

# Extracorporeal shockwave for intermittent claudication and quality of life

CAI, Paris, PYMER, Sean, IBEGGAZENE, Said <a href="http://orcid.org/0000-0001-9457-7887">http://orcid.org/0000-0001-9457-7887</a>, RAZA, Ali, HITCHMAN, Louise, CHETTER, Ian and SMITH, George

Available from Sheffield Hallam University Research Archive (SHURA) at:

http://shura.shu.ac.uk/33570/

This document is the author deposited version. You are advised to consult the publisher's version if you wish to cite from it.

## **Published version**

CAI, Paris, PYMER, Sean, IBEGGAZENE, Said, RAZA, Ali, HITCHMAN, Louise, CHETTER, Ian and SMITH, George (2024). Extracorporeal shockwave for intermittent claudication and quality of life. JAMA Surgery.

## Copyright and re-use policy

See http://shura.shu.ac.uk/information.html

1	Title:
2	A double-blind, placebo-control, randomized trial of extracorporeal shockwave for
3	claudication
4	
5	Subtitle:
6	A novel therapy for symptomatic peripheral arterial disease
7	
8	Authors:
9	Paris Cai MB BCh BAO, MRCS <sup>1</sup> , Sean Pymer PhD <sup>1,2</sup> , Ali Raza MBBS <sup>2</sup> , Said Ibeggazene
10	PhD <sup>1,3</sup> , Louise Hitchman MBBS, MRCS <sup>1,2</sup> , Ian Chetter MD, FRCS <sup>1,2</sup> , George Smith MD,
11	FRCS <sup>1,2</sup>
12	
13	Institute Affiliations:
14	<sup>1</sup> Hull York Medical School, UK
15	<sup>2</sup> Hull University Teaching Hospitals NHS Trust, UK
16	<sup>3</sup> Sheffield Hallam University, UK
17	
18	Corresponding author:
19	Mr Paris Cai
20	Academic Vascular Surgery Unit
21	1 <sup>st</sup> Floor, Tower Block, Hull Royal Infirmary
22	Anlaby Road
23	Hull
24	HU3 2JZ
25	paris.cai@nhs.net

26	
27	Manuscript word count: 2924
28	Date of revision: 4 <sup>th</sup> January 2024
29	
30	Key points
31	Question: Can extracorporeal shockwave therapy improve quality of life and walking
32	distances in patients with lower limb intermittent claudication?
33	
34	Findings: In this double-blind, placebo-controlled, randomized trial that included 138 patients,
35	patients receiving extracorporeal shockwave therapy had statistically higher measures of
36	quality of life and walking distances when compared to patients receiving placebo.
37	
38	Meaning: Given the increasing prevalence of peripheral arterial disease, and the low uptake
39	and adherence to supervised exercise programs among patients with intermittent claudication,
40	extracorporeal shockwave therapy is a safe, non-invasive and efficacious alternative with
41	comparable improvements in quality of life and walking distances to supervised exercise.
42	
43	
44	
45	
46	
47	
48	
49	
50	

51 Abstract

52 Importance: Lower limb intermittent claudication limits function and quality of life.
53 Supervised exercise programs are not readily available, and a non-invasive alternative is
54 required.

55

Objective: Pilot data in extracorporeal shockwave therapy for claudication showed a likely
benefit in walking distances. The aim of this study was to assess extracorporeal corporeal
shockwave therapy in improving quality of life in patients with claudication.

59

Design: Double-blind, placebo-controlled, randomized trial. Patients were randomised at 1:1
ratio to extracorporeal shockwave therapy or placebo. Recruitment was between June 2015 and
January 2020, with 12 week follow up ending March 2020. Statistical analysis was completed
by May 2021.

64

65 Setting: Single tertiary centre for vascular surgery. Participants recruited from the outpatient66 setting.

67

68 Participants: A convenience sample of patients with claudication, to be managed
69 conservatively, who refused or were unable to participate in supervised exercise, were eligible.
70 Patients on anticoagulation therapy or with an active cancer were excluded. 522 patients were
71 screened, 389 were eligible and 138 consented to participate and were randomized.

72

**Intervention:** 3 times weekly for 3 weeks, the intervention group received 100 impulses of 0.1mJ/mm per  $cm^2$  in an area of the gastrocnemius muscle. The steps for treatment were replicated for the control group, without delivering the treatment.

## 77 **Outcomes:**

Primary outcome was the physical functioning domain of SF-36 quality of life questionnaire
at 12-week follow-up. Secondary outcomes included walking distances, ankle brachial pressure
index, and other quality of life measures.

81

#### 82 **Results:**

138 patients recruited and randomized. 67% were male with a mean age of 67 years.

84 The intervention group had a significantly higher physical function score at 12 weeks (Estimate

median difference 3.83, 95% CI [0, 7.66], p=0.033). However, this significance did not remain

86 when adjusting for covariates (p=0.07). At 12-weeks the intervention group had significantly

87 longer pain-free and maximum walking distances (pain-free estimate median difference 34.08,

88 95% CI [11.36, 56.80], p=0.004) (maximum estimate median difference 51.37, 95% CI [10.65,

89 86.50], p=0.013).

90

#### 91 Conclusions and Relevance:

92 This is the first double-blind, placebo-controlled, randomized trial to consider extracorporeal 93 shockwave therapy for the management of intermittent claudication. It has demonstrated 94 efficacy for walking distances, may have a positive effect on quality of life, and can provide a 95 safe, non-invasive alternative.

96

## 97 **Trial registration**

98 *clinicaltrials.gov:* NCT02652078

99

#### 101 Introduction

An estimated 237 million people worldwide suffer with lower limb peripheral arterial disease (1) with this number expected to rise due to population ageing (2). Intermittent claudication (IC) is the most common symptomatic manifestation of peripheral arterial disease (3) and limits physical function, walking distances and quality of life (4,5)

106

107 The current first-line recommendations for the management of IC consist of smoking cessation, 108 best medical therapy, cardiovascular risk reduction and supervised exercise (6,7). Despite 109 overwhelming evidence for the clinical and cost effectiveness of supervised exercise (8) its 110 utility is limited by suboptimal provision, uptake and adherence rates (9–13). A non-invasive, 111 efficacious, and cost-effective intervention, that is more appealing to patients and easy to 112 implement may be an attractive alternative.

113

Extracorporeal shockwave therapy (ESWT) was originally used in urological lithotripsy and 114 has since been utilized in the treatment of musculoskeletal disorders (14), wound healing (15– 115 17) and myocardial ischaemia (18,19). Its use in peripheral arterial disease is less established 116 with small studies reporting heterogenous outcomes (20). Our group has conducted a pilot 117 study on the use of ESWT in patients with IC (21,22), showing it to be safe and well tolerated 118 with a likely benefit on pain free walking distance. However, to date there is no evidence of 119 120 the effect of ESWT on quality of life in patients with IC. The aim of this study was to address this evidence gap and assess the effects of ESWT on quality of life in patients with IC. 121

122

#### 123 Methods

A double-blind, placebo-controlled, randomized trial was conducted at a university teaching
hospital that is a tertiary referral center for vascular surgery. The trial was reviewed by a

regional research ethics committee and full ethical approval was granted by the UK Health Research Authority (REC reference: 14/EE/1257). It was also compliant with the Declaration of Helsinki (1975)(23) and all participants provided written, informed consent prior to any trial procedures. The trial was prospectively registered on a recognized trial registry (*clinicaltrials.gov:* NCT02652078).

131

### 132 **Participants**

A convenience sample of participants were identified and screened at the outpatient vascular 133 134 surgery service, where a diagnosis of stable calf IC (Fontaine II with no change in symptoms in a 3-month period prior to recruitment) was made by a vascular surgeon and treated 135 conservatively with best medical therapy, smoking cessation advice and exercise advice. All 136 participants were offered supervised exercise and had either declined participation or had 137 already completed the local 12-week program and remained significantly symptomatic. 138 Participants were deemed eligible if they were over the age of 18 years, were able to provide 139 written, informed consent and adhere to the trial protocol, and had either unilateral lower limb 140 IC or if bilateral, had an index leg that was symptomatically worse. Participants were not 141 eligible if they had contraindications to the use of ESWT including active malignancy, anti-142 coagulation therapy, known coagulopathies or were pregnant at the time of screening. 143

144

#### 145 **Randomization**

Participants were randomized at a 1:1 ratio using computer-generated numbers in random permuted blocks, with allocation sequence concealment to all investigators, via an online randomization tool (Sealed Envelope Ltd, London, UK, www.sealedenvelope.com) to either ESWT (intervention group) or a placebo treatment (control group). Randomization allocation was concealed from both the participants and the outcome assessors.

## 152 Intervention

Participants in both groups received a total of 9 treatment sessions over a 3-week period. At each session, participants were positioned prone to expose their calf muscles for treatment and were facing away from the equipment.

156

157 The treatment and placebo protocol have been previously published (24). The intervention group received 100 impulses of 0.1mJ/mm per cm<sup>2</sup> in an area of 6cm by 5cm per head of 158 159 gastrocnemius muscle of the index leg, using the PiezoWave 2 shockwave system (Elvation Medical Inc. Duluth, GA, USA). The identical steps for treatment were replicated for the 160 control group, including having the system display on with the correct settings, the application 161 of ultrasound gel and the passage of the transducer over the same area, without delivering the 162 shockwave treatment. Instead, a recording of the sound of the active shockwave treatment was 163 used to simulate the delivery of ESWT, via an MP3 speaker mounted on the device. All 164 participants were followed up at 4 weeks, 8 weeks, and 12 weeks after the first treatment 165 session. 166

167

#### 168 Outcomes

All outcome measurements were assessed at all time-points by assessors blinded to group
allocation. The primary outcome was Physical Functioning as measured by the Medical
Outcomes Survey – Short Form 36 (SF-36) quality of life questionnaire at 12-week follow-up.

Secondary outcome measures were pre-planned and included pain-free and maximum walking
distance assessed via a standardized treadmill test. The treadmill protocol was constant-load
and was performed at 1.6 miles per hour and 10% incline for a maximum of 10 minutes.

Patients began walking on the treadmill and indicated when their IC pain occurred, which was 176 recorded as the pain-free walking distance. Maximum walking distance was recorded when the 177 patient could no longer continue due to maximal claudication pain or when 10 minutes had 178 elapsed. For patients unable to walk at 1.6 miles per hour, the speed was reduced by the 179 outcome assessor, but remained constant at all follow-up visits to ensure standardization. Ankle 180 brachial pressure index was measured at rest and immediately following the treadmill protocol. 181 182 Laser doppler flowmetry, used to assess microcirculatory blood flow of the skin on the medial aspect of the calf and the dorsum of the foot, was also undertaken for a period of 5 minutes at 183 184 rest and immediately following the treadmill protocol using the moorVMS-LDF2 laser doppler monitor (Moor Instruments Ltd, Axminster, UK). Additional quality of life measures were 185 assessed using the EuroQol-5 Dimension 3-Level (EQ-5D-3L), the remainder of SF-36 186 domains, and the disease specific Vascular Quality of Life questionnaire (VascuQoL). 187

188

### 189 **Power calculation and sample size**

In order to demonstrate at least a 10-point difference in SF-36 physical functioning domain with 80% power and 5% significance, 55 participants were required for each treatment group (25). Based on the completion rates of the local supervised exercise program and the results of the internal pilot study (21), we allowed for a 20% attrition rate resulting in a total sample size of 138 participants required to achieve power.

195

## 196 Statistical Analysis

Data was analyzed using SPSS (IBM, Version 28, New York, USA). A *p*-value of <0.05 was</li>
considered statistically significant. Outcome measures were analyzed on an intention-to-treat
basis, according to the randomization group.

Baseline characteristics and outcome measures are presented as means and standard deviations 201 for parametric data, medians, and interquartile range (IQR) for non-parametric data. The 202 203 Shapiro-Wilk test was used to determine the normality of distribution. Mann-Whitney U and Kruskal-Wallis tests were used to estimate the difference in outcomes between groups. Hodges-204 Lehmann estimator used to provide an estimate of the median differences between groups with 205 95% Confidence Intervals. Secondary analysis by one-way analysis of co-variance (ANCOVA) 206 207 using rank transformation of non-parametric data was carried out to compare outcomes at follow up, controlling for baseline characteristics. 208

209

210 This trial is reported in line with the CONSORT guidelines (26).

211

#### 212 **Results**

Between June 2015 and January 2020, 522 patients were assessed for eligibility, and 389 (75%)
patients were eligible. Of these, 138 (35.5%) consented to participate and were randomized
(Figure 1). Table 1 summarizes the participants' baseline characteristics. All patients were
White/Caucasian, reflecting the demographics of the local population (27).

217

Throughout the study period there were no side effects or serious adverse events recorded that were related to the ESWT. One patient in the intervention group withdrew during the treatment period because they were unable to tolerate lying flat and prone due to dyspnoea.

221

## 222 **Primary outcome**

Normalized medians of the physical functioning domain of the SF-36 questionnaire at 12-week follow up were significantly higher in the intervention group (41.3 [IQR 31.2 - 46.1] when compared to the control group (34.6 [IQR 28.8 - 42.7]; (*p*=0.03); estimate median difference 3.83; 95% CI [0.00, 7.66]. There were no statistically significant intragroup differences at any
follow up timepoint.

228

#### 229 Secondary outcomes

230 Other Quality of Life Outcomes (Table 2)

231 Short Form 36 domains

No statistically significant intergroup differences in the other SF-36 domain scores were observed at baseline, or at 8 or 12 weeks. At 4-weeks follow up, the intervention group demonstrated significantly better scores in the SF-36 General Health (p=0.004) and, Vitality (p=0.03) domains, and the Physical Component Summary (p=0.02) than the control group (Table 2).

237

The intervention group showed statistically significant improvement in multiple domains of SF-36 between baseline and follow up. The Physical Component Summary score had a statistically significant increase between baseline and all follow up time points (4-week p=0.02; 8-week p=0.01; 12-week p=0.05). The score for Bodily Pain was significantly increased between baseline and 4-week (p=0.007) and baseline and 8-week (p=0.02). The score for Vitality was significantly increased between baseline and 4-week (p=0.009).

244

The control group had a statistically significant improvement in only one component of SF-36,
Bodily Pain, between baseline and 4-week (p=0.02).

247

#### 248 <u>EuroQol-5 Dimension 3-Level</u>

No statistically significant intergroup differences in the EQ-5D-3L VAS scores were observed
at baseline, or at 8 or 12 weeks. At 4-weeks the intervention group demonstrated significantly

better scores than the control group (p=0.03). There were no statistically significant intragroupdifferences.

253

254 <u>Vascular Quality of Life</u>

No statistically significant intergroup or intragroup differences in VascuQoL questionnaire
scores were observed at baseline or at any time during follow up.

257

#### 258 Pain-free walking distance

No statistically significant intergroup differences in pain-free walking distance were observed at baseline. Thereafter, pain free walking distances were significantly greater in the intervention group at 4, 8 and 12-weeks (Table 3). Statistically significant intragroup improvements in pain free walking distances were observed in both the intervention (p<0.001) and the control group (p<0.001).

264

#### 265 Maximum walking distance

No statistically significant intergroup differences in maximum walking distance were observed at baseline or at 4 weeks. Thereafter, maximum walking distances were significantly greater in the intervention group at 8 and 12-weeks (Table 3). Statistically significant intragroup improvements in maximum walking distances were observed in both the intervention (p<0.001) and the control group (p<0.001).

271

#### 272 Ankle Brachial Pressure Index

273 No statistically significant intergroup or intragroup differences in ankle brachial pressure index
274 pre or post exercise were observed at baseline or at any time during follow up. (Supplementary
275 Table 1).

## 277 Laser Doppler Flowmetry

No statistically significant intergroup or intragroup differences in Laser Doppler Flowmetry
pre or post exercise were observed at baseline or at any time during follow up. (Supplementary
Table 2).

281

#### 282 Secondary analysis

Secondary ANCOVA analysis, adjusting for baseline values, showed that a history of coronary artery disease appears to have a significant effect on physical functioning domain of the SF-36 questionnaire and there was no statistically significant difference in the physical functioning domain at 12-week follow up F(1,94)=3.394, p=0.07.

287

As above, after adjustment for baseline values, SF-36 General Health and Vitality domains continue to be significantly higher in the intervention group when compared to the control group at 4-week follow up (General Health F(1,97)=6.321, p=0.014; Vitality F(1,97)=6.213, p=0.014).

292

After adjustment for baseline values, pain-free walking distances continue to be significantly higher in the intervention group when compared to the control group at all follow up points (4week F(1,99)=5.562, p=0.02; 8-week F(1,81)=9.774, p=0.002; 12-week F(1,78)=10.779, p=0.002).

297

After adjustment for baseline values, maximum walking distances continue to be significantly higher in the intervention group when compared to the control group at 12-week follow up (F(1,92)=9.456, p=0.005).

#### 302 Discussion

In patients with IC who have declined or completed a supervised exercise program, ESWT is 303 safe, well tolerated, and efficacious delivering benefits in walking distances and quality of life. 304 Supervised exercise is the recommended first-line treatment for IC, but suffers from uptake 305 and completion rates as low as 25% and 75% respectively (9–12). Of the 389 patients eligible 306 307 for this study, 138 (35.5%) agreed to participate, and of these, 110 (80%) completed the intervention and follow up. Additionally, many of these participants had previously declined 308 309 participation in an exercise program. Therefore, ESWT appears to be a potential alternative to supervised exercise for patients with IC that can improve patient choice and increase access 310 and engagement with non-invasive treatment. 311

312

With regards to the primary outcome, the median improvement in the SF- 36 domain of 313 physical functioning at 12-week follow up was of a magnitude similar to that associated with 314 a 12-week supervised exercise program (8). Post-hoc secondary analysis however, revealed 315 that this difference in physical functioning was no longer significant when accounting for 316 baseline characteristics that can affect outcomes in lower limb peripheral arterial disease in 317 general, though a trend did remain (p=0.07). In this cohort, a history of coronary artery 318 disease/ischaemic heart disease, significantly affected the physical functioning score as well 319 320 as a difference between groups at baseline, likely representing a chance imbalance at randomization. 321

322

Nevertheless, there were statistically significant differences between groups in the General
Health and Vitality domains of the SF-36, which were not influenced by baseline differences.
This therefore suggests ESWT does have a positive effect on quality of life.

The remaining SF-36 domains and other measures of quality of life did not show statistically 327 significant improvements. However, the median scores in the intervention group were 328 consistently higher than in the control group. The lack of statistical significance may be due to 329 the trial being powered to detect a significant change in the SF-36 physical functioning domain, 330 therefore lacking the power to detect changes in other quality of life domains. It is also 331 332 important to note that the aim of the intervention was not to eradicate claudication symptoms, but rather to reduce them to enable patients to mobilize further. This means that there will be 333 334 a continuing impact of IC on quality of life, which can skew the results obtained from a disease specific quality of life questionnaire such as the VascuQol influencing the lack of a significant 335 change. This will especially apply to patients with bilateral claudication, as the intervention 336 only treated the index leg. 337

338

With regards to other secondary outcomes, walking distances improved at each time point, 339 peaking at 12-week follow-up. The improvements in the intervention group were comparable 340 to those provided by exercise therapy and represented a small to moderate minimal clinically 341 important difference (31,32). Importantly, the control group also had a significant increase in 342 their objective walking distances suggesting adequate blinding, and validating our placebo 343 treatment protocol (20). Another possible explanation for these increases is continuing to check 344 345 that participants did not discontinue and were appropriately taking their statin and antiplatelet therapy at every follow up point, ensuring strict adherence to best medical therapy. This, 346 coupled with constant smoking cessation and exercise advice and encouragement throughout 347 the trial period is something that patients are unlikely to receive as part of routine clinical 348 practice but has a positive impact on their IC. 349

Nevertheless, given that the conservative management approach used within both groups 351 conformed to latest guidance (6,7), the significant increase in walking distances and quality of 352 353 life measures in the intervention group can be attributed to the effects of ESWT. Future research should perhaps investigate various doses and durations of ESWT, compare ESWT with 354 supervised exercise, investigate the potential additive effects of the two interventions, and 355 consider the potential mechanism of action for ESWT. A previously postulated mechanism of 356 357 action i.e. upregulation of angiogenic factors (33), does not appear to be evident at a macrovascular level nor is it superficial enough to be adequately detected by laser doppler 358 359 flowmetry. Other proposed mechanisms of action such as neural stunning, resulting in reduction in ischaemic pain in patients with critical limb threatening ischaemia (34), might 360 have a role in the effects of ESWT seen in this study. However from the current evidence it is 361 unclear whether this reduction in pain is due solely to neural stunning or due to angiogenesis 362 and vasodilation (33). 363

364

A final, but important consideration, is that our findings further the suggestion that quality of life in patients with IC cannot be solely assessed via the functional outcome of walking distance, but requires generic and disease specific quality of life tools. However, our findings also demonstrate the impact that concurrent comorbidities have on such tools. As such, future research in patients with lower limb peripheral arterial disease should adopt patient reported health related quality of life measures as primary endpoint, whilst stratifying for the impact of concurrent comorbidities (4,35,36).

372

## 373 Limitations

This study is not without limitations. Firstly, post-hoc secondary analysis revealed that the difference in physical functioning as measured by the SF-36 questionnaire was no longer 376 significant when adjusting for baseline characteristics, in particular a history of coronary artery377 disease.

378

The study is also limited by the use of a constant load treadmill test, for assessing walking distances. Though a reliable test, especially when assessing maximum walking distance in patients with IC (38), it has disadvantages in terms of test, re-test reliability compared to a graded treadmill test and may not be as closely related to every day walking as the 6-minute walking test (39).

384

Lastly, this is a single center trial of a modest convenience sample. Future research should aim for a multi-center trial to allow for generalizability of results and will be of great interest for comparison with the current recommendation of supervised exercise therapy.

388

#### 389 Conclusions

To our knowledge this is the first adequately powered, double-blind, placebo-controlled, randomized trial to consider ESWT for the management of lower limb IC. It has successfully demonstrated efficacy for improving walking distances within a comparable cohort of patients with IC, whilst suggesting a potential positive effect on quality of life. Further trials are required to compare this treatment to the current available treatment, including a supervised exercise program, and identify the potential mechanism of action.

396

## 397 Acknowledgements

The authors would like to thank all the members of the Academic Vascular Surgery Unit at Hull York Medical School and the Hull University Teaching Hospitals NHS Trust for their invaluable assistance with the completion of this trial.

4	0	1

- 402 Mr Paris Cai had full access to all the data in the study and takes responsibility for the integrity
- 403 of the data and the accuracy of the data analysis.

- 405 The authors have no conflicts of interest to declare.
- 407 Funding: None
- 409 <u>List of Figures and Tables</u>
- 410 Figure 1: CONSORT diagram.
- 411 Table 1: Baseline characteristics.
- 412 Table 2: Median quality of life measures at all time points
- 413 Table 3: Median pain free and maximum walking distances at all trial time points.

426 Table 1: Baseline characteristics.

<b>Baseline Demographics</b>	Intervention Group n = 68	Control Group n = 70
Male sex (%)	44 (64.7)	48 (68.6)
Female sex (%)	24 (35.3)	22 (31.4)
Age Mean ± SD (years)	$66 \pm 10.7$	$67 \pm 8.5$
BMI Median (IQR) (kg/m <sup>2</sup> )	27.9 (24.3-30.9)	27.8 (24.1-29.9)
<ul> <li>Smoking status (%)</li> <li>Current smoker</li> <li>Ex-smoker</li> <li>Never smoker</li> </ul>	31 (45.6) 33 (48.5) 4 (5.9)	25 (35.7) 38 (54.3) 7 (5.6)
Diabetes (%)	16 (23.5)	25 (35.7)
HTN (%)	40 (58.8)	43 (61.4)
Hx CAD/IHD (%)	22 (32.3)	31 (44.3)
Hx CVA (%)	7 (10.3)	6 (8.6)
Hx Resp (%)	16 (23.5)	17 (24.3)
<ul><li>Fontaine Classification</li><li>Fontaine IIa</li><li>Fontaine IIb</li></ul>	5 (7.3) 63 (92.6)	9 (12.9) 61 (87.1)
<ul> <li>Site of claudication</li> <li>Calf (%)</li> <li>Calf and thigh (%)</li> </ul>	62 (91.2) 6 (8.8)	66 (94.3) 4 (5.7)
Bilateral claudication (%)	7 (10.3)	8 (11.4)

427

428 <u>KEY</u>

- 429 BMI Body Mass Index
- 430 HTN Hypertension
- 431 CAD Coronary Artery Disease
- 432 IHD Ischaemic Heart Disease
- 433 CVA Cerebrovascular Accident
- 434 Resp Respiratory Disease

	Intervention Group (n=55)	Control Group (n=55)	p value	Estimate median difference [95% CI]
Baseline	(11-33)	(11-33)		
SF-36 PF	36.5 (30.8 - 44.2)	33.0 (26.9 - 38.9)	0.05	3.82 [0, 5.74]
SF-36 RP	39.1 (31.3 – 48.2)	37.0(30.2 - 43.1)	0.03	2.25 [0, 6.73]
SF-36 BP	$\frac{39.1(31.3-48.2)}{38.2(30.6-43.5)}$	37.0(30.2 - 43.1) 38.2(30.6 - 42.2)	0.18	0 [0, 4.03]
SF-36 GH		, , ,	0.32	
SF-36 VT	<u>43.2 (35.2 – 50.8)</u> 46.7 (40.7 – 49.6)	<u>38.4 (30.8 – 47.5)</u> 43.7 (32.5 – 49.6)	0.07	3.33 [0, 7.13] 2.97 [0, 5.94]
				ε, α
SF-36 SF	<u>42.3 (32.3 – 53.6)</u> 45.7 (31.8 – 56.2)	42.3 (32.3 – 47.3) 42.2 (28.3 – 56.2)	0.06	5.01 [0, 10.02]
SF-36 RE		45.6 (37.8 - 56.1)	0.33	0 [0, 6.97]
SF-36 MH SF-36 PCS	$\frac{50.9(42.4 - 58.7)}{261(21.2 - 41.7)}$	, , ,	0.09	2.62 [0, 7.84]
	36.1(31.3-41.7)	34.0(27.6-39.8)		2.46 [-0.46, 5.32]
SF-36 MCS	$\frac{49.5 (43.1 - 58.3)}{0.66 (0.52 - 0.68)}$	45.6(35.4-56.4)	0.16	3.16 [-1.02, 7.49]
EQ-5D VAS	0.66 (0.53 - 0.68)	0.65 (0.38 - 0.66)	0.15	0 [0, 0]
VascuQol	4.4 (3.33 – 5.5)	4.2 (3.2 – 4.8)	0.13	0.36 [-0.12, 0.84]
4-week follow		265 (20.0 44.0)	0.11	2 27 [0 5 75]
SF-36 PF	39.4 (32.6 - 44.6)	36.5(28.8-44.2)	0.11	2.37 [0, 5.75]
SF-36 RP	$\frac{40.3 (34.7 - 52.7)}{42.2 (27.2 - 51.5)}$	39.2(32.5-48.2)	0.11	2.25 [0, 6.74]
SF-36 BP	$\frac{42.2 (37.3 - 51.5)}{42.7 (28.7 - 52.2)}$	38.2(34.2 - 46.3)	0.19	3.23 [0, 4.44]
SF-36 GH	43.7 (38.7 - 53.2)	38.0 (33.2 - 46.1)	0.004	5.71 [2.38, 9.51]
SF-36 VT	49.6 (45.9 - 55.6)	46.7 (34.8 - 55.6)	0.03	2.98 [0, 8.91]
SF-36 SF	47.3 (37.3 – 57.3)	42.3 (37.3 – 52.3)	0.37	0 [0, 5.01]
SF-36 RE	49.2 (35.3 – 56.2)	42.2 (31.8 - 56.2)	0.26	0 [0, 6.96]
SF-36 MH	56.1 (42.4 – 58.7)	50.9 (40.4 - 58.7)	0.19	2.62 [0, 5.24]
SF-36 PCS	39.7 (33.9 – 44.5)	35.9 (31.0 – 40.2)	0.02	3.86 [0.78, 6.53]
SF-36 MCS	53.5 (43.5 - 60.0)	49.3 (40.6 - 59.3)	0.27	2.32 [-1.73, 6.61]
EQ-5D VAS	0.66 (0.60 – 0.69)	0.66 (0.36 – 0.69)	0.03	0.03 [0, 0.07]
VascuQol	5.3 (4.2 – 5.9)	4.8 (3.9 – 5.6)	0.14	0.32 [-0.12, 0.80]
8-week follow	•	ſ		
SF-36 PF	42.2 (31.2 - 46.1)	36.5 (30.3 - 42.7)	0.08	3.83 [0, 7.66]
SF-36 RP	39.2 (32.5 - 52.1)	39.2 (30.2 - 43.7)	0.14	4.49 [0, 8.98]
SF-36 BP	42.2 (34.2 - 49.9)	38.2 (34.2 - 46.3)	0.17	3.63 [0, 4.83]
SF-36 GH	43.7 (36.2 - 50.8)	40.4 (33.2 - 48.4)	0.14	3.32 [-0.95, 7.14]
SF-36 VT	49.6 (38.5 - 55.6)	43.7 (37.7 – 49.6)	0.09	2.98 [0, 8.91]
SF-36 SF	47.3 (37.3 – 57.3)	42.3 (37.3 – 52.3)	0.17	5.01 [0, 10.02]
SF-36 RE	45.7 (31.8 - 56.2)	42.2 (35.3 - 56.2)	0.66	0 [-3.48, 6.96]
SF-36 MH	53.5 (43.0 - 58.7)	48.3 (37.8 - 58.7)	0.37	2.61 [-2.62, 5.24]
SF-36 PCS	41.2 (35.9 - 46.0)	35.9 (30.7 - 40.9)	0.02	4.18 [0.74, 7.38]
SF-36 MCS	52.6 (39.9 - 59.0)	47.2 (39.7 – 57.5)	0.53	1.52 [-2.97, 6.53]
EQ-5D VAS	0.66 (0.60 - 0.69)	0.66 (0.50 - 0.66)	0.10	0.03 [0, 0.09]
VascuQol	5.2 (3.8 - 5.8)	4.6 (3.8 – 5.3)	0.08	0.44 [-0.08, 0.92]
12-week follow	/ up			
SF-36 PF	41.3 (31.2 – 46.1)	34.6 (28.8 - 42.7)	0.03	3.83 [0, 7.66]
SF-36 RP	41.4 (32.5 - 48.2)	39.2 (32.5 - 48.2)	0.39	2.24 [-2.24, 6.73]
SF-36 BP	40.2 (34.2 - 46.7)	38.2 (30.6 - 46.7)	0.48	0 [-0.80, 4.43]
SF-36 GH	44.4 (35.6 - 50.8)	38.0 (33.2 - 46.1)	0.06	4.75 [0, 8.55]

Table 2: Median quality of life measures at all time points.

SF-36 VT	49.6 (40.0 - 55.6)	43.7 (37.7 - 52.6)	0.20	2.97 [-2.97, 5.95]
SF-36 SF	47.3 (32.3 – 57.3)	42.3 (37.3 - 47.3)	0.31	0 [0, 10.20]
SF-36 RE	45.7 (35.3 - 56.2)	42.2 (28.3 - 56.2)	0.42	0 [0, 6.96]
SF-36 MH	52.2 (40.4 - 58.7)	48.3 (40.4 - 56.1)	0.28	2.61 [-2.62, 5.24]
SF-36 PCS	40.8 (33.5 - 45.4)	36.6 (31.4 - 43.7)	0.12	2.75 [-0.71, 6.02]
SF-36 MCS	48.7 (39.4 - 58.6)	46.4 (37.7 - 57.4)	0.47	1.71 [-2.88, 6.90]
EQ-5D VAS	0.66 (0.59 - 0.69)	0.66 (0.50 - 0.67)	0.67	0 [-0.03, 0.03]
VascuQol	4.9 (3.9 – 5.9)	4.9 (3.6 – 5.5)	0.48	0.16 [-0.36, 0.64]

- 437 <u>KEY</u>
- 438 PF Physical Function
- 439 RP Role Physical
- 440 BP Bodily Pain
- 441 GH General Health
- 442 VT Vitality
- 443 SF Social Functioning
- 444 RE Role Emotional
- 445 MH Mental Health
- 446 PCS Physical Component Summary
- 447 MCS Mental Component Summary

448

Table 3: Median pain free and maximum walking distances at all trial time points.

Walking distance	Intervention Group	Control Group	p value	Estimate median
Meters (IQR)	(n=55)	(n=55)		difference [95% CI]
Baseline Pain Free	49 (32.7 - 82.4)	40 (22.7 - 72.1)	0.10	8.77 [-2.13, 18.99]
Baseline Maximum	85 (55.4 - 132.5)	93 (47.5 - 141.1)	0.93	-1.03 [-22.01, 17.75]
4-weeks Pain Free	87 (58.2 - 127.8)	58 (30.5 - 110.9)	0.03	20.03 [2.14, 38.34]
4-weeks Maximum	142 (90.3 - 176.1)	103 (54.1 - 195.1)	0.12	22.94 [-6.90, 52.54]
8-weeks Pain Free	98 (56.1 - 147.1)	60 (37.1 – 91.2)	0.006	31.95 [10.61, 57.10]
8-weeks Maximum	158 (107.5 - 256.8)	110 (62.4 - 200.6)	0.04	38.34 [1.30, 73.76]
12-weeks Pain Free	106 (67.5 - 157.6)	70 (43.5 - 106)	0.004	34.08 [11.36, 56.80]
12-weeks Maximum	172 (118.6 - 239.3)	114 (68.7 - 200.9)	0.01	51.37 [10.65, 86.50]

## **References**

453	1.	Song P, Rudan D, Zhu Y, Fowkes FJI, Rahimi K, Fowkes FGR, et al. Global, regional,
454		and national prevalence and risk factors for peripheral artery disease in 2015: an
455		updated systematic review and analysis. Lancet Glob Heal. 2019 Aug 1;7(8):e1020-
456		30.
457	2.	Fowkes FGR, Rudan D, Rudan I, Aboyans V, Denenberg JO, McDermott MM, et al.
458		Comparison of global estimates of prevalence and risk factors for peripheral artery
459		disease in 2000 and 2010: A systematic review and analysis. Lancet.
460		2013;382(9901):1329–40.
461	3.	Harwood AE, Pymer S, Ingle L, Doherty P, Chetter IC, Parmenter B, et al. Exercise
462		training for intermittent claudication: A narrative review and summary of guidelines
463		for practitioners [Internet]. Vol. 6, BMJ Open Sport and Exercise Medicine. BMJ
464		Publishing Group; 2020 [cited 2021 Mar 20]. Available from:
465		/pmc/articles/PMC7673109/
466	4.	Chetter IC, Spark JI, Dolan P, Scott DJA, Kester RC. Quality of life analysis in
467		patients with lower limb ischaemia: Suggestions for European standardisation. Eur J
468		Vasc Endovasc Surg [Internet]. 1997 [cited 2021 Mar 22];13(6):597-604. Available
469		from: https://pubmed.ncbi.nlm.nih.gov/9236714/
470	5.	Dumville JC, Lee AJ, Smith FB, Fowkes FGR. The health-related quality of life of
471		people with peripheral arterial disease in the community: The Edinburgh Artery Study.
472		Br J Gen Pract [Internet]. 2004 Nov [cited 2021 Mar 20];54(508):826-31. Available
473		from: /pmc/articles/PMC1324915/
474	6.	Aboyans V, Ricco J-B, Bartelink M-LEL, Björck M, Brodmann M, Cohnert T, et al.

475 Editor's Choice – 2017 ESC Guidelines on the Diagnosis and Treatment of Peripheral

- 476 Arterial Diseases, in collaboration with the European Society for Vascular Surgery
- 477 (ESVS). Eur J Vasc Endovasc Surg [Internet]. 2018 Mar [cited 2020 Feb

478 2];55(3):305–68. Available from:

- 479 https://linkinghub.elsevier.com/retrieve/pii/S1078588417304549
- 4807.NICE. Clinical Guideline (CG147) Peripheral arterial disease: diagnosis and
- 481 management. NICE Guidel [Internet]. 2018;(August 2012). Available from:

482 https://www.nice.org.uk/guidance/cg147

483 8. Lane R, Harwood A, Watson L, Leng GC. Exercise for intermittent claudication.

484 Cochrane Database Syst Rev [Internet]. 2017 Dec 26 [cited 2020 Feb 2]; Available

- 485 from: http://doi.wiley.com/10.1002/14651858.CD000990.pub4
- 486 9. Dua A, Gologorsky R, Savage D, Rens N, Gandhi N, Brooke B, et al. National
- 487 assessment of availability, awareness, and utilization of supervised exercise therapy for

488 peripheral artery disease patients with intermittent claudication. In: Journal of Vascular

- 489 Surgery [Internet]. Mosby Inc.; 2020 [cited 2021 Mar 21]. p. 1702–7. Available from:
- 490 https://pubmed.ncbi.nlm.nih.gov/31699514/
- 10. Harwood AE, Smith GE, Cayton T, Broadbent E, Chetter IC. A systematic review of

the uptake and adherence rates to supervised exercise programs in patients with
intermittent claudication. Vol. 34, Annals of Vascular Surgery. Elsevier Inc.; 2016. p.
280–9.

- 495 11. Harwood AE, Hitchman LH, Ingle L, Doherty P, Chetter IC. Preferred exercise
- 496 modalities in patients with intermittent claudication. J Vasc Nurs [Internet]. 2018 Jun 1
- 497 [cited 2021 Mar 20];36(2):81–4. Available from:
- 498 https://pubmed.ncbi.nlm.nih.gov/29747787/
- 499 12. Harwood AE, Pymer S, Ingle L, Doherty P, Chetter IC, Parmenter B, et al. Exercise

500		training for intermittent claudication: a narrative review and summary of guidelines for
501		practitioners. [cited 2021 Mar 21]; Available from: http://bmjopensem.bmj.com/
502	13.	Harwood AE, Pymer S, Ibeggazene S, Ingle L, Caldow E, Birkett ST. Provision of
503		exercise services in patients with peripheral artery disease in the United Kingdom.
504		Vascular [Internet]. 2022 Oct 1 [cited 2022 Oct 15];30(5):874-81. Available from:
505		https://journals.sagepub.com/doi/10.1177/17085381211035259
506	14.	Xu ZH, Jiang Q, Chen DY, Xiong J, Shi DQ, Yuan T, et al. Extracorporeal shock
507		wave treatment in nonunions of long bone fractures. Int Orthop [Internet]. 2009 Jun 25
508		[cited 2021 Mar 21];33(3):789–93. Available from:
509		https://link.springer.com/article/10.1007/s00264-008-0553-8
510	15.	Zissler A, Stoiber W, Pittner S, Sänger AM. Extracorporeal Shock Wave Therapy in
511		Acute Injury Care: A Systematic Review. Rehabil Process Outcome [Internet]. 2018
512		Jan 1 [cited 2021 Mar 21];7:117957271876513. Available from:
513		http://journals.sagepub.com/doi/10.1177/1179572718765138
514	16.	Hitchman LH, Totty JP, Raza A, Cai P, Smith GE, Carradice D, et al. Extracorporeal
515		Shockwave Therapy for Diabetic Foot Ulcers: A Systematic Review and Meta-
516		Analysis. Vol. 56, Annals of Vascular Surgery. Elsevier Inc.; 2019. p. 330–9.
517	17.	Hitchman LH, Totty JP, Cai P, Smith GE, Carradice D, Chetter IC. Extracorporeal
518		shockwave therapy for diabetic foot ulcers: a feasibility study. J Wound Care
519		[Internet]. 2023 Mar 2 [cited 2023 Apr 11];32(3):182–92. Available from:
520		https://pubmed.ncbi.nlm.nih.gov/36930191/
521	18.	Takakuwa Y, Sarai M, Kawai H, Yamada A, Shiino K, Takada K, et al. Extracorporeal
522		Shock Wave Therapy for Coronary Artery Disease: Relationship of Symptom
523		Amelioration and Ischemia Improvement. Asia Ocean J Nucl Med Biol [Internet].

524		2018 [cited 2021 Mar 21];6(1):1–9. Available from: /pmc/articles/PMC5765327/
525	19.	Čelutkiene J, Burneikaite G, Shkolnik E, Jakutis G, Vajauskas D, Čerlinskaite K, et al.
526		The effect of cardiac shock wave therapy on myocardial function and perfusion in the
527		randomized, triple-blind, sham-procedure controlled study. Cardiovasc Ultrasound
528		[Internet]. 2019 Jul 4 [cited 2021 Mar 21];17(1):13. Available from:
529		https://cardiovascularultrasound.biomedcentral.com/articles/10.1186/s12947-019-
530		0163-1
531	20.	Cayton T, Harwood AE, Smith GE, Totty JP, Carradice D, Chetter IC. Extracorporeal
532		shockwave therapy for the treatment of lower limb intermittent claudication: study
533		protocol for a randomised controlled trial (the SHOCKWAVE 1 trial). Trials
534		[Internet]. 2017 Dec 6 [cited 2021 Mar 20];18(1):104. Available from:
535		http://trialsjournal.biomedcentral.com/articles/10.1186/s13063-017-1844-4
536	21.	Harwood AE, Green J, Cayton T, Raza A, Wallace T, Carradice D, et al. A feasibility
537		double-blind randomized placebo-controlled trial of extracorporeal shockwave therapy
538		as a novel treatment for intermittent claudication. J Vasc Surg. 2018 Feb 1;67(2):514-
539		521.e2.
540	22.	Green JL, Harwood AE, Smith GE, Das T, Raza A, Cayton T, et al. Extracorporeal
541		shockwave therapy for intermittent claudication: Medium-term outcomes from a
542		double-blind randomised placebo-controlled pilot trial.
543		https://doi.org/101177/1708538118773618 [Internet]. 2018 May 3 [cited 2022 Oct
544		25];26(5):531–9. Available from:
545		https://journals.sagepub.com/doi/abs/10.1177/1708538118773618
546	23.	World Medical Association declaration of Helsinki: Ethical principles for medical

548 Medical Association. 2013.

24. Cayton T, Harwood AE, Smith GE, Totty JP, Carradice D, Chetter IC. Extracorporeal 549 shockwave therapy for the treatment of lower limb intermittent claudication: study 550 protocol for a randomised controlled trial (the SHOCKWAVE 1 trial). Trials 551 [Internet]. 2017 Mar 6 [cited 2023 Sep 4];18(1). Available from: 552 553 https://pubmed.ncbi.nlm.nih.gov/28264725/ 25. Ware JE, Snow KK, Kosinski M, Gandek B. SF-36 Health Survey Manual and 554 555 Interpretation Guide. Bost New Engl Med Cent [Internet]. 1993 [cited 2013 Sep 23]; Available from: http://www.mendeley.com/catalog/sf-36-health-survey-manual-556 interpretation-guide/ 557 26. Moher D, Hopewell S, Schulz KF, Montori V, Gøtzsche PC, Devereaux PJ, et al. 558 CONSORT 2010 Explanation and Elaboration: updated guidelines for reporting 559 parallel group randomised trials. BMJ [Internet]. 2010 Mar 24 [cited 2023 Sep 560 2];340:869. Available from: https://www.bmj.com/content/340/bmj.c869 561 27. How life has changed in Kingston upon Hull: Census 2021 [Internet]. [cited 2023 Sep 562 2]. Available from: 563 https://www.ons.gov.uk/visualisations/censusareachanges/E06000010/ 564 28. Sajobi TT, Wang M, Awosoga O, Santana M, Southern D, Liang Z, et al. Trajectories 565 of health-related quality of life in coronary artery disease. Circ Cardiovasc Qual 566 Outcomes [Internet]. 2018 Mar 1 [cited 2021 Mar 21];11(3). Available from: 567 568 http://ahajournals.org Trikkalinou A, Papazafiropoulou AK, Melidonis A. Type 2 diabetes and quality of life. 29. 569 570 World J Diabetes [Internet]. 2017 [cited 2021 Mar 21];8(4):120. Available from: /pmc/articles/PMC5394731/ 571

- 572 30. Naito A, Honma T, Sekizawa K. Quality of life in COPD patients. Respir Circ. 2002
  573 Mar 1;50(3):241–5.
- 574 31. Lane R, Harwood A, Watson L, Leng GC. Exercise for intermittent claudication.
  575 Cochrane Database Syst Rev. 2017 Dec 26;
- 576 32. Gardner AW, Montgomery PS, Wang M. Minimal clinically important differences in
- treadmill, 6-minute walk, and patient-based outcomes following supervised and home-
- based exercise in peripheral artery disease. Vasc Med (United Kingdom) [Internet].
- 579 2018 Aug 1 [cited 2021 Mar 22];23(4):349–57. Available from:
- 580 /pmc/articles/PMC6062461/
- 581 33. Raza A, Harwood A, Totty J, Smith G, Chetter I. Extracorporeal Shockwave Therapy
- for Peripheral Arterial Disease: A Review of the Potential Mechanisms of Action
- 583 [Internet]. Vol. 45, Annals of Vascular Surgery. Elsevier Inc.; 2017 [cited 2021 Mar
- 584 21]. p. 294–8. Available from:
- 585 http://www.annalsofvascularsurgery.com/article/S0890509617308452/fulltext
- 586 34. Belcaro G, Cesarone MR, Dugall M, Di Renzo A, Errichi BM, Cacchio M, et al.
- 587 Effects of Shock Waves on Microcirculation, Perfusion, and Pain Management in
- 588 Critical Limb Ischemia. http://dx.doi.org/101177/000331970505600407 [Internet].
- 589 2016 Sep 6 [cited 2022 Oct 16];56(4):403–7. Available from:
- 590 https://journals.sagepub.com/doi/10.1177/000331970505600407?url\_ver=Z39.88-
- 591 2003&rfr\_id=ori%3Arid%3Acrossref.org&rfr\_dat=cr\_pub++0pubmed
- 592 35. Gulati S, Coughlin PA, Hatfield J, Chetter IC. Quality of life in patients with lower
- limb ischemia; revised suggestions for analysis. J Vasc Surg [Internet]. 2009 Jan 1
- 594 [cited 2022 Oct 23];49(1):122–6. Available from:
- 595 http://www.jvascsurg.org/article/S0741521408013608/fulltext

596	36.	O'Banion LA, Saadi S, Hasan B, Nayfeh T, Simons JP, Murad MH, et al. Lack of
597		patient-centered evaluation of outcomes in intermittent claudication literature. J Vasc
598		Surg [Internet]. 2023 Sep 1 [cited 2023 Sep 3];78(3):828–36. Available from:
599		http://www.jvascsurg.org/article/S0741521423010157/fulltext
600	37.	Chetter C, Spark JI, Dolan P, Scott DJA, Kester RC. ESVS PRIZE WINNER 1996
601		Quality of Life Analysis in Patients with Lower Limb Ischaemia: Suggestions for
602		European Standardisation. Eur J Vasc Endovasc Surg. 1997;13:597-604.
603	38.	Nicolaï SPA, Viechtbauer W, Kruidenier LM, Candel MJJM, Prins MH, Teijink JAW.
604		Reliability of treadmill testing in peripheral arterial disease: a meta-regression
605		analysis. J Vasc Surg [Internet]. 2009 Aug [cited 2023 Sep 4];50(2):322-9. Available
606		from: https://pubmed.ncbi.nlm.nih.gov/19631868/
607	39.	McDermott MM, Guralnik JM, Criqui MH, Liu K, Kibbe MR, Ferrucci L. The Six-
608		Minute Walk is a Better Outcome Measure than Treadmill Walking Tests in
609		Therapeutic Trials of Patients with Peripheral Artery Disease. Circulation [Internet].
610		2014 Jul 7 [cited 2023 Sep 4];130(1):61. Available from: /pmc/articles/PMC4154227/
611		