

**Are metabolic equivalents (METS) an accurate method for estimating change in peak oxygen consumption after cardiac rehabilitation?**

NICHOLS, Simon <<http://orcid.org/0000-0003-0377-6982>>, GLEDALL-SIDDALL, Damien, ANTONY, R., CLARK, A.L., CLELAND, J.G.F., INGLE, L. and CARROLL, Sean

Available from Sheffield Hallam University Research Archive (SHURA) at:

<http://shura.shu.ac.uk/21043/>

---

This document is the author deposited version. You are advised to consult the publisher's version if you wish to cite from it.

**Published version**

NICHOLS, Simon, GLEDALL-SIDDALL, Damien, ANTONY, R., CLARK, A.L., CLELAND, J.G.F., INGLE, L. and CARROLL, Sean (2017). Are metabolic equivalents (METS) an accurate method for estimating change in peak oxygen consumption after cardiac rehabilitation? In: BACPR EPG Conference, Birmingham, 19/10/2017. (Unpublished)

---

**Copyright and re-use policy**

See <http://shura.shu.ac.uk/information.html>



# ARE METABOLIC EQUIVALENTS (METS) AN ACCURATE METHOD FOR ESTIMATING CHANGE IN PEAK OXYGEN CONSUMPTION AFTER CARDIAC REHABILITATION?

S. Nichols<sup>1</sup>, D.O. Gleadall-Siddall<sup>2</sup>, R. Antony<sup>3</sup>, A.L. Clark<sup>3</sup>, J.G.F. Cleland, L. Ingle,<sup>2</sup> S. Carroll<sup>2</sup>.

<sup>1</sup>Newcastle University, <sup>2</sup>University of Hull, Hull, <sup>3</sup>Castle Hill Hospital, Hull, <sup>4</sup>Imperial College London

✉simon.nichols@ncl.ac.uk; @Nichols87Simon

## Introduction

Personalised cardiac rehabilitation (CR) exercise prescriptions should be based on an individualised assessment that includes determination of patients' cardiorespiratory fitness (CRF) [ACPICR, 2015]. Maximal cardiopulmonary exercise testing (CPET) is the "gold standard" method for determining CRF (Mezzani et al. 2013). However, CPET is not widely available in the UK and estimates of  $VO_{2peak}$  are typically used.

Calculation of peak metabolic equivalents (METs) derived from workloads achieved during incremental exercise testing is a common approach to estimating  $VO_{2peak}$ , a marker of CRF (ACSM, 2013; Buckley, et al. 2016). One MET is assumed to equate to a resting  $VO_2$  of  $3.5 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$  (Wasserman, et al. 2011). Increases in functional capacity reported from sequential exercise tests may be expressed in METs. Peak estimated METs achieved during maximal exercise testing in turn, can be used to quantify changes in CRF following exercise interventions (ACSM, 2013; ACPICR, 2015).

Large discrepancies between estimated (METs), and directly determined  $VO_{2peak}$  have previously been reported (Froelicher et al. 1984; Kavanagh et al. 2002). Peak estimated METs may therefore, not accurately estimate  $VO_{2peak}$  change following CR. Previous investigators have found no correlation ( $r=0.24$ ;  $p=0.100$ ) between  $VO_{2peak}$  change and peak estimated MET change in 50 patients with coronary heart disease [CHD] (Milani et al. 1995). Stuto et al. (2013) also present data indicating that the increase in directly determined  $VO_{2peak}$  following CR was approximately half (14.7%) of the 28.8% increase in estimated peak METs amongst 180 CHD patients.

This study therefore investigated the accuracy of estimating changes in  $VO_{2peak}$  in patients with CHD, by comparing patients' directly determined  $VO_{2peak}$  to  $VO_{2peak}$  estimated through the American College of Sports Medicine leg cycling equation (ACSM, 2013).

## Methods

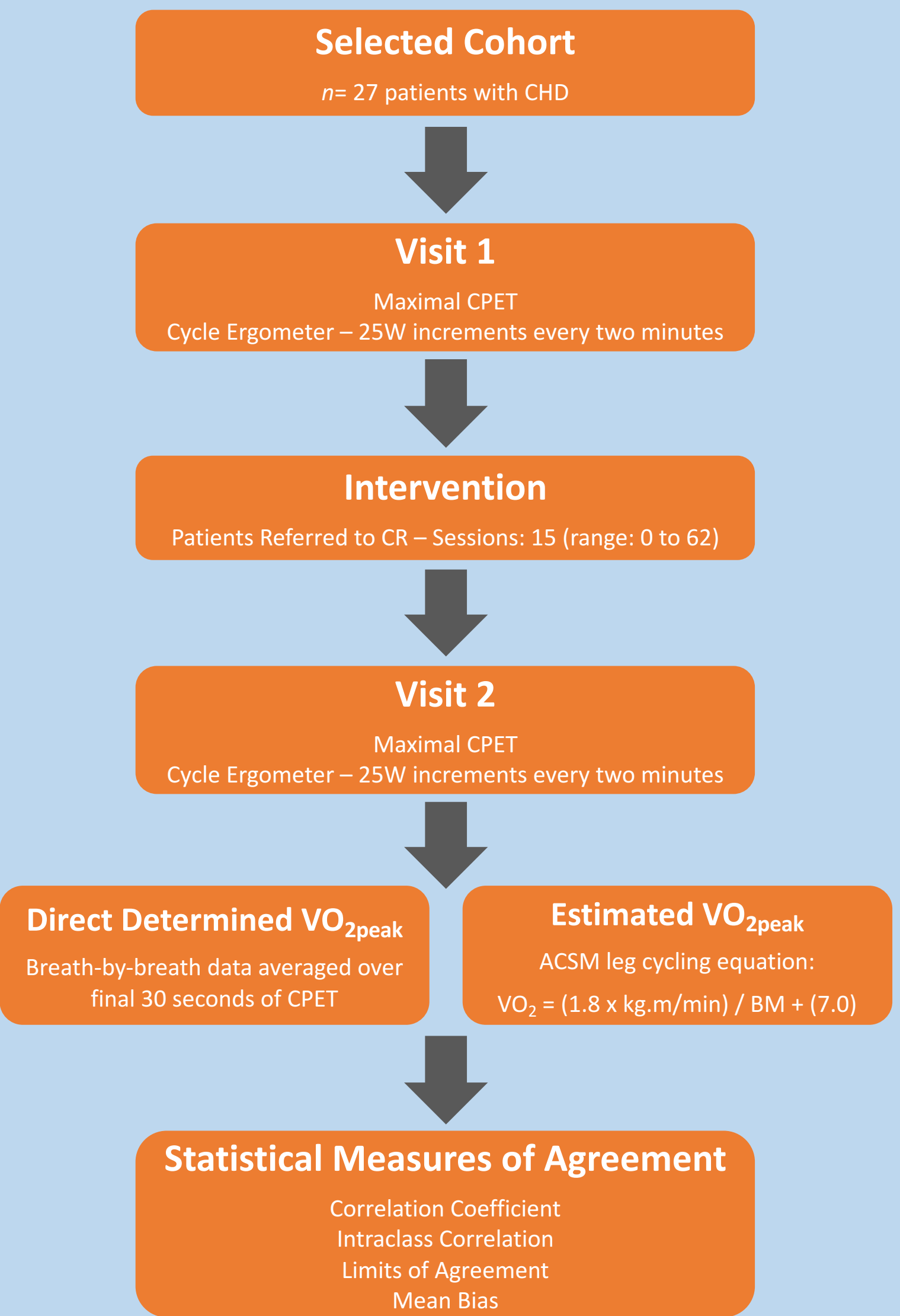


Figure 1 - Key experimental stages of the study

CHD = Coronary Heart Disease; CPET = Cardiopulmonary Exercise Testing; CR = Cardiac Rehabilitation; BM = Body Mass

Figure 1 shows the key stages involved in patient assessment, testing and, the statistical process applied to determine agreement between estimated  $VO_{2peak}$  and directly determined  $VO_{2peak}$ . All patients underwent maximal CPET, before and after referral to a CR exercise regime. Directly determined  $VO_{2peak}$  was calculated by averaging breath-by-breath metabolic gas exchange data over the final 30 seconds of CPET. Estimated  $VO_2$  was determined using the ACSM (2013) leg cycle equation. Correlation coefficients, intraclass correlations (ICC), Bland-Altman plots (with limits of agreement (LoA) were used to determine agreement between changes in directly determined  $VO_{2peak}$  and changes in estimated  $VO_{2peak}$ .

## Results

27 patients (88.9% male;  $59.5 \pm 10.0$  years; body mass index  $29.6 \text{ kg}\cdot\text{m}^{-2}$ ) with CHD were recruited. Mean left ventricular ejection fraction was  $58.9 \pm 9.2\%$ . Resting systolic, and diastolic blood pressure were  $140 \pm 19$  and  $83 \pm 10 \text{ mmHg}$ , respectively. Resting heart rate was  $60 \pm 7 \text{ bpm}$ . The majority of patients were referred to CR having sustained a myocardial infarction (59.3%), 37% of patients had been referred after elective percutaneous coronary intervention. Only one patient (3.7%) was referred having undergone coronary artery bypass grafting.

Changes in CRF are shown in Table 1. Despite an increase in work rate and exercise time,  $VO_{2peak}$  did not increase significantly ( $0.5 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ ; 95% CI  $-0.6$  to  $1.8 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ ) following CR. Consistent with the increased work rate, there was a significant increase in peak estimated METs (0.4 METs; 95% CI 0.1 to 0.6 METs). This corresponded to an estimated  $VO_{2peak}$  increase of  $1.4 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ . The mean  $\Delta VO_2/\Delta W$  slopes (measure of aerobic efficiency) was within normal limits ( $>8.4 \text{ ml}/\text{min}/\text{W}$ ), however 19% of all exercise tests had abnormal  $\Delta VO_2/\Delta W$  slopes.

Table 1 – Cardiorespiratory Fitness Change

Variable	Visit 1 ( $\pm$ SD)	Visit 2 ( $\pm$ SD)	Mean Change (95% CI)	P-Value
$VO_{2peak}$ ( $\text{ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ )	$21.9 \pm 7.6$	$22.5 \pm 7.2$	$0.5 (-0.6 \text{ to } 1.8)$	0.332
Estimated $VO_{2peak}$ ( $\text{ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ )	$20.9 \pm 6.4$	$22.2 \pm 6.7$	$1.3 (0.4 \text{ to } 2.2)$	0.006*
Estimated peak METs	$6.0 \pm 1.8$	$6.4 \pm 1.9$	$0.4 (0.1 \text{ to } 0.6)$	0.006*
Exercise Test Duration (Sec)	$585.4 \pm 228.1$	$651.8 \pm 250.0$	$66.4 (9.9 \text{ to } 122.9)$	0.023*
Peak Watts	$111.1 \pm 49.2$	$118.5 \pm 48.8$	$7.4 (1.4 \text{ to } 13.4)$	0.018*
$\Delta VO_2/\Delta W$ slope	$10.2 \pm 2.0$	$10.2 \pm 2.1$	$0.1 (-0.7 \text{ to } 0.9)$	0.829

$VO_{2peak}$  = Peak Oxygen Uptake; METs = Metabolic Equivalents; Sec=seconds;  $\Delta VO_2/\Delta W$  slope = Change in Oxygen Uptake Vs. Change in Work Rate slope  
\* = statistically significant

Measures of agreement for CPET variables are presented in Table 2. There was a significant association between directly determined  $VO_{2peak}$  and estimated  $VO_{2peak}$  on both pre and post- cardiac rehabilitation visits (Figure 2A and 2B). Of note, was the correlation between changes in directly-determined  $VO_{2peak}$  and estimated  $VO_{2peak}$  (Figure 3;  $r=0.527$ ,  $p=0.05$ ). The ICC between the two measurements was not significant (ICC 0.358; 95% CI  $-0.442$  to  $0.711$ ;  $p=0.138$ ). Bland-Altman analysis (Figure 4) showed the mean bias for changes in  $VO_{2peak}$  to be  $0.7 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$  (95% CI  $-0.4$  to  $1.8 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ ;  $p=0.178$ ). The LoA were  $-4.7$  to  $5.9 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$  (lower LoA 95% CI:  $-5.1$  to  $-4.3$ ; upper LoA 95% CI:  $5.5$  to  $6.3 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ ). There was a significant, moderate negative correlation between  $VO_{2peak}$  measurement error (estimated  $VO_{2peak}$  minus directly determined  $VO_{2peak}$ ) and  $\Delta VO_2/\Delta W$  slope (Figure 5,  $r=-0.496$ ,  $p<0.001$ ).

Table 2 – Measures of Agreement between Measured and Estimated  $VO_{2peak}$

	Correlation (r)	Mean Bias ( $\text{ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ )	LoA ( $\text{ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ )	ICC (95% CI)
$VO_{2peak}$ Vs. Estimated $VO_{2peak}$ at Visit 1	0.958*	-1.0*	-5.6 to 3.6	0.967 (0.921 to 0.986)*
$VO_{2peak}$ Vs. Estimated $VO_{2peak}$ at Visit 2	0.945*	0.3	-4.8 to 4.3	0.971 (0.936 to 0.987)*
Change in Estimated $VO_{2peak}$ Vs. Measured $VO_{2peak}$	0.527*	0.7	-4.6 to 5.9	0.358 (-0.442 to 0.711)

LoA = Limits of Agreement; ICC = Intraclass Correlation;  $VO_{2peak}$  = Peak Oxygen Uptake  
\* = Statistically Significant

## Conclusion

Estimated METs showed a high correlation with directly-measured  $VO_{2peak}$  in a representative cohort of patients attending CR. However, the estimated MET changes observed following CR correlated less well with direct measures and showed poor measurement agreement. Estimated METs may not accurately reflect mean  $VO_{2peak}$  changes following a CR exercise training intervention.

Our findings may in part, be due to poor aerobic efficiency. We found that  $\Delta VO_2/\Delta W$  slope was negatively correlated with estimated  $VO_{2peak}$  measurement error ( $r=-0.496$ ,  $p<0.001$ ) indicating that estimates of  $VO_{2peak}$  over-predict directly determined  $VO_{2peak}$  when patients are aerobically 'inefficient'. Inefficient cardiometabolic responses to exercise such as delayed oxygen kinetics, may prolong dependence on anaerobic metabolism (Mezzani et al. 2009) during sequential work rate transitions. In such instances, the assumptions of linearity between work rate and  $VO_2$  would not apply and work rate would not be indicative of  $VO_2$ . Accurately predicting  $VO_{2peak}$  changes in CHD patients, as evidenced by our findings and others (Froelicher et al. 1984; Milani et al. 1995; Stuto et al. 2013), poses significant challenges, particularly at an individual patient level.

Increasing  $VO_{2peak}$  through structured exercise training improves survival (Vanhees et al. 1995) in patients with CHD and, consequently, improving  $VO_{2peak}$  remains a key objective for CR practitioners. Practitioners need to have confidence in their outcome measures. Given that CR programme outcome data are often expressed as estimated METs, there is a requirement to examine the suitability of METs to estimate directly-determined changes in  $VO_{2peak}$ .

## References

- ACPICR. Standards for physical activity and exercise in the cardiovascular population (2015). Association of Chartered Physiotherapists in Cardiac Rehabilitation  
Mezzani A, Hamm LF, Jones AM, McBride PE, Moholdt T, Stone JA, Urhausen A and Williams MA. Aerobic exercise intensity assessment and prescription in cardiac rehabilitation: a joint position statement of the European Association for Cardiovascular Prevention and Rehabilitation, the American Association of Cardiovascular and Pulmonary Rehabilitation and the Canadian Association of Cardiac Rehabilitation. European Journal of Preventive Cardiology (2013); 20: 442-467  
ACSM. ACSM's Guidelines for exercise testing and prescription (2013). Wolters Kluwer/Lippincott Williams & Wilkins Health, Philadelphia.  
Buckley JP, Cardoso FMF, Birkett ST and Sandercock GRH. Oxygen Costs of the Incremental Shuttle Walk Test in Cardiac Rehabilitation Participants: An Historical and Contemporary Analysis. Sports Med (2016); 1-10.  
Wasserman K, Hansen J, Sue D, Stringer W, Sietsema K, Sun X-G and Whipp B. Principles of exercise testing and interpretation: including pathophysiology and clinical applications (2011). Wolters Kluwer Health/Lippincott Williams & Wilkins, Philadelphia.  
Froelicher V, Jensen D, Genter F, Sullivan M, McKinnan MD, Wittzum K, Scharf J, Strong ML and Ashburn W. A randomized trial of exercise training in patients with coronary heart disease. JAMA (1984); 252: 1291-1297.  
Kavanagh T, Mertens DJ, Hamm LF, Beyene J, Kennedy J, Corey P and Shephard RJ. Prediction of Long-Term Prognosis in 12 169 Men Referred for Cardiac Rehabilitation. Circulation (2002); 106: 666-671.  
Milani RV, Lavie CJ and Spiva H. Limitations of estimating metabolic equivalents in exercise assessment in patients with coronary artery disease. The American journal of cardiology (1995); 75: 9  
Stuto A, Amaro B, Cosentino G, Ambu A, Bottaro G, Lo Giudice A, Canonico G, Vitale L, Carpenzano G and Basile G. Cardiopulmonary exercise stress testing vs. standard exercise stress testing to estimate the actual changes in functional capacity after cardiac rehabilitation in older patients. European Heart Journal (2013); 34.  
Vanhees L, Fagard R, Thijs L and Amery A. Prognostic value of training-induced change in peak exercise capacity in patients with myocardial infarction and patients with coronary bypass surgery. The American journal of cardiology (1995); 76: 1014-1019.

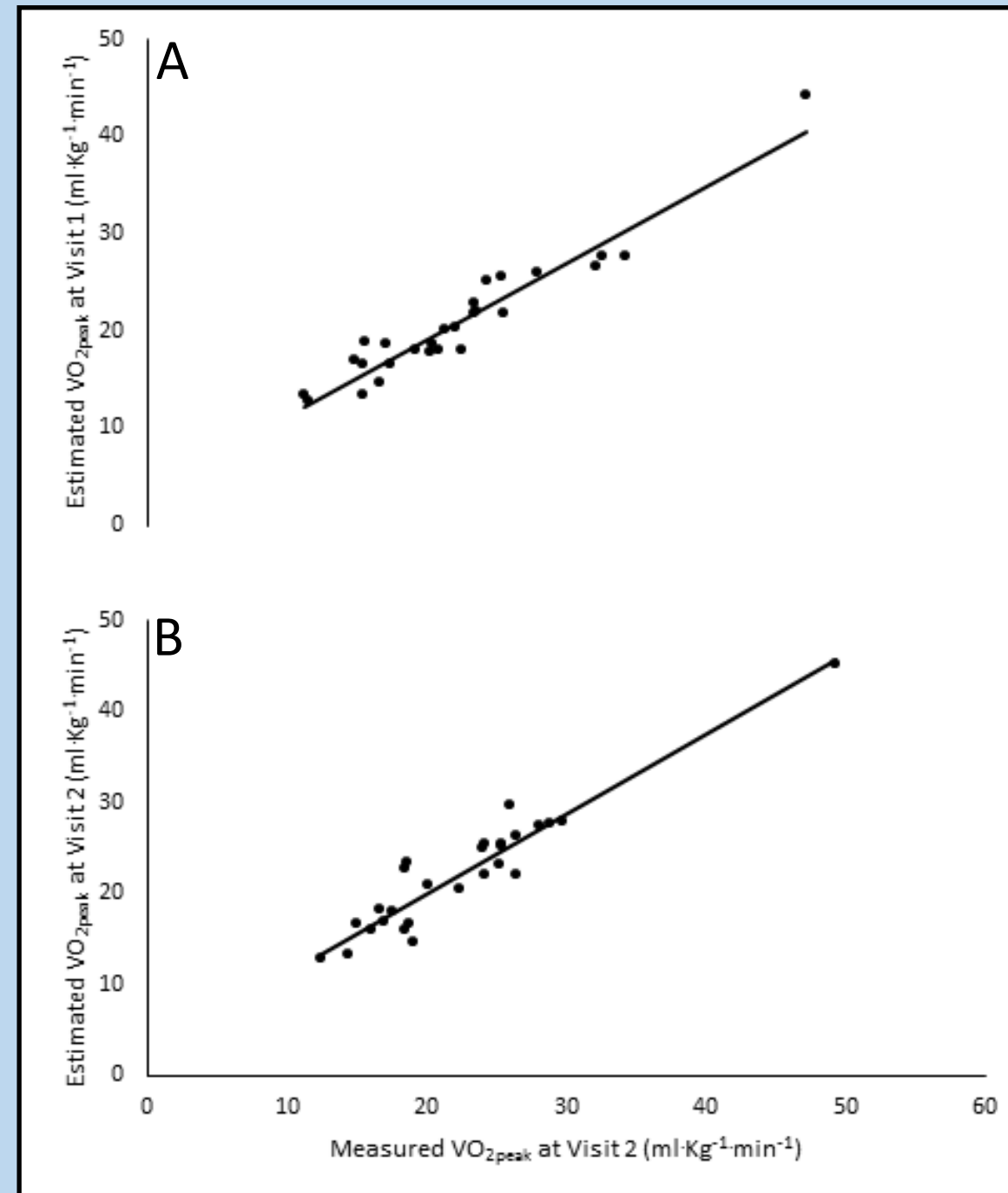


Figure 2 – Linear regression showing the relationship between directly determined  $VO_{2peak}$  and estimated  $VO_{2peak}$  for visit 1 (panel A;  $r=0.958$ ,  $p<0.001$ ) and visit 2 (panel B;  $r=0.945$ ,  $p<0.001$ )

$VO_{2peak}$  = peak oxygen uptake

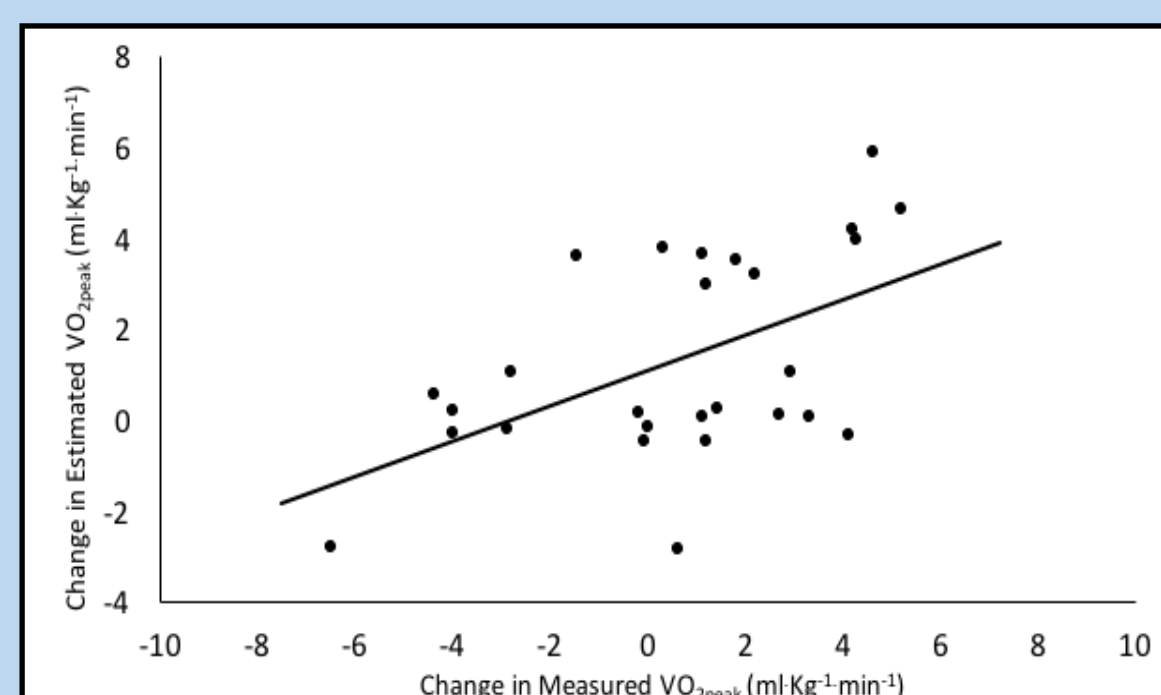


Figure 3 – Linear regression between directly determined  $VO_{2peak}$  change and estimated  $VO_{2peak}$  change between visit 1 and 2 ( $r=0.527$ ,  $p<0.05$ ).

$VO_{2peak}$  = peak oxygen uptake

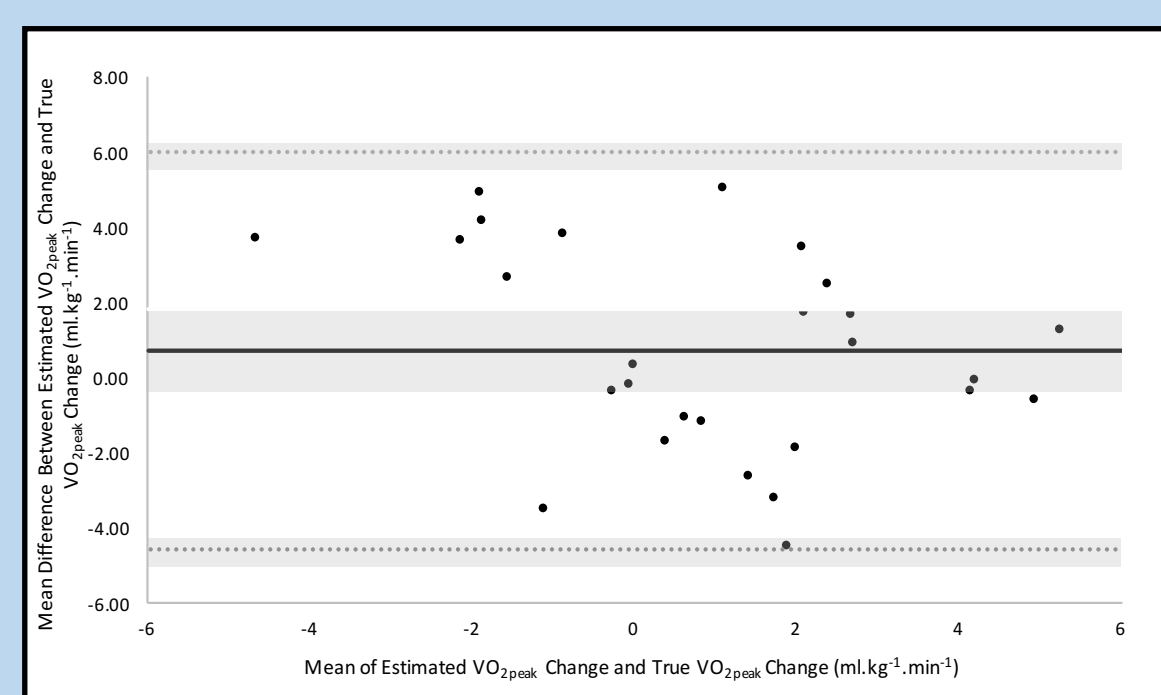


Figure 4 – Bland-Altman plot showing mean bias ( $0.7 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ ), LoA ( $-4.63$  to  $5.9 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ ) with 95% CI (grey shaded area) between directly determined and estimated  $VO_{2peak}$ .

LoA = Limits of Agreement

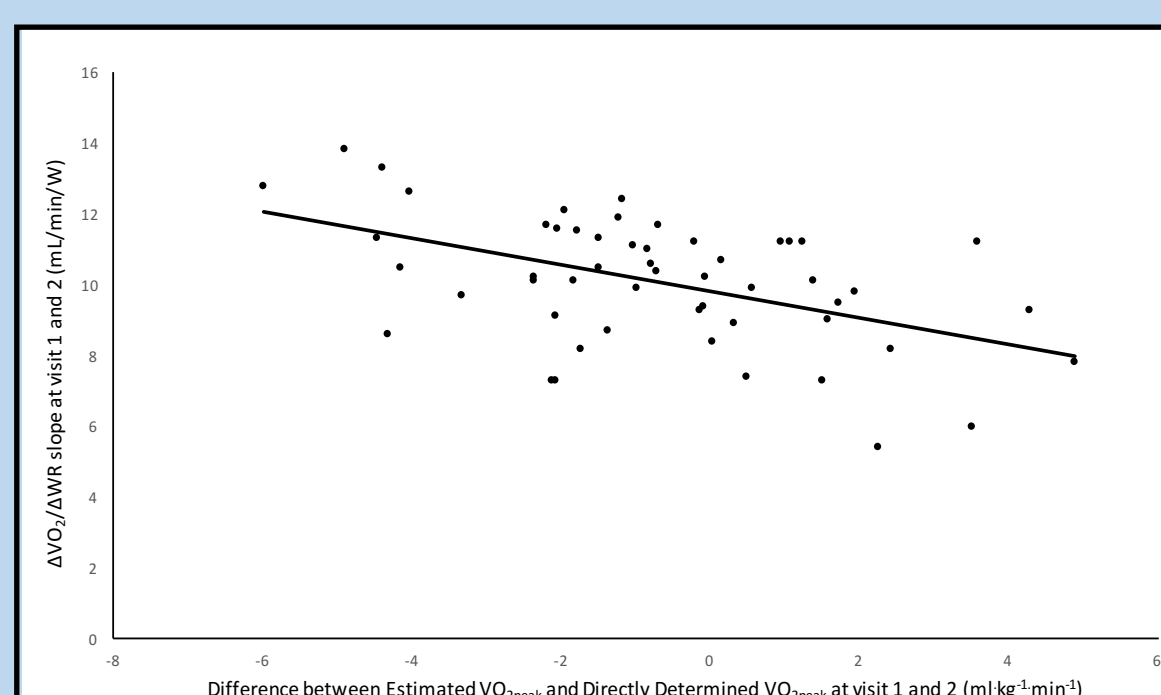


Figure 5 – Linear regression showing a significant, moderate negative correlation between  $\Delta VO_2/\Delta W$  slope and estimated  $VO_{2peak}$  measurement error.

$VO_{2peak}$  = peak oxygen uptake;  $\Delta VO_2/\Delta W$  = change in  $VO_2$  as a function of change in work rate