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FENTON, P.C. and LINGHAM, Eleanor

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# The size of Wiman-Valiron discs for subharmonic functions of a certain type

P.C. Fenton  
University of Otago, Dunedin, New Zealand  
pfenton@maths.otago.ac.nz

E.F. Lingham  
De Montfort University, Leicester, United Kingdom  
elingham@dmu.ac.uk

## Abstract

Wiman-Valiron theory and the results of Macintyre about “flat regions” describe the asymptotic behaviour of entire functions in certain discs around maximum points. We use a technique of Bergweiler, Rippon and Stallard to describe the asymptotic behaviour of a certain type of subharmonic function, and a technique of Bergweiler to estimate the size of its Wiman-Valiron discs from above and below. The results are extended to  $\delta$ -subharmonic functions.

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## 1 Introduction

Given  $r > 0$  and an upper semi-continuous function  $u : \mathbb{C} \rightarrow [-\infty, \infty)$ , let  $B(r, u) := \max_{|z|=r} u(z)$  be its *maximum function*. We recall that if  $u$  is subharmonic then  $B(r, u)$  is a convex, increasing function of  $\log r$ . The left and right derivatives of  $B(r, u)$  thus exist, and  $B(r, u)$  is differentiable outside a countable set. We write

$$a(r, u) := \frac{dB(r, u)}{d \log r}, \quad (1)$$

taking the right derivative at points where  $B(r, u)$  is not differentiable.

We say that  $z_r$  is a *maximum point* of  $u$  if  $|z_r| = r$  and  $u(z_r) = B(r, u)$ . Slightly abusing this notation, we call  $z_r$  a maximum point for a holomorphic function  $f$  if it is a maximum point for the subharmonic function  $\log |f|$ . We denote by  $D(a, r) = \{z : |z - a| < r\}$  the disc centred at  $a$  of radius  $r$ , and say that a set  $F \subseteq [0, \infty)$  has *logarithmic measure*  $\int_F \frac{dt}{t}$ . For a subharmonic function  $u$ , we denote by  $\mu_u$  the *Riesz measure* of  $u$ .

A result of Macintyre ([13], Theorem 3) says that an entire function  $f$  can be estimated on a disc near its maximum point. That is, given  $\epsilon > 0$ , there exists

a set  $F$  of finite logarithmic measure such that

$$f(z) \sim \left(\frac{z}{z_r}\right)^{a(r, \log |f|)} f(z_r) \quad (2)$$

as  $r \rightarrow \infty$ ,  $r \notin F$ , uniformly for  $z \in D(z_r, r/(\log M(r, f))^{\frac{1}{2}+\epsilon})$ . As Bergweiler, Rippon and Stallard point out [2, p. 372], it can be deduced from (2) that, for each  $k \in \mathbb{N} \cup \{0\}$ ,

$$f^{(k)}(z) \sim \left(\frac{a(r, \log |f|)}{z}\right)^k \left(\frac{z}{z_r}\right)^{a(r, \log |f|)} f(z_r)$$

as  $r \rightarrow \infty$ ,  $r \notin F$ , uniformly for  $z \in D(z_r, r/(\log M(r, f))^{\frac{1}{2}+\epsilon})$ . This work followed results from Wiman-Valiron theory which found that sufficiently close to their maximum points, entire functions act like monomials, namely the dominant term of their Taylor power series (see [15], [14], [13]).

More recently, Bergweiler, Rippon and Stallard [2] proved a result similar to (2) where it is not required that  $f$  is entire, but only that  $f$  has a *direct tract*. To explain this, let  $D$  be an unbounded domain in  $\mathbb{C}$  whose boundary consists of piecewise smooth curves, and whose complement is unbounded. Let  $f$  be a complex-valued function whose domain of definition contains the closure  $\overline{D}$  of  $D$ . Then  $D$  is called a *direct tract* of  $f$  if  $f$  is holomorphic in  $D$  and continuous in  $\overline{D}$ , and there exists  $R > 0$  such that  $|f(z)| = R$  for  $z$  on the boundary of  $D$ , while  $|f(z)| > R$  for  $z \in D$ .

The main result of [2] says that for every  $\tau > \frac{1}{2}$ , there exists a set  $F$  of finite logarithmic measure such that, for  $r \notin F$ , the disc  $D(z_r, r/a(r, \log |f|)^\tau)$  is contained in  $D$  and (2) holds for  $z \in D(z_r, r/a(r, \log |f|)^\tau)$  as  $r \rightarrow \infty$ . In [1], Bergweiler investigated the size of the disc around  $z_r$  in which (2) holds (which can be described as a *Wiman-Valiron disc*), and proved results from below and above as follows. Let  $\phi : [t_0, \infty) \rightarrow (0, \infty)$  be a differentiable function satisfying

$$\int_{t_0}^{\infty} \frac{1}{\phi(t)} dt < \infty \quad (3)$$

and

$$K \leq \frac{t\phi'(t)}{\phi(t)} \leq L \quad (4)$$

for certain constants  $K$  and  $L$  satisfying  $0 \leq K \leq 1 < L < 2$ . Let  $f$  be a function with a direct tract  $D$  and let  $z_r \in D$  be a maximum point of  $f$  in  $D$ . Then there exists a set  $F$  of finite logarithmic measure such that the disc  $D(z_r, r/\sqrt{\phi(a(r, \log |f|))}) \subseteq D$  and (2) holds on  $D(z_r, r/\sqrt{\phi(a(r, \log |f|))})$  uniformly as  $r \rightarrow \infty$ ,  $r \notin F$ . On the other hand, if

$$\int_{t_0}^{\infty} \frac{1}{\phi(t)} dt = \infty$$

and (4) holds for  $K = 1$  and some  $L < \frac{6}{5}$ , then there exists an entire function  $f$  which has exactly one direct tract  $D$  and is such that if  $r$  is sufficiently large and  $|z| = r$ , then the disc  $D(z, r/\sqrt{\phi(a(r, \log |f|))})$  contains a zero of  $f$ , and thus (2) cannot hold.

With these results in mind, we seek analogous results for subharmonic functions of the form

$$u(z) = \sum_{j=1}^{\infty} c_j \log \left| 1 - \frac{z}{z_j} \right|, \quad (5)$$

where  $c_j \in \mathbb{R}^+$ ,  $z_j \in \mathbb{C}$  and  $\sum_{j=1}^{\infty} \left| \frac{c_j}{z_j} \right| < \infty$ . In any disc that contains no  $z_j$ ,  $u$  can also be written in the form

$$u(z) = \log |f(z)|,$$

where  $f(z) = \prod_{j=1}^{\infty} \left( 1 - \frac{z}{z_j} \right)^{c_j}$ . The  $c_j$  are the Riesz masses of  $u$  at the points  $z_j$ ; at all other points  $u$  is harmonic and the Riesz mass is zero. Since the  $z_j$  are the only “problem” points of  $u$  in  $\mathbb{C}$ , we do not need to assume the existence of a direct tract as in [2] and [1].

To achieve results for  $u$ , it is necessary to impose some lower growth condition on the masses  $c_j$ . For if, for example, the sequence  $z_j$  consisted of all the rational points in the plane, then every open disc would contain a  $z_j$  and we could not have (2). We will assume that

$$\liminf_{j \rightarrow \infty} \frac{c_j}{I(a(|z_j|, u))^\beta} > 0 \quad (6)$$

where

$$I(r) := \int_r^\infty \frac{1}{\phi(t)} dt \quad (7)$$

and  $\beta$  is a positive constant.

We are now ready to state our first theorem.

**Theorem 1** *Let  $u$  be as in (5) with the growth condition (6) on the masses  $c_j$  of  $u$ , for some constant  $\beta > 0$ . Let  $t_0 > 0$  and let  $\phi : [t_0, \infty) \rightarrow (0, \infty)$  be a differentiable function satisfying (3) and (4) for constants  $K = \min\{\beta, 1\}$  and  $L$  satisfying  $1 < L < 3/2$ . Let  $r > 0$ . If  $\beta < 1$  there exists a set  $F \subseteq [0, \infty)$  of finite logarithmic measure such that the disc  $D(z_r, r/\sqrt{\phi(a(r, u))})$  contains no  $z_j$ , and (2) holds uniformly for  $z \in D(z_r, r/\sqrt{\phi(a(r, u))})$ , as  $r \rightarrow \infty$ ,  $r \notin F$ .*

Remark: Theorem 1 can be extended to  $\delta$ -subharmonic functions, that is, functions

$$v(z) = v_1(z) - v_2(z),$$

where  $v_1, v_2$  are subharmonic functions of the form (5). We assume that the set  $D = \{z \in \mathbb{C} : v(z) > 0\}$  has an unbounded component  $D_0$  on which  $v$  is harmonic. The function which is  $v$  on  $D_0$  and 0 elsewhere, which we denote by  $v^+$ , is then subharmonic. The complement of  $D_0$  may contain *islands*  $\mathcal{I}$ , that is, closed bounded components of the form  $\{z : v^+(z) = 0\}$ , and the growth condition we impose on  $v_1$  and  $v_2$  involves islands, rather than points, as follows. Either there are finitely many islands, or

$$\liminf_{r_{\mathcal{I}} \rightarrow \infty} \frac{\mu_{v_1}(\mathcal{I}) - \mu_{v_2}(\mathcal{I})}{I(a(r_{\mathcal{I}}, v^+))^\beta} > 0,$$

where  $0 < \beta < 1$  and  $r_{\mathcal{I}} = \sup\{|z| : z \in \mathcal{I}\}$ . The proof relies on the fact that

$$\mu_{v^+}(\mathcal{I}) = \mu_{v_1}(\mathcal{I}) - \mu_{v_2}(\mathcal{I}),$$

but is otherwise similar to the proof of Theorem 1.

We state our second theorem as follows.

**Theorem 2** *Let  $t_0 > 0$  and let  $\phi : [t_0, \infty) \rightarrow (0, \infty)$  be a differentiable function that satisfies (3). Let  $I$  be as defined in (7) and suppose that, given  $\kappa > 1$  and  $\lambda > 0$ ,*

$$1 \leq \frac{r\phi'(r)}{\phi(r)} - \frac{(1 + (\kappa - 1)/\lambda)r}{\phi(r)I(r)} \leq \frac{r\phi'(r)}{\phi(r)} \leq L, \quad (8)$$

for  $r \geq t_0$ , where  $1 < L < 6/5$ . Then there exists a subharmonic function of the form (5), with

$$c_j = (1 + o(1))(I(a(|z_j|, u)))^{\kappa/\lambda} \quad (j \rightarrow \infty), \quad (9)$$

for which, for all large  $r$ , there are no Wiman-Valiron discs of radius greater than

$$\frac{r}{\sqrt{\phi(a(r, u))I(a(r, u))^{1-1/\lambda}}}. \quad (10)$$

**Corollary 1** *Theorem 1 fails if  $\beta > 1$ .*

Assuming Theorem 2 for the moment, let us prove Corollary 1. The function

$$\phi(r) = \eta^{-1} r \cdot \log r \cdot \log_2 r \cdots \log_l r \cdot (\log_{l+1} r)^{1+\eta}, \quad (11)$$

where  $\eta > 0$ ,  $l$  is a positive integer and  $\log_l$  is the  $l$ -times iterated logarithm, satisfies (3) and, for any  $\lambda > 0$  and  $\kappa > 1$ , satisfies (8) for all large  $t$ . For in that case  $I(r) = (\log_{l+1} r)^{-\eta}$  and

$$\begin{aligned} \frac{r\phi'(r)}{\phi(r)} - \frac{(1 + (\kappa - 1)/\lambda)r}{\phi(r)I(r)} \\ = 1 + \frac{1}{\log r} + \dots + \frac{1}{\log r \log_2 r \cdots \log_l r} + \frac{1 - (\kappa - 1)\eta/\lambda}{\log r \log_2 r \cdots \log_{l+1} r}. \end{aligned} \quad (12)$$

Thus, for this  $\phi$ , the result of Theorem 2 holds for any  $\kappa > 1$  and  $\lambda > 0$ . If  $\beta > 1$  we may choose  $\kappa$  and  $\lambda$  satisfying  $\kappa/\lambda = \beta$  with  $\kappa > 1$  and  $\lambda < 1$ , and then (10) contradicts the conclusion of Theorem 1.

Remarks: 1. The case  $\beta = 1$  is open.

2. Suppose that  $0 < \beta < 1$  and that in Theorem 2 we choose  $\kappa > 1$  arbitrarily and  $\lambda = \kappa/\beta$ . The difference between the radii in Theorem 1 and Theorem 2 is  $\sqrt{I(a(r,u))^{1-\beta/\kappa}}$ . To get some idea of the significance of this factor, consider  $\phi$  of (11). The radius of the disc in Theorem 1 is

$$\eta^{-1}t \cdot \log t \cdot \log_2 t \cdots \log_l t \cdot (\log_{l+1} t)^{1+\eta}|_{t=a(r,u)}$$

and in Theorem 2 is

$$\eta^{-1}t \cdot \log t \cdot \log_2 t \cdots \log_l t \cdot (\log_{l+1} t)^{1+\beta\eta/\kappa}|_{t=a(r,u)}.$$

Numerous applications of the theories of Wiman-Valiron and Macintyre exist, in areas including complex dynamics ([2], [5], [9]), complex differential equations ([6], [10], [11], [16]) and the zero distribution of derivatives ([3], [12]). We note also that functions of the form (5) are connected to the electrostatic fields generated by positively charged wires which meet the complex plane at the points  $z_j$  [4].

## 2 Proof of Theorem 1

First, with  $u$  given by (5), we have  $a(r,u) \rightarrow \infty$  as  $r \rightarrow \infty$ . For otherwise  $B(r,u) = O(\log r)$  and therefore  $\mu_u(D(0,r)) = O(1)$  as  $r \rightarrow \infty$ , which gives that  $\sum_{j \in \mathbb{N}} c_j < \infty$ . By (6) the  $c_j$  would be bounded below, and this would mean that there are only finitely many  $c_j$ , which is a contradiction.

We need two lemmas. The first is based on a well-known result about real functions and can be found in ([2], Lemma 6.10).

**Lemma 3** *Let  $v : \mathbb{C} \rightarrow [-\infty, \infty)$  be subharmonic, and let  $\epsilon > 0$ . Then there exists a set  $F \subseteq [1, \infty)$  of finite logarithmic measure such that*

$$a(r,v) \leq B(r,v)^{1+\epsilon}$$

for  $r \geq 1$ ,  $r \notin F$ .

The second lemma summarises, with a small change, the lemmas and discussion in ([1], Section 2). The change we make concerns two functions,  $\sigma_1$  and  $\sigma_2$ , that occur in the proof of Lemma 2.2 of [1]. Rather than (in the notation of [1])  $\sigma_1 = \sigma_2 = V^{K/2} \sqrt{\psi}$ , we take  $\sigma_1 = \sqrt{\psi}$  and  $\sigma_2 = V^K \sqrt{\psi}$ ; the proof is unchanged except that the restriction on the constant  $L$  needs to be strengthened (from  $L < 2$  to  $L < 3/2$ ).

**Lemma 4** *Let  $t_0 > 0$ , let  $v : \mathbb{C} \rightarrow [-\infty, \infty)$  be a subharmonic function, and let  $\phi : [t_0, \infty) \rightarrow (0, \infty)$  be a differentiable function satisfying (3) and (4) for certain constants  $0 < K < 1 < L < 3/2$ . Then there exists a set  $F \subseteq [t_0, \infty)$  of finite logarithmic measure such that*

$$B(s, v) \leq B(r, v) + a(r, v) \log \frac{s}{r} + I(a(r, v))^K$$

for

$$\left| \log \frac{s}{r} \right| \leq \frac{1}{\sqrt{\phi(a(r, v))}},$$

uniformly as  $r \rightarrow \infty$ ,  $r \notin F$ .

We apply Lemma 4 with  $K = \beta$  and  $L$  satisfying  $1 < L < 3/2$ , and we apply Lemma 3 for  $\epsilon = \beta$ . Let  $F$  be the union of the exceptional sets of these lemmas. We put  $\rho = 2r/\phi(a(r, u))^{\beta/2}$  whenever  $r$  is so large that  $a(r, u) \neq 0$ . Let  $C$  be a positive constant sufficiently large that for all large  $r$  and any  $z_j \in D(z_r, C\rho)$  we have

$$c_j > (\log C)^{-1} I(a(|z_j|, u))^\beta, \quad (13)$$

which is possible by (6). We consider the function

$$v(z) = u(z) - u(z_r) - a(r, u) \log \frac{|z|}{r} = u(z) - B(r, u) - a(r, u) \log \frac{|z|}{r}. \quad (14)$$

For  $z \in \overline{D}(z_r, C\rho)$  we have

$$\left| \frac{z - z_r}{z_r} \right| \leq \left| \frac{C\rho}{r} \right| = \frac{2C}{\phi(a(r, u))^{\beta/2}} = o(1)$$

as  $r \rightarrow \infty$ , by (4) and since  $a(r, u) \rightarrow \infty$ . Thus since  $\beta < 1$ ,

$$\left| \log \frac{|z|}{r} \right| = \left| \log \left| 1 + \frac{z - z_r}{z_r} \right| \right| \leq 2 \left| \frac{z - z_r}{z_r} \right| \leq \frac{4C}{\phi(a(r, u))^{\beta/2}} \leq \frac{1}{\sqrt{\phi(a(r, f))}}$$

for large  $r$ , and the hypotheses of Lemma 4 are satisfied. Since

$$v(z) \leq B(|z|, u) - B(r, u) - a(r, u) \log \frac{|z|}{r}$$

by (14), we conclude from Lemma 4 applied to  $u$  that

$$v(z) \leq I(a(r, u))^\beta \quad (15)$$

as  $r \rightarrow \infty$ ,  $r \notin F$ .

For large  $r$ , we have  $0 \notin D(z_r, \rho)$  so that the difference of  $v$  and  $u$  as defined in (14) is harmonic in  $D(z_r, \rho)$ , and hence their Riesz measures in the disc coincide. Thus

$$\mu_v(D(z_r, \rho)) = \sum_{z_j \in D(z_r, \rho)} c_j \quad (16)$$

where the  $z_j$  are matched with the  $c_j$  in (5).

On the other hand, we have  $\mu_v(D(z_r, \rho)) \log C \leq \int_0^{C\rho} \frac{\mu_v(D(z_r, t))}{t} dt$ , and by (15) and the fact that  $v(z_r) = 0$ ,

$$\begin{aligned} \int_0^{C\rho} \frac{\mu_v(D(z_r, t))}{t} dt &= \frac{1}{2\pi} \int_0^{2\pi} v(z_r + C\rho e^{i\zeta}) d\zeta - v(z_r) \\ &\leq \frac{1}{2\pi} \int_0^{2\pi} I(a(r, u))^\beta d\zeta \\ &= I(a(r, u))^\beta. \end{aligned}$$

Combining this with (16) gives

$$\sum_{z_j \in D(z_r, C\rho)} c_j \log C \leq I(a(r, u))^\beta.$$

In view of (13), we deduce that there do not exist any  $z_j \in D(z_r, C\rho)$ , and thus in  $D(z_r, r/\sqrt{\phi(a(r, u))})$ .

The remainder of the proof, including the derivation of (2), reproduces the arguments in ([2], Theorem 2.2).

### 3 Proof of Theorem 2, preliminaries

We follow Bergweiler's intricate construction with slight changes, first introducing certain auxiliary functions in terms of which the example of Theorem 2 is defined. The main concern in this section is to show that certain key calculations that Bergweiler makes carry over in their modified form. Wherever possible, results from [1] have been simply quoted, with appropriate references.

Let  $\phi$  be as in the statement of Theorem 2 and define  $\Psi, \chi : [t_0, \infty) \rightarrow (0, \infty)$  by

$$\Psi(t) = \lambda\phi(t)I(t)^{1+(\kappa-1)/\lambda} \quad (17)$$

and

$$\chi(t) = \lambda\phi(t)I(t)^{1-1/\lambda} \quad (18)$$

where  $\lambda$  and  $\kappa$  are constants satisfying  $\lambda > 2$  and  $\lambda/2 \geq \kappa > 1$ , and  $I(t)$  is given by (7). Note that

$$\int_{t_0}^t \frac{ds}{\Psi(s)} = \frac{1}{\kappa-1} \left( I(t)^{-(\kappa-1)/\lambda} - I(t_0)^{-(\kappa-1)/\lambda} \right), \quad \int_t^\infty \frac{ds}{\chi(s)} = I(t)^{1/\lambda}. \quad (19)$$

From the first of these we have

$$I'(t) = -\frac{\lambda I(t)^{1+(\kappa-1)/\lambda}}{\Psi(t)}. \quad (20)$$



In what follows it is helpful to know that  $I(t) \leq 1$  for  $t \geq t_0$ , which can always be achieved by taking a somewhat larger value of  $t_0$ . Let us suppose that this has been done.

As in [1], we define  $A_1 : [1, \infty) \rightarrow [t_0, \infty)$  by

$$\log t = \int_{t_0}^{A_1(t)} \frac{1}{\Psi(s)} ds. \quad (21)$$

From the first part of (19) we have

$$I(A_1(t)) = ((\kappa - 1) \log(C_1 t))^{-\lambda/(\kappa-1)}, \quad (22)$$

where  $C_1 = \exp\{(\kappa - 1)^{-1} I(t_0)^{-(\kappa-1)/\lambda}\}$ . We also introduce

$$A_2(t) := tA_1'(t), \quad A_3(t) := tA_2'(t), \quad A_0(t) := \int_1^t A_1(s) \frac{ds}{s}. \quad (23)$$

Differentiating (21), we have

$$A_2(t) = \Psi(A_1(t)); \quad (24)$$

also (see [1], (3.4))

$$A_3(t) \geq A_2(t) \geq A_1(t) \geq t, \quad t \geq 1, \quad (25)$$

and (see [1], (3.10))

$$A_2(t) = o\left(A_0(t)^{\frac{L}{2-L}}\right) \quad (26)$$

as  $t \rightarrow \infty$ , where  $L$  is the number of (8). Define  $G : [1, \infty) \rightarrow [0, \infty)$  by

$$G(t) = \int_1^t \sqrt{\chi(A_1(s))} \frac{ds}{s}, \quad (27)$$

and let  $H : [0, \infty) \rightarrow [1, \infty)$  be the inverse function. We have, using (17), (18), (24), (25) and the fact that  $I \leq 1$ ,

$$\frac{H(t)}{H'(t)} = \sqrt{\chi(A_1(H(t)))} = \sqrt{\frac{\Psi(A_1(H(t)))}{I(A_1(H(t)))^{\kappa/\lambda}}} = \sqrt{\frac{A_2(H(t))}{I(A_1(H(t)))^{\kappa/\lambda}}} \geq 1. \quad (28)$$

Also, observing that from (20) and (24),

$$I'(A_1(t)) = -\frac{\lambda I(A_1(t))^{1+(\kappa-1)/\lambda}}{A_2(t)},$$

we obtain after some calculation, and using (23),

$$\begin{aligned} \frac{d}{dt} \left( \frac{H(t)}{H'(t)} \right) &= \frac{d}{dt} \left( \sqrt{\frac{A_2(H(t))}{I(A_1(H(t)))^{\kappa/\lambda}}} \right) \\ &= \frac{A_3(H(t))}{2A_2(H(t))} + \frac{\kappa}{2} I(A_1(H(t)))^{(\kappa-1)/\lambda}. \end{aligned} \quad (29)$$

Since  $(H/H')' = 1 - HH''/(H')^2$ , we have from (29),

$$\frac{H''(t)}{H'(t)} = \sqrt{\frac{I(A_1(H(t)))^{\kappa/\lambda}}{A_2(H(t))}} \left( 1 - \frac{A_3(H(t))}{2A_2(H(t))} - \frac{\kappa}{2} I(A_1(H(t)))^{(\kappa-1)/\lambda} \right). \quad (30)$$

From (29), (25) and the fact that  $I \leq 1$  we have

$$\frac{d}{dt} \left( \frac{H(t)}{H'(t)} \right) \leq (\kappa + 1) \frac{A_3(H(t))}{2A_2(H(t))}. \quad (31)$$

We need a related estimate, for  $\frac{d}{dt} \left( I(A_1(H(t)))^{\kappa/\lambda} \frac{H(t)}{H'(t)} \log \left( \frac{r}{H(t)} \right) \right)$ . Using (20), (24) and (31) we have, for  $r$  fixed and  $t$  such that  $H(t) \leq r$ ,

$$\begin{aligned} & \left| \frac{d}{dt} \left( I(A_1(H(t)))^{\kappa/\lambda} \frac{H(t)}{H'(t)} \log \left( \frac{r}{H(t)} \right) \right) \right| \\ &= \left| -\kappa (I(A_1(H(t))))^{(2\kappa-1)/\lambda} \log \left( \frac{r}{H(t)} \right) \right. \\ & \quad \left. + I(A_1(H(t)))^{\kappa/\lambda} \left( \log \left( \frac{r}{H(t)} \right) \frac{d}{dt} \left( \frac{H(t)}{H'(t)} \right) - 1 \right) \right| \\ &\leq \left( \kappa + (\kappa + 1) \frac{A_3(H(t))}{2A_2(H(t))} \right) \log r + 1. \end{aligned}$$

Since, as Bergweiler has shown (see [1], (3.15) and (3.2)),  $A_3/A_2 \leq Lc^{1/L}A_2^{1-1/L}$ , where  $c = \Psi(t_0)t_0^{-L}$  and  $L$  is the number of (8), the preceding estimate gives

$$\left| \frac{d}{dt} \left( I(A_1(H(t)))^{\kappa/\lambda} \frac{H(t)}{H'(t)} \log \left( \frac{r}{H(t)} \right) \right) \right| \leq \Lambda A_2(r)^{1-1/L} \log r + 1 \quad (32)$$

for  $H(t) \leq r$ , where  $\Lambda = \kappa + L(\kappa + 1)c^{1/L}/2$ .

Finally, from (30) we have (as in [1] between formulas (3.13) and (3.14))

$$\left| \frac{H''(t)}{H'(t)} \right| \leq \frac{1}{2}(\kappa + 3) \frac{A_3(H(t))}{A_2(H(t))^{3/2}} = o(1)$$

as  $t \rightarrow \infty$ , and we deduce from this (cf [1], (3.14)) that

$$H'(t+s) = (1 + o(1))H'(t) \quad (33)$$

as  $t \rightarrow \infty$ , uniformly for  $0 \leq s \leq 1$ .

#### 4 Proof of Theorem 2

Consider

$$u(z) = \sum_{j=1}^{\infty} c_j \log \left| 1 + \left( \frac{z}{H(j)} \right)^{\left[ \frac{H(j)}{H'(j)} \right]} \right|, \quad (34)$$

where  $\left[\frac{H(j)}{H'(j)}\right]$  means the integer part of  $\frac{H(j)}{H'(j)}$ , and with the notation of the preceding section,

$$c_j = I(A_1(H(j)))^{\kappa/\lambda}. \quad (35)$$

Since the terms of the series (34) are subharmonic and the series converges locally uniformly (as will be evident from our subsequent calculations),  $u$  is subharmonic.

As in [1] we write

$$A_j = \log \left( 1 + \left( \frac{r}{H(j)} \right)^{\left[\frac{H(j)}{H'(j)}\right]} \right),$$

for  $r \geq 0$ , and

$$S_1 = \sum_{j=1}^{[G(r)]} c_j A_j, \quad S_2 = \sum_{j=[G(r)]+1}^{[G(\rho r)]} c_j A_j, \quad S_3 = \sum_{j=[G(\rho r)]+1}^{\infty} c_j A_j,$$

where  $G$  is as in (27) and  $\rho = 1 + A_1(r)/(2A_2(r))$ . Then

$$B(r, u) \leq S_1 + S_2 + S_3, \quad (36)$$

and we estimate  $S_1$ ,  $S_2$  and  $S_3$  in turn.

For  $S_1$ , since  $H(j) \leq r$  for  $j \leq G(r)$  we have, using (28), (32) and Bergweiler's Lemma 3.1 of [1],

$$\begin{aligned} S_1 &\leq \sum_{j=1}^{[G(r)]} I(A_1(H(j)))^{\kappa/\lambda} \left( \left[ \frac{H(j)}{H'(j)} \right] \log \left( \frac{r}{H(j)} \right) + \log 2 \right) \\ &\leq \sum_{j=1}^{[G(r)]} I(A_1(H(j)))^{\kappa/\lambda} \frac{H(j)}{H'(j)} \log \left( \frac{r}{H(j)} \right) + G(r) \log 2 \\ &\leq \int_0^{G(r)} I(A_1(H(t)))^{\kappa/\lambda} \frac{H(t)}{H'(t)} \log \left( \frac{r}{H(t)} \right) dt \\ &\quad + (\Lambda A_2(r)^{1-1/L} \log r + 1) G(r) + G(r) \log 2 \\ &= \int_0^{G(r)} I(A_1(H(t)))^{\kappa/\lambda} \frac{H(t)}{H'(t)} \log \left( \frac{r}{H(t)} \right) dt \\ &\quad + O \left( G(r) A_2(r)^{1-1/L} \log r \right) \end{aligned} \quad (37)$$

as  $r \rightarrow \infty$ . With the change of variable  $s = H(t)$  we obtain

$$\begin{aligned}
& \int_0^{G(r)} I(A_1(H(t)))^{\kappa/\lambda} \frac{H(t)}{H'(t)} \log \left( \frac{r}{H(t)} \right) dt \\
&= \int_1^r I(A_1(s))^{\kappa/\lambda} s G'(s)^2 \log \left( \frac{r}{s} \right) ds \\
&= \int_1^r I(A_1(s))^{\kappa/\lambda} \chi(A_1(s)) \log \left( \frac{r}{s} \right) \frac{ds}{s} \\
&= \int_1^r \Psi(A_1(s)) \log \left( \frac{r}{s} \right) \frac{ds}{s} \\
&= \int_1^r A_2(s) \log \left( \frac{r}{s} \right) \frac{ds}{s} \\
&= \int_1^r A_1'(s) \log \left( \frac{r}{s} \right) ds \\
&= A_0(r) - t_0 \log r,
\end{aligned} \tag{38}$$

in view of (23), the last step following after integrating by parts. Concerning the error term in (37) we have, from (22) and (24), and using  $\chi = \Psi/I^{\kappa/\lambda}$ ,

$$G(r) \leq \sqrt{\chi(A_1(r))} \log r = O\left(\sqrt{A_2(r)} (\log r)^{\frac{3\kappa-2}{2\kappa-2}}\right) \tag{39}$$

as  $r \rightarrow \infty$ , and  $A_0(r) = \int_1^r (A_1(s)/s) ds \geq r - 1$  from (25), so that

$$\log r \leq (1 + o(1)) \log A_0(r) \tag{40}$$

as  $r \rightarrow \infty$ . Thus, using (26),

$$\begin{aligned}
G(r) A_2(r)^{1-1/L} \log r &= O\left(A_2(r)^{(3L-2)/(2L)} (\log r)^{(3\kappa-2)/(2\kappa-2)}\right) \\
&= O\left(A_0(r)^{(3L-2)/(4-2L)} (\log r)^{(3\kappa-2)/(2\kappa-2)}\right) \\
&= o(A_0(r))
\end{aligned} \tag{41}$$

as  $r \rightarrow \infty$ . Combining (37), (38) and (41), and using the fact that  $L < 6/5$ , we conclude that

$$S_1 \leq (1 + o(1)) A_0(r) \quad (r \rightarrow \infty). \tag{42}$$

Turning to  $S_2$ , we have, using (27) and (24), and since  $H(j) > r$ ,

$$\begin{aligned}
S_2 &\leq I(A_1(r))^{\kappa/\lambda} G(\rho r) \log 2 \\
&\leq I(A_1(r))^{\kappa/\lambda} \sqrt{\chi(A_1(\rho r))} \log(\rho r) \log 2 \\
&= I(A_1(r))^{\kappa/(2\lambda)} \sqrt{\Psi(A_1(\rho r))} \log(\rho r) \log 2 \\
&\leq \sqrt{A_2(\rho r)} \log(\rho r) \log 2.
\end{aligned}$$

As Bergweiler shows ([1], p. 28),  $\sqrt{A_2(\rho r)} \log(\rho r) = o(A_0(r))$  as  $r \rightarrow \infty$ , and thus

$$S_2 = o(A_0(r)) \quad (r \rightarrow \infty). \quad (43)$$

Finally, for  $S_3$ , since  $H(j) \geq \rho r$  for  $j \geq G(\rho r)$ ,

$$\begin{aligned} S_3 &\leq \sum_{j=[G(\rho r)]+1}^{\infty} c_j \left( \frac{r}{H(j)} \right)^{\left[ \frac{H(j)}{H'(j)} \right]} \\ &\leq \rho \sum_{j=[G(\rho r)]+1}^{\infty} I(A_1(H(j)))^{\kappa/\lambda} \exp \left( -\tau \frac{H(j)}{H'(j)} \right), \end{aligned}$$

where  $\tau = \log \rho \geq 0$ . Thus, since, from (31),  $H/H'$  is increasing,

$$S_3 \leq \rho \left( 1 + \int_{G(\rho r)}^{\infty} I(A_1(H(t)))^{\kappa/\lambda} \exp \left( -\tau \frac{H(t)}{H'(t)} \right) dt \right).$$

Making the change of variable  $s = H(t)$ , and using (28) and the fact that  $\chi(A_1) \geq \Psi(A_1) = A_2$  and  $I \leq 1$ , we have

$$\begin{aligned} S_3 &\leq \rho \left( 1 + \int_{\rho r}^{\infty} I(A_1(s))^{\kappa/\lambda} \sqrt{\chi(A_1(s))} \exp \left( -\tau \sqrt{\chi(A_1(s))} \right) \frac{ds}{s} \right) \\ &= \rho \left( 1 + \int_{\rho r}^{\infty} I(A_1(s))^{\kappa/(2\lambda)} \sqrt{A_2(s)} \exp \left( -\tau \sqrt{\chi(A_1(s))} \right) \frac{ds}{s} \right) \\ &\leq \rho \left( 1 + \int_{\rho r}^{\infty} \sqrt{A_2(s)} \exp \left( -\tau \sqrt{A_2(s)} \right) \frac{ds}{s} \right). \end{aligned} \quad (44)$$

As in [1], p. 29, we deduce from (44) that  $S_3 = o(A_0(r))$ , and combining this, (42), (43) and (36), we obtain

$$B(r, u) \leq (1 + o(1))A_0(r) \quad (r \rightarrow \infty). \quad (45)$$

To establish the reverse inequality, we use Jensen's inequality and Lemma 3.1 of [1], and obtain

$$\begin{aligned} B(r, u) &\geq \sum_{j=1}^{[G(r)]} c_j \left[ \frac{H(j)}{H'(j)} \right] \log \left( \frac{r}{H(j)} \right) \\ &\geq \int_{G(r)-[G(r)]}^{G(r)} I(A_1(H(t)))^{\kappa/\lambda} \left( \frac{H(t)}{H'(t)} - 1 \right) \log \left( \frac{r}{H(t)} \right) dt \\ &\quad + O \left( G(r) \sup_{0 < t < G(r)} |F'(t)| \right), \end{aligned} \quad (46)$$

where

$$F(t) = I(A_1(H(t)))^{\kappa/\lambda} \left( \frac{H(t)}{H'(t)} - 1 \right) \log \left( \frac{r}{H(t)} \right).$$

Since, for  $H(t) \leq r$ ,

$$\begin{aligned} & \left| \frac{d}{dt} \left( I(A_1(H(t)))^{\kappa/\lambda} \log \left( \frac{r}{H(t)} \right) \right) \right| \\ &= \left( \kappa I(A_1(H(t)))^{(2\kappa-1)/\lambda} \log \left( \frac{r}{H(t)} \right) + I(A_1(H(t)))^{\kappa/\lambda} \right) \frac{H'(t)}{H(t)} \\ &\leq \kappa \log r + 1, \end{aligned}$$

from (20) and (28), we have, taking account of (32) and (26),

$$|F'(t)| \leq (\Lambda + \kappa) A_2(r)^{1-1/L} \log r + 2 = o\left(A_0(r)^{(L-1)/(2-L)} \log r\right)$$

as  $r \rightarrow \infty$ . We deduce from (41) that

$$G(r) \sup_{0 < t < G(r)} |F'(t)| = o(A_0(r)) \quad (47)$$

as  $r \rightarrow \infty$ . Also, from (40),

$$\int_0^1 I(A_1(H(t)))^{\kappa/\lambda} \left( \frac{H(t)}{H'(t)} - 1 \right) \log \left( \frac{r}{H(t)} \right) dt = O(\log r) = O(\log A_0(r)).$$

From this, (46) and (47),

$$B(r, u) \geq \int_0^{G(r)} I(A_1(H(t)))^{\kappa/\lambda} \left( \frac{H(t)}{H'(t)} - 1 \right) \log \left( \frac{r}{H(t)} \right) dt + o(A_0(r))$$

as  $r \rightarrow \infty$ . We have

$$\int_0^{G(r)} I(A_1(H(t)))^{\kappa/\lambda} \frac{H(t)}{H'(t)} \log \left( \frac{r}{H(t)} \right) dt = (1 + o(1)) A_0(r)$$

as  $r \rightarrow \infty$ , from (38) and (40), and with the change of variable  $s = H(t)$ ,

$$\begin{aligned} & \int_0^{G(r)} I(A_1(H(t)))^{\kappa/\lambda} \log \left( \frac{r}{H(t)} \right) dt \\ &= \int_1^r I(A_1(s))^{\kappa/\lambda} \log \left( \frac{r}{s} \right) G'(s) ds \\ &\leq \int_1^r \log \left( \frac{r}{s} \right) G'(s) ds = \int_1^r \frac{G(s)}{s} ds \leq G(r) \log r = o(A_0(r)) \end{aligned}$$

as  $r \rightarrow \infty$ , from (39) and (40). It follows that  $B(r, u) \geq (1 + o(1)) A_0(r)$ , and combining this with (45) we conclude that

$$B(r, u) = (1 + o(1)) A_0(r)$$

and, as in ([1], p. 30), that

$$a(r, u) = (1 + o(1)) A_1(r) \quad (48)$$

as  $r \rightarrow \infty$ .

In the context of Theorem 2,  $H(j) = |z_j|$  and so, from (35) and (48),  $c_j = I((1 + o(1))a(|z_j|, u))^{\kappa/\lambda}$  as  $j \rightarrow \infty$ . From (8) we have  $r_2/r_1 \leq \phi(r_2)/\phi(r_1) \leq (r_2/r_1)^L$  for  $r_2 \geq r_1 \geq t_0$ , and it follows from this that  $\int_r^{(1+o(1))r} \phi(t)^{-1} dt = o(\int_r^{2r} \phi(t)^{-1} dt)$  as  $r \rightarrow \infty$ . Thus  $I((1 + o(1))r) = (1 + o(1))I(r)$  as  $r \rightarrow \infty$ , and we have (9).

Bergweiler's argument ([1], section 3.3), which requires (33), shows that for all large  $z$ , a disc centred at  $z$  with radius  $d(|z|) = 9|z|/\sqrt{\chi(a(r, u)/2)}$  contains one of the singularities of  $u$ , and thus no Wiman-Valiron disc can have radius greater than  $d(|z|)$ . Since  $\chi = \lambda\phi I^{1-1/\lambda}$ , and since, arguing as in the preceding paragraph,  $\phi(r/2) \geq \text{const} \cdot \phi(r)$ , and also  $I(r/2) > I(r)$ , we have  $d(|z|) \leq \text{const} \cdot |z|/\sqrt{\phi(a(r, u))I(a(r, u))^{1-1/\lambda}}$ . If we first obtain this result for a value slightly smaller than  $\lambda$ , and with  $\kappa$  adjusted so that the ratio  $\kappa/\lambda$  remains constant, the conclusion of Theorem 2 follows.

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