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DHARMADASA, I <http://orcid.org/0000-0001-7988-669X>, MADUGU, Mohammad L., OLUSOLA, Olajide I., ECHENDU, Obi K, FAUZI, Fijay, DISO, Dahiru, WEERADINGHE, Ajith, DHARMADASA, Ruvini, LAVERY, Brandon, JASINSKI, Jacek B., KRENTSEL, Tatiana A. and SUMANASEKERA, Gamini

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1 Article

# Electroplating of CdTe Thin Films from Cadmium Sulphate Precursor and Comparison of layers grown

4 by 3-electrode and 2-electrode systems

Imyhamy M. Dharmadasa, Mohammad L. Madugu \*, Olajide I. Olusola, Obi K. Echendu <sup>1</sup>, Fijay
 Fauzi <sup>2</sup>, Dahiru G. Diso <sup>3</sup>, Ajith R. Weerasinghe <sup>4</sup>, Thad Druffel <sup>5</sup>, Ruvini Dharmadasa <sup>5</sup>, Brandon
 Lavery <sup>5</sup> Jacek B. Jasinski <sup>5</sup>, Tatiana A. Krentsel <sup>5</sup>, and Gamini Sumanasekera <sup>5</sup>

- 8 Keywords: Electrodeposition; CdTe; CdSO<sub>4</sub> precursor; solar energy materials; CdS/CdTe solar
- 9
- 10

## 11 1. Introduction

cells

12 Cadmium telluride (CdTe) thin films have received much attention due to their various 13 applications in electronic devices such as solar cells [1] and X- and  $\gamma$ -radiation detectors [2]. The 14 increase in demand for clean and sustainable energy is a huge challenge for the photovoltaic (PV) 15 community to develop low-cost and high efficiency solar panels. The present sources of energy 16 which are mostly from fossil fuel are harmful to the sustainability of our ecosystem. Alternative 17 technologies such as the photovoltaics (PV) which convert sunlight in to clean energy have been the 18 main research focus at present [3]. The II-VI semiconductor materials have been found suitable in 19 complementing this effort. Among these semiconductors, CdTe stands out to be one of the most 20 researched and promising semiconductor materials in the production of both laboratory scale and 21 large area optoelectronic devices such as the solar panels. CdTe has a direct and near ideal bandgap 22 of 1.45 eV for one bandgap and single p-n junction solar cells with high absorption coefficient (>104 23 cm<sup>-1</sup>) [4]. CdTe can be n- or p-type in electrical conduction [5,6] depending on the stoichiometry or 24 intentionally added dopants. These are among the properties that make it a suitable material for 25 application in solar energy conversion. CdTe can be grown using low-cost techniques and the 26 material can absorb over 90% of photons with energy greater than  $E_{g=1.45}$  eV using only about 2.0 27 µm thick layer.-

CdTe thin films have been grown using a large number of deposition techniques [7]. Some of the main techniques used are close-spaced sublimation (CSS) [2], sintering [8], electrodeposition (ED) [1], molecular beam epitaxy (MBE) [9], metalorganic chemical vapour deposition (MOCVD) [10], pulsed laser deposition (PLD) [11], etc. Electrodeposition is a simple and low-cost technique, and offers an advantage of growing materials with both n-type and p-type electrical conductivity by simply changing the growth potential using single electrolytic bath and it produces electronic device quality films for solar cell fabrication.

35 In electrodeposition, CdTe can be cathodically synthesised using either aqueous [1,12] or 36 non-aqueous [13] electrolytes in acidic (pH=1.00-3.00) or alkaline medium (pH=8.40-10.70 [14,15]. 37 The choice of acidic rather than alkaline medium for the electrodeposition of CdTe is due to the fact 38 that Te is more soluble and stable in acidic medium. The history of CdTe growth based on 39 electrodeposition using aqueous electrolyte was first demonstrated by Mathers and Turner in 1928 40 [13]. A more elaborate work on the electrodeposition of CdTe was carried out by Panicker and 41 Knaster in 1978 [12], and thereafter many researchers [1,15-19] have electrodeposited CdTe from 42 aqueous solutions. Usually, the electrodeposition of CdTe is carried out using CdSO4 and TeO2 43 which serves as Cd and Te precursors respectively. Research programme continued by BP in the 44 1980s successfully demonstrated the scaling up of this technology by manufacturing nearly 1.0 m<sup>2</sup> 45 area solar panels with over 10% conversion efficiency [18]. The successful deposition of CdTe and 46 comprehensive material characterisation have also been carried out recently using CdCl<sub>2</sub> [14] and 47 Cd(NO<sub>3</sub>)<sub>2</sub> precursors [19] for selecting the best cadmium precursor for electroplating. The recent 48 announcement of 22.1% efficiency of CdTe solar cells by First Solar company [20] using CdTe grown 49 by vapour transport deposition (VTD), is a giant stride in the PV field showing great potential in 50 CdTe based thin film solar cells. With all these attractive properties, CdS/CdTe solar cell efficiency 51 improvement was mostly hindered for decades by a number of challenges; limited know-how on 52 material issues, processing steps and device physics has been the major bottle-necks. Achieving 53 large grains, uniform, dense and pinholes free thin films with minimum defects and optimum 54 doping concentration have been identified as one of the challenges faced by the PV community.-

55 During the past three decades, CdS/CdTe solar cell research was carried out assuming CdTe 56 layer in the device as a p-type material. Therefore, all experimental results were interpreted based on 57 a simple p-n junction model. This has led to stagnation of the development of this device for a long 58 period of time. The results presented in this paper aims to demonstrate that both p-type and n-type 59 CdTe layers can be grown easily by changing its composition. Furthermore, this work aims to show 60 that layers may remain n-type after post-growth heat treatments. Therefore, the new work aims to 61 demonstrate that p-n junction devices and other complex n-n-n-Schottky Barrier type devices are 62 possible from this material and the latter seems more efficient in solar energy conversion.

63 The paper also compares the results obtained from CdTe layers grown by 3-electrode (3E) and 64 2-electrode (2E) configuration, in order to gauge the best approach for minimisation of impurities. 65 Ag<sup>+</sup> ions and other group-I ions such as Na<sup>+</sup> and K<sup>+</sup> are highly poisonous to CdTe solar cells [21] and 66 the removal of reference electrode from the electrolyte could be highly beneficial for achieving high 67 performance devices. Reference electrodes usually have a saturated KCl solution outer jacket in 68 commercially available products with a possibility of leaking K<sup>+</sup> into the electrolyte. The preliminary 69 studies on the comparison of 3E and 2E systems were published recently by Echendu et al [22]. This 70 paper present the results of a comprehensive study in order to confirm the conclusions arrived at 71 initial stages. Removal of the reference electrode from the electrodeposition system introduces 72 several advantages in order to reduce the cost of CdS/CdTe solar cells further. Elimination of a 73 possible impurity source and hence achieving high conversion efficiencies, system simplification, 74 cost reduction and ability to grow improved materials at temperatures higher than the reference 75 electrode limit (70°C) are some of the advantages.-

76 In this paper, we present the summary of results for CdTe thin films grown from aqueous and 77 acidic electrolyte containing CdSO<sub>4</sub> and TeO<sub>2</sub> using potentiostatic cathodic electrodeposition. After 78 deposition, the films were characterised using a wide range of analytical techniques for structural, 79 morphological, optical and electrical properties. This paper presents the comparison of CdTe layers 80 grown by 3E and 2E systems, and their effects on fully fabricated devices. The paper also provides 81 the new insight into physics of new devices based on CdTe thin films.

## 82 2. Experimental details

## 83 2.1 Chemicals and materials used

84 In this work, cadmium sulphate (CdSO₄) powder with purity ≥99% was used as the source of 85 Cd while the source of tellurium (Te) was tellurium oxide (TeO<sub>2</sub>) powder of high purity (99.999%). 86 The substrate used were fluorine-doped tin oxide (FTO) coated glasses with sheet resistance 13 87  $\Omega$ /square. Dilute sulphuric acid (H<sub>2</sub>SO<sub>4</sub>) and ammonium hydroxide (NH<sub>4</sub>OH) were used for pH 88 adjustment in solutions. All chemicals and substrates were purchased from Sigma-Aldrich UK. The 89 solvent used for the electrolyte preparation was de-ionised water. For the 3E system, a saturated 90 calomel electrode (SCE) was used as the reference with a platinum (Pt) anode. The saturated KCl 91 solution in the outer jacket was replaced by a Cd(SO4) solution in order to avoid K leakage into the 92 electrolyte. Carbon (C) rod was used as the anode for the 2E system. A clean glass/FTO substrate was 93 used as the cathode for both systems. The source of power was a computerised Gill AC potentiostat

**94** and the heating of the bath was provided by hot-plate with magnetic stirrer.

95 The containers used were Teflon beakers of 1000 ml for the electrolyte and 2000 ml pyrex 96 beakers of 2000 ml for the outer jacket. Polytetrafluoroethylene (PTFE) tape was used to hold the 97 glass/FTO substrates to the carbon connecting rod during deposition. The cleaning of the substrates 98 was carried out using organic solvents (methanol & acetone) and de-ionised water.

## **99** 2.2 Preparation of Electrolytic Cells

100 The electrolytic baths were prepared from aqueous solutions of 1M CdSO<sub>4</sub> and TeO<sub>2</sub> solution in 101 800 ml of deionised water contained in a 1000 ml Teflon beaker. The solution containing only low 102 purity CdSO<sub>4</sub> was electropurified for ~50 hours before adding the high purity TeO<sub>2</sub> dilute solution. 103 The main reason for using low-purity CdSO<sub>4</sub> is the requirement of large amount of CdSO<sub>4</sub> for this 104 work and very high cost of the high-purity CdSO<sub>4</sub>. The TeO<sub>2</sub> was separately prepared by dissolving 105 TeO<sub>2</sub> powder using dilute sulphuric acid for addition to the electrolyte. The pH of the bath was 106 adjusted to 2.00±0.02 using H<sub>2</sub>SO<sub>4</sub> acid or NH<sub>4</sub>OH prior to CdTe deposition.

107 The choice of materials to be employed for this experiment is important since the medium of 108 growth is acidic (pH=2.00). The acidic electrolyte has been shown to absorb impurities from glass 109 containers and the carbon anode in direct contact. This contamination can be minimised by reducing 110 the contacts between the electrolyte and glass surfaces. [13]. Therefore, the Teflon beakers were used 111 as the electrolyte containing vessels for both 3E and 2E systems. This is to minimise impurities which 112 affects final device parameters when incorporated into the CdTe layer during growth.

**113** *2.3. Analytical Techniques used.* 

114 A wide range of analytical techniques were used to investigate the electroplated CdTe layers. 115 To study the structural properties, Philips PW 3710 X' pert pro diffractometer using Cu-K<sub>α</sub> excitation 116 wavelength ( $\lambda$ =1.542 Å) was employed and the scan ranges between 2 $\theta$ =(20-60)°. The morphology of 117 the film surfaces and grain sizes were observed using FEG NOVA NANO scanning electron 118 microscope (SEM). The study of the molecular vibration to obtain unique finger prints of the 119 materials was carried out using a Renishaw Raman microscope with a CCD detector and 514 nm 120 argon ion laser. The electrical conduction type of the films was detected using a 121 photoelectrochemical (PEC) cell measurement system using an aqueous electrolyte of 0.1M Na<sub>2</sub>S<sub>2</sub>O<sub>3</sub>. 122 Optical energy bandgaps were measured using a Cary 50 scan UV-Vis spectrophotometer (Varian 123 Australia Pty. Ltd). The DC electrical conductivity measurements were carried out using a fully 124 automated I-V system including a Keithley 619 electrometer and multimeter (Keithley, Cleveland, 125 OH, USA). Photoluminescence (PL) measurements to observe the defect levels in the bandgap was 126 carried out using Renishaw inVia Raman microscope (Renishaw, Hoffman Estates, IL, USA) with a 127 CCD detector and a 632 nm He-Ne laser excitation source. Intense pulsed light (IPL) heat treatment 128 was carried out in air using Sintering 2000 (Xenon Corporation).

## 129 3. Experimental Results

## **130** *3.1. Cyclic voltammetry*

Figure 1 shows typical voltammograms or current-voltage curves of the electrolytes with 3Eand 2E electrode systems. Due to the voltage measurement with respect to the reference electrode in

**133** 3E and the carbon anode in 2E system, the magnitudes of the cathodic voltages are different in the

134 graphs. Otherwise main features are similar in both cases.



136

Figure 1. Cyclic voltammograms of aqueous solutions consisting of 1M CdSO<sub>4</sub> and low level of TeO<sub>2</sub>
with glass/FTO cathode in (a) 3E and (b) 2E systems. The reference electrode used for 3E system is
standard calomel electrode. The growth temperature was ~70°C and ~85°C for 3E and 2E systems
respectively. The pH of both electrolytes was set to 2.00±0.02 at the beginning of the experiment at
room temperature.

142The mechanism for the deposition of CdTe on the cathode from acidic aqueous electrolyte as143proposed by Panicker et al [12] was given below using equations (1) and (2). The first process which144is a diffusion control is the reduction of  $HTeO_2^+$  to Te which reacts with Cd2+ to form CdTe on the145cathode. The formation of CdTe thin films on the cathode is highly influenced by diffusion process.146The overall equations for the formation of CdTe on the cathode are given below;

$$HTeO_2^+ + 3H^+ + 4e^- \longrightarrow Te + 2H_2O \tag{1}$$

$$Cd^{2+} + Te + 2e \longrightarrow CdTe$$
 (2)

147 Since the redox potential of Te is +0.593 V with respect to standard H<sub>2</sub> electrode, this element is 148 easier to deposit on the cathode first. In the 3E system, Te deposition starts around 200 mV, and then 149 at higher cathodic voltages of around 550 mV Cd starts to deposit (redox potential of Cd is -0.403 V 150 with respect to standard H<sub>2</sub> electrode). As a result when cathodic potential is gradually increased, 151 the sequence of deposition is; Te layer first, Te-rich CdTe second, stoichiometric CdTe third and 152 finally Cd-rich CdTe layers. This transition takes place gradually, and at a certain voltage, 153 stoichiometric CdTe layer is formed. This voltage is labelled as perfect potential of stoichiometry 154 (PPS) in this paper. This is the voltage we expect to find for growing device quality CdTe layers. In 155 the reverse scan, when the cathodic voltage is gradually reduced, material starts to dissolve into the 156 solution. The dissolution order will be elemental Cd first, Cd from CdTe second and finally Te from 157 the cathode surface. This dissolution process creates a current flow in the negative direction. The 158 large peak in the negative current represents the dissolution of both Cd and Te from the cathode 159 surface.

160 The main features of the voltammogram for 2E system are the same, but the absolute values of 161 the cathodic voltages are different. It should be noted that these deposition voltages are very 162 different from the redox potentials given above. The reason is that the redox potentials are given w.r. 163 to standard H<sub>2</sub> electrode and the measured values in these experiments are w.r. to carbon anode, for 164 2E and standard calomel electrode for 3E system. Te deposition starts around 250 mV and Cd 165 deposition takes place around 1000 mV. In the reverse direction Cd dissolution and Te dissolution 166 are shown in two separate current peaks. This peak separation can be due to different experimental 167 conditions such as scan rate, different temperature and the stirring rates.

## **168** *3.2. X-ray diffraction*

Careful observation of the voltammogram helps in estimating suitable cathodic voltages for growing stoichiometric CdTe. By growing layers at fixed voltages in this estimated region, and observations using XRD, PEC and optical absorption methods, this estimated voltage range can be reduced to a very narrow range. In this study, these voltages were changed by 2 mV and 1 mV steps for 3E and 2E systems respectively in order to pin-point the perfect potential of stoichiometry (PPS).

174 Figure 2 shows the XRD spectra measured for samples grown in the vicinity of PPS from both 175 3E and 2E systems. Figure 2(a) shows the variation of XRD observed for CdTe grown by 3E system. 176 Every effort was taken to grow approximately equal thicknesses and the variation of the intensity of 177 the most intense (111) peak was closely monitored. It was found that the highest intensity was 178 observed when the layers were grown at 834 mV. When heat treated in the presence of CdCl<sub>2</sub>, the 179 intensity variation remained the same, confirming that the highest crystallinity shifted to 830 mV 180 w.r.t. calomel reference and Pt anode. Therefore, the PPS value for 3E system is confirmed as 830 mV 181 with respect to SCE under the experimental condition used in this work. This voltage was taken as 182 the PPS, since CdCl<sub>2</sub> treatment is used prior to fabrication of CdS/CdTe solar cells.

Figure 2(b) and 2(d) show similar results for CdTe grown by 2E system. XRD features remain the same, and PPS for this experimental system can be determined as 1576 mV with respect to the carbon anode. All other XRD features are very similar, but the growth rate is usually faster in 2E system, when compared to the 3E system. This can be taken as an advantage in manufacturing process reducing growth time. In the case of heat treated samples in the presence of CdCl<sub>2</sub>, the (220) and (311) peaks starts to appear. This shows that the grains are losing their preferential orientation along (111).

In both cases, the (111) peak of as-deposited CdTe layers were used to estimate the crystallitesizes. The use of Scherrer's equation yield (20-65) nm for the crystallite size for both material layers.



194 195 196 Figure 2. XRD patterns for as-deposited (AD) CdTe films deposited at different growth voltages using 3E (a) and 2E (b) systems. (c) and (d) show XRD patterns for CdCl2-treated layers. All heat-treatments were carried out at 400°C for 15 minutes in air in the presence of CdCl2.

#### 197 3.3. Scanning electron microscopy-

198 Scanning electron microscopy (SEM) studies were carried out in order to investigate the surface 199 morphology of electroplated CdTe layers using both 3E and 2E systems. Typical results are shown in 200 Figure 3 for both as-deposited and CdCl<sub>2</sub> treated layers. The as-deposited layers of 3E (Figure 3a) 201 and 2E (Figure 3b) are covered with large clusters or agglomerations consisting of nano-crystallytes. 202 The clusters have varying sizes up to sub-micron level for largest ones. The small grains are 203 crystalline CdTe and their size varies from (20-65) nm as determined by XRD measurements and 204 Scherrer's equation. There is no noticeable difference in the morphology and crystallite sizes for 3E 205 and 2E grown CdTe layers. Only the cluster size is larger in layers grown by the 2E system.



Figure 3. Typical SEM images for as-deposited and CdCl<sub>2</sub>-treated CdTe layers grown by 3E system (a and c) and 2E system (b and d). CdCl<sub>2</sub> treatment was carried out in a conventional furnace at 450°C for 20 minutes in air, in the presence of CdCl<sub>2</sub>.

Heat treatment at 450°C for 20 minutes in air, in the presence of CdCl<sub>2</sub> shows a dramatic change. Nano-crystallites within clusters have merged together to form large crystals, and the clusters become large grains of CdTe. This is thermodynamically expected since the surface to volume ratio is large for nano crystallites. Grain sizes vary in the few microns size and these layers show similarity in structure to CdTe grown by high temperature techniques such close spaced sublimation (CSS).

Prolong heating at temperatures ~450°C makes these grains larger due to Oswald ripening, but detrimental for device performance. This is due to the columnar growth producing larger grains exposing wider gaps between grains. In addition, the material losses due to sublimation have also been observed. Both these processes increase pin-holes or gaps formation and therefore detrimental for final device performance.

221 The heat treatment is crucial in device performance and the PV solar cell developers are moving 222 towards roll-to-roll production methods. Therefore, Intense Pulse Light (IPL) annealing was also 223 used to study the morphology changes during heat treatment. IPL is a rapid thermal annealing 224 method applicable to materials grown on flexible substrates, and CdTe layer can be heat treated 225 from the top surface using pulses of white light. Heat energy released to the material layer can be 226 controlled by the energy of a pulse and the number of pulses used to heat the layer. Full details of 227 this technique is given in reference [23], and here the main morphology changes of the surfaces are 228 presented and discussed.

Figure 4(a) shows another SEM image of a CdTe layer grown by the 2E system. These surfaces are very similar to the ones shown in Figure 3(a) and 3(b). Small crystallites are clustered together to form large agglomerations. Figures 4(b), 4(c) and 4(d) show the surface morphology after IPL treatments with energy 1730 Jcm<sup>-2</sup> (100 pulses x 17.3 Jcm<sup>-2</sup>), 2160 Jcm<sup>-2</sup> (100 pulses x 21.6 Jcm<sup>-2</sup>) and 2588 Jcm<sup>-2</sup> (100 pulses x 25.9 Jcm<sup>-2</sup>) respectively.



Figure 4. A typical SEM image of as-deposited CdTe layer from 2E system and changes of morphology as the energy input is increased using IPL treatment.

238 From these SEM images, it is clear that the agglomerations become large crystalline grains, after 239 melting mainly the grain boundary areas and subsequently freezing during cooling. Figure 4(d) 240 clearly shows the large CdTe grains formed across the thin layer. Cross-section SEM images show 241 that those grains have columnar nature, spreading from bottom to top of the thin films. It also 242 shows the melted grain boundaries frozen after heat treatment. As shown by the phase diagram of 243 CdTe [7], the melting point of grain boundary materials with impurities such as O, Cl and excess Cd 244 from CdCl<sub>2</sub> are much lower (~350-450°C) than the melting point of pure CdTe grains (1093°C). 245 Therefore during heat treatment, grain boundaries become a liquid allowing CdTe grains to flow, 246 coalesce and grow into large grains.

## **247** *3.4. Photoelectrochemical cell measurements*

In order to use a material in any electronic device and interpret experimental results, its electrical conductivity type must be accurately known. The most accurate method to find this is the conventional Hall Effect measurements, but these studies cannot be performed on glass/FTO/CdTe layers due to the underlying conducting layer of FTO. Electrons find the lowest resistive path and therefore measurements are not at all reliable. For this reason, the Photoelectrochemical (PEC) cell measurements have been used to find the electrical conduction type of these layers.

For these measurements, glass/FTO/CdTe were immersed in any suitable electrolyte (aqueous solution of Na<sub>2</sub>S<sub>2</sub>O<sub>3</sub> for example) in order to form a solid/liquid junction at CdTe/electrolyte interface. This is equivalent to a weak Schottky diode and its open circuit voltage is measured by 257 measuring its voltage with respect to a counter electrode (graphite rod) immersed in the same 258 electrolyte. The difference between the voltages measured under dark and illuminated conditions 259 provides the PEC signal. After calibrating the system with a known material, the sign of the open 260 circuit voltage or the PEC signal determines the electrical conductivity type of the CdTe layer. The 261 magnitude of the PEC signal indicates the strength of the depletion region formed at the solid/liquid 262 junction, and hence an indirect and qualitative idea of the doping level of CdTe layer. Both 263 insulating and metallic layers provide a zero PEC signal due to non-formation of a healthy depletion 264 region. In our calibrated system, positive PEC signal indicates a p-type semiconductor and a 265 negative signal shows an n-type semiconductor.

266 Figure 5(a) and (b) show the PEC signals observed for as-deposited and CdCl<sub>2</sub> treated CdTe 267 layers grown from both 3E and 2E systems, as a function of growth voltage. Both CdTe materials 268 grown using 3E and 2E systems show similar behaviour. At low cathodic voltages, Te-rich, p-type 269 CdTe layers are grown while at larger cathodic voltages, Cd-rich, n-type CdTe layers are grown. In 270 between these two regions, there exists an inversion voltage (Vi) or perfect potential of stoichiometry 271 (PPS), which produces stoichiometric CdTe with highest crystallinity due to existence of only one 272 phase. Crystallinity of the layers reduces, when grown away from Vi or PPS due to presence of two 273 phases within the layer. The absolute value of Vi vary depending on factors such Te concentration, 274 stirring rate and pH value.



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Figure 5. PEC cell measurements for as-grown and CdCl<sub>2</sub>-treated CdTe thin films deposited using (a) 276 3E and (b) 2E systems at different growth voltages. Samples were heat-treated at 400°C for 15 minutes 277 in air in the presence of CdCl<sub>2</sub>.

278 Upon CdCl<sub>2</sub> treatment, both materials keep the similar behaviour. The p-type nature reduces 279 and moves towards n-type property, and n-type nature reduces and move towards p-type property. 280 It should be noted that this is due to the movement of the Fermi level within the bandgap and 281 complete type conversion may not occur. This shows the direction of movement of the FL in the 282 bandgap depending on initial condition of the layer, doping effects, and annealing out defects 283 during the heat-treatment. These measurements indicate only the electrical conductivity type of the 284 top layers of CdTe materials, since the depletion region formed at the solid/liquid junction is limited 285 only to the surface region.

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#### 287 3.5. Raman studies

288 Raman scattering studies were carried out in order to use as a non-destructive and quick quality 289 control method. This could be useful in a production line to check the quality of materials grown 290 before processing devices. In our previous Raman studies [14,24,25], peak arising from both CdTe 291 and elemental Te was observed. The X- and  $\gamma$ -ray detectors research community has carried out 292 comprehensive studies on Te-precipitation during CdTe growth [26,27]. In agreement with the 293 reports, we also observe Raman peaks arising from elemental Te in electrodeposited CdTe layers.

294 Figure 6 shows typical Raman spectra of CdTe layers grown near the PPS, using both 3E ( $V_g$  = 295 828 mV) and 2E ( $V_g$  = 1360 mV) systems. In addition to peaks arising from CdTe at 161 cm<sup>-1</sup>, peaks at 296 121 and 141 cm<sup>-1</sup> can be identified as Te peaks. These elemental Te could appear as precipitates 297 within the layer or Te thin film on the surface. As observed from PL (section 3.7) and the overall 298 devices work (section 3.10), excess Te in the CdTe layers deteriorate its electronic properties.



300

301 Figure 6. Plot of Raman spectra of CdTe thin films for as-deposited and CdCl<sub>2</sub>-treated layers. The 302 samples were grown using 3E (a & c) and 2E (b & d) respectively. The surfaces were thoroughly 303

washed with deionised water after CdCl2 treatment. CdCl<sub>2</sub> treatment however, tend to reduce the elemental Te in the layers by reacting with Cd

304 305 from CdCl<sub>2</sub> and forming CdTe, in addition to other benefits such as re-crystallisation, reduction of 306 grain boundaries and defects, and doping. As shown in Figure 6, both CdTe layers from 3E and 2E 307 systems show similar reduction of elemental Te from electroplated CdTe layers.

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#### 309 3.6. Optical absorption

310 Optical absorption studies were carried out in order to study the absorption edge and estimate

311 the optical energy gap of the material. This analysis usually plot  $(\alpha h \upsilon^2)$  versus photon energy  $(h \upsilon)$  or

312 Tauc plots to determine energy gap values. In this research programme, we have found that plots of

313 square of optical absorption (A2) versus photon energy (hv) also produce very similar results and 314

therefore Figure 7 shows the plots obtained for electroplated CdTe layers using 3E and 2E systems.



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Figure 7. Optical absorption edges for as-deposited and CdCl2-treated CdTe layers grown using 3E (a and c) and 2E (b and d) systems at different growth potentials. The films were heat-treated at 400°C for 15 minutes in air.

320 It is a notable feature of the curves that when the material layers are grown at PPS, the optical 321 absorption edge is sharp and produces accurate  $E_g$  values. Stoichiometric CdTe layers grown using 322 both 3E and 2E systems produce bandgap of 1.48 eV very close to that of bulk CdTe material. As the 323 growth voltage deviates from the PPS, the slope of the absorption edge weakens, and produced Eg 324 values away from the bulk energy gap. Presence of several phases in the layer tends to produce smaller crystallites and hence produce slightly larger Eg values. Te precipitates, Te-rich CdTe and
 stoichiometric CdTe, when grown below PPS, are possible and the layer consists of smaller grains
 and more pinholes. More pinholes means passage of all wavelengths and therefore equivalent to
 lager bandgaps. Addition of more metallic cadmium when grown above PPS tends to reduce the
 bandgap due to metallic property of Cd.

## **330** *3.7. Photoluminescence studies*

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331 Photoluminescence studies were carried out on both as-deposited and CdCl<sub>2</sub> treated CdTe 332 layers obtained from 3E and 2E electrodeposition systems. The aim was to observe the defects 333 structure in the materials' bandgap. The PL system used is capable of detecting materials' defects 334 between 0.55 eV below the conduction band and the top of the valence band. Full details of the 335 experimental set up and other PL work is published elsewhere [28].



Figure 8. Photoluminescence spectra obtained for as-deposited and CdCl<sub>2</sub>-treated CdTe layers
grown from (a) 3E and (b) 2E systems.

Figure 8 shows the experimentally observed PL spectra for these CdTe layers for direct
comparison. PL spectra from ED-CdTe layers grown from both 3E and 2E systems show similar
defect structure. Defects finger-prints consist of four main defect distributions (T1-T4) and the near

344 band emission peak, labelled as Eg. The PL peaks are labeled as T1-T4, only to aid the discussion, but 345

those broad peaks could include several peaks arising from closely situated defects. The summary of 346

the emission levels are shown in Table 1 with approximate energy distributions.

347 Table 1. Summary of electron traps observed at 80 K for CdTe layers electroplated using 3E and 2E 348 systems.

Energy (eV)	T1±0.02	T2±0.15	T3±0.03	T4±0.08	E <sub>g</sub> peak
	(eV)	(eV)	(eV)	(eV)	(eV)
As-deposited (3E)	0.66	0.75	0.97	1.37	1.50
CdCl2-treated (3E)		0.77	0.97	1.37	1.50
As-deposited (2E)	0.66	0.79	0.96	1.37	1.51
CdCl2-treated (2E)		0.75	0.96	1.37	1.50

349 There are some important features to note in these PL spectra. The mid-gap peak labelled as T<sub>2</sub> 350 is the most detrimental "Killer Centres" in CdTe and related to Te-richness in CdTe [29,30]. 351 Therefore, these defects distribution must be related to tellurium antisites (Teca), tellurium 352 interstitials (Tei) and cadmium vacancies (Vcd). This defects distribution is very broad and spread 353 over 0.30 eV, contributing to detrimental and effective recombination of photo-generated charge 354 carriers. In the case of CdTe grown using 2E system, these defects reduction is more apparent after 355 CdCl<sub>2</sub> treatment. Sharpening of the E<sub>g</sub> peak is also clear for these layers. Therefore, based on the PL 356 spectra, both materials are comparable, if not materials arising from 2E systems are slightly superior. 357 In order to produce high efficiency devices, these mid-gap "Killer Centres" (T<sub>2</sub>) should be completely 358 removed, or at least minimised to reduce recombination of photo-generated charge carriers.

359 In the two references [29,30], it has been clearly demonstrated that the CdTe layers can be 360 produced with Te-rich or Cd-rich conditions. This work also showed that largest Schottky barriers 361 are produced on Cd-rich surfaces rather than Te-rich surfaces. Cd-rich CdTe removes mid-gap killer 362 centres and pin the Fermi level close to the valence band maximum producing excellent diodes. 363 Therefore high efficiency solar cells can be produced using Cd-rich CdTe layer as shown in Figure 364 9(b).

#### 365 3.8. Ultraviolet Photoelectron Spectroscopy

366 In the development of thin film solar cells based on CdTe, the usual superstrate device structure 367 used is glass/FTO/CdS/CdTe/back electrical contact. Since CdTe exists both in n-type and p-type 368 conductivity, two PV devices are possible [31], as shown in Figure 9.



#### 369 Figure 9. Possible device configurations when CdTe layers are used as (a) p-type and (b) n-type in 370 electrical conduction.

371 The above structure forms a simple p-n junction when the CdTe layer is p-type, and forms an 372 n-n heterojunction connected in parallel with a large Schottky barrier (SB) at the back metal contact 373 (n-n+SB) when the CdTe layer is n-type. In both cases, the Fermi level (FL) should align very close to 374 the valence band maximum, in order to produce high efficiency solar cells. However, strong FL 375 pinning occurs at CdTe/metal interfaces as reported in reference [3]. Therefore, the knowledge on 376 the positions of the FL at the CdTe back surface is useful in this device development program. In 377 order to gather any useful information, ultraviolet photoelectron spectroscopy (UPS) studies were 378 carried out. The detailed results on UPS and the observed results are published elsewhere [32].

After a comprehensive UPS study, it was found that the results need to be carefully analysed depending on the surface preparations. UPS is a surface sensitive technique (probing depth is one or two monolayers) and therefore results can depend on various factors such as length of exposure to the atmosphere. However, UPS results also provide valuable information on interactions at the CdTe/Au electrical contacts. It measures the work function of the Au layer deposited on CdTe, and that of CdTe surface. Typical values measured are shown in Table 2.

385

 Table 2. Summary of work function measured for Au and CdTe surfaces using UPS.

Growth system	Material used	Work function of Au (eV)	Work function of CdTe (eV)	
CdTe from 3E	CdTe (CdCl2)	4.31	3.89	
	CdTe (CdSO4)	4.42	4.00	
CdTe from 2E	CdTe (CdSO4)	4.39	4.35	
	CdTe (Cd(NO3)2)	4.60	3.61	

386 The reported work functions for Au and Te are 5.10 eV [33] and 4.73 eV [34] respectively. It is 387 clear that the average work function measured for Au contacts deposited on CdTe layer is ~ 4.40 eV, 388 and less than 5.10 eV (see last column of Table 2). This confirms our previous conclusions based on 389 soft-XPS results carried out at the synchrotron radiation laboratory in Daresbury. As Au is deposited 390 on CdTe, a strong interaction takes place by Au alloying with Cd and releasing Te to the surface of 391 the Au layer [3,35]. As a result, the Au layer is not pure Au, and consists of floating Te or AuxTey 392 alloy. Exposure to atmosphere could oxidise some of the Te into  $Te_xO_y$  as well. In fact, this can be 393 visually observed in glass/FTO/CdS/CdTe/Au devices. As soon as the devices are made, the Au 394 contacts show shiny Au colour. However, with time, the appearance of Au contacts show dull and 395 Cu colour due to these microscopic interactions at the interface. This effect is shown on both Au/bulk 396 CdTe, and Au/thin films of CdTe thin films grown using either 3E or 2E systems.

**397** *3.9. CdS/CdTe solar cells.* 

In this research programme, both 3E and 2E systems were used in parallel to electroplate CdTe
 from CdSO<sub>4</sub> precursor and to fabricate glass/FTO/CdS/CdTe/Au solar cells. Both materials are
 capable of producing PV active solar cells with varying conversion efficiencies in a wide range of
 ~(5-13)%. The efficiency depends from batch to batch and the cell parameters show wide variation.

This wide variations of efficiency is not unique to devices fabricated with electroplated CdTe layers. This seems to be a common feature for devices made by different growth techniques. Therefore, this should be an inherent property of poly-crystallite CdTe and need deep understanding of this material issue. Since the CdCl<sub>2</sub> treatment is a key processing step, full understanding is also essential to understand these efficiency variations. In addition, existence of pinholes, non-uniformity during growth, different defect concentrations at different points and varying doping concentrations could contribute to this large variation. In this comprehensive research programme carried out over the past two decades, the growth was performed by more than six researchers using 3E system. However, the device efficiencies observed were variable and highest efficiency observed was 6.9%. Although the efforts devoted to CdTe growth using 2E system is less than half that of 3E system, the probability of achieving better performance is higher with 2E grown materials. Table 3 shows the parameters observed for the best solar cells to date using CdTe grown from 2E and 3E systems

415 Highest efficiency values observed to date for 2E is ~12.8% as shown in Figure 10 and Table 3. 416 The I-V characteristics of dark and illuminated (AM 1.5) conditions for a device with CdTe grown by 417 2E system are shown in Figure 10. This structure also has a thin layer (~100 nm) of n-ZnS as a buffer 418 layer, forming a 3-layer graded bandgap device. All possible device properties have been extracted 419 from these I-V characteristics and summarised in Table 3. These excellent properties including very 420 high short circuit current densities show the high potential of graded bandgap device structures 421 [36,37]. These high efficiencies have been observed for CdTe materials grown using 2E systems. 422 Although it is pre-mature to draw a firm conclusion, materials grown from 2E systems are 423 comparable, if not superior to those grown from 3E system.



### 424 425

**Figure 10.** Typical I-V characteristics observed for glass/FTO/n-ZnS/n-CdS/n-CdTe/Au device with CdTe layers grown using 2E system.

426 Table 3. Summary of device parameters obtained from I-V characteristics for devices fabricated with
427 CdTe grown by 2E and 3E systems.

I-V Parameters measured under dark condition								
Device parameter	RF	n	I <sub>o</sub> (nA)	$\Phi_{b}$ (eV)	$R_s(\Omega)$	$R_{sh}$ (M $\Omega$ )		
2E	104.3	1.88	~0.79	>0.81	~1351	→∞ (81)		
3E	104.7	2.40	~1.00	>0.80	~277	→∞ (95)		
I-V Parameters measured under AM 1.5 illumination								
Device parameter	Voc (V)	J <sub>sc</sub> (mAcm <sup>-2</sup> )	FF	η (%)	$R_s(\Omega)$	$R_{sh}(\Omega)$		
2E	0.670	41.5	0.46	12.8	~134	~3819		
3E	0.670	22.0	0.47	6.9	~185	~5270		

428

## 429 4. Conclusions

430 The results presented in this paper leads to draw several conclusions.

431 1. Both 3E and 2E systems produce CdTe layers with similar structural properties. CdTe
432 material is poly-crystalline, with cubic crystal structure and grow with (111) preferential orientation.
433 The only difference observed is the higher growth rate when 2E system is used and this is an added
434 advantage in a production line.

435 2. Morphologies of the CdTe layers are very similar. In as-deposited layers, FTO substrate is 436 covered by large CdTe agglomerations, consisting of small crystallites. The sizes of the crystallites 437 vary from 20-65 nm, as estimated by XRD measurements. Upon CdCl<sub>2</sub> treatment, these crystallites 438 merge into large crystals ranging into few microns size. Then, the layers are comparable with 439 materials grown by high temperature techniques such as the closed space sublimation. IPL 440 treatment provides a convenient rapid thermal annealing method suitable for flexible substrates and 441 role-to-role production methods. SEM images also indicate the melting of grain boundary regions 442 during heat-treatment in the presence of CdCl<sub>2</sub>.

3. CdTe layers grown from both methods seem to have elemental Te as precipitates or a surface
layer. CdCl<sub>2</sub> treatment removes these excessive Te, and makes the material more stoichiometric.
Comprehensive device work also shows that Te-richness is detrimental for devices, and
stoichiometric or Cd-rich CdTe is more suitable for enhanced device performance.

447 4. Both 3E and 2E systems allow the growth of p- and n-type CdTe layers. Te-richness produce
448 p-type material and Cd-richness produce n-type CdTe. Both methods produce high crystallinity at
449 the Perfect Point of Stoichiometry (PPS) and the energy bandgap measured for stoichiometric
450 material is close to the bandgap of bulk CdTe (~1.45 eV).

451 5. PL spectra of CdTe layers grown from 3E and 2E systems have similar finger-prints. CdCl<sup>2</sup>
452 treatment shows the reduction of some defects, and the materials arising from 2E system show
453 improved quality in terms of defect concentrations.

454 6. UPS results summarised and soft-XPS results reported before show a strong intermixing at
455 the CdTe/Au interface. This may lead to degradation of devices and therefore a reaction barrier
456 should be introduced in order to improve the stability and lifetime of the devices.

7. From a large number of devices fabrication experience, both CdTe layers grown by 3E and 2E
systems show wide variation of efficiency values between ~5-13%. Although premature to draw firm
conclusions, highest efficiency values observed to date in our research laboratories have been
fabricated using CdTe layers grown by 2E system. Therefore, elimination of a possible impurity
source (reference electrode) introduces several advantages such as improving the growth rate,
system simplification, cost reduction and ability to grow improved materials at elevated
temperatures and hence fabrication of comparable or better performing devices.

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470 Diso, A.R. Weerasinghe: Development of materials and optimisation.Conn Center for Renewable Energy Research,
471 University of Louisville, Louisville, Kentucky 40292, USA Group members (T. Druffel, R. Dharmadasa, B. Lavery J.B.
472 Jasinski, T.A. Krentsel, and G. Sumanasekera): Carried out Photoluminescence (PL) experiment and analysis.

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