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#### Estimation of the Temperature in the Stirred Zone and Cooling Rate of 1 2 Friction Stir Welding of EH46 Steel from TiN Precipitates

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#### 8 9 Abstract

10 Measuring the peak temperature in the contact region of the tool/workpiece in friction stir 11 welding (FSW) is difficult using conventional methods such as use of thermocouples or a 12 thermal imaging camera, hence an alternative method is required to tackle this problem. The 13 objective of the present work was to estimate more accurately, for the first time, the peak temperature and cooling rate of FSW from precipitation of TiN in friction stir welded steel 14 15 samples. Microstructures of nine friction stir welded samples of high strength shipbuilding steel of EH46 grade were examined closely by SEM-EDS to detect the TiN precipitates. 16 17 Thermal heat treatments using an accurate electrical digital furnace were also carried out on 18 80 unwelded EH46 steel samples over a range of temperatures and cooling rates. Heat 19 treatments were to create a basis to understand TiN precipitation behavior under various 20 heating and cooling regimes for the studied alloy. Heat treatment showed that TiN particles 21 can precipitate at a peak temperature exceeding 1000°C and the size of TiN precipitates 22 particles increases with decreasing cooling rate. In a temperature range between 1100-1200°C 23 the TiN precipitates were accompanied by other elements such as Nb, S, Al and V. Pure TiN 24 particles were found after the peak temperature exceeded 1250°C with limited precipitation 25 after reaching a peak temperature of 1450°C. The comparison between the friction stir 26 welding samples and the heat treatments in terms of types and sizes of TiN precipitates suggests that the welding peak temperature should have been in the range of 1200-1350°C 27 28 with a cooling rate in the range of 20-30 K/sec. The current work represents a step change in 29 estimating the friction stir welding temperature and cooling rate which are difficult to 30 determine using thermocouples and thermal imaging camera.

31

#### 32 Keywords: Friction Stir Welding (FSW), EH46 steel, TiN precipitates, Peak 33 temperature, Cooling rate. 34

#### 35 **1-Introduction**

Friction stir welding (FSW) which was invented in the UK/TWI in 1991 [1] is still a 36 37 challenge when utilized for high melting alloys such as steel. In addition to the high cost of 38 the tools, the prime challenge is to select suitable welding parameters to produce sound welds. 39 The most important factor in the FSW process is to find the right temperature required to 40 make the steel yield under specific tool rotational and traverse speeds during welding. 41 Determination of this temperature in the workpiece/tool contact region during friction stir 42 welding is usually difficult. Thermocouples (TC<sub>s</sub>) which are usually used for this purpose cannot be placed directly in the stir zone region because they will be damaged or displaced by 43 the deforming material in the stir zone before recording the peak temperature, leading to 44 45 inaccurate measurements. Moreover, thermocouples attached to the top surface adjacent to the edge of the stir zone are often severed by extrusion of the flash from under the tool 46 shoulder before reaching peak temperature. An earlier study has used thermocouples to 47 measure the temperature of the contact region for friction stir welding of an aluminum alloy 48 49 (AA6061-T6) [2]. They have, however, drilled eight holes with 1.5 mm diameters at different 50 positions of the work-piece to insert TCs. Using a thermal imaging camera for measuring the 51 temperature of work-piece during FSW is also difficult and cannot produce accurate data.

52 This is because the field of view of the camera can be restricted by the presence of the argon gas shield applied to the weld area, and the presence of clamps that is holding down the 53 54 workpiece. The camera also has no direct view of the tool itself, and measuring the 55 temperatures on the surface of the plate adjacent to the weld area, using camera, gives data 56 that are unrepresentative of the internal weld temperatures. Finally, the emissivity of the tool can change significantly during the weld process and thus accurate calibration of the camera 57 58 is also another significant drawback.

59 Elsewhere Carlone and Palazzo [3] have used an infrared thermal camera, fixed on the 60 machine and focused on the leading edge, to determine the surface temperature of the weld in 61 an AA2024-T3 alloy. However, they have not discussed the reproducibility and level of 62 precision of their measurements. For the rotational ( $\omega$ ) and traverse ( $\upsilon$ ) speeds of 800 rpm 63 and 140 mm/min they have reported a peak temperature of 475°C. More recently Mezyk and 64 Kowieski [4] have used short wave infrared camera mounted on the FSW mill to detect discontinuities in the FSW workpieces and also to monitor the temperature. They have 65 66 reported appearance of excessive "burr" on both sides of the weld where part of the burr (a shaving) was pushed out behind the tool which caused disturbances on thermograms 67 68 (camera's recorded data); such disturbances caused a jump of 100°C in the temperature 69 profile along the measurement line. Hence, they described this technique as a qualitative 70 method which still needs further development.

71 Compared to direct temperature measurements, using an analytical approach, Zhang et al [5] 72 have used the equation developed by Arora et al [6], presented below, to calculate the peak

- 73 temperature (T, °C) in FSW of AA2024-T3 alloys.
- 74

 $T = (0.151 \log_{10} \left( \frac{\sigma_8 A \omega C \eta}{\lambda v^2} \right) + 0.097) (T_s - T_r) + T_r$ (1) where T<sub>s</sub> is the solidus temperature, T<sub>r</sub> is the initial temperature,  $\omega$  and v are rotational and 75

76 traverse speeds,  $\sigma_8$  is the yield stress of the material at a temperature of  $0.8T_s$ , A is the cross-77 78 sectional area of the tool shoulder, C and  $\lambda$  are the specific heat capacity and thermal 79 conductivity of the workpiece material, respectively, and  $\eta$  is the ratio of generated heat at the 80 shoulder/workpiece interface, transported between the tool and the workpiece.

81 They have estimated the peak temperature under welding speeds of  $\omega$  and  $\upsilon$  of 800 rpm and

82 140 mm/min to be 455°C. Moreover, Wang et al [7] measured the peak temperature of FSW 83 plates of AA2024-T4 using thermocouples. They have reported peak temperature of 425°C 84 for  $\omega$  and  $\upsilon$  of 750 rpm and 150 mm/min. These conditions are very similar to the above-85 mentioned study where thermal camera has been used to measure the peak temperature, however, the reported peak temperature measured using thermal camera is significantly 86 87 higher. Therefore, the reliability of thermal camera for FSW temperature measurements 88 should be questioned.

89 In the present work, the use of a new off-line method which depends on the formation of TiN 90 precipitates during the heating-cooling cycle of FSW is investigated. Clearly, the existence of 91 TiN particles is dependent on the process of as-received materials. TiN particles are 92 diminished at faster cooling rates during solidification [8]. This method is to enable a more 93 accurate estimation of the peak temperature at the interface as well as cooling rate of the 94 sample after the welding is completed. It is also an accurate and inexpensive alternative 95 method compared with the use of thermocouples and thermal imaging camera.

96

In order to use the suggested off-line method, it is of paramount importance to understand 97 how and when TiN particles precipitate and appear in steel grades, particularly in low allow

98 grades similar to the grade used in this work. Precipitation of TiN during heating and cooling 99 cycles in various steel grades have been studied previously mostly during solidification, pre-

100 deformation heating and post deformation. Stock et al. [8] investigated the cooling rate

effects on TiN precipitates in low carbon steel during and after solidification and found that 101

102 TiN particles can precipitate at very high temperatures near the melting point of the steel. 103 They also found that TiN particles size increases with decreasing cooling rates and the precipitate size can reach 1-2.5 µm at low cooling rates (0.1 K/s), whereas, particles tend to 104 105 cluster for higher cooling rates (600 K/s). Nagata et al. [9] studied the TiN particles distribution in a thin-slab cast HSLA steel grade (similar to the grade studied here) using 106 TEM examination. They found that TiN precipitate size decreased with increasing post 107 108 solidification cooling rate. Using TEM and electrolytic chemical analysis and focusing on 109 formation temperature of Ti particles El-Fawakhry et al. [10] studied TiN precipitation in microalloyed steels with Ti, subjected to hot rolling. They found TiN precipitates of 5-10µm 110 111 in samples taken from alloys hot rolled at 1250°C. It is believed that due to high stability of 112 TiN, it precipitates at very high temperatures, most probably in the molten state as coarse precipitates. Wang et al. [11] found that in low carbon steel the TiN particles nucleated on 113 Ti<sub>2</sub>O<sub>3</sub> -particles. Hong et al. [12] studied the evolution of precipitates in Nb-V-Ti 114 115 microalloyed steel in a temperature range of 1100-1400°C using TEM. Their study found that (Ti,Nb)(C,N) carbonitrides disappeared after reheating the alloy in a temperature range 116 117 higher than 1000°C and a new cubic shape particles precipitated in the microstructure with a 118 size depending on the peak temperature and cooling rate. They found that as reheating temperature increased from 1050 to 1400°C, Nb content within precipitates decreased; 119 120 however, Ti content did not alter till 1250°C and above 1300°C, Ti began to dissolve into the austenite matrix. All these studies [10-12] suggest that in low carbon low alloy steel, TiN 121 122 won't dissolve in temperatures below 1200°C. In alloys similar to the grade studied here, this 123 can provide a basis for a minimum temperature above which TiN can be found.

The current study aims to identify TiN precipitates in the microstructure of samples taken from stirred zones of FS welded of high strength shipbuilding EH46 steel plates using SEM-EDS. Such precipitates are to be compared with precipitates formed in heat treated un-welded samples of the same steel grade with the same thickness. Heat treatments are conducted under various thermal and cooling regimes to enable simulation of various peak temperatures and cooling rates of the welded counterparts.

130 It is worth noting that, although FSW is a thermomechanical process, the strain rate effect has not been taken into consideration in the heat treatment procedures. However, it is expected 131 132 that strain rate will influence mainly the incubation time of phase transformation and 133 elemental precipitation/segregation. This theory is supported by previous works carried out 134 on steel where in addition to heat treatments strain rates (using methods such as hot 135 compression, rolling and FSW) were applied. They have shown that the phase changes [13] 136 and elemental precipitation occur faster when the process includes strain rate in addition to 137 the heating; e.g. in microalloyed steels at greater strains, the incubation and completion time for precipitation are shorter ([14-15]). Failla et al. [13] studied the effect of deformation on 138 139 the phase transformation of ferrite and bainite in HSLA-65 alloy during FSW process and 140 found that phase transformation occurs faster when deformation increases. Upon application 141 of deformation austenite grain size was reduced during the FSW process which promoted the 142 formation of ferrite. The incubation time of Nb(C,N) elemental precipitation has also been 143 studied by Zhu and Qiu [15], in a microalloyed steel during continuous cooling, which was 144 found to decrease with an increase in strain rate. The time of precipitation was reduced from 145 6000 secs to 3548 secs with the casting speed increasing from 0.9m/min to 2.4m/min whereas, 146 the temperature of precipitation onset remained constant [15].

147 The main aim of this work is to implement a simpler method to determine the peak 148 temperatures of EH46 FS welded samples by studying TiN precipitation in this alloy. It is

also to produce a known list of few peak temperatures and cooling rates of such steel grade

150 from TiN precipitates sizes. This procedure can be used to select the best tool rotational and

traverse speeds that generate desirable temperatures during FSW and thus to control the process more readily.

#### **2-Materials and Methods**

155 The chemical composition (wt%) of EH46, which is an Nb-V microalloyed low Sulphur steel,156 as provided by the manufacturer, is shown in Table 1.

#### 158 2.1 FSW Samples

Nine FS welded samples were produced from plates of EH46 grade (14.8 mm thickness) at TWI Technology Centre in Yorkshire using PowerStir welding machine equipped with Poly Crystalline Boron Nitride (PCBN) hybrid tool. The tool consisted of a shoulder with a diameter of 38mm and probe with a length of 12mm. Out of these samples seven specimens were produced under plunge and dwell welding conditions and the remaining two were welded under steady state condition. Their positioning is shown in Figure 1. The plunge trial welds are denoted as W1 to W7 and the steady state trials are coded as W8 and W9. The welding parameters for the plunge/dwell and steady state conditions are shown in Tables 2 and 3, respectively. 

# Table 1: Chemical composition (wt%) of EH46 Steel Grade as received (composition is provided by the manufacturer).

provided by the manufacturer).														
С	Si	Mn	Р	S	Al	Ν	Nb	V	Ti					
0.20	0.55	1.7	0.03	0.03	0.015	0.02	0.03	0.10	0.02					



# Figure 1: Representation of the positioning of the seven plunge trials for EH46 steel plates.

## Table 2: The welding parameters for FS welded EH46 plunge/dwell samples (W1-W7).

Weld Trial No.	Tool rotational speed ω (RPM) at dwell period	Max. Axial (Plunge) force (F <sub>z</sub> ) KN	Max. longitudinal force (F <sub>x</sub> ) KN	Max. Torque (M) N.m	Plunge Depth (Z) mm from FSW machine	Dwell Time (t) sec at dwell period
W1	200	157	17	498	13	6
W2	200	127	17	471	13	8
W3	120	116	21	598	13	7
W4	120	126	20	549	13	6
W5	120	115	17	532	13	7
W6	120	105	18	583	13	7
W7	120	119	20	548	13	7

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- 187
- 188

Table 3: Welding parameters for FS welded EH46 steel at steady state condition (W8 and W9).

Wel d	Tool rotation	Traver se	Rotation al/	avera ge	avera ge	Axial force	longitudi nal force	Representat ion of Heat Input
180.	RPM	speed mm/mi n	speeds (rev/mm)	e Torqu e N.m	Torqu e N.m	(averag e) KN	(average) KN	$(\frac{\omega \times torque}{V})$ (rev. KN)
W8	150	50	3	300	114	66	13	342
W9	150	100	1.5	450	171	72	14	256.5

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### 193 **2.2 Heat Treated Samples**

194 Heat treatments were conducted on 80 samples of EH46 steel, each sample was a cube of 14.8 mm in length. The heat treatments were carried out in a calibrated electric furnace at 195 196 temperatures ranging from 1000 to 1500°C and at holding times of approximately 10 to 30 197 sec. Samples were placed in the center of the furnace and the surface temperature of the 198 sample under study was measured by an attached thermocouple connected to a digital 4-199 channel data logger thermometer. Different cooling rates were applied including quenching in 200 oil (90K/sec), quenching in hot oil with a temperature of 150°C (30K/sec), still air cooling and cooling inside the furnace (0.35K/sec). The values for cooling rates of different media are 201 202 determined using temperature profile of samples obtained by thermocouples attached to their 203 surface.

# 204205 2.3 SEM - EDS

Scanning electron microscopy (SEM) examination was carried out on polished and etched 206 207 (with 2% Nital) friction stir welded and also heat treated samples. SEM produced high quality and high resolution images of micro constituents by employing secondary electron 208 209 (SE) imaging mode with accelerating voltages of 10 to 20 kV which provides high 210 penetration. The working distance (WD) used was 10 mm but in some cases, it was altered 211 (decreased or increased) to enhance the contrast at higher magnification. Energy Dispersive 212 Spectroscopy EDS (point and ID) based on SEM was used to detect the Ti precipitates. The 213 changes in Prior Austenite Grain Size (PAGS) with temperature have been measured using 214 the linear intercept method (ASTM E-112). To find each average value of PAGS, about 5 to 7 215 images were taken and measured carefully. The TiN particles diameter and their distribution 216 were measured by applying square grid using image grid software in which the number of intersections of the grid falling in the TiN particles were counted and compared with the total 217 number of points laid down. When measuring average size of TiN particles for each 218 219 condition 7 images were taken separately in dimensions of 600 µm by 600 µm and then they 220 were used in image grid software.

221 222

## 223 <u>3-Results</u>

### 224 **3.1 Prior** Austenite Grain Size (PAGS) and Temperature

To understand the role of temperature on the prior austenite grain size (PAGS) Figure 2 illustrates the relationship between peak temperature and PAGS for holding time of 30 sec.



Figure 2: Variation of prior austenite grain size with temperature for heat treated
 samples of EH46 in temperature range of 1000-1500 °C with holding time of 30sec.

#### **3.2 TiN precipitates in the heat treated samples**

Figure 3 is a high magnification SEM image of the as-received EH46 which shows particles containing Ti. However, SEM observations did not show any cube shaped TiN in the asreceived samples. Figures 4 to 6 show the presence of Ti precipitates in a given sample heat treated at temperatures of 1130°C, 1250°C and 1400°C respectively. They show that with increasing heat treatment temperature the content of the precipitates changes, with leaving only Ti and N at 1400°C. Figure 7 shows TiN particles are precipitated after heating the sample to 1240°C followed by oil quenching.



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Figure 3: SEM micrograph showing Ti and Ti-Nb based particles in the as-received
 EH46.



320 treated sample showing Ti and N as its constituents.



Figure 7: SEM micrograph of EH46 sample heated treated at 1240 °C, held for 1min
followed by oil quenching (90K/sec). Photographs taken from different parts of the same
sample show size of TiN particles varies between 0.09 and 0.3 μm (90 and 300 nm).

341

## 342 **3.3 The Effect of the cooling rate on the size of TiN precipitates**

343 To understand the effect of cooling rate on the size of TiN precipitates, unwelded samples of 344 EH46 were heat treated at 1250°C and 1400°C and then were cooled at different cooling rates: 345 oil quenching, hot oil quenching, air cooling and cooling inside furnace. Figures 8 and 9 346 show the relationship between the cooling rate and TiN particle size for samples heat treated 347 at 1250°C and 1400 °C with holding times of 10 sec and 30 secs, respectively. Comparing 348 Figures 8 and 9 reveals 30 secs holding time results in larger size particles; however, the 349 Figures show among the effect of temperature, holding time and cooling rate, cooling rate 350 plays a more prominent role in the size of precipitates in the heat treated EH46 samples.

Additionally, Figure 10 presents the size distribution of TiN particles ( $\mu$ m) in the heat treated samples cooled with hot oil quenching. It compares TiN particles size and distribution for different regimes of heat treatment conducted at two different temperatures of 1250 and 1400°C both held for two different holding times of 10 and 30 seconds. This Figure evidently shows heat treatment of up to 1400°C has led to larger precipitates ranging from 0.6 to 1.60  $\mu$ m compared with precipitates of 0.5 to 0.5  $\mu$ m in heat treated samples at 1250°C.

It is worth noting that, in a micro-alloyed steel, similar to this work, it has been shown that 10 seconds exposure to a high temperature has made a noticeable difference to the size and frequency of particles precipitating from the solid solution [16]. Hence, the importance of holding times as small as 10 seconds at temperatures above 1000°C on the precipitates should not be ignored.

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388 (K/s) (holding time was kept constant at 10 sec). It shows the size
389 increased with decreasing the cooling rate.



- 410 Figure 9: The correlation between size of TiN precipitates (in μm) and the cooling rate
- 411 in K/s (holding time was 30 sec), the size of precipitates increased with decreasing the
- 412 **cooling rate.**



Figure 10: Histogram showing the size distribution of TiN particles observed in the samples heated at different temperatures (and times) followed by hot oil quenching -a-

416 **1250°C for 10 sec, -b-1400°C for 10 sec, -c-1250°C for 30 sec and -d-1400°C for 30 sec.** 

417

#### 418 **3.4 TiN precipitates in FS welded Samples of EH46**

419 SEM-EDS were also carried out to reveal the TiN particles precipitated in FS welded joints 420 of EH46 steel. Given that FSW is a thermal process their particles size range was compared 421 with the heat treated (unwelded) samples. To compare the effect of welding traverse speed on 422 the constituents, Figure 11 -a- and -b- show high magnification micrographs of FSW EH46 423 W8 (150RPM, 50mm/min), whereas Figure 11 -c- and -d- show high magnification 424 micrographs of FSW EH46 W9 (150RPM, 100mm/min). They clearly illustrate that the 425 higher welding speed, traverse speed, (W9) resulted in finer precipitates with an average 426 particle size of 0.5 µm compared with 0.6 µm for W8. To study the plunge/dwell period where there is no translational movement for FSW of EH46, Figure 12 shows high quantity 427 428 of large TiN particles at the plunge period for sample W9.

To understand the role of process parameters on TiN particle sizes, this work also looked at the effect of tool rotational speed during plunge/dwell period which corresponds to the beginning of the FSW where there are no translational movements. Figure 13 -a- and -bshow TiN precipitates in EH46 W2 and W3 (plunge/dwell period) for region 1 (under tool shoulder). They show W3 with lower rotational speed and slightly shorter dwell time, contains smaller precipitates than W2 (0.5  $\mu$ m compared with 0.7  $\mu$ m).

Table 4 summarizes the TiN average particle size of EH46 W1 to W7 (plunge/dwell) at the shoulder-probe region. The table presents higher values for average particle size in W1 and W2, 0.6 and 0.7  $\mu$ m respectively, compared with 0.5  $\mu$ m for the remaining samples. This means higher rotational speed results in larger TiN precipitates on average, due to higher heat input.

440

441 To relate the role of FSW welding process parameters on particle frequency, in a histogram 442 format Figures 14a and 164 illustrate the frequency distribution (%) of the TiN particle with 443 varied size of 0.01 to 0.9 μm observed in W8 and W9 (steady state welding) and W1 to W7

444 (Plunge/Dwell), respectively. From comparing W8 and W9 in Figure 14a, clearly it can be

seen that W9 (with higher transverse speed) produces finer particles. Figure 14b reveals that

- samples W3 to W7 present very similar particle distribution pattern. Given that they have
- 447 very similar process parameters, this shows the reproducibility of the data.

# 448 449 As an example, Figure 15 shows one TiN particle with a size of 0.35 μm under probe side of 450 W2 (region 2). This is very small compared to precipitates observed in region 1 of the weld 451 (Figure 13a: 0.7 μm). This confirms region 1 experiences higher peak temperature.





Figure 12: SEM micrographs of EH46 W9 (during plunge/dwell period) probe-end, -a-

low and -b- high Magnifications, showing numerous TiN precipitates (size varies





Figure 13: SEM micrographs of EH46 (plunge/dwell period) region 1 under tool shoulder -a-W2 with an average TiN particle size of 0.7µm, -b- W3, with an average TiN particle size of 0.5µm.



551 Figure 14: The Frequency Distribution (%) of the TiN particle size (µm) observed in -a-

Table 4: TiN average particle size of samples W1 to W7 observed at the shoulder-probe
 region (Region 1): (Standard deviation value= 0.05 μm)

region (Region 1); (Stanuaru deviation value= 0.05 µm)											
Weld No.	W1	W2	W3	W4	W5	W6	W7				
TiN average particle size (µm)	0.6	0.7	0.5	0.5	0.5	0.5	0.5				

Table 5: Interaction coefficients in molten steel at 1600°C (1873K) [21].

1000 5. 11													
Element	C	Si	Mn	Р	Al	Ti	Ν						
$e_{Ti}^J$	-0.165	0.050	0.0043	-0.64	12	0.013	-0.018						
$e_N^J$	0.130	0.047	-0.02	0.045	-0.028	-0.53							

<sup>552</sup> FSW EH46 W8 and W9 (steady state), -b- FSW EH46 W1 to W7 (Plunge/Dwell).



580
581 Figure 15: SEM Micrograph of sample W2 (plunge/dwell period) under the probe
582 region showing TiN particles with average of 0.35 µm in size.

## SZ length

1. AS

Figure 16. Two affected regions identified following the weld tool plunge trials sample W2,
under the shoulder region (region 1) and around the probe side (region 2), both these regions
are thermomechanically affected zones. Temperature predicted for region 1 to be reaching
near 1400°C and for region 2 not to be greater than 1250°C.

- 588589 4. Discussion:

#### **4.1 Prior Austenite Grain Size (PAGS) and the effect of temperature**

Figure 2 plots the correlation between PAGS and temperature for the heat treated samples heated in a temperature range of 1000 to 1400°C at holding time of 30sec then cooled by oil quenching. As the Figure shows PAGS increases gradually with a rise in peak temperature of heat treatment; however, it increases at a higher rate when the heating peak temperature exceeds 1300-1400°C. This is attributed to the role of Ti precipitates when exposed to high temperature heat treatment. Ti is proven to be a very effective element in controlling the austenite grain size and keeping it under 200µm when heated up to 1300°C. This is due to imposing of the pining forces at the grain boundaries [17]. In a previous study in a low carbon HSLA steel, (0.165 C, 1.11 Mn, and 0.34 wt% Ni), using a simple carbides and nitrides model Fernandez et al [18] theoretically determined the solubility temperature of TiN to be around 1682°C. They studied the role of heat treatment on grain growth; given that their product was hot rolled, the grain growth began at lower temperatures and it was found that at short heat treatments (between 5 to 30 min) when the temperature exceeded 1200°C a drastic grain growth was observed; however, for longer heat treatment this critical temperature was

606 lowered down to 1150°C. This was attributed to the dissolution of all carbides and nitrides 607 precipitates during heat treatment [18]. Similar to Fernandez et al [18], here PAGS, as shown in Figure 2, was increased significantly after reaching temperature of 1300-1400 °C which 608 609 can indicate that most of particles responsible for grain refining by the effect of force pinning have been dissolved. It is worth noting that a study by Karmakar et al. [19] on the effects of 610 microalloyed elements on PAGS has shown that Ti-Nb elements are the most effective 611 612 elements in pinning the austenite grains when heat treated. Similar to this work, they reported 613 that grain growth of austenite can occur when the temperature exceeds 1150 °C as a result of Ti-Nb dissolution. However, the abnormal grain growth in austenite was reported to occur 614 615 slightly at higher temperature than here, after reaching a temperature of 1400 °C due to 616 complete dissolution of TiN particles.

It is worth noting that here only the role of temperature on pinning effect of TiN precipitates 617 is taken into account and not the role of deformation and the introduction of stress and 618 therefore, strain. Deformation leads to recovery and/or recrystallization which results in 619 softening. However, small precipitates can delay the recovery and recrystallization and 620 621 therefore, grain growth can be prohibited or delayed if strain is low; however, at higher strains they become less effective due to increased rate of recovery and recrystallization. This 622 623 means increasing the amount of deformation leaves a very limited time for nucleation of 624 precipitates. Some measurements of such behavior can be found elsewhere [20].

625

#### 626 4.2. Thermodynamics of TiN formation in low alloy steel

627 Precipitation behavior of TiN in low alloy steels during solidification and hot rolling (hot 628 deformation) are carefully studied, this is done through application of current chemical 629 content to thermodynamics formulae relevant to this grade.

630 
$$[Ti] + [N] = TiN(s), \Delta G = \Delta G^{\theta} + RT \ln \frac{1}{f_{Ti} \cdot [Ti] \cdot f_N \cdot [N]}$$
 (2)  
631  $\Delta G^{\theta} = -291000 + 107.91 T$  (3)

$$631 \quad \Delta G^{\theta} = -291000 + 107.91 \, T$$

632

633 where  $f_{Ti}$  and  $F_N$  are the activity coefficient of Ti and N in molten steel, respectively [21]. The activity coefficients of Ti and N can be obtained using the following equations, chemical 634 635 content of the steel and the interaction coefficient values of each element in the molten steel listed at Table 5. 636

637 
$$lgf_{Ti} = \left(\frac{2557}{T} - 0.365\right) \sum \left(e_{Ti(1873K)}^{j}[\%j]\right)$$
 (4)

638 
$$lgf_N = \left(\frac{3280}{T} - 0.75\right) \sum \left(e_{N(1873K)}^j [\%j]\right)$$
 (5)

639 Using equations 2 to 5 and data in Tables 1 and 5 results in Gibbs free energy equation for 640 dissolution of TiN in the studied alloy as follows: (6)

$$641 \quad \Delta G = -291000 + 181.996T$$

642 When the reaction reaches equilibrium that  $\Delta G=0$  and therefore T= 1325.94°C. Therefore, 643 based on these calculations for TiN to precipitate at this alloy temperature must reach about 644 1326°C. This calculation does not take into account the role of hot deformation in inducing 645 precipitates, but as discussed in the above section this won't be significant.

646

#### 647 648 4.3 TiN precipitates in the heat treated samples

Samples which were heat treated at 1000 °C did not show evidence of TiN precipitates under 649 the SEM investigation. This can be due to the fact that the TiN precipitation usually occurs at 650 651 temperatures exceeding 1000 °C [12]. Other samples which experienced higher temperatures 652 showed different size of Ti precipitates including TiN shown in SEM images and EDS

spectrum in Figures 4 to 6. In this work, heating up to 1130°C with different cooling rates 653

654 resulted in precipitation of Ti with other elements including Al, Nb, S, O, V, P as shown in Figure 4. The current work is only focused on TiN precipitates and thus the other Ti 655 precipitates will not be discussed. Samples heated at 1240°C (Figure 5) had TiN precipitation 656 with average size of 0.1µm (100nm) accompanied with Nb whereas, heating up to 1400°C 657 resulted in a coarser precipitation of TiN (0.5-1µm) as shown in Figure 6. Cooling of samples 658 presented in Figures 4 to 6 was achieved by quenching in hot oil of temperature of 100°C; 659 this produced a cooling rate of 30°C/sec which is the expected cooling rate that produces a 660 ferrite/ cementite aggregate microstructure [22] similar to the FSW samples microstructure 661 observed in this work. This suggests the cooling rate of FSW samples would have been close 662 663 to this rate. Ti usually has a strong affinity to form nitrides, oxides and even sulphides before 664 forming carbides [23], adding elements forming nitrides (e.g. V, Ti) to steel leads to the precipitation of pure V (or Ti) nitrides and thermal stability of nitrides is higher compared to 665 equivalent carbides [24]. It is known that pure TiN requires low amount of Ti in the steel 666 matrix (less than 0.025wt%) and also low carbon content, otherwise other precipitates such 667 as (Mn, Ti)S or Ti (C,N) will be more dominant precipitate depending on the composition of 668 669 steel [25-26].

670

#### 671 **4.4 The Effects of the cooling rate on TiN particle size**

Figures 8 and 9 show the relationship between the cooling rate and the average size of TiN 672 particles for two different heat treatment temperatures and two different holding times. The 673 674 TiN particle size increases significantly when cooling rate decreases, especially when 675 samples cooled inside a furnace. It is evident that TiN can precipitate even under a fast 676 cooling regime such as oil quenching. A work carried out on low carbon steel (0.12-0.14%C) with a Ti% 0.006-0.013 [8] showed that cubic TiN can be precipitated after heating up to 677 1526°C where there were no pre-existing TiN precipitates and then cooling down to 1000°C 678 679 at various cooling rates. However, compared to this work, in their case precipitates were very 680 small and were formed as clusters of particles [8]. This suggests that the temperature, i.e. heat treatment temperature is a key factor in forming the precipitates rather than the cooling rate. 681 The cooling rate only influenced the size and frequency of the precipitates but not their 682 occurrence and formation. 683

The slower cooling rate means that the TiN precipitates can nucleate in longer period at temperature and thus leads to coarsening of the precipitates. This has been observed previously [9] where it is shown that in addition to heating and cooling conditions, interactions between steel composition and processing were also significant factors in TiN precipitates size and distribution. It is concluded that final particle size is influenced by a competition between coarsening and completion of precipitation.

690 Increasing the holding time to 30 sec at 1400°C with a very slow cooling rate (cooling inside 691 a furnace) has resulted in coarsening of TiN particles exhibiting particles exceeding 3µm in size, as shown in Figures 9 and 10 d. The faster cooling rate can lead to a larger undercooling 692 693 and higher supersaturating of solute for precipitation and thus larger driving force, so more 694 fine particles will form at lower temperature. Figure 10 shows the size frequency (%) of TiN 695 particles when heating to 1250 and 1400 °C both for 10 and 30 secs. The Figure shows TiN 696 particle size increased with an increase in the temperature, the frequency of large particle size 697 also increased when soaking time increased. This was due to formation of more TiN precipitates. With holding longer at the temperature, precipitate nucleation may occur over a 698 699 prolonged period and hence it is possible for small particles nucleated later to co-exist with 700 larger particles that formed earlier. This leads to particle size variation as shown in Figure 10. 701

702

#### 703 **4.5 TiN precipitates in FSW samples of EH46**

SEM micrographs of EH46 FSW samples (W8 and W9) exhibit precipitations of TiN in the top of the stir zone (SZ) with average size of 0.6  $\mu$ m (600nm) and 0.5  $\mu$ m (500nm) in the steady state period as shown in Figures 11 a to d, respectively. The TiN particle size exceeded 1 $\mu$ m in the plunge period (the start of welding where the tool is rotating but not moving) as shown in Figures 12 a and b. In these Figures, eight TiN particles (size is 0.7 $\mu$ m to 1.5 $\mu$ m) can be counted inside a 10  $\mu$ m ferrite grain. This coarsening of TiN particles is believed to be resulted from exposure to high temperature and slow cooling rate.

711 The plunge/dwell cases of EH46 W1 to W7 have also been investigated for TiN precipitates,

- Figures 13 a and b show TiN precipitates of W2 and W3 respectively at region 1 under tool
- shoulder. An average size of 0.70 and 0.5  $\mu$ m is calculated for particles in region 1 of W2 and W3, respectively. The average size of TiN particles is calculated and listed at Table 4 (with
- an accuracy of  $\pm 0.05 \ \mu\text{m}$ ). Figure 15 shows TiN particle at region 2 under tool probe with average size of 0.35 $\mu$ m. This decrease in TiN particle size from 0.70  $\mu$ m to 0.35  $\mu$ m can be
- 717 attributed to a lower peak temperature under probe (region 2) compared to the region under
- 718 shoulder (region 1).
- 719

#### 720 **4.6 Comparison of FSW and heat treatments in terms of TiN Precipitation**

721 As shown in Figure 10 it is evident that heat treatments at 1250°C followed by hot oil 722 quenching resulted in TiN precipitates with size of 0.2 to 0.5 µm similar to W9 sample, 723 which contains TiN precipitates of almost the same size and frequency (Figure 14a). W8 724 shows coarser TiN particles with average of 0.6 µm which suggests slower cooling rate than 725 in W9 as the tool traverse speed was halved the speed of W9. Cooling rate is estimated to be 726 in the range of 10 to 30 K/s based on the comparison with results of heat treatments with a minimum peak temperature of 1250 °C. The ferrite grain shown in Figure 12 with average 727 grain size of 10 µm contains eight large cubic precipitates of TiN which are ranging from 0.7 728 729 to 1.5 µm. The large size of these precepitates indicates that the material has been exposed to a very high temperature (may have reached 1400 °C, the cooling rate was slow enough to 730 731 form these precipitates, expected less than 10K/s). It is, however, unlikly these large 732 precipetates can play any significant role in pinning the austenite graine during heating. The reduction in pinning forces due to temperature increase higher than 1200 °C was reported by 733 734 Karmaker et al. [19] and also by Gong et al. [16] where the austenite grains experienced 735 coarsenning resulted from Ti-Nb dissolution. Therefore, similarly, the coarse ferrite grain at 736 the probe end of W9 during the plunge period shown in Figure 12 which exceeds 10µm can 737 be attributed to the loss of the pinning force of precipitates, in addition to the high 738 temperature and slow cooling rate. As discussed in section 4.1 grain growth could also be 739 attributed to the role of deformation through increased rate of recovery and recrystallisation 740 and not only the removal of pinning effect.

741 In the plunge cases of W1 to W7 SEM examinations have shown occurance of TiN precipitation in the shoulder-probe region (as shown in Figure 13). The average size of these 742 743 precipitates are summarised and presented in Table 4. The table shows W1 and W2 exhibit larger average size of TiN particles (0.6-0.7 µm) than other samples, W3 to W7 (0.5µm). 744 745 This has been attributed to having higher tool rotational speed (200RPM) for W1 and W2 746 compared to other welds which have a lower tool rotational speed (120RPM). The higher tool 747 speed could have caused higher peak temperature and thus slower cooling rate expected. This 748 in turn can lead to TiN particle coarsening as discussed previously. The temperature of W1 749 and W2 at the shoulder-probe is expected to exceed 1250°C but not to reach 1400 °C 750 according to the heat treatments results shown in Figures 8 and 9. W3 to W7 peaks 751 temperatures are expected to reach 1250 °C with a cooling rate range of 20 to 30K/s.

Figure 15 shows TiN particle at the probe side of W2, the average calculated size of TiN particles was  $0.35\mu$ m which is smaller than that found at the shoulder-probe region. The

reduction in TiN particles size can be attributed to a lower peak temperatures and faster cooling rate. This result agrees with previous works [27-29] that shows that heat generation in FSW is spatial and the maximum temperature is always at the tool/workpiece contact region.

Figure 16 illustrates the temperature distribution under the tool based on temperaturepredictions made here for one of the samples (W2).

760 As shown understanding TiN formation during heat treatments can be a very useful method 761 in estimating peak temperature and cooling rate of the FSW joints of EH46 steel and thus can assist with determining suitable rotational and traverse speeds of the tool to produce sound 762 763 joints without a need for using thermocouples or thermal imaging camera. But it is worth 764 noting that FSW is not purely a thermal process and as a result some of precipitates may have 765 been strain induced. However, this has not been evidently proven. It is known that diffusivity 766 of Ti must be sufficient for the TiN precipitates to grow or coarsen. Additionally, the presence of dislocations and grain boundaries which have an impact on the diffusion can play 767 768 an important role. It is previously shown that an increase in atom mobility due to the defect 769 presence results in faster Ostwald ripening of the existing particles [30]. It is also shown [9] that deformation increased dislocation densities and hence assisted diffusion mechanism. 770 771 Deformation could also move jog-dragging screw dislocations, and hence a line of vacancies 772 in the matrix can be formed. This itself can enhance bulk diffusion and assist with Ostwald ripening. Based on the above, "deformation enhanced coarsening" can be a possible 773 774 mechanism for some drastic increase in particle size experienced after rolling in steel [31]. 775 However, there has not been any work demonstrating the role of deformation/ strain leading 776 to a significant change in formation temperature of TiN precipitates in steel. This suggests the 777 present work which has only taken thermal process into effect is not very unrealistic and 778 hence can provide a rather good estimation for peak temperature and cooling rate in FSW of 779 EH46 steel. 780

#### 781 Conclusions

782

The work presented is the first attempt to estimate the temperature in the stirred zone and cooling rate of FSW of steel joints from precipitates formed after welding. After undertaking a series of heat treatments to produce varied temperature and cooling rate conditions, the work compared the heat treated samples with samples welded using Friction Stir Welding technique with few different welding variables in EH46 steel samples. It looked at the role of rotational speed and traverse speed on the peak temperature at the tool/joint interface. From the results the following conclusions can be drawn:

- Prior Austenite grains coarsened dramatically when heating temperature of unwelded samples exceeded 1300-1400°C. This was attributed to the reduction in pining forces resulted from the dissolution of Ti/ Ti-Nb based particles and formation of new coarse cubic precipitates of TiN. Thermodynamic calculations estimated the dissolution temperature of TiN to be ~1326°C.
- 795 2. It is found that the temperature at the weld/tool interface is the main driver for TiN formation, and TiN begins to precipitate when the sample temperature exceeds 1100°C. Pure TiN can precipitate at high temperatures in the range of 1250 -1400°C. However, their size was also influenced by the cooling rate and the soaking time. This was more profound at higher heat treatment temperatures.
- 800 3. The average size of TiN particles increased with decreasing cooling rate, a maximum
- 801 precipitate size which exceeded 3µm was found when unwelded EH46 samples heated to
- 802 1400°C and then cooled slowly inside a furnace with a cooling rate of 0.35 K/s.

- 4. When EH46 heated to two different temperatures of 1250 and 1400°C followed by cooling
- at different cooling rates quenching in oil (90K/sec), quenching in hot oil with a temperature
- of 150°C (30K/sec), still air cooling and cooling inside a furnace (0.35K/sec) and held at two
- different holding times of 10 and 30 secs, it is found that the cooling rate, and not the
- temperature, played a prominent role in forming smaller precipitates at higher cooling rates (0.2-0.4  $\mu$ m for cooling with a rate of 240K/sec). However, in lower cooling rates such as cooling in furnace heat treatment had more profound effect; i.e. lower heat treatment
- 810 temperature led to smaller size precipitates.
- 5. Using this new technique for samples FS welded (plunge and dwell stage) under two
- 812 different rotational speeds of 120 and 200 RPM revealed the temperature in their stirred zone 813 is to be approximately 1250 and 1400°C, respectively. For samples welded under rotational
- and traverse speeds of 150 RPM and 50 mm/min the temperature in the stirred zone is to be
- 815 approximately 1400°C.
- 816 Overall, it is shown to estimate the peak temperature of weld/joint interface and associated 817 cooling rate for Friction Stir Welding from TiN precipitates and their size distribution 818 provides a valid basis for an inexpensive method to replace other technique such as utilization
- 819 of thermocouples or thermal imaging cameras.
- 820
- 821

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- 827

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- by oil quenching (90K/sec). Photographs taken from different parts of the same sample shows
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- 906 907 Figure 8: The correlation between size of TiN precipitates (in µm) and the cooling rate in K/s 908 (holding time was 10 sec), the size of precipitates increased with decreasing the cooling rate. 909 910 Figure 9: The correlation between size of TiN precipitates (in  $\mu$ m) and the cooling rate in K/s 911 (holding time was 30 sec), the size of precipitates increased with decreasing the cooling rate. 912 913 Figure 10: Histogram showing the size distribution of TiN particles observed in the samples 914 heated at different temperatures (and times) followed by hot oil quenching -a-1250°C for 10 915 sec, -b-1400°C for 10 sec, -c-1250°C for 30 sec and -d-1400°C for 30 sec. 916 917 Figure 11: SEM micrographs of FSW EH46 samples -a- and -b- TiN particles in W8 918 (150RPM, 50mm/min), average size is 0.6µm, -c- and -d- TiN particles in W9 (150RPM, 919 100mm/min), average size is 0.5 µm. 920 921 Figure 12: SEM micrographs of EH46 W9 (during plunge/dwell period) probe-end, -a-low 922 and -b- high Magnifications, showing numerous TiN precipitates (size varies between 0.7 to 923 1.5µm). 924 925 Figure 13: SEM micrographs of EH46 (plunge/dwell period) region 1 under tool shoulder -a-926 W2 with an average TiN particle size of 0.7µm, -b- W3, with an average TiN particle size of 927 0.5um. 928 Figure 14: The Frequency Distribution (%) of the TiN particle size (µm) observed in -a- FSW EH46 929 W8 and W9 (steady state), -b- FSW EH46 W1 to W7 (Plunge/Dwell). 930 931 Figure 15: SEM Micrograph of sample W2 (plunge/dwell period) under the probe region 932 showing TiN particles with average of 0.35 µm in size. 933 934 Figure 16. Two affected regions identified following the weld tool plunge trials sample W2, 935 under the shoulder region (region 1) and around the probe side (region 2), both these regions 936 are thermomechanically affected zones. Temperature predicted for region 1 to be reaching 937 near 1400°C and for region 2 not to be greater than 1250°C. 938 939 940 Table 1: Chemical composition (wt%) of EH46 Steel Grade as received (composition is 941 provided by the manufacturer). С Si Mn Р Al Ν Nb V Ti S 0.20 0.55 1.7 0.03 0.03 0.015 0.02 0.03 0.10 0.02 942 943
- 944 945 946

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968 Table 2: The welding parameters for FS welded EH46 plunge/dwell samples (W1-W7).

Weld Trial No.	Tool rotational speed ω (RPM) at dwell period	Max. Axial (Plunge) force (F <sub>z</sub> ) KN	Max. longitudinal force (F <sub>x</sub> ) KN	Max. Torque (M) N.m	Plunge Depth (Z) mm from FSW machine	Dwell Time (t) sec at dwell period
<b>W1</b>	200	157	17	498	13	6
W2	200	127	17	471	13	8
W3	120	116	21	598	13	7
W4	120	126	20	549	13	6
W5	120	115	17	532	13	7
W6	120	105	18	583	13	7
W7	120	119	20	548	13	7

Table 3: Welding parameters for FS welded EH46 steel at steady state condition (W8 and W9).

Wel d No.	Tool rotation al speed RPM	Traver se speed mm/mi n	Rotation al/ Traverse speeds (rev/mm)	avera ge spindl e Torqu e N.m	avera ge tool Torqu e N.m	Axial force (averag e) KN	longitudi nal force (average) KN	Representation of HeatInput $(\frac{\omega \times torque}{V})$ (rev. KN)
W8	150	50	3	300	114	66	13	342
W9	150	100	1.5	450	171	72	14	256.5

Table 4: TiN average particle size of samples W1 to W7 observed at the shoulder-probe
 region (Region 1). (Standard deviation value= 0.05 μm)

	lanuaru ut	viation va	100 - 0.03	μm)			
Weld No.	W1	W2	W3	W4	W5	W6	W7
TiN average particle size (µm)	0.6	0.7	0.5	0.5	0.5	0.5	0.5

Table 5: Interaction coefficients in molten steel at 1600°C (1873K) [21].

Element	С	Si	Mn	Р	Al	Ti	Ν
$e_{Ti}^J$	-0.165	0.050	0.0043	-0.64	12	0.013	-0.018
$e_N^J$	0.130	0.047	-0.02	0.045	-0.028	-0.53	