will also be advised to take adjuvant aromatase inhibitors therapy for 5 years, so these side effects will affect both groups equally [19]. Some women with very low risk ER+ breast cancer may safely omit adjuvant endocrine therapy after surgery, especially in countries like the Netherlands where guidelines do not advise endocrine therapy for low risk ER+ breast cancers. The main disadvantage of PET is the risk of development of endocrine resistance after a median of ~3 years which may necessitate a change of management and an increased risk of failure of local and systemic disease control.

The decision-making process to select treatments for older patients is complex and wide variation exists in their use [20,21]. The treatment decision-making process for patients with dementia is therefore challenging and should include consideration of the cancer prognosis, the physical health of the patient, the degree of dementia or impairment, and the wishes of the patient and their caregivers. The aims of this study were to examine a large prospective UK cohort of older women with primary breast cancer, with or without co-existing CI or dementia, and evaluate their treatment patterns and survival outcomes.

2. Materials and Methods

2.1. Study Design

Ethics approval was granted by London South East Research Ethics Committee in November 2012 (12/LO/1808, IRAS ID: 115550). All patients (or their caregivers) gave written informed consent. The recruitment of participants with a formal diagnosis of dementia was compliant

with Section 33 of the Mental Capacity Act (UK legislation to protect the rights of people with cognitive impairment) [22,23].

Bridging the Age Gap in Breast Cancer was a prospective UK multicentre cohort study, which collected data on patient and cancer characteristics, treatment allocation, and survival outcomes in older women (>70) with primary operable breast cancer [24–26].

To identify individuals with CI, participants who were assented to the study by a consultee were categorised as having severe impairment, as proxy consent was an indicator for the patient being unable to give informed consent to participate in the study. Where patients gave informed consent to participate, cognition status was ascertained through completion of the Charlson Index [27] form or the MMSE. The MMSE scored participants as having mild, moderate, or severe impairment according to standard scoring protocols (normal [27–30], mild CI [21–26], moderate CI [11–20], and severe CI (0–10)) [28]. The MMSE score always took precedence over Charlson Index categorisation if available, with participants re-categorised according to MMSE score. Where available, a review of current medications was also performed. Participants who were able to give informed consent to join the study and had no other indication of impairment were assumed to have normal cognition.

2.2. Study Data Collection

2.2.1. Baseline

Patient demographics and co-morbidity data were collected using a Modified Charlson Index score; for the purposes of this analyses, age and presence of dementia were omitted from the standard calculation. Functional status was determined by the validated Activities of Daily Living (ADL) score [29], Instrumental Activities of Daily Living (IADL) [30] and the Eastern Cooperative Oncology Group performance status (ECOG-PS) [31] scores. Nutritional status was assessed using the Abridged Patient Generated Subjective Global Assessment (aPG-SGA) [32–34]. Scoring of each tool followed standard published criteria. Primary breast tumour characteristics collected included grade, biological subtype and tumour stage (clinical, imaging and pathological stage used the TNM system, Version 8 [35]). Pathological axillary stage was not collected for patients who did not have surgery, but clinical assessment and pre-operative ultrasound and biopsy of nodal disease were recorded.

2.2.2. Follow-up

All patients were directly followed up at 6 weeks, and at 6, 12, 18, and 24 months to collect data on the treatment they received, adverse events, recurrence, and survival. Cause of death was assessed by certification and classed as either breast cancer-specific or other cause. Recurrence and mortality were obtained directly from participating breast cancer units for up to 24 months and from the UK cancer registry (following specific patient or caregiver consent) for up to a median follow-up of 52 months.

2.2.3. Recruitment and Eligibility

Recruitment took place at 56 breast cancer units in England and Wales between February 2013 and June 2018. Participants were recruited after a new diagnosis of primary operable breast cancer. Eligibility criteria: women aged 70 or over, primary operable breast cancer (TNM: T1–3 and some T4b, N0–1, M0). Exclusion criteria: metastatic disease, previous invasive breast cancer within five years. There were no limits for language or cognitive function.

2.2.4. Data Analysis

Statistical analyses were performed in IBM SPSS (Version 26.0) and Stata (Version 16.1). Each patient or tumour characteristic was summarised in relation to cognitive category. Discrete characteristics were summarised by numbers and percentages, with statistical significance assessed by Chi-squared test. Continuous characteristics were summarised as the median and range, and statistical significance assessed by a non-parametric Kruskal Wallis test.

Overall survival and breast cancer specific survival were both compared between patients with CI (of any severity) and without impairment by propensity score matching. Two matching approaches were used. In the first analysis, patients with CI were matched with up to three non-impaired patients that had the same category of Nottingham Prognostic Index (NPI; risk categories ≤ 3.4 , >3.4 to ≤ 5.4 , >5.4), oestrogen receptivity (ER) and treatment (surgery and ER+, surgery and ER-, PET and ER+), and age to within a calliper width of one year (approximately 1/6th of a standard deviation) [36]. The second approach matched on NPI category, ER category, and treatment and also a more detailed propensity score including functionality (ADL, IADL, and ECOG), nutrition (aPG-SGA) and co-morbidity (CCI score

excluding dementia and age) to a calliper of 0.015 propensity score units (approximately 0.2 standard deviations) with up to two matches allowed. The comparisons were quantified by Kaplan-Meier curves and Cox regression, presented for unadjusted and the matched analyses; matching was accounted for by use of shared frailty terms [37].

3. Results

3.1. Patient Characteristics

A total of 3416 women were included in the analysis. Of these, 2938 (86%) were considered to have normal cognition and 478 (14%) had

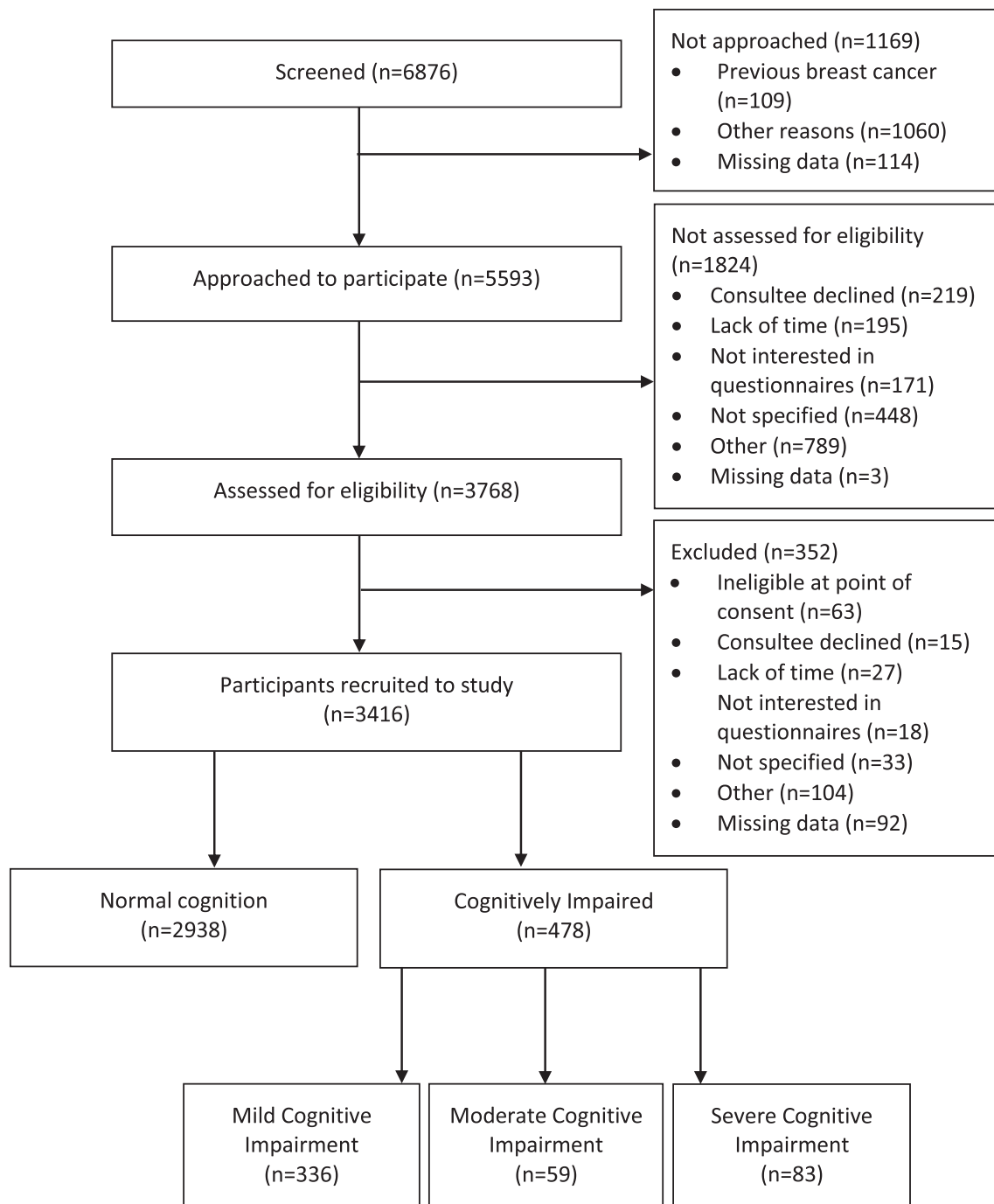


Fig. 1. Study recruitment summary (patient flow diagram).

Table 1
Baseline demographics and characteristics of study participants by cognitive impairment level.

	Cognition category				P value
	Normal function (N = 2938)	Mild impairment (N = 336)	Moderate impairment (N = 59)	Severe impairment (N = 83)	
Age					
Median (range)	76 (69–102)	79 (70–96)	80 (70–99)	83 (70–97)	<0.001
ADL Score ^a					
Completed	2607 (88.7%)	321 (95.5%)	57 (96.6%)	33 (39.8%)	
Median Score (range)	100 (5–100)	100 (30–100)	100 (30–100)	70 (20–100)	<0.001
Percentage (%) of women scoring ^a					
100	1982 (76.0%)	194 (60.4%)	29 (50.9%)	4 (12.1%)	
95	314 (12.0%)	40 (12.5%)	7 (12.3%)	2 (6.1%)	
≤90	311 (11.9%)	87 (27.1%)	21 (36.8%)	27 (81.8%)	
IADL Score ^b					
Median (range)	8 (0–8)	8 (0–8)	7 (0–8)	2 (0–8)	<0.001
CCI Score ^c					
Median (range)	1 (0–13)	1 (0–7)	1 (0–8)	2 (0–7)	<0.001

^a ADL scored according to Lawton and Brody (1969).

^b IADL scored according to Lawton and Brody (1969).

^c Age and presence of dementia was omitted from the CCI calculation.

some level of cognitive impairment, identified by MMSE score completion or a previous diagnosis of dementia (summarised in Fig. 1). The median age of women with normal cognition was 76 years (range 69–102; five women attended shortly before their 70th birthday and were retained in the study), while women with mild, moderate and severe CI had a median age of 79, 80 and 83, respectively. ADL, IADL and CCI scores are summarised in Table 1.

3.2. Tumour Characteristics

Tumour characteristics were similar between groups, with no statistically significant difference found in terms of nodal status, grade, ER

and HER2 status. There was difference in the size of tumour at presentation; women with moderate or severe CI were more likely to have larger tumours than women with normal cognition (Chi-squared, $p < 0.001$) Table 2.

3.3. Treatment Selection

Primary treatment data were available for 3315 patients, of whom 2811 (82.3%) underwent surgery (+/– adjuvant treatment) and 504 (14.8%) were treated with PET. Only 365/2938 (12.4%) of women with normal cognition were treated with PET compared to 75/336 (22.3%) of women with mild, 21/59 (35.6%) moderate and 43/83 (51.8%) with

Table 2
Tumour characteristics of study participants by cognitive impairment level.

Tumour characteristics	Cognition category				Total	P value *
	Normal function	Mild impairment	Moderate impairment	Severe impairment		
Unilateral	2871 (97.7%)	326 (97.0%)	59 (100%)	79 (95.2%)	3335 (97.6%)	0.24
Bilateral	67 (2.3%)	10 (3.0%)	0 (0%)	4 (4.8%)	81 (2.4%)	
Size						<0.001
T1	1745 (59.4%)	205 (61.0%)	29 (49.2%)	34 (41.0%)	2013 (58.9%)	
T2	1110 (37.8%)	122 (36.3%)	24 (40.7%)	48 (57.8%)	1304 (38.2%)	
T3	71 (2.4%)	8 (2.4%)	6 (10.2%)	0 (0%)	85 (2.5%)	
Unknown	12 (0.4%)	1 (0.3%)	0 (0%)	1 (1.2%)	14 (0.4%)	
Number of positive nodes						0.54
None	2468 (84.0%)	284 (84.5%)	46 (78.0%)	68 (81.9%)	2866 (83.9%)	
1–3	380 (12.9%)	44 (13.1%)	6 (10.2%)	9 (10.8%)	439 (12.9%)	
4 +	23 (0.8%)	1 (0.3%)	1 (1.7%)	2 (2.4%)	27 (0.8%)	
Unknown	67 (2.3%)	7 (2.1%)	6 (10.2%)	4 (4.8%)	84 (2.5%)	
Provisional grade						0.26
1	455 (15.5%)	48 (14.3%)	5 (8.5%)	15 (18.1%)	523 (15.3%)	
2	1760 (59.9%)	212 (63.1%)	33 (55.9%)	52 (62.7%)	2057 (60.2%)	
3	587 (20.0%)	62 (18.5%)	18 (30.5%)	13 (15.7%)	680 (19.9%)	
Unknown	136 (4.6%)	14 (4.2%)	3 (5.1%)	3 (3.6%)	156 (4.6%)	
Nottingham Prognostic Index (NPI)						0.19
≤3.4	1290 (43.9%)	139 (41.4%)	17 (28.8%)	32 (38.6%)	1478 (43.3%)	
>3.4 to ≤5.4	1297 (44.1%)	159 (47.3%)	28 (47.5%)	38 (45.8%)	1522 (44.6%)	
>5.4	121 (4.1%)	11 (3.3%)	5 (8.5%)	5 (6.0%)	142 (4.2%)	
Unknown	230 (7.8%)	27 (8.0%)	9 (15.3%)	8 (9.6%)	274 (8.0%)	
ER						0.95
Negative	343 (11.7%)	40 (11.9%)	7 (11.7%)	8 (9.6%)	398 (11.7%)	
Positive	2561 (87.2%)	292 (86.9%)	52 (88.1%)	74 (89.2%)	2979 (87.2%)	
Unknown	34 (1.2%)	4 (1.2%)	0 (0%)	1 (1.2%)	39 (1.1%)	
HER2						0.39
Negative	1992 (67.8%)	207 (61.6%)	45 (76.3%)	60 (72.3%)	2304 (67.5%)	
Inconclusive	83 (2.8%)	12 (3.6%)	1 (1.7%)	1 (1.2%)	97 (2.8%)	
Positive	283 (9.6%)	37 (11.0%)	5 (8.5%)	4 (4.8%)	329 (9.6%)	
Unknown	580 (19.7%)	80 (23.8%)	8 (13.6%)	18 (21.7%)	686 (20.1%)	

* p -value excludes patients with missing or unknown data.

Table 3
Treatment choice by cognitive impairment level.

Primary treatment: all tumour types/patients	Cognition category				P value
	Normal function (N = 2938)	Mild impairment (N = 336)	Moderate impairment (N = 59)	Severe impairment (N = 83)	
Surgery (+/- adjuvant therapy	2494 (84.9%)	248 (73.8%)	36 (61.0%)	33 (39.8%)	<0.001
PET	365 (12.4%)	75 (22.3%)	21 (35.6%)	43 (51.8%)	
No treatment/unknown	79 (2.7%)	13 (3.9%)	2 (3.4%)	7 (8.4%)	
ER positive patients	Normal function (N = 2561)	Mild impairment (N = 292)	Moderate impairment (N = 52)	Severe impairment (N = 74)	P value
Surgery (+/- adjuvant	2139 (83.5%)	208 (71.2%)	30 (57.7%)	25 (33.8%)	<0.001
PET	362 (14.1%)	75 (25.7%)	20 (38.5%)	43 (58.1%)	
No treatment/ unknown	60 (2.3%)	9 (3.1%)	2 (3.8%)	6 (8.1%)	
Adjuvant therapy	Normal function	Mild impairment	Moderate impairment	Severe impairment	P value
Chemotherapy in women with high recurrence risk cancer ^a	342/1346 (25.4%)	28/139 (20.0%)	4/18 (22.2%)	2/17 (11.8%)	0.32
Radiotherapy in women following BCS or high risk histology post mastectomy ^b	727/1161 (62.6%)	66/110 (60.0%)	9/15 (60%)	4/13 (30.8%)	0.12
Trastuzumab in women with HER-2 positive disease ^c	126/289 (43.6%)	10/34 (29.4%)	1/4 (25.0%)	2/5 (40.0%)	0.39

^a Among participants for whom chemotherapy should be considered on the basis of having any of the following: (i) HER2+; ii) ER-; iii) ER+ and histological grade 3; iv) presence of one or more positive lymph nodes or v) oncotype DX recurrence score of 30 or above.

^b Among participants for whom radiotherapy should be considered on the basis of having any of the following after mastectomy: i) tumour of > 5 cm or T4, ii) presence of four or more positive lymph nodes, iii) tumour resection margins positive, iv) histological grade 3 AND any nodal disease, v) nodal disease (1–3 nodes) if other risk factors such as adverse tumour biology (triple negative phenotype or HER-2 positive), or all women following breast conserving surgery.

^c Among participants for whom Trastuzumab should be considered on the basis of a HER-2+ tumour greater than 0.5 cm.

severe CI ($p < 0.001$, Table 3). Cognitive impairment was also associated with an increasing rate of PET in the 2979 women with ER+ cancers. Use of adjuvant chemotherapy following surgery was more similar between the groups. Among the 1520 women with high recurrence risk cancer, chemotherapy was given to 342/1346 (25.4%) women with normal cognition compared to 28/139 (20.0%) with mild impairment, 4/18 (22.2%) with moderate impairment, and 2/17 (11.8%) with severe impairment ($p = 0.321$). The use of radiotherapy and trastuzumab were slightly higher among patients with normal cognitive function, though not significantly so. Similarly, analysis of the use of trastuzumab was limited by small numbers of patients with cognitive impairment and HER2 positive disease. Use of radiotherapy (if indicated by breast conservation surgery or high risk histology after mastectomy) also appeared higher in the normal cognition group, although numbers did not reach statistical significance ($P < 0.12$, Table 3). There were 727/1161 (62.6%) with normal cognition having radiotherapy compared to 4/13 (30.8%) in those with severe CI.

3.4. Surgical Treatment

Breast cancer surgery was significantly higher in women with normal cognition compared to cognitively impaired patients; 84.9% of women with normal cognition underwent surgery compared to women with mild (73.8%), moderate (61.0%), and severe (39.8%)

impairment ($p = 0.001$). A total of 2735 surgeries could be categorised according to cognition status with 56 cases missing data, which could not be analysed. Of these, rates of wide local excision and mastectomy were comparable across all groups. There was a trend for women with cognitive impairment to undergo mastectomy compared to breast conserving treatment, but this difference did not reach statistical significance ($P < 0.19$, Table 4). For women with normal function, the rate of wide local excision (57.4%) was higher than those undergoing mastectomy (36.5%), whereas for women with severe impairment, rates were nearly equal (16/33, 48.5%). This may be accounted for by the slightly larger primary tumour size seen in cognitively impaired participants.

3.5. Adverse Events and Systemic Complications

Data on adverse events relating to surgery and other treatments were recorded during study follow-up according to standard Common Terminology Criteria for Adverse Events (CTCAE) [38]. There were seven systemic complications in patients with CI compared to 50 in women with normal cognition (Supplemental Table 1). There was no clear association between cognitive impairment and systemic complications following surgery, as numbers were too small for meaningful analysis.

Table 4
Breakdown of surgical treatment type for all patients undergoing surgery.

Type of surgery	Cognition category			
	Normal function	Mild impairment	Moderate impairment	Severe impairment
Breast conserving treatment				
Wide local excision	1432 (57.4%)	132 (53.2%)	18 (50.0%)	16 (48.5%)
Therapeutic mastoplasmy	46 (1.8%)	3 (1.2%)	1 (2.8%)	1 (3.0%)
Mastectomy				
Mastectomy	911 (36.5%)	110 (44.4%)	13 (36.1%)	15 (45.4%)
Mastectomy & reconstruction	34 (1.4%)	1 (0.4%)	2 (5.6%)	0 (0%)
Other	19 (0.8%)	0 (0%)	1 (2.8%)	0 (0%)
Missing	52 (2.1%)	2 (0.8%)	1 (2.8%)	1 (3.3%)
Totals	2494	248	36	33

3.6. Survival

Patients with cognitive impairment had reduced overall survival compared to those without impairment (hazard ratio: 2.10, 95% CI 1.77 to 2.5, $p < 0.001$), but the effect was greatly reduced (although not removed) when comparing patients matched for other characteristics (Fig. 2; Table 5). The effect sizes were similar for both models: matching for age, NPI, ER and treatment gave a hazard ratio (HR) of 1.39 (95% CI 1.09 to 1.78, $p = 0.01$) whilst adding functionality, nutrition and co-morbidity gave a HR of 1.23 (95% CI 0.94 to 1.62, $p = 0.13$). By contrast, both breast cancer specific survival and progression-free survival were similar with no significant difference in hazard both with and without propensity matching despite the differences in treatment allocation.

4. Discussion

This analysis demonstrates the variation between the treatments that older women with CI receive compared to women with normal cognitive function. The use of PET is increased in women with cognitive impairment, particularly those with severe impairment. Similar figures

Table 5

Results of Cox proportional hazard analysis of overall, breast cancer specific and progression free survival.

Comparison*	Hazard ratio (95% CI)	p-value
Overall survival		
Unadjusted	2.10 (1.77, 2.50)	<0.001
Matched for age, treatment and NPI	1.39 (1.09, 1.78)	0.01
Matched for age, treatment, NPI and functionality	1.23 (0.94, 1.62)	0.13
Breast cancer specific survival		
Unadjusted	1.39 (1.00, 1.93)	0.05
Matched for age, treatment and NPI	0.96 (0.61, 1.50)	0.86
Matched for age, treatment, NPI and functionality	0.93 (0.58, 1.49)	0.76
Progression free survival		
Unadjusted	1.08 (0.74, 1.60)	0.68
Matched for age, treatment and NPI	1.43 (0.89, 2.28)	0.14
Matched for age, treatment, NPI and functionality	0.91 (0.52, 1.60)	0.74

were found by Hooper and colleagues [39], with PET offered to 62% of patients with co-morbidities (inclusive of dementia). This practice is in keeping with UK NICE guidelines which state that PET can be an

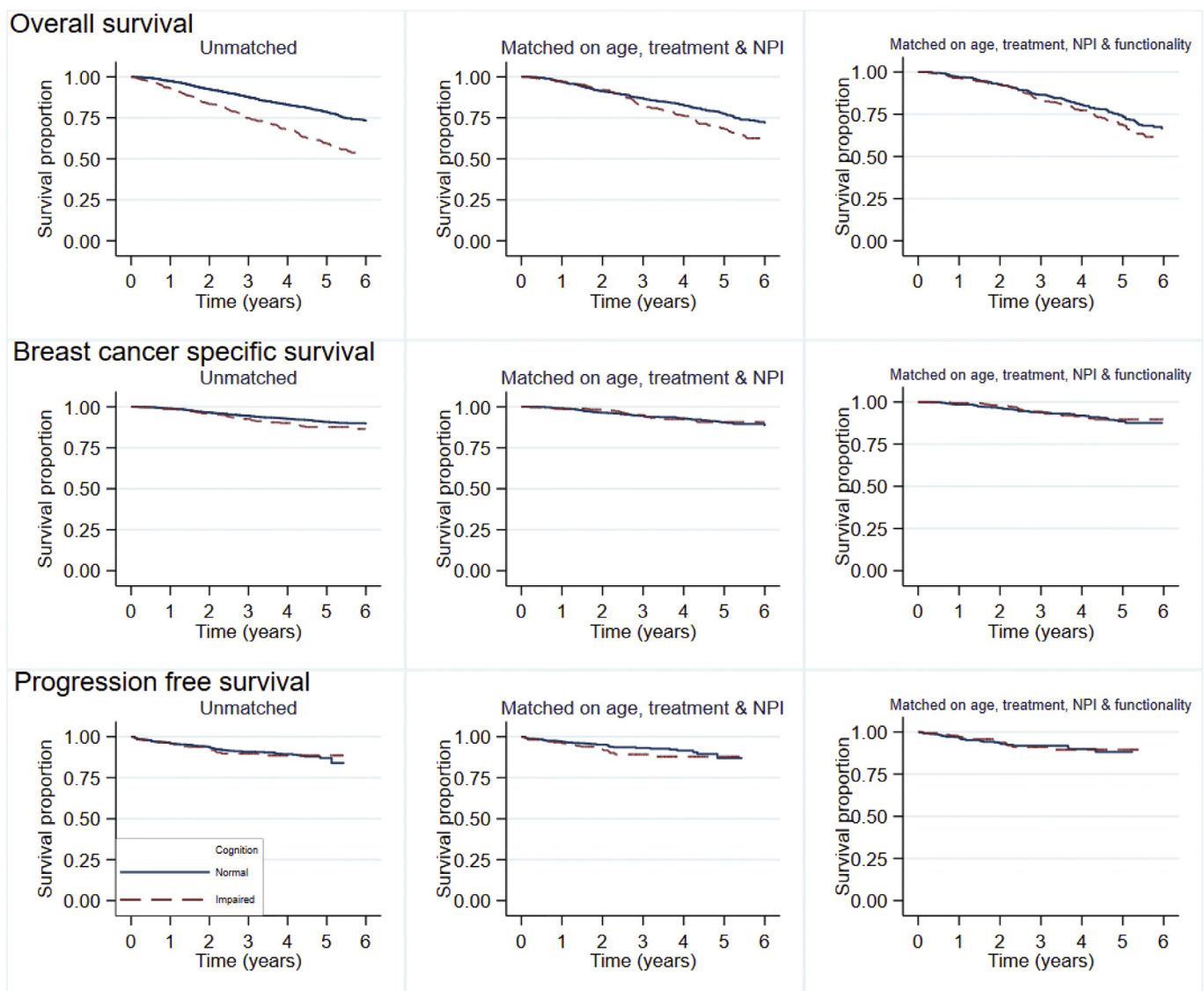


Fig. 2. Kaplan-Meier survival curves (overall, breast cancer specific and progression free survival) and comparisons between propensity matched patients with and without cognitive impairment.

appropriate option for women with ER+ tumours, short life expectancy or those considered too frail to withstand surgery [40].

In the sub-analysis of ER+ cases, the rate of PET use in patients with normal cognition was significantly lower than in women with cognitive impairment. These findings are reflected in other studies which present the view that in some cases, non-surgical management may be more appropriate for women with ER+ cancers [41,42]. In this study, rates of PET in patients with dementia were higher than reported in the recent UK national audit (NABCOP) rate of 24% [20]. However, the NABCOP audit reported all women over 70 and did not sub-analyse for a cohort with cognitive impairment.

The study observed a decrease in surgical management in women with cognitive impairment (Supplemental Material 2). Reasons for lower rates of breast conserving surgery in the cognitively impaired groups may include clinicians offering PET due to a perceived risk of co-morbidities and age, patient and caregiver preferences for what is perceived as a lower risk/lower morbidity option in women with lower life expectancy, and a wish on the part of patients' caregiver to optimise quality of life. Another factor may be clinician preference [26] where cognitive impairment has been shown to be a significant clinician driver for non-surgical treatment [11].

Women with cognitive impairment were slightly more likely to undergo mastectomy compared to women with normal cognition, although this difference did not reach statistical significance. This may reflect a desire to avoid post-operative radiotherapy [43] or may be a result of larger tumour sizes seen in women with cognitive impairment. Other drivers for mastectomy may include patient or caregiver preference, the desire to optimise patient outcomes; local disease control and a reduced risk of recurrence are often perceived as more likely with mastectomy, despite evidence to the contrary [44]. There may be a perception that a patient with CI may be less concerned about body image. In contrast it could also be argued that wide excision is less major surgery with a lower risk of morbidity for a group of patients who are generally in poorer health.

In this study, cognitive impairment was associated with a decreased rate of overall survival, which includes death from all causes, including breast cancer. This is to be expected as our study participants with cognitive impairment were older, had poorer functional status and higher rates of co-morbidities. When propensity matched analysis was performed, the difference in overall survival is reduced but remains significant. When breast cancer specific survival was examined, there was minimal difference in outcomes between patients with and without cognitive impairment and this disappeared completely when matching was performed. This suggests that non-breast cancer causes of death are relatively more important in patients with dementia, and the selective use of PET in the cohort of older women with ER+ breast cancer does not increase breast cancer specific mortality.

Systemic complications reported in this study were low, which suggests that treatment tolerance in patients with cognitive impairments is acceptable, although another explanation may be that some adverse events are underreported in cognitively impaired groups. There were no deaths attributed to surgery in the study [25] although there were five deaths within 90 days of surgery (which suggests these women were over-treated). In general those individuals at the greatest risk of surgical morbidity received PET, keeping surgical mortality to a minimum. Follow-up at 52 months showed no difference in rates of progression free survival, and rates of local control were similar between groups.

4.1. Study Limitations

Bridging the Age Gap is one of the largest prospective studies of treatment and outcomes in patients over 70, and has uniquely collected detailed data about cognitive status by permitting proxy consent of patients with cognitive impairment [26,45]. In addition, the study collected detailed baseline health and fitness status allowing us to tease

out the contribution of impairment from other co-morbidities, frailty and older age, which often confound these analyses. There are some limitations to the categorisation of proxy consented patients, as this group were not expected to complete a MMSE form. Cognitive severity was not formally assessed for women who self-consented to the study and had a diagnosis of dementia indicated on the Charlson form, unless a MMSE score was available. A review of medications was performed where MMSE was unavailable for these women. Cognitive impairment was only recorded at baseline, which may also be viewed as a limitation of the study.

The first propensity matching technique was relatively simple and only matched for age, tumour stage and biology, and treatment type. In particular, the matching did not include other co-morbidities which may have been a source of bias when attempting to show association of cognitive impairment with survival. By contrast, the second match (which included co-morbidity score and measures of functionality) may have caused overmatching, wherein the impact of dementia on outcome is diluted by including patients in the matched group that were similar in terms of underlying cognition [46].

A second limitation is missing data. This was due to some patients choosing not to complete optional questionnaires (ADL, MMSE) and unavailable data on treatment, adverse events and HER2 status. The data do however have advantages over registry data where dementia severity is not categorised and may be less fully or accurately recorded than in this prospective observational cohort study. Finally, follow-up of this cohort is only 52 months and longer term follow-up will be needed to validate the survival outcomes, especially for women with ER+ cancers, where events occur over several decades.

4.2. Clinical Implications

The variation in treatment offered to older women is reflected in the lack of best practice evidence-based guidelines that take into account the heterogeneity of frailty and fitness levels in older age groups. Older women continue to be poorly represented in randomised trials [47], meaning that there is little guidance on whether surgery or PET is more beneficial for women with multi-morbidity. Where previous trials have attempted to investigate this, the recruitment of older participants has been challenging [48]. As a result, there are a lack of data on how older patients tolerate treatments, and there are no models or guidelines to guide clinicians on the benefit of systemic therapies in patients over 80 years of age. There are also differences in opinion from clinicians on how women within this age group should be treated [21].

5. Conclusion

This analysis confirms that the severity of cognitive impairment is a significant predictor of PET for older women with breast cancer, and cognition appears to play a significant part in deciding how to treat older women with breast cancer. The presence of cognitive impairment is linked to higher rates of overall mortality but has limited impact on breast cancer related death, suggesting that breast cancer is being adequately (not under-)treated in this group, despite the reduced treatments delivered. The high rate of non-breast cancer causes of death at one year after diagnosis may suggest that some of these women may have been over-treated. Careful consideration must be given to a more tailored approach in these women.

ICMJE author contributions

Conception and Design: Wyld L, Collins K, Reed MW, Edwards EA, Lifford K, Burton M, Martin C, Morgan J, Walters S, Ring A, Ward S, Bradburn M, Herbert E, Chater T, Pemberton K, Revell D, Green T, Gath J, Robinson T, Audisio R, Holmes G.

Data Collection: Wyld L, Martin C, Morgan J, Burton M, Reed MW, Lifford K, Holmes G, Ward S, Collins K, Shrestha A, Chater T, Pemberton K,

Edwards A, Todd A, Cheung KL, Revell D, Green T, Gath J, Robinson T, Audisio R.

Analysis and Interpretation of data: Wyld L, Martin C, Shrestha A, Morgan J, Bradburn M, Herbert E, Burton M, Walters S, Holmes G, Ward S.

Manuscript Writing: Martin C, Shrestha A, Morgan J, Bradburn M, Wyld L.

All authors have approved the final article.

Funder

This article presents independent research funded by the National Institute for Health Research (NIHR) under the Programme Grants for Applied Research Programme [number RP-PG-1209-10,071]. The views expressed in this publication are those of the author(s) and not necessarily those of the NIHR or the Department of Health and Social Care.

Sponsor

Doncaster and Bassetlaw Teaching Hospitals NHS Foundation Trust, Clinical Research Office, First Floor 'C' Block, Doncaster Royal Infirmary, Armthorpe Road, Doncaster, DN2 5LT, UK.

Declaration of Competing Interest

The authors declare no conflict of interest. Professors Thompson Robinson and Stephen Walters are National Institute for Health Research (NIHR) Senior Investigators and Jenna Morgan is a NIHR Clinical Lecturer.

Acknowledgements

The authors would like to thank the women who participated in the Bridging the Age Gap study, local principal investigators and research nurses at recruiting centres.

Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.jgo.2020.12.006>.

References

- Matthews FE, Arthur A, Barnes LE, Bond J, Jagger C, Robinson L, et al. A two-decade comparison of prevalence of dementia in individuals aged 65 years and older from three geographical areas of England: results of the cognitive function and ageing study 1 and 2. *Lancet* 2013;382(9902):1405–12.
- Kimmick G, Fleming ST, Sabatino SA, Wu XC, Hwang W, Wilson JF, et al. Comorbidity burden and guideline-concordant care for breast cancer. *J Am Geriatr Soc* 2014;62(3):482–8.
- Patnaik JL, Byers T, DiGiuseppi C, Denberg TD, Dabelea D. The influence of comorbidities on overall survival among older women diagnosed with breast cancer. *J Natl Cancer Inst* 2011;103(14):1101–11.
- Raji MA, Kuo YF, Freeman JL, Goodwin JS. Effect of a dementia diagnosis on survival of older patients after a diagnosis of breast, colon, or prostate cancer: implications for cancer care. *Arch Intern Med* 2008;168(18):2033–40.
- Libert Y, Dubruille S, Borghgraef C, Etienne AM, Merckaert I, Paesmans M, et al. Vulnerabilities in older patients when cancer treatment is initiated: does a cognitive impairment impact the two-year survival? *PLoS One* 2016;11(8):e0159734.
- Gorin SS, Heck JE, Albert S, Hershman D. Treatment for breast cancer in patients with Alzheimer's disease. *J Am Geriatr Soc* 2005;53(11):1897–904.
- Louwman WJ, Janssen-Heijnen ML, Houterman S, Voogd AC, van der Sangen MJ, Nieuwenhuijzen GA, et al. Less extensive treatment and inferior prognosis for breast cancer patient with comorbidity: a population-based study. *Eur J Cancer* 2005;41(5):779–85.
- Goodwin JS, Samet JM, Hunt WC. Determinants of survival in older cancer patients. *J Natl Cancer Inst* 1996;88(15):1031–8.
- Kukull WA, Brenner DE, Speck CE, Nochlin D, Bowen J, McCormick W, et al. Causes of death associated with Alzheimer disease: variation by level of cognitive impairment before death. *J Am Geriatr Soc* 1994;42(7):723–6.
- Morgan J, Ward S, Francis M, Collins K, Robinson TG, Reed M, et al. The effect of dementia on the treatment of older women with breast cancer. *Future Oncol* 2015;11(4):13.
- Morgan JL, Walters SJ, Collins K, Robinson TG, Cheung KL, Audisio R, et al. What influences healthcare professionals' treatment preferences for older women with operable breast cancer? An application of the discrete choice experiment. *Eur J Surg Oncol* 2017;43(7):1282–7.
- Moller JT, Cluitmans P, Rasmussen LS, Houx P, Rasmussen H, Canet J, et al. Long-term postoperative cognitive dysfunction in the elderly ISPOCD1 study. ISPOCD investigators. International study of post-operative cognitive dysfunction. *Lancet* 1998;351(9106):857–61.
- Tei M, Wakasugi M, Kishi K, Tanemura M, Akamatsu H. Incidence and risk factors of postoperative delirium in elderly patients who underwent laparoscopic surgery for colorectal cancer. *Int J Colorectal Dis* 2016;31(1):67–73.
- Plas M, Rottevel E, Izaks GJ, Spikman JM, van der Wal-Huisman H, van Etten B, et al. Cognitive decline after major oncological surgery in the elderly. *Eur J Cancer* 2017;86:394–402.
- Severn A. Anaesthesia and the preparation and management of elderly patients undergoing surgery. *Eur J Cancer* 2007;43(15):2231–4.
- Ahles TA, Saykin AJ, McDonald BC, Li Y, Furstenberg CT, Hanscom BS, et al. Longitudinal assessment of cognitive changes associated with adjuvant treatment for breast cancer: impact of age and cognitive reserve. *J Clin Oncol* 2010;28(29):4434–40.
- Sanoff HK, Deal AM, Krishnamurthy J, Torrice C, Dillon P, Sorrentino J, et al. Effect of cytotoxic chemotherapy on markers of molecular age in patients with breast cancer. *J Natl Cancer Inst* 2014;106(4):dju057.
- Morgan JL, Collins K, Reed MW, Mamdani J, Cousins S, Ingram S, et al. Bridging the age gap in breast cancer interim analysis of the impact of comorbidity, dementia and frailty on rates of surgery in older women. *Eur J Surg Oncol (EJSO)* 2014;40(11):39–40.
- Lee SY, Seo JH. Current strategies of endocrine therapy in elderly patients with breast cancer. *Biomed Res Int* 2018;2018:6074808.
- NABCO. National Audit of Breast Cancer in Older Patients; 2018.
- Morgan JL, Collins K, Robinson TG, Cheung KL, Audisio R, Reed MW, et al. Healthcare professionals' preferences for surgery or primary endocrine therapy to treat older women with operable breast cancer. *Eur J Surg Oncol* 2015;41(9):1234–42.
- The Mental Capacity Act 2005 Code of practice; 2007.
- Mental Capacity Act; 2005.
- Collins K, Reed M, Lifford K, Burton M, Edwards A, Ring A, et al. Bridging the age gap in breast cancer: evaluation of decision support interventions for older women with operable breast cancer: protocol for a cluster randomised controlled trial. *BMJ Open* 2017;7(7):e015133.
- Morgan JL, George J, Holmes G, Martin C, Reed MWR, Ward S, et al. Breast cancer surgery in older women: outcomes of the bridging age gap in breast cancer study. *Br J Surg* 2020;107:1468–79. <https://doi.org/10.1002/bjs.11617>.
- Morgan JL, Holmes G, Ward S, Martin C, Burton M, Walters SJ, et al. Observational cohort study to determine the degree and causes of variation in the rate of surgery or primary endocrine therapy in older women with operable breast cancer. *Eur J Surg Oncol* 2020. <https://doi.org/10.1016/j.ejso.2020.09.029>.
- Charlson ME, Pompei P, Ales KL, MacKenzie CR. A new method of classifying prognostic comorbidity in longitudinal studies: development and validation. *J Chronic Dis* 1987;40(5):373–83.
- Folstein MF, Folstein SE, McHugh PR. "Mini-mental state": a practical method for grading the cognitive state of patients for the clinician. *J Psychiatr Res* 1975;12(3):189–98.
- Mahoney FI, Barthel DW. Functional evaluation: the Barthel index. *Md State Med J* 1965;14:61–5.
- Lawton MP, Brody EM. Assessment of older people: self-maintaining and instrumental activities of daily living. *Gerontologist* 1969;9(3):179–86.
- Oken MM, Creech RH, Tormey DC, Horton J, Davis TE, McFadden ET, et al. Toxicity and response criteria of the eastern cooperative oncology group. *Am J Clin Oncol* 1982;5(6):649–55.
- Read JA, Crockett N, Volker DH, MacLennan P, Choy ST, Beale P, et al. Nutritional assessment in cancer: comparing the mini-nutritional assessment (MNA) with the scored patient-generated subjective global assessment (PGSGA). *Nutr Cancer* 2005;53(1):51–6.
- Ottery FD. Definition of standardized nutritional assessment and interventional pathways in oncology. *Nutrition* 1996;12(1 Suppl):S15–9.
- Vigano AL, di Tomasso J, Kilgour RD, Trutschnigg B, Lucar E, Morais JA, et al. The abridged patient-generated subjective global assessment is a useful tool for early detection and characterization of cancer cachexia. *J Acad Nutr Diet* 2014;114(7):1088–98.
- Sobin LH, Gospodarowicz MK, Wittekind CTNM. Classification of Malignant Tumours. 7th ed., 2009Wiley-Blackwell; November 2009; 336 Wiley-Blackwell.
- Lunt M. Selecting an appropriate caliper can be essential for achieving good balance with propensity score matching. *Am J Epidemiol* 2014;179(2):226–35.
- Therneau TM, Grambsch P. Modelling Survival M. Data: Extending the cox model. Springer; 2000.
- CTCAE. Common terminology criteria for adverse events (CTCAE) Version 4.0. National Cancer Institute, National Institutes of Health, US Department of Health and Human Services; 2010.
- Hooper SB, Hill AD, Kennedy S, Dijkstra B, Kelly LM, McDermott EW, et al. Tamoxifen as the primary treatment in elderly patients with breast cancer. *Ir J Med Sci* 2002;171(1):28–30.
- NICE. Early and locally advanced breast cancer: diagnosis and management | Guidance | NICE. NICE; 2018.

- [41] Hind D, Wyld L, Beverley CB, Reed MW. Surgery versus primary endocrine therapy for operable primary breast cancer in elderly women (70 years plus). *Cochrane Database Syst Rev* 2006(1) Cd004272.
- [42] Biganzoli L, Wildiers H, Oakman C, Marotti L, Loibl S, Kunkler I, et al. Management of elderly patients with breast cancer: updated recommendations of the International Society of Geriatric Oncology (SIOG) and European Society of Breast Cancer Specialists (EUSOMA). *Lancet Oncol* 2012;13(4):e148–60.
- [43] Gu J, Groot G, Boden C, Busch A, Holtlander L, Lim H. Review of factors influencing women's choice of mastectomy versus breast conserving therapy in early stage breast cancer: A systematic review. *Clin Breast Cancer* 2018;18(4) e539–e54.
- [44] Hartmann-Johnsen OJ, Kåresen R, Schlichting E, Nygård JF. Survival is better after breast conserving therapy than mastectomy for early stage breast cancer: a registry-based follow-up study of norwegian women primary operated between 1998 and 2008. *Ann Surg Oncol* 2015;22(12):3836–45.
- [45] Todd A, Martin C, Morgan J, Walters S, Bradburn M, Burton M, et al. Age specific recruitment and retention to a large multicentre observational breastcancer trial in older women: the Age Gap Trial. *J Geriatr Oncol* 2020. <https://doi.org/10.1016/j.jgo.2020.10.015> In Press.
- [46] Breslow NE, Day NE. Statistical methods in cancer research. Volume I - The analysis of case-control studies. *IARC Sci Publ*, 32. ; 1980. p. 5–338.
- [47] Kemeny MM, Peterson BL, Kornblith AB, Muss HB, Wheeler J, Levine E, et al. Barriers to clinical trial participation by older women with breast cancer. *J Clin Oncol* 2003; 21(12):2268–75.
- [48] Reed MW, Wyld L, Ellis P, Bliss J, Leonard R, AaETM Groups. Breast cancer in older women: trials and tribulations. *Clin Oncol (R Coll Radiol)* 2009;21(2):99–102.