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1 **Earthquake-resistant buildings with steel or composite columns:**
2 **comparative assessment using structural optimization**

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9 **ABSTRACT**

10 This work investigates and compares the cost-effectiveness of seismically designed
11 buildings having either pure steel or steel-concrete composite columns. In order to ensure an
12 objective comparison of these two design approaches, the assessed building designs are
13 obtained by a structural optimization procedure. Thus, any bias that would result from a
14 particular designer's capabilities, experience, and subjectivity is avoided. Hence, a discrete
15 Evolution Strategies optimization algorithm is employed to minimize the total cost of materials
16 (steel and concrete) used in a structure subject to constraints associated with: (a) Eurocode 4
17 provisions for safety of composite column-members, (b) Eurocode 3 provisions for safety of
18 structural steel members, and (c) seismic system behaviour and resistance. Extensive
19 assessments and comparisons are performed for a variety of seismic intensities, for a number
20 of building heights and plan configurations, etc. Results obtained by conducting 154 structural
21 design optimization runs provide insight into potential advantages attained by partially
22 substituting steel (as a main structural material) with concrete when designing the columns of
23 earthquake-resistant buildings.

24 **KEYWORDS:**

25 steel structure; composite structure; structural optimization; seismic design; Eurocode 3;
26 Eurocode 4; pushover analysis; fundamental period

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30 **1. Introduction**

31 The incorporation of steel-concrete composite elements in a structure is nowadays
32 regarded as established design and construction practice. Nevertheless, the investigations
33 conducted on the conditions under which such practice is more cost-effective than other
34 alternatives are rather limited. The use of composite elements is typically seen as an alternative
35 to the use of pure steel elements. The use of each of these two types of elements is associated
36 with certain advantages and disadvantages. Therefore, it is essential to comparatively assess
37 structures incorporating either type of elements.

38 The purpose of this work is to assess multi-storey composite buildings with steel-concrete
39 composite columns with respect to their cost effectiveness and seismic resistance capability.
40 The assessments performed include comparisons with pure steel buildings. To ensure that all
41 assessments and comparisons are made in an objective manner, the structures considered are
42 designed in a way that optimal usage of the available materials and cross-sectional geometries
43 is achieved. Thus, the designs attained do not depend on a designer's capabilities, experience
44 and subjectivity, but are the outcome of an objective automatic design optimization procedure.

45 Structural optimization is a powerful computational tool which assists engineers in
46 efficiently searching for cost-effective designs within extensive solution spaces. The existing
47 literature includes several design optimization applications for pure steel structures (e.g. [1]-
48 [12]). Design optimization applications for structures with steel-concrete composite columns
49 have appeared primarily in recent years ([13]-[20]). The comparisons between pure steel and
50 composite buildings presented in the above publications cover a narrow spectrum of design
51 cases. Thus, although some information and optimization results are provided in the relevant
52 available literature, additional assessments are needed for a more comprehensive comparison
53 between the alternatives of pure steel and composite columns in optimally designed multi-
54 storey buildings.

55 In the present paper, structural optimization is applied for the seismic design of composite
56 buildings, in which the steel-concrete columns consist of steel members with standard I-shaped
57 sections (HEB) fully encased in concrete. Moreover, buildings with pure steel columns are
58 optimally designed using standard HEB sections. Steel beams with standard I-shaped sections
59 (IPE) and (optional) steel bracings with standard L-shaped sections are considered for all
60 design cases (using either composite or pure steel columns). All buildings assessed are required
61 to satisfy the provisions of Eurocode 4 for the steel-concrete composite members and Eurocode

62 3 for the pure steel members. Seismic actions are taken into account through lateral deflection
63 constraints evaluated using nonlinear static pushover analyses. Moreover, the fundamental
64 periods of the optimally designed buildings are determined and assessed. All structural analyses
65 required during any optimization run are performed with the software OpenSEES [21], which
66 is automatically invoked by a discrete Evolution Strategies optimization algorithm. The seismic
67 design optimization framework utilized in the present work is described in detail in [20].

68 Extensive assessments and comparisons are made herein for composite and pure steel
69 buildings. Optimal structural designs are identified for a variety of seismic intensities, for a
70 number of building heights and plan configurations, etc. The optimization results allow for an
71 objective comparison of various designs in terms of required materials cost and achieved
72 capacity to withstand earthquake actions and provide insight into the relative cost-effectiveness
73 of the composite and pure steel design approaches.

74 **2. Structural design optimization**

75 Standardized steel sections are used for all structural elements (composite or pure steel)
76 in this work. Hence the search space consists only of discrete design options, which renders
77 the investigation performed a discrete optimization problem. The procedure developed in [20]
78 is adjusted and applied herein. In particular, an Evolution Strategies algorithm is employed,
79 which is a population-based evolutionary optimization method. At each ES-generation, this
80 algorithm uses recombination and mutation operations to manipulate a population of μ parent
81 design vectors and produce a population of λ offspring design vectors. Then, a new parent
82 population for the next ES-generation is formed by selecting μ vectors from the set of λ
83 offspring vectors. This iterative procedure is terminated when no actual improvement is
84 observed in the objective function value for a number of ES-generations. The main features of
85 the adjusted ES implementation utilized in the present paper are described in the remainder of
86 this section.

87 **2.1. Objective function, design variables and constraints**

88 The objective function employed in this work is an implicit measure of the total materials
89 cost of the main structural elements (columns, beams and bracings) in the building frame
90 considered. Specifically, the objective to be minimized by the optimization procedure is the
91 total equivalent steel mass M_s^{tot} (tonnes of steel) of all structural material quantities used:

$$92 \quad M_s^{tot} = M_S + CR \cdot V_C \quad (1)$$

93 In the above equation, M_S and V_C are the total steel mass (t) and concrete volume (m^3),
94 respectively, used in the structure. The Cost Ratio CR enables the conversion of the total
95 concrete volume in the structure to equivalent steel mass and is defined as the total unit cost
96 for concrete ($\text{€}/m^3$) over the total unit cost for steel ($\text{€}/t$). For Cyprus, CR is estimated as 0.012
97 t/m^3 [20], which corresponds to relatively cheap concrete (locally produced) and expensive
98 steel (imported). To derive this CR -value, both material and labour costs were taken into
99 account, as well as features and details required to install the respective structural members
100 [20]. As CR is a ratio, its value is practically insensitive to uniform market price variations.
101 The material mass needed for structural elements/parts that are not explicitly included in the
102 analysed frame model and are typically designed separately (slabs, secondary beams,
103 foundation, etc.) is not taken into account in the objective function (1).

104 The design variables of the optimization procedure are associated with the steel section
105 geometries of the main frame elements and take values from the following 3 properly sorted
106 discrete databases: (a) HE 100 B to HE 1000 B for columns, (b) IPE 80 to IPE 600 for beams
107 and (c) L 90×90×7 to L 250×250×28 for bracings. For the steel-concrete columns, the same
108 basic configuration is always used for the concrete and its reinforcement encasing the HEB-
109 sections, therefore no additional design variables are required beyond the ones controlling the
110 steel cores; section dimensions and details are provided in [20]. It is also mentioned that the
111 database with L-shaped sections includes a ‘zero’ option (no bracing section), which actually
112 offers the optimizer the choice to deactivate bracings in a structure. Thus, in general, the
113 optimal solution identified may be a braced or unbraced frame. Note that the steel HEB-
114 sections have a common orientation across all columns of a pure steel or composite frame: their
115 major axes are parallel to the global horizontal y -axis of the building. Therefore, the bracings’
116 sections are determined based mainly on the building’s stiffness needs in the y -direction. A
117 final issue linked with the handling of design variables is the potential incompatibility of
118 member sections at beam-column connections. Hence, when the column web height is too
119 small to accommodate the flanges of the beams at a connection along y -direction, we are forced
120 to override the optimizer’s choice and adopt an increased column section.

121 As regards the implemented constraints, these are associated with the design
122 requirements imposed by relevant standards and guidelines. Hence, with the aid of linear static
123 analysis results, composite column members are designed according to provisions of Eurocode
124 4 [22], while pure steel column and beam members are designed according to provisions of
125 Eurocode 3 [23]. Moreover, as regards seismic system resistance, the output of nonlinear

126 pushover analyses is used to assess whether a structure: (a) can safely reach a pre-specified
127 target displacement at the roof level (see section 3 for details) and (b) has interstorey drifts
128 within acceptable limits ($\leq 4\%$ of the storey height for composite frames, $\leq 5\%$ of the storey
129 height for pure steel frames [24]). Steel bracings are not checked with respect to provisions of
130 Eurocode 3; their sections are identified based on the lateral deflection constraints for adequate
131 seismic performance of the structural system.

132 A structural design that violates any of the aforementioned constraints on structural
133 member capacities and system resistance under seismic action is deemed infeasible. Such a
134 design is penalized by adding to the objective function (1) a penalty term, which is equal to the
135 total mass of the heaviest design possible for the database options available. Thus, infeasible
136 designs are not immediately eliminated by the optimizer, but are exploited during the new
137 design generation process to potentially contribute any favourable design feature they possess.

138 **2.2. Structural modelling and analyses**

139 The composite and pure steel frame structures assessed in the present work are
140 numerically modelled and analysed using OpenSEES [21]. To properly simulate the stress-
141 strain behaviour of structural materials, the following models in OpenSEES are employed:

- 142 • ‘Steel01’. This material type is utilized to model all structural steel members, *i.e.* the steel
143 cores of composite columns, the pure steel columns, the beams and the bracings. It is
144 implemented with a yield stress of 235MPa and an elasticity modulus of 210GPa. As
145 regards hardening, the post-yielding stiffness is 5% of the initial one.
- 146 • ‘Concrete01’. This material type is employed for all concrete regions of the composite
147 columns. It is implemented with cracking and crushing strains of 2‰ and 3.5‰,
148 respectively. For the confined concrete area surrounded by the reinforcement, the
149 compressive strength is set to 20MPa (no tensile strength is assumed). For the unconfined
150 concrete area (external cover of 2.5cm), a reduced compressive strength is assumed, which
151 is 20% lower than that of confined concrete.
- 152 • ‘ReinforcingSteel’. This material type is used for the longitudinal and transversal
153 reinforcement bars of the composite columns. A yield stress of 434MPa, an ultimate stress
154 of 521MPa, a yield strain of 2.5‰ and an ultimate strain of 20% are defined.

155 All structural members are represented in any building frame using fiber section elements.
156 Columns and beams are modelled using ‘nonlinearBeamColumn’ elements of OpenSEES.

157 Bracings are modelled as ‘truss’ elements [21]. Each member section is divided into a sufficient
158 number of fibers to adequately capture the development of plastic regions and hinges.

159 Slabs and secondary beams are not explicitly included in the structural model; their
160 contribution is simulated by including their weight in the structure’s dead loads and considering
161 a rigid diaphragm at each slab level. The connections at the base of each column are modelled
162 as fixed supports. Beam-column connections in x -direction are assumed to be moment-
163 restrained, while beams in y -direction are simply supported.

164 For each of the assessed buildings, five analyses are performed: (a) a force-controlled
165 linear static analysis under gravitational loads, (b) two eigenvalue analyses (one for each
166 horizontal direction) and (c) two displacement-controlled non-linear static pushover analyses
167 (one for each horizontal direction). In the linear static analysis, the combined vertical loads are
168 gradually applied on the beams of the building. If the analysis finishes successfully, the
169 structural members are assessed with respect to the individual capacity criteria defined in
170 Eurocode 3 [23] for steel members and Eurocode 4 [22] for composite steel-concrete members.
171 Although designs that fail in any of the aforementioned criteria are considered infeasible, their
172 performance under horizontal loads is still evaluated. A penalty is added to the objective
173 function of such designs and are not discarded from the population of designs processed by the
174 optimizer. Designs with failures in multiple criteria receive a higher penalization.

175 **3. Adjustment of seismic demands**

176 The seismic structural performance is determined by displacement-controlled nonlinear
177 pushover analyses up to a targeted top displacement Δ_{target} according to the provisions of
178 ASCE/SEI 41-06 [24] and FEMA 440 [25]. The magnitude of the required displacement
179 depends on various problem-related variables: type of soil, seismic hazard of the area, expected
180 load distribution, etc. This requirement is increased for structures of high economical value or
181 importance to the public safety. The same applies when more demanding design codes are used.
182 Thus, to generalize the results of an investigation, a variety of targeted displacements needs to
183 be examined for each problem case considered.

184 The approach followed in this work to control the seismic capacity of the building
185 designed is by directly adjusting the targeted top displacement used to perform the pushover
186 analysis. This is achieved by introducing the displacement modification factor δ in the
187 calculation of the targeted top displacement:

188
$$\tilde{\Delta}_{target} = \delta \cdot C_0 \cdot C_1 \cdot C_2 \cdot C_3 \cdot Sa \cdot \frac{T_e^2}{4\pi^2} \cdot g, \quad (2)$$

189 where C_0 , C_1 , C_2 and C_3 are coefficients defined in [25] and T_e is the effective fundamental
 190 period defined in the same document. The expression given in [25] for the targeted top
 191 displacement is Eq. (2) with $\delta=1$.

192 As $\tilde{\Delta}_{target}$ is directly related to the design earthquake through the spectral response
 193 acceleration (Sa), a more severe seismic excitation, *i.e.* one with increased Sa , would cause
 194 larger displacements to the assessed building. Hence, δ actually corresponds to the ratio of the
 195 spectral response acceleration considered for the design over the spectral response acceleration
 196 $Sa_{10/50}$ corresponding to the Earthquake Hazard Level with probability of exceedance 10% at
 197 50 years, which is typically used for ordinary residential buildings.

198 FEMA-356 [26] provides an equation to adjust the mapped response acceleration
 199 parameters to other probabilities of exceedance:

200
$$S_i = S_{i,10/50} \cdot \left(\frac{P_R}{475}\right)^n \quad (3)$$

201 where n is a site-dependent coefficient, which takes into consideration the soil type and seismic
 202 hazard of the area [26] and P_{EY} is the probability of exceedance in time Y (years) for the desired
 203 earthquake hazard level. Dividing this equation by $S_{i,10/50}$, a function to calculate the
 204 displacement modification coefficient δ for a given return period and vice versa is obtained:

205
$$\delta = \left(\frac{P_R}{475}\right)^n \Rightarrow P_R = 475 \cdot \sqrt[n]{\delta} \quad (4)$$

206 This equation includes coefficient n , so for any location there is an earthquake with some return
 207 period for which the structure is required to reach δ times the targeted top displacement that
 208 corresponds to an earthquake with 10% probability of exceedance in 50 years. Fig. 1 shows the
 209 return period for the design earthquake corresponding to different values of coefficient δ .

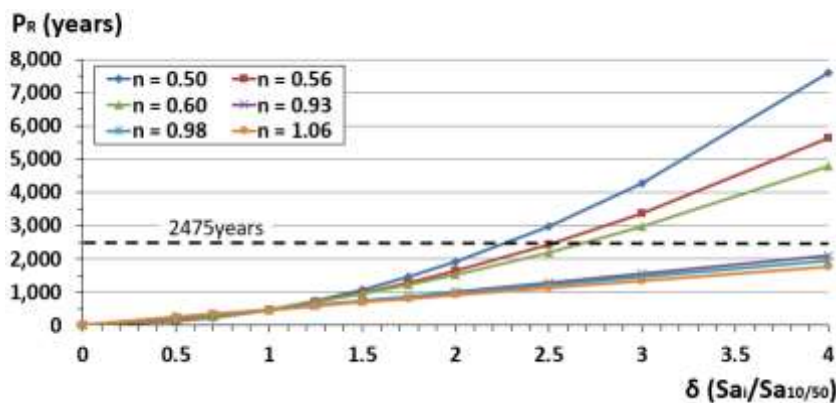


Fig. 1. Return period of the design earthquake corresponding to various values of factor δ (the dashed line defines the 2475-year limit for the 2% probability of exceedance in 50 years).

210 While high values of δ , such as 3.0 or 4.0, might seem excessive, only in a few cases do
 211 such values correspond to seismic excitations with a probability less than the minimum values
 212 already used in FEMA-356, *i.e.* 2% in 50 years (see shaded cells in Table 1). It is of particular
 213 interest to note that, for values up to $\delta = 2.0$, the considered design earthquakes have
 214 probabilities of exceedance $>2\%$ for any n -value (Table 1).

215 Table 1. Probability of exceedance (P_{EY}) in 50 years.

Site- dependent coefficient n	Displacement modification factor (δ)									
	0.50	0.70	1.00	1.25	1.50	1.75	2.00	2.50	3.00	4.00
0.50	34.37%	19.34%	10.00%	6.52%	4.58%	3.38%	2.60%	1.68%	1.17%	0.66%
0.56	30.44%	18.05%	10.00%	6.83%	4.98%	3.81%	3.01%	2.03%	1.47%	0.89%
0.60	28.41%	17.37%	10.00%	7.00%	5.22%	4.06%	3.27%	2.26%	1.68%	1.04%
0.93	19.90%	14.32%	10.00%	7.95%	6.59%	5.61%	4.88%	3.86%	3.18%	2.35%
0.98	19.23%	14.06%	10.00%	8.05%	6.73%	5.78%	5.06%	4.05%	3.38%	2.53%
1.06	18.33%	13.71%	10.00%	8.18%	6.93%	6.02%	5.33%	4.34%	3.67%	2.81%

216 The disadvantage of using the probability of exceedance or the return period of an
 217 earthquake is that these two properties depend on the characteristics of the site. Using δ , one
 218 can assess structures constructed in areas with different site characteristics on the basis of their
 219 performance at their own locations. According to FEMA-440, structures designed for $\delta=1$
 220 possess the required ductility to sustain an earthquake, the magnitude of which has probability
 221 10% of being exceeded in a time period of 50 years. In the analyses performed in this work,
 222 the site characteristics of a single location only have been used and, therefore, the used values
 223 of δ correspond to various intensities of an excitation from the same source in all assessed
 224 problem cases. Therefore, values $\delta>1$ imply higher ductility demands or higher structural
 225 significance. By introducing factor δ , the comparison of structural designs with different
 226 characteristics is possible, as it is performed on the basis of an adjusted targeted top
 227 displacement, which serves as an indicator of their capacity.

228 4. Fundamental period formulas

229 ASCE/SEI 41-06 [24] and Eurocode 8 [27] provide approximate formulas to calculate
 230 the fundamental period of steel moment resisting frames using only their total height. In
 231 particular, ASCE/SEI 41-06 suggests its calculation through:

$$232 T = 0.035 \cdot H^{0.8}, \quad (5)$$

233 where H is the building height in feet, while Eurocode 8 defines it as:

$$234 T = 0.085 \cdot H^{3/4}. \quad (6)$$

235 The alternative formula for buildings up to 12 storeys and storey height at least 10ft is:

236 $T = 0.1 \cdot n,$ (7)

237 where n is the number of storeys of the building.

238 Goel and Chopra [28] performed an investigation of approximate formulas for various
239 types of buildings and found that the code formulas significantly underestimate the
240 fundamental periods of steel MRFs. Hence, they proposed alternative formulas to calculate
241 empirically the fundamental period for this building type corresponding to a lower limit:

242 $T = 0.028 \cdot H^{0.8},$ (8)

243 where H is the building height in feet, and to an upper limit:

244 $T = 0.045 \cdot H^{0.8}.$ (9)

245 The above approximate formulas are frequently applied to estimate the fundamental
246 period of steel buildings in the design phase. Similar recommendations for steel-concrete
247 composite buildings are not proposed; however, high-period designs need to be avoided. To
248 investigate the effect approximate fundamental period calculations may have on building
249 designs, all aforementioned formulas were used to set fundamental period equality constraints.
250 Hence, the intended fundamental period for each assessed building height was calculated
251 separately using Eqs (5)-(9). Then, the maximum attainable δ -value was determined for the
252 building having fundamental period equal to each of the approximations from Eqs (5)-(9) and
253 the corresponding cost-outcome was illustrated on the graphs presenting optimization results
254 in the next section.

255 **5. Optimization Results**

256 **5.1. Reference Building (6-storeys, 5×5 bays)**

257 The building selected as a reference for the numerical investigation of the present work
258 is a 6-storey space frame of square floor plan with 5 bays in each horizontal direction (Fig.
259 2(a)). Bracings are (optionally) installed at the middle bay of each of the 4 external sides of the
260 building. The bay width along both x - and y -directions is 5.5m, while the height of each storey
261 is 3.5m, resulting in a total building height of 21m. This is assumed to be a residential building,
262 which implies a characteristic live load value of $q=2\text{kN/m}^2$ at each floor. The building is
263 optimized separately with pure steel columns and composite steel-concrete columns, in order
264 to assess the cost-effectiveness of each of these configurations.

265 Fig. 2 illustrates the 6 member groups defined for the reference building. Columns are
266 organized into 4 groups according to their position in the floor plan: (1) corner, (2) peripheral
267 in x -direction, (3) peripheral in y -direction and (4) internal. A single group (5) contains all

268 beams, while the last group (6) contains all bracings of the structure. One discrete design
 269 variable is assigned to each member group taking values from the respective database (HEB
 270 for columns, IPE for beams and L for bracings). For medium-rise and high-rise buildings,
 271 increasing the number of column-groups to allow for different sections along the building
 272 height could lead to more cost-effective designs (see e.g. [20]). However, such a finer design
 273 variable configuration is not applied herein, because this would substantially enlarge the
 274 available design space and increase the computational time required for its effective search.

275 To facilitate the discussion in the sequel of the present work, structural designs are
 276 codified using the format: ‘*Column type - Number of storeys - Number of bays in each direction*
 277 *- Value of δ (Optional additional characteristics)*’. The options for *Column type* are ‘C’ for
 278 composite or ‘S’ for pure steel columns. For example, the reference building with composite
 279 columns designed for $\delta=2$ is designated as C-6-5-2. If this is assumed to be an office building
 280 with pure steel columns, then it is designated as S-6-5-2($q=5\text{kN/m}^2$).

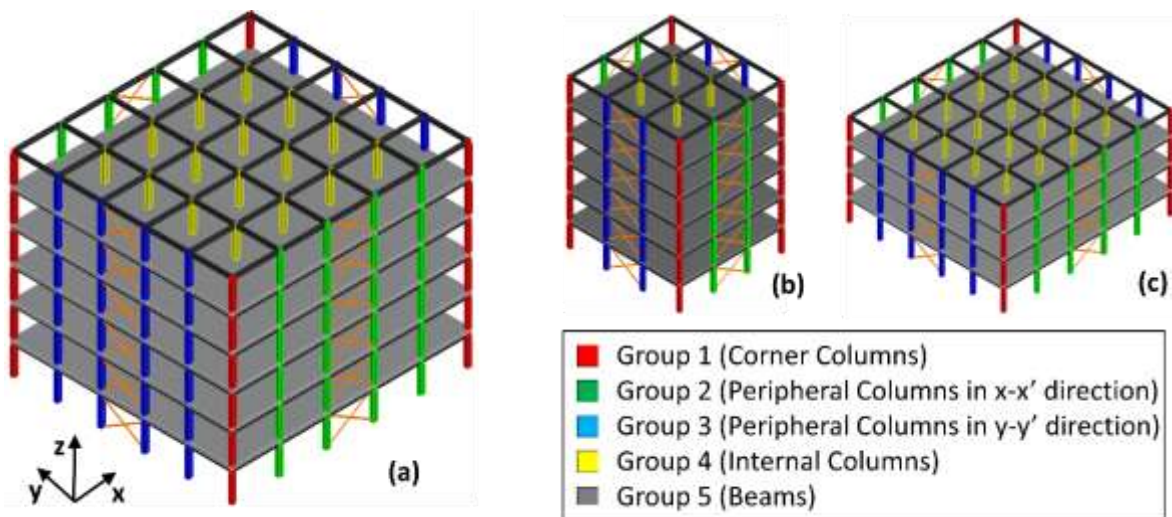


Fig. 2. Section grouping applied to: (a) the reference building C-6-5- δ , (b) C-6-3- δ and (c) C-4-5- δ (top slabs removed for visualization purposes).

281 A total of 22 optimization runs were carried out for the reference building: 10 runs were
 282 performed for each of column types ‘C’ and ‘S’ with δ -values ranging from 0.5 to 4, while one
 283 more run for each column type was conducted for gravitational loads only ($\delta=0$). Fig. 3 presents
 284 the total equivalent steel mass and the fundamental period of the optimized designs identified
 285 as a function of the δ -value specified. Clearly, higher δ -values (*i.e.* increased seismic resistance
 286 demands) induce the need for larger amounts of structural material in the building, therefore
 287 monotonic increase in both curves C-6-5- δ and S-6-5- δ (designs of reference building for
 288 various δ -values) is observed.

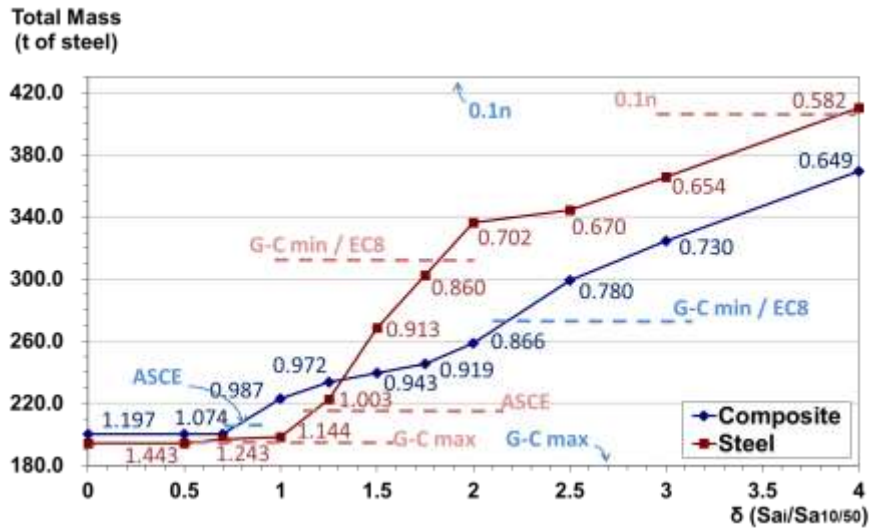


Fig. 3. Total equivalent steel mass versus δ for C/S-6-5- δ (reference building) (the values illustrated next to each point are the corresponding maximum fundamental periods)

289 For each of these two curves, an upper and a lower total mass bound can be determined.
 290 The upper bound is defined as the total mass of a building designed using the largest available
 291 section for each structural member. As the same HEB-database is utilized for both composite
 292 and pure steel columns, the difference in the respective upper total mass bounds results from
 293 the presence of concrete in the case of composite columns. On the other hand, the lower total
 294 mass bound corresponds to the case of $\delta=0$ (only gravitational loads applied to the structure).
 295 In that case, the beams' section is actually dictated by the required bending moment capacity,
 296 which is directly related to the beam length (bay width) and the applied gravitational loads.
 297 The resulting beam section specifies then the minimum acceptable column section, because, in
 298 order to be able to connect the two members, the space available between the column flanges
 299 (column web height) must exceed the width of the beam flange.

300 It is worth mentioning that optimized designs for $\delta=0$ have a considerable seismic
 301 resistance capacity, which actually suffices for significant values of δ , although $\delta=0$ means that
 302 the structure is not explicitly designed to withstand seismic loads. In particular, one can notice
 303 in Fig. 3 that the same optimized design is used for C-6-5-0, C-6-5-0.5 and C-6-5-0.7.
 304 Respectively, for pure steel columns, this applies to S-6-5-0 and S-6-5-0.5, while the cost for
 305 δ -values up to 1 is not significantly increased and is still lower than the minimum cost of the
 306 corresponding steel-concrete composite designs.

307 What is also of particular interest is the intersection point of the cost-versus- δ curves, as
 308 it defines the level of horizontal displacement requirement, beyond which buildings with steel-
 309 concrete composite columns are more economical than with pure steel ones. As the calculated
 310 optimal points of the curves depend on the optimization problem's configuration, when its

311 parameters are changed (e.g. by varying the number of bays or storeys), the intersection point
 312 may shift to any direction, increasing or decreasing the benefit gained from the one philosophy
 313 over the other. Therefore, 3 extra sets of optimization runs described in the following
 314 subsections were performed. In any case, it is evident from the results in this section that
 315 buildings with pure steel columns are more economical for lower δ -values, while composite
 316 columns should be preferred for higher δ -values, with the curves' intersection point signifying
 317 the change of preference between the two column types.

318 Any building examined in this section is simulated using a three-dimensional frame
 319 model with the fundamental period depending on the direction of vibration. Hence, the values
 320 given next to the points of the graph of Fig. 3 (as well as in corresponding graphs in the next
 321 subsections) are the maximum fundamental periods of each optimal design, which are
 322 calculated for displacement parallel to the column sections' major axis, *i.e.* considering their
 323 stiffness about the minor axis. The dashed lines illustrate the cost-level of the designs attained
 324 when fundamental period values are imposed using equality constraints based on the
 325 approximation formulas of section 4; arrows are used to indicate cases yielding costs outside
 326 the relevant axis range. All formulas of section 4 take into account only the total height or the
 327 number of storeys of the building; the fundamental period values obtained for all cases
 328 considered in this section are provided in Table 2.

329 Table 2. Fundamental periods (s) calculated based on the formulas of section 4.

Number of storeys	Total Height		Fundamental Period Calculation Formula				
	(m)	(ft)	0.1n (Eq. 7)	Goel & Chopra minimum (Eq. 8)	EN 1998 (Eq. 6)	ASCE/SEI 41-06 (Eq. 5)	Goel & Chopra maximum (Eq. 9)
2	7.00	22.97	0.2	0.34	0.37	0.43	0.55
4	14.00	45.93	0.4	0.60	0.62	0.75	0.96
6	21.00	68.90	0.6	0.83	0.83	1.03	1.33

330 It can be noticed in Fig. 3 that, for both pure steel and composite columns, increased
 331 seismic demands lead to designs with lower fundamental periods, reducing the targeted top
 332 displacement the buildings are required to reach. The variation in the calculated periods is
 333 significantly larger for the pure steel buildings. Optimized composite designs, even when
 334 designed for gravitational loads only, have an increased stiffness due to the columns' concrete,
 335 resulting in lower fundamental periods. For this design approach, the Goel & Chopra maximum
 336 period approximation is lower than the defined feasible designs and the '0.1n' approximation
 337 significantly higher. Since the designs illustrated are optimized ones, their fundamental periods
 338 are the largest possible values of a feasible design for each level of seismic demand. Therefore,

339 the Goel & Chopra maximum limit would not alter the optimization results of the composite
 340 buildings; for the pure steel building it would only affect the designs below $\delta \approx 0.5$.

341 **5.2. The effect of increased seismic mass ($q=5\text{kN/m}^2$)**

342 The second set of optimization runs aims to investigate the effect of the total seismic
 343 mass on the designs obtained. For this purpose, a change in the intended use from residential
 344 building ($q=2\text{kN/m}^2$) to office building ($q=5\text{kN/m}^2$) is considered. Such a change results in
 345 increased applied loads on the building and, consequently, in larger total mass per storey.
 346 Therefore, higher stiffness per storey is required to achieve adequate structural performance
 347 under seismic loads. The actual aim of this change is to reduce the effect of the constraints
 348 related to the evaluation of the candidate designs' individual members with respect to the
 349 capacity criteria defined in Eurocodes 3 and 4. Instead, an increased effect of the structural
 350 system performance on the determination of the optimized design in each case is expected.

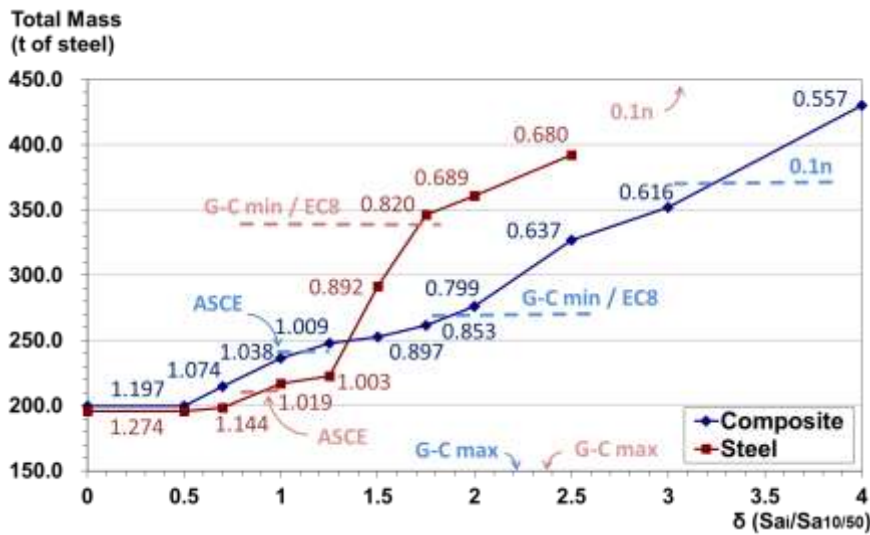


Fig. 4. Total equivalent steel mass versus δ for C/S-6-5- $\delta(q=5\text{kN/m}^2)$
 (the values illustrated next to each point are the corresponding maximum fundamental periods)

351 The new 22 optimization runs performed to investigate the effect of increased storey
 352 masses yielded the curves C-6-5- $\delta(q=5\text{kN/m}^2)$ and S-6-5- $\delta(q=5\text{kN/m}^2)$ of Fig. 4. A comparison
 353 with Fig. 3 shows that the increase in total structural cost of the new optimized designs is
 354 similar for both pure steel and composite columns. The value of δ at the intersection point of
 355 the two curves in Fig. 4 is not altered significantly compared to the reference curves of Fig. 3,
 356 as the curves illustrated in Fig. 4 are roughly parallel to the respective ones in Fig. 3. Therefore,
 357 although an increase in the total seismic mass of the structure results in larger member sections,
 358 the relative cost-effectiveness of pure steel and composite design approaches does not seem to
 359 be affected. However, it is sometimes affected by the utilized discrete section databases, since

360 the selection of a larger section for a higher δ -value results in certain cases in an abrupt increase
361 of the total cost. Another effect of the discrete section availability is that, while for composite
362 column sections the optimization algorithm managed to determine building designs with δ -
363 value up to 4, for pure steel sections it was unable to find feasible designs for δ -values higher
364 than about 2 (larger sections are needed, which are not available in the database). As regards
365 the fundamental periods of the optimized designs, it can be noticed that the increase of the total
366 seismic mass affects differently the pure steel and composite design approaches. For the steel-
367 concrete composite approach, seismic demands corresponding to δ -values up to 1.25 lead to
368 higher fundamental periods for increased seismic mass compared to the ones for the reference
369 building; for higher δ -values, fundamental periods for increased seismic mass are significantly
370 lower. For the pure steel buildings, all optimized designs for increased seismic mass are
371 characterized by lower fundamental periods compared to the ones for the reference building.
372 Finally, Goel & Chopra maximum approximations are too low and therefore not applicable.

373 **5.3. The effect of plan configuration (3×3, 7×7 and 9×9 bays)**

374 In engineering practice, when increased stiffness requirements are induced to the design
375 of a steel building, they are usually met by either installing steel bracings in a number of
376 predefined bays of the structure or by selecting stronger columns (when the structure is
377 designed as a moment resisting frame). In reinforced concrete buildings, even though shear
378 walls provide a significant resistance to horizontal loads, the columns' stiffness contribution is
379 significant as well. One would generally expect a composite building with concrete-encased
380 steel columns to exhibit a favourable performance, as it can combine concepts both from steel
381 and reinforced concrete buildings.

382 The focus in this subsection is on investigating the overall stiffness of the optimized
383 building and the way it is attained. In general, moment resisting frames are structures with high
384 fundamental period and, therefore, the required ductility demands are high. However, the more
385 bracings are installed in the building, the more is this requirement reduced and the building's
386 behaviour shifts toward that of a 'profoundly' braced frame, in which the columns' sections
387 are mainly determined by gravitational loads. Therefore, the way the overall stiffness of a
388 structural system is to be attained is directly linked to a more stiff or flexible design to be
389 determined due to the system's structural members configuration, *i.e.* its topology.

390 Following the above discussion, a third set of optimization runs is performed, in which 3
391 additional buildings with different numbers of bays per direction are simulated: a 3×3-bay

392 (C/S-6-3- δ) (Fig. 2(b)), a 7 \times 7-bay (C/S-6-7- δ) and a 9 \times 9-bay building (C/S-6-9- δ). All these
393 buildings have the same basic geometric characteristics as the reference building: 6 storeys,
394 5.5m bay span and 3.5m storey height. Furthermore, bracings are installed at the middle bays
395 of all external sides of each building. The main difference between the examined buildings,
396 including the reference 5 \times 5-bay building, is the contribution of the bracings to the total stiffness
397 of each storey. As the number of bays per direction increases, extra columns are added, while
398 the total mass per storey increases significantly as well. However, the number of bracings
399 remains the same in all buildings, as they are only installed in the middle bays and, therefore,
400 the ratio of the stiffness contributed by the bracings to the total storey stiffness of each building
401 cannot be the same. Thus, a 3 \times 3-bay building with 8 bracing members and 16 columns at each
402 storey behaves basically as a braced frame. On the other hand, in a 9 \times 9-bay building with 100
403 columns per storey, the contribution of bracings is of reduced significance and its structural
404 performance is basically that of a moment resisting frame, rather than that of a braced frame.
405 Indeed, the bracings' contribution to the total storey stiffness is reduced from about 75% down
406 to less than 10% as the number of bays is increased from 3 \times 3 to 9 \times 9.

407 A total number of 66 optimization runs were carried out to optimize pure steel and
408 composite buildings for the same range of δ -values as in the case of the reference building; the
409 results are depicted in Figs. 5-7. In Fig. 5 (3 \times 3 bays), it can be noticed that roughly the same
410 cost is retained for a relatively wide range of low horizontal displacement capacity
411 requirements δ . This is an expected outcome, because, when the contribution of bracings to the
412 total stiffness is increased, the proportion of horizontal loads they receive is increased as well;
413 therefore, for low δ -values, small column sections suffice, as these are mainly defined due to
414 the gravitational loads. In the case of the 7 \times 7-bay and 9 \times 9-bay buildings (Figs. 6 and 7), the
415 corresponding δ -range retaining a constant cost is much shorter.

416 The general tendency of the curves obtained in Figs. 5-7 is not changed compared to that
417 of the reference building. However, the intersection point of the pair of curves in each figure
418 is shifted. For the 3 \times 3-bay building, the intersection point is at $\delta \approx 1.8$, increasing the range of
419 values for which pure steel columns can provide more cost-effective solutions than composite
420 columns. On the other hand, the two curves intersect at lower δ -values for the 7 \times 7-bay and
421 9 \times 9-bay buildings: at $\delta \approx 0.8$ and $\delta \approx 0.55$, respectively. The decisive difference between the 4
422 plan configurations of the buildings examined is the increase in the number of columns as the
423 number of bays per direction increases while the number of bracings per storey remains the
424 same. Hence, bracings seem to have a more critical effect to pure steel design than to steel-

425 concrete composite design. For larger numbers of columns, the bracings' effect is reduced,
 426 while the total concrete area in the steel-concrete composite columns is increased significantly.
 427 It is also interesting to note the less steep curves corresponding to composite design in Figs. 3-
 428 7, which implies that the composite design adapts better to varying column numbers, without
 429 abrupt increases of the total structural cost as δ is increased. Hence, the reinforced concrete
 430 encasing the steel section in larger numbers of steel-concrete composite columns appears to
 431 substitute effectively the proportion, by which the contribution of bracings to the total stiffness
 432 is reduced, rendering composite design more suitable for moment resisting frames than pure
 433 steel design.

434 Another important aspect is that, when optimizing using pure steel members, the largest
 435 available column and bracing sections in the respective databases need to be utilized to reach

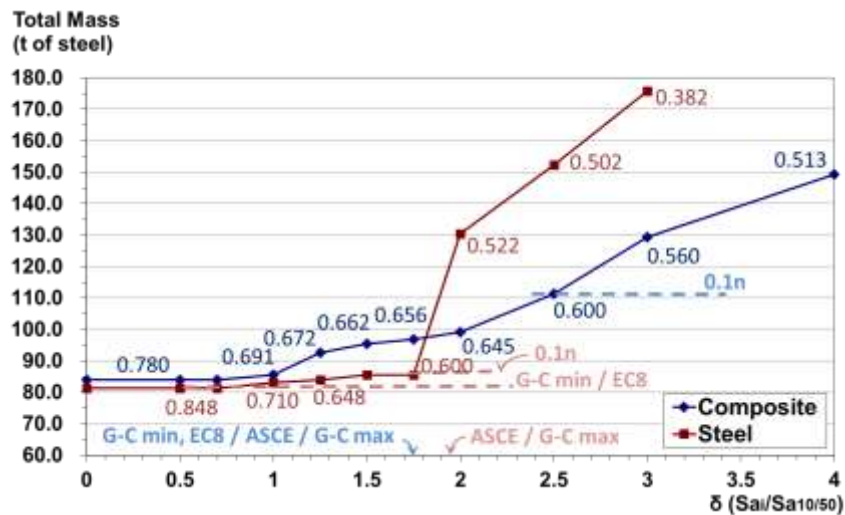


Fig. 5. Total equivalent steel mass versus δ for C/S-6-3- δ
 (the values illustrated next to each point are the corresponding maximum fundamental periods)

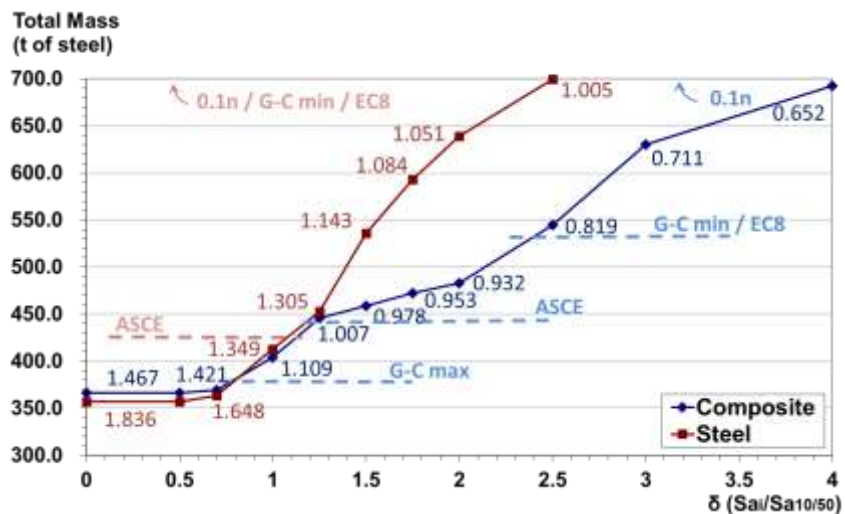


Fig. 6. Total equivalent steel mass versus δ for C/S-6-7- δ
 (the values illustrated next to each point are the corresponding maximum fundamental periods)

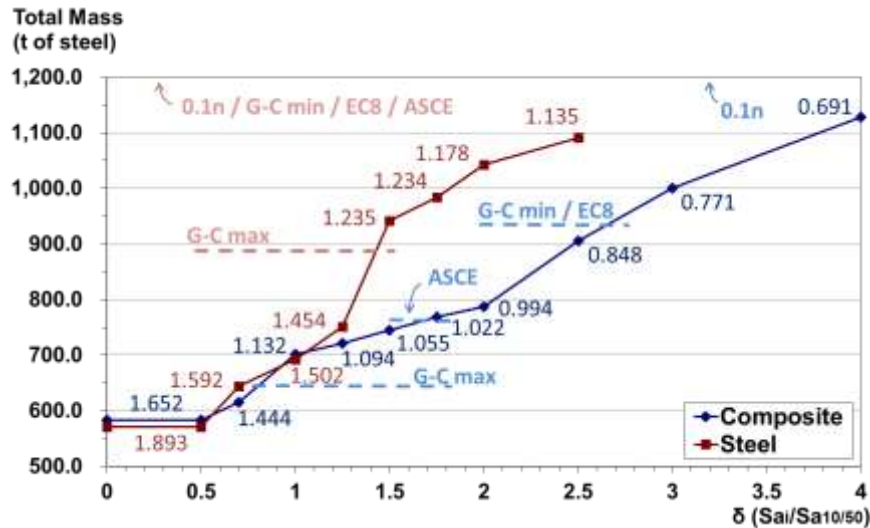


Fig. 7. Total equivalent steel mass versus δ for C/S-6-9- δ
 (the values illustrated next to each point are the corresponding maximum fundamental periods)

436 δ -values up to 2.0 for the 7×7-bay and 9×9-bay buildings, 2.5 for the reference building and
 437 3.0 for the 3×3-bay building. Using steel-concrete composite columns, feasible solutions can
 438 be determined for δ -values up to 4.0 for all numbers of bays investigated. This outcome is
 439 indicative of the significant role of concrete in providing lateral stiffness to a composite
 440 building. Nevertheless, buildings designed with very high seismic resistance demands ($\delta \rightarrow 4$),
 441 especially the ones with low overall area over height ratio (e.g. 3×3-bay building), need to be
 442 carefully assessed, as certain columns or column parts may be in tension instead of compression.
 443 Composite columns in that state have lost the contribution of concrete in the part of the section
 444 that is under tension and can safely count only on their steel cores to receive tensile stresses.

445 As regards the fundamental periods of the optimized designs, the same general tendency
 446 is exhibited by both pure steel and composite approaches: for larger number of bays, the
 447 proportional stiffness contribution of bracings is reduced, which leads to overall more flexible
 448 designs with higher fundamental periods. Buildings with large numbers of bays are able to
 449 meet the specified seismic performance criteria thanks to their high overall area over height
 450 ratio. This effect is more pronounced in the cases of the pure steel design approach, for which
 451 the approximation formulas of section 4 yield more restrictive fundamental period bounds, as
 452 can be verified from Figs. 3 and 5-7.

453 5.4. The effect of building height (2 and 4 storeys)

454 In this final set of optimization runs, 2 more buildings are simulated, a 4-storey (C/S-4-
 455 5- δ) (Fig. 2(c)) and a 2-storey building (C/S-2-5- δ), both of which have an identical element
 456 configuration as the reference building, so their only geometrical difference is the number of

457 storeys. The new buildings have a lower height to area ratio and, consequently, increased
 458 inherent structural stiffness. Forty-four optimization runs were performed for this investigation,
 459 the results of which are presented in Figs. 8 and 9.

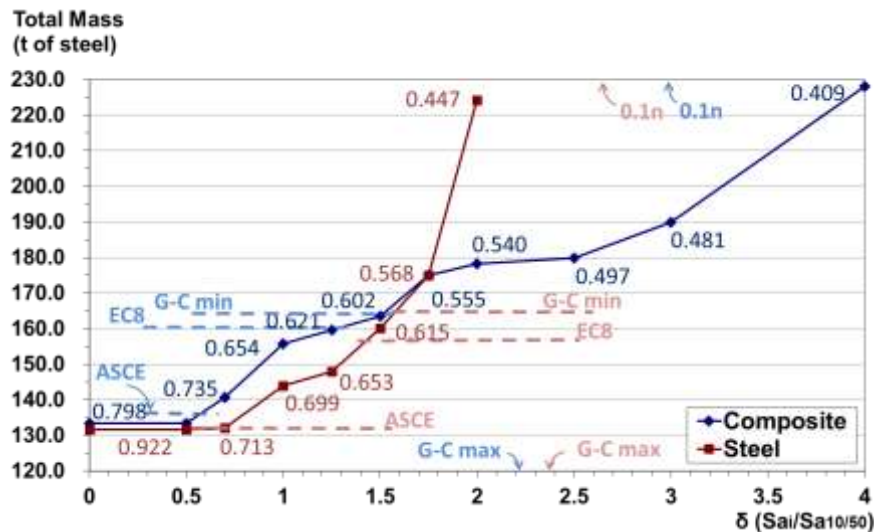


Fig. 8. Total equivalent steel mass versus δ for C/S-4-5- δ
 (the values illustrated next to each point are the corresponding maximum fundamental periods)

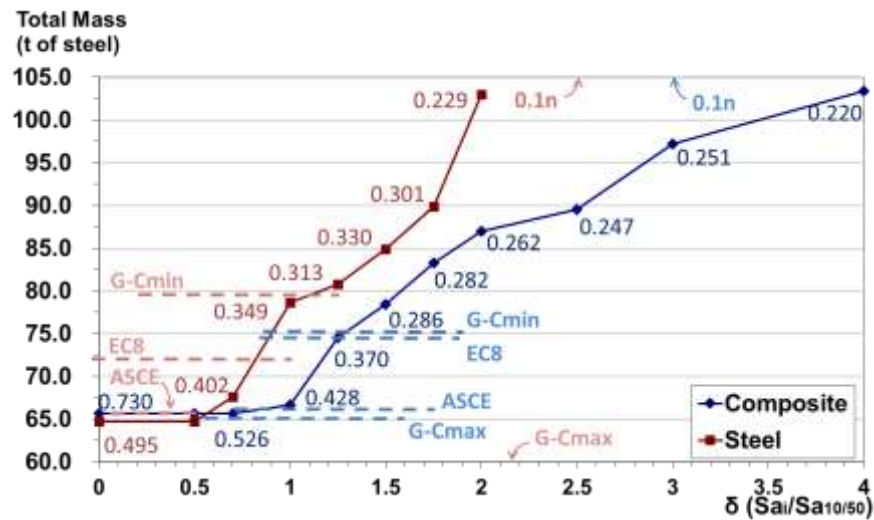


Fig. 9. Total equivalent steel mass versus δ for C/S-2-5- δ
 (the values illustrated next to each point are the corresponding maximum fundamental periods)

460 The effect of the number of storeys on the shape of the total-mass-versus- δ curves seems
 461 to be similar to that of the number of bays. For the 2-storey building, the pair of curves in Fig.
 462 9 intersect at a much lower δ -value (around 0.5) than for the 6-storey reference building,
 463 indicating that composite designs are more favourable for low-rise buildings. Apparently, due
 464 to the inherent lateral stiffness of the 2-storey building, the induced need for additional stiffness
 465 is relatively low and is inexpensively satisfied by the encasing concrete in composite columns
 466 (more costly steel bracings are not required as in the pure steel building). In the case of the 4-

467 storey building, the two curves in Fig. 8 are relatively close for δ -values lower than 2.0. In the
468 case of the 2-storey building, the distance between the two curves is larger. This highlights the
469 favourable cost-effectiveness of steel-concrete composite designs over pure steel designs for
470 low-rise buildings. The fundamental periods of the optimized designs seem to be analogous to
471 those of the designs defined for the reference building. Similar trends with Fig. 3 are also
472 observed regarding the fundamental period values obtained from the approximate formulas of
473 section 4.

474 **6. Concluding remarks**

475 In this work, a total number of 154 structural optimization runs were performed to
476 comparatively assess buildings with pure steel and steel-concrete composite columns with
477 respect to their total material cost for a variety of horizontal displacement capacity demands.
478 The optimization procedure employed enabled a fair comparison between these two design
479 approaches, as the assessment of optimized designs ensures that each approach has been
480 applied as effectively as possible to meet structural performance requirements with the least
481 feasible cost of materials. The displacement modification factor δ introduced in this work to
482 adjust ductility demands facilitated the assessments performed.

483 The total mass versus horizontal displacement requirement curves presented herein show
484 that the relation between cost and capacity tends to be roughly linear for structures with
485 concrete-encased composite columns. On the other hand, for pure steel designs, the observed
486 behaviour tends to be roughly bilinear, with an abrupt gradient change in the linear relation
487 occurring at certain value of factor δ . In general, steel-concrete composite designs were found
488 to be more favourable than pure steel designs for higher seismic demands. In such cases, more
489 cost-effective structural solutions are attained by partially replacing the contribution of steel
490 using concrete, which is a significantly less expensive material.

491 The level of seismic demand, beyond which buildings with steel-concrete composite
492 columns are more economical than with pure steel ones, is denoted by the intersection point of
493 the pair of cost-versus- δ curves for composite and pure steel design approaches. The δ -value
494 of the intersection point seems to depend mainly on the overall stiffness of the structural system
495 considered. In fact, the intersection point tends to be at a lower δ -value for a structural system
496 with a higher inherent stiffness, *i.e.* with a higher plan area to height ratio.

497 The composite design approach seems to adapt better to increasing seismic demands,
498 since there is no need to alter the design philosophy of the optimum solution. The relation

499 between the minimum cost and the horizontal displacement demand is practically linear, which
500 implies that increased stiffness is achieved by a global increase of all member sections in the
501 structure. On the other hand, the pure steel design approach seems to favour the bracings'
502 contribution to the total stiffness, in order to cope with increasing seismic demands. Thus, the
503 utilization firstly of all available bracing sections and then the increase in the columns' sections
504 appears to be the most cost-effective approach for pure steel buildings. Hence, there is a change
505 of design philosophy when the largest available bracing section is not sufficient anymore as
506 the seismic demand is increased, therefore the relation between the minimum cost and the
507 horizontal displacement demand is bilinear. It is evident that optimized buildings using pure
508 steel design belong more to the category of braced frames, while optimized buildings with
509 steel-concrete composite columns are primarily moment resisting frames.

510 A single cost ratio of concrete price over steel price was used throughout this work to
511 convert concrete volume to equivalent steel mass. This ratio may vary in different regions, for
512 dynamically changing global/local market conditions and for different applications. Such cost
513 variations can have an effect on the presented design optimization results. An investigation of
514 this effect is provided in [15], which reveals that, for typical cost ratios expected in the market,
515 the essential features of the optimal design identified in each case are not significantly changed
516 due to the cost variations, although the final objective function value may exhibit significant
517 variations. In the present study, the optimality of each design approach seems to be more
518 critically affected by the slope of the cost-versus- δ curve. Hence, a modification of the cost
519 ratio is expected to shift the curves of the buildings with steel-concrete composite columns,
520 with the corresponding slopes, however, being much less affected.

521 In the performed optimization runs, fundamental periods were determined using
522 eigenvalue analyses. A maximum allowable limit for periods was not explicitly set in the
523 optimization procedure, although any optimized design detected was assessed with respect to
524 its maximum fundamental period. Application of such a constraint using approximate formulas
525 defined by design codes or other sources might result in a considerable increase of the total
526 cost [29], leading to significant overstrength of the optimally designed buildings. Nevertheless,
527 such a consideration is important for the design of a building. Imposing an appropriate
528 constraint will prevent the optimization algorithm from determining optimized designs with
529 undesirable high periods.

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