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LAMA, Polly, LE MAITRE, Christine <<http://orcid.org/0000-0003-4489-7107>>, HARDING, Ian J., DOLAN, Patricia and ADAMS, Michael A.

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**NERVES AND BLOOD VESSELS IN DEGENERATED INTERVERTEBRAL DISCS
ARE CONFINED TO PHYSICALLY-DISRUPTED TISSUE**

Running Head: Nerves and blood vessels in degenerated intervertebral discs

**Polly Lama+,
Christine L Le Maitre*,
Ian J Harding**,
Patricia Dolan,
Michael A. Adams**

Centre for Applied Anatomy, University of Bristol, Bristol, U.K

+Department of Orthopedic Surgery, McGill University, Montreal, Canada

*Biomolecular Sciences Research Centre, Sheffield Hallam University, Sheffield, U.K

**Southmead Hospital, Bristol, U.K

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Corresponding author:

Dr Polly Lama,

Department of Orthopedic Surgery, McGill University, Montreal, Canada.

polly.lama@mail.mcgill.ca

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Abstract: [320 words]

Nerves and blood vessels are found in the peripheral annulus and endplates of healthy adult intervertebral discs. Degenerative changes can allow these vessels to grow inwards, and become associated with discogenic pain, but it is not yet clear how far, and why, they grow in. Previously we have shown that physical disruption of the disc matrix, which is a defining feature of disc degeneration, creates free surfaces which lose proteoglycans and water, and so become physically and chemically conducive to cell migration. We now hypothesise that blood vessels and nerves in degenerated discs are confined to such disrupted tissue. Whole lumbar discs were obtained from 40 patients (aged 37-75 yrs) undergoing surgery for disc herniation, disc degeneration with spondylolisthesis, or adolescent scoliosis ('non-degenerated' controls). Thin (5 µm) sections were stained with H&E and toluidine blue for semi-quantitative assessment of blood vessels, fissures and proteoglycan loss. Ten thick (30 µm) frozen sections from each disc were immunostained for CD31 (an endothelial cell marker), PGP 9.5 and Substance P (general and nociceptive nerve markers respectively) and examined by confocal microscopy. Image analysis software was used to calculate the cross-sectional area of each labelled structure, and its distance from the nearest free surface (disc periphery or internal fissure). Results showed that nerves and blood vessels were confined to proteoglycan-depleted regions of disrupted annulus. Maximum distance of any blood vessel or nerve from the nearest free surface was 888µm and 247µm, respectively. Blood vessels were greater in number, grew deeper, and occupied more area than nerves. The density of labelled blood vessels and nerves increased significantly with Pfirrmann grade of disc degeneration and with local proteoglycan loss. Analysing multiple thick sections with fluorescent markers on a confocal microscope allows reliable detection of thin filamentous structures, even within a dense matrix. We conclude that, in degenerated and herniated discs, blood vessels and nerves are confined to proteoglycan-depleted regions of disrupted tissue, especially within annulus fissures.

Introduction [628 words]

The risk of severe and chronic back pain increases with increasing lumbar disc degeneration (Cheung et al., 2009). However, many 'degenerative' changes seen on MRI (such as proteoglycan and water loss, or minor disc bulging) have little relationship to pain (Boden et al., 1990, Boos et al., 1995) and are best understood as the more-or-less inevitable consequences of disc ageing (Vo et al., 2016, Boos et al., 2002). In contrast, the risk of back

pain is substantially increased by specific structural changes in the annulus and endplate such as: radial fissures in the annulus (Videman and Nurminen, 2004), major disc herniations (Boos et al., 1995), endplate defects (Wang et al., 2012) and their associated Modic changes (Maatta et al., 2016), and disc narrowing (de Schepper et al., 2010).

Underlying mechanisms in discogenic pain are not clear, but probably involve the ingrowth of blood vessels and nerves (Aoki et al., 2004), which normally are confined to the disc periphery (Freemont et al., 1997, Peng et al., 2005, Coppes et al., 1997, Ashton et al., 1994a). Fine nerves in the peripheral posterior and posterolateral annulus arise from the mixed sinuvertebral nerve and are believed to be capable of nociception (Bogduk et al., 1981). Some of them associate with blood vessels within granulation tissue (Ashton et al., 1994a), and are probably capable of mechanoreception and nociception (Roberts et al., 1995, Aoki et al., 2004). Nerve ingrowth may be stimulated and guided by growth factors such as NGF which are secreted by blood vessels and disc cells (Freemont et al., 2002, Purmessur et al., 2008, Tolofari et al., 2010). However, the ingrowth of blood vessels is more problematic, because they are effectively hollow tubes that would be collapsed by the high fluid pressures found inside the nucleus and middle annulus of adult human discs (Adams et al., 1996b).

In previous work we showed that annulus fissures are physically and chemically conducive to blood vessel ingrowth because they represent a micro-environment of low physical pressure (Stefanakis et al., 2012). Furthermore, the walls of annulus fissures are effectively ‘free surfaces’ which enable focal swelling and proteoglycan loss (Stefanakis et al., 2012), leaving collagen-rich surfaces that facilitate cell migration. Certainly, high proteoglycan concentrations inhibit growth of blood vessels and nerves in-vitro (Johnson et al., 2002, Johnson et al., 2005, Purmessur et al., 2015). As a result of these physical and chemical influences, blood vessels are generally excluded from nucleus and inner annulus regions of human discs, even when they are degenerated (Nerlich et al., 2007), although they can grow into focal defects (Nerlich et al., 2007, Peng et al., 2005). Animal ‘injury’ models of disc degeneration also report nerves growing into annulus defects (Xin et al., 2017), and sensory innervation increases in disrupted human endplates (Fields et al., 2014).

In summary, we know already that nerves and blood vessels can grow into physically-disrupted disc tissue with a low proteoglycan content. We now hypothesise that *all* ingrowth occurs in such tissue, and nowhere else. If this hypothesis is supported, it will help clinicians to locate nerves within painful intervertebral discs, and to relieve discogenic pain.

To ensure clinical relevance, we studied surgically-removed tissues from patients believed to have discogenic pain. In order to maximise our ability to detect fine nerves within a dense and extensive matrix, we examined multiple thick sections using confocal microscopy and immunofluorescence. Specific objectives were to: 1) characterise the spatial distribution of blood vessels using general histological stains applied to 5µm thin sections; 2) quantify blood vessels and nerves in 30µm thick frozen sections, using confocal microscopy and immunofluorescence; 3) determine if the density of blood vessels and nerves depends on focal proteoglycan loss and the presence of free surfaces within disrupted tissue; and 4) correlate our findings with MRI-graded disc degeneration.

Materials and Methods [956 words]

Specimen collection: Following NRES (U.K.) ethical approval, posterolateral disc specimens were removed at surgery from 40 patients with one of the following diagnoses: herniated disc with sciatica (HD/S, n=10); herniated disc without sciatica (HD/NS, n=11); degenerated disc with spondylolisthesis (D&S, n=11); and adolescent scoliosis (SD, n=8). The last group were considered ‘controls’ with minimal degeneration. HD samples were primarily displaced nucleus plus annulus tissues, sometimes incorporating some cartilage endplate also, as described previously (Lama et al 2013). D&S patients were undergoing surgery primarily for back pain, although in most cases, no exact pain source had been identified. Specimens were collected within 20 minutes of surgery and placed on dry ice. Subsequent defrosting allowed small adjacent blocks of tissue to be prepared and alternately allocated to fixation in formalin (for histology) or acetone (for immunofluorescence). Patients’ MRI scans were obtained so that disc degeneration could be scored on a scale of 1-6 (Pfirrmann et al., 2001). Specimen details are summarized in **Table 1**.

Histology: Tissue blocks were fixed in 10% neutral buffered formalin and embedded in O.C.T (optimal cutting tissue medium). Thin (5µm) sections were cut at a high angle to the annulus lamellae (i.e. in the approximate sagittal and axial planes). They were then treated with Ehrlich’s Haematoxylin & Eosin (H&E) stain for assessing cell types, and structures such as matrix fissures and blood vessels. Toluidine blue stain was used to derive a semi-quantitative measure of glycosaminoglycans (GAG) loss. (GAGs are the active, water-attracting components of proteoglycan molecules.) Three fields of view were selected from each tissue type (see below). All stained sections were observed under a Leica light microscope with 20 X and 40 X objectives, and graded for histological degenerative scores based on a modified Boos scale (Boos et al., 2002) as described in **Table 2**.

Tissue characterization: As described previously (Lama et al., 2013) three tissue types were distinguished histologically: nucleus pulposus (NP), inner annulus fibrosus (IAF), and outer annulus fibrosus (OAF). OAF tissue (**Figure 1**) comprised highly organized type I collagen fibers with elongated fibroblast-like cells running parallel to the annulus lamellae. These collagen fibers are birefringent when viewed under polarized light and have high affinity for eosin stain. IAF tissue (**Figure 2**) comprised wider and less organized annulus lamellae with numerous clusters of rounded cells, and with diminished birefringence and eosin staining. NP tissue (**Figure 3**) had an amorphous collagen-poor but GAG-rich matrix, did not exhibit birefringence, and showed rounded chondrocyte-like cells in clusters or pairs (Bruehlmann et al., 2002, Freemont et al., 1997).

Immunofluorescence staining: Tissue blocks were fixed in acetone, cooled at -20°C for 10 minutes, and thick (30µm) sections were obtained using a cryostat. Sections were rinsed in phosphate buffer saline (PBS) and treated with 20% donkey serum (Invitrogen, UK) in PBS for 60 minutes at 4°C. Sections were washed in three changes of PBS, and treated with mouse monoclonal primary antibodies at: 1:25 dilutions in PBS for PGP 9.5 (general cytoplasmic neuronal marker, ABD Sorotec, UK); 1:20 dilution for CD 31 (endothelial cell marker, Dako, UK); and 1:500 dilutions for Substance P (nociceptive neuropeptides marker, Abcam, UK). We have shown previously that these antibodies are effective in labelling nerves and blood vessels in human intervertebral discs (Lama et al., 2013). Secondary donkey anti-mouse antibody was conjugated with alexa-fluorophore (Invitrogen, UK) and used at 1:200 dilutions in PBS. To quench auto fluorescence, sections were immersed in 0.1% Sudan black B for 5 minutes (Baschong et al., 2001). Sections were then washed in PBS, counter-stained and mounted with DAPI (Vector laboratories, UK). The excitation/emission spectrum for the secondary antibody was at 550/607nm. For DAPI stained nuclei, excitation was at 405nm and emission at 488nm. Primary antibodies were omitted during the incubation stage in the case of negative controls.

Ten 30µm thick sections were examined from each disc specimen. Depending on the section, three fields of view were taken each of NP, IAF and OAF tissue regions per section. Immunoreactive nerves and blood vessels were observed primarily with 20 X magnification, but for good quality images 40 X objective magnification was also used. Digital images were obtained using a Leica DMIRBE argon laser confocal scanning inverted microscope. All sections were sequentially scanned to prevent crosstalk between different fluorophores. To

prevent photobleaching, a 4 line average was used for each image. Line average scans were useful in improving signal-to-noise ratio.

Quantification of blood vessels and nerves: The confocal microscope was used to identify all free surfaces, including the disc periphery and those within matrix fissures. Then, the shortest distance was measured (in μm) between each distinct (fragment of) blood vessel or nerve to the nearest free surface, using the 'line tool' of 'Volocity' 3-D image analysis software (Perkin Elmer). For blood vessels, a freehand region of interest (ROI) tool was used to outline each distinct fragment so that the software could calculate its cross-sectional area in μm^2 . Each (fragment of) blood vessel or nerve identified by the software was uniquely colour-coded and numbered so that it would not be re-counted. For each tissue type and each patient group, mean values were calculated together with the standard deviation (SD) and the range. Separate data were obtained for immunoreactivity to PGP 9.5, Substance P, and CD-31.

Statistical analysis: Ordinal data (grades) were averaged across multiple sections to obtain numerical data. This was acceptably normal so one-way ANOVA was used to compare mean values of histological, immunohistochemical and radiological grades between a) the three tissues of interest (NP, IAF and OAF) and b) the four patient groups. Two-way ANOVA was used to examine interactions between tissue types and patient groups. Associations between histological variables were analysed using Spearman rank correlation. All statistical tests were executed using SPSS software version 18. $P < 0.05$ implied statistical significance.

Results [832 words]

Histological differences between discs from four patient groups: Discs from adolescent scoliosis patients appeared normal (**Figure 1A, 2A and 3A**) whereas herniated and degenerated discs had highly disorganized collagen lamellae in the OAF (**Figure 1B**). Disrupted areas were frequently infiltrated by inflammatory cells, blood vessels and fibroblast-like cells. IAF regions of herniated and degenerated discs showed abundant cell clusters, especially near fissures (**Figure 2B**). Clusters were also abundant within NP regions of these discs, and a few cells showed characteristic features of nuclear shrinkage with disrupted or lysed cell membranes (**Figure 3B**).

Histological characterization of blood vessels: In all patient groups, blood vessels were localized chiefly around the disc periphery, or where tissue was fibrous and delaminated, and showing evident loss of GAG, often accompanied by inflammatory cell invasion (**Figure 4**).

Blood vessels were formed of a single layer of endothelial cells without any muscle fibers. No blood vessels were observed within NP tissue. The occurrence of blood vessels varied between the four patient groups: blood vessels were identified within IAF or OAF tissue in 80% of HD/S discs, 46% of HD/NS discs, and 27% of the D&S discs. In scoliotic discs (SD), vessels were observed only within the ligamentous regions surrounding the OAF. Blood vessels were always near or within fissures, but all fissured or delaminated regions did not contain blood vessels. When all 40 discs were pooled, Spearman rank correlation between scores for blood vessels and tears was significant in IAF ($r_s=0.75$, $p<0.001$) and OAF ($r_s=0.69$, $p<0.0003$) tissues.

Toluidine blue staining & degenerative changes: Toluidine blue staining showed that GAG loss varied significantly between different patient groups and tissue types. Toluidine blue staining was depleted in severely disrupted IAF and OAF, in comparison to NP. The highest scores for histopathologic abnormalities (**Table 2**) were in HD/S patients and concerned: loss of GAGs ($p<0.003$); development of tears containing inflammatory cells ($p<0.004$); and increased blood vessel numbers ($p<0.003$). Such changes usually occurred together in disrupted IAF and OAF regions (**Figure 4**). HD/NS and D&S discs showed moderate GAG loss, while scoliotic disc samples showed the least GAG loss and fissuring. Loss of GAG was correlated with increased fissuring, in the NP ($r_s=0.88$, $p<0.001$), IAF ($r_s=0.74$, $p<0.001$) and OAF ($r_s=0.85$, $p<0.001$).

Quantification of blood vessels and nerves using immunofluorescence: The density of blood vessels and nerves was greatest in OAF and IAF regions of discs from HD/S patients. Often, they appeared to track physical features in the matrix (**Figure 5**). Analysis of serial Z-stacks of 30 μ m thick sections with ‘Volocity’ software showed small nerves as dots in a transverse 2-D plane, but with 3-D analysis such nerves were observed to be punctuate, arborizing and not always straight in their course (**Figure 6**). 50% of HD/NS specimens showed CD-31, PGP 9.5 or Substance P immunoreactivity in the IAF or OAF regions, and those specimens that did not were confirmed by histology to comprise predominantly of NP. Only 3/11 D&S specimens showed blood vessels and nerves within IAF or OAF regions. In all four patient groups, Substance-P immunoreactive nerves (**Figure 6**) were adjacent to blood vessels or infiltrating inflammatory cells. Spondylolisthesis/degenerated (D&S) discs showed a few PGP 9.5 immunoreactive nerves in OAF regions, and control scoliosis (SD) discs showed them within ligaments, but no tissue from either of these two groups was immunopositive for Substance P. Quantitative data are summarised in **Table 3**, and statistical differences between

tissues and patient groups are compiled in **Table 4**. Two-way ANOVA showed significant differences between patient groups for blood vessels ($p<0.001$), nerve counts ($p=0.004$) and the area occupied by blood vessels ($p=0.002$), but comparisons between IAF and OAF regions showed insignificant differences for blood vessel counts ($p=0.655$), nerve counts ($p=0.345$), and distances from the nearest free surface of nerves immunoreactive for Substance P ($p=0.105$) or PGP 9.5 ($p=0.196$).

Correlation between GAG loss, blood vessels and nerves: When all tissue samples were pooled, Spearman rank correlation showed a significant relationship between GAG loss and a) blood vessel count ($p=0.03$, $r_s=0.34$), b) nerve count ($p=0.02$, $r_s=0.33$), and c) area occupied by ingrowing blood vessels ($p=0.02$, $r_s=0.34$),

Maximum distances of blood vessels and nerves from free surfaces: In 30 μ m thick frozen sections, the maximum distance of nerves immunoreactive to Substance P and PGP 9.5 from the nearest free surface was 140 μ m and 247 μ m respectively (**Figure 7**). Blood vessels were always greater in number, grew deeper, and occupied larger areas in comparison to nerves (**Figure 8**). The greatest area occupied by a single vessel was 57,910 μ m² and the maximum distance of blood vessels from the nearest free surface was 888 μ m. Nerves and blood vessels were greater in number and area in painful and structurally-disrupted discs in comparison to non-disrupted scoliotic discs (**Tables 3 and 4**).

Influence of Pfirrmann grade of disc degeneration: The area occupied by CD-31 immunopositive vessels correlated with Pfirrmann grade of disc degeneration ($r_s=0.378$, $p<0.02$) in the OAF region, while total nerve counts from both IAF and OAF regions correlated with the Pfirrmann grades ($r_s=0.360$, $p<0.02$).

Discussion [1,791 words]

Summary of findings: Blood vessels and nerves were confined to physically-disrupted and GAG-depleted annulus tissue, within 1 mm of a free surface. Their density increased with Pfirrmann grade of disc degeneration, and with local GAG loss. Distinct (fragments of) blood vessels were greater in number, grew deeper, and occupied larger areas in comparison to nerves.

Study strengths and weaknesses: The use of surgically removed tissues from different patient groups, together with MRI images of the same discs, ensured clinical relevance. Combining immunofluorescence and confocal microscopy provided high resolution images which facilitated the identification of fine three-dimensional nerves and blood vessels within a dense

matrix. Using multiple thick (30µm) sections eliminated some artefacts of microtome sectioning (Claxton et al., 2010) and reduced the risk of missing something that really was present. Image-analysis software yielded quantitative and objective results. Histological studies on the same tissue samples confirmed the presence of blood vessels and enabled immunostained structures to be located relative to features in the disc matrix. Finally, our clear images of nerves and blood vessels in the annulus (**Figures 4-6**) suggest that we did not experience difficulties with our antibodies (for Substance P, PGP 9.5, and CD-31).

One weakness was the use of disc tissues from young idiopathic scoliosis patients to represent ‘non-degenerated’ controls. However, they provided a useful contrast with older and disrupted tissues from the other patient groups, and no other young tissues were available. Some matrix fissures may have been introduced during tissue removal and processing (Weiler et al., 2011), although co-localisation with GAG loss, cells and vascular structures suggests a natural origin. A limitation with the confocal system was that its high intensity irradiations reduced immunoreactivity after repeated or prolonged exposure. Finally, ‘GAG-depletion’ and ‘physical disruption’ (fissures and tears) were estimated only as categorical variables. However, we have shown previously that GAG content, quantified precisely using the DMMB assay, is 36-54% lower in disrupted annulus compared to intact annulus from the same disc (Stefanakis et al. 2012).

Relationship to other studies: The present results are in broad agreement with most of the work summarised in the Introduction, and confirm that sensory nerves and blood vessels are common in degenerated and herniated discs removed at surgery. Our finding that blood vessels grow in further than nerves is supported by a previous study (Johnson et al., 2001). Results are compatible with the concept that cells in degenerated discs secrete growth factors such as VEGF and NGF (Binch et al., 2014, Purmessur et al., 2008) that encourage blood vessels and nerves to grow deeper (Burke et al., 2002, Johnson et al., 2006).

It is still not certain if ingrowing blood vessels and nerves can reach the disc nucleus, even if the disc is severely degenerated and therefore decompressed (Sato et al., 1999, Adams et al., 1996b). Early work suggested they do not, and this is supported by later reports that blood vessels (on which nerves are presumed to depend) never grow in much beyond the outer-mid annulus (Nerlich et al., 2007)(**Johnson et al., 2001**). On the other hand, Binch and colleagues support the previous work of Freemont (Freemont et al., 1997) by showing that ingrowing nerves reach the nucleus in a substantial proportion of degenerated human discs, and often are not associated with blood vessels (Binch et al., 2015). The present study identified nerves

and blood vessels only in disrupted and proteoglycan-depleted *annulus* tissue, although this was sometimes located centrally in the disc. This apparent contradiction can be explained in terms of ‘internal disc disruption’ within severely degenerated discs (**Crock 1986**) whereby physical disruption can allow annulus lamellae to collapse into the decompressed nucleus cavity (Adams et al., 2000, Gunzburg et al., 1992) giving a false impression that nerves have grown into nucleus tissue. We sought to avoid this difficulty in the present study by classifying all results according to tissue type (NP, IAF, OAF). Technical difficulties may also account for some disagreement, because it is difficult to identify thin filamentous structures within a dense and extensive collagenous matrix, and different antibodies have been used in various studies. However, this would not explain our inability to identify blood vessels within nucleus tissue in the present study, because these were plainly identifiable without antibodies (**Figure 4**).

Explanation of results: Various cytokines and growth factors can promote the ingrowth of blood vessels and nerves (Ohtori et al., 2015), but it is simpler to consider what *prevents* such ingrowth. The two major blocks are physical (fluid) pressure, and GAG concentration. High fluid pressure (above systolic blood pressure) would collapse hollow capillaries and prevent their ingrowth, although there is no evidence that pressure excludes nerves or other cells. GAGs inhibit the growth of nerve axons *in vitro* in a concentration-dependent manner (Johnson et al., 2002, Purmessur et al., 2015), and similarly inhibit migration of endothelial cells (Johnson et al., 2005) which give rise to blood vessels. These physical and chemical influences are not entirely independent, because GAGs attract water into disc tissue (Antoniou et al., 2004) and water content influences fluid pressure (Adams et al., 1996a).

In a young and non-degenerated human disc, GAG content is high in the nucleus but falls by approximately 70% in the outer annulus (Antoniou et al., 1996), and high fluid pressure is measurable in all regions except the outer 1-3 mm of annulus (Adams et al., 1996b). This may explain why healthy young discs contain no nerves, and why blood vessels are confined to the peripheral annulus. By age 60 yrs, typical GAG concentration falls by 50% in the nucleus and by 25% in the outer annulus (Antoniou et al., 1996). Fluid pressure typically falls by 10% in the nucleus and *increases* by a similar amount in the annulus, and the functional annulus expands slightly to encroach on the nucleus (Adams et al., 1996b). These changes evidently allow blood vessels and nerves to grow further into the annulus (Palmgren et al., 1999), but not into nucleus tissue (Nerlich et al., 2007). Much greater changes are seen when a disc becomes herniated or severely degenerated: then, nucleus pressure falls to very low

levels (Sato et al., 1999) (Adams et al., 1996b), high stress concentrations can arise in the annulus (Adams et al., 1996b), and GAG concentrations in the nucleus fall by a further 50% (Antoniou et al., 1996). In such discs, nerves and blood vessels can be found even in the nucleus (Binch et al., 2015).

The spatial pattern of re-vascularisation and re-innervation of a degenerated disc depends on the nature of structural disruption within it. We suggest three main patterns. Firstly, in ‘annulus-driven’ disc degeneration (Adams and Dolan, 2012), the presence of one or more radial fissures in the annulus would allow blood vessels and nerves to track down a fissure from the periphery, possibly as far as the nucleus (Peng et al., 2006), which is where radial fissures originate from (Adams and Hutton, 1985). Annulus fissures are physically and chemically conducive to such ingrowth because their opposing ‘free’ surfaces allow a substantial loss of GAG, and physical pressure, for a distance of several hundred microns from the fissure axis (Stefanakis et al., 2012). Focal GAG loss leaves collagen-rich surfaces which can encourage cell migration (Johnson et al., 2005), and the associated focal loss of fluid pressure may permit blood vessel ingrowth also. This could explain why, in the present study, in-growing blood vessels and nerves were always in disrupted and proteoglycan-depleted annulus, less than 1 mm from the nearest free surface. Previous authors also have reported blood vessels and nerves invading radial fissures in human (Shan et al., 2017, Peng et al., 2006, Vernon-Roberts et al., 2007) and animal (Xin et al., 2017) discs. The second re-vascularisation and re-innervation pattern affects ‘endplate-driven’ disc degeneration, when there is damage to one or both vertebral endplates (Adams and Dolan, 2012) which greatly decompresses the disc nucleus (Dolan et al., 2013). An intact cartilage endplate, in particular, does not appear to permit blood vessels or nerves to grow through it (Lama et al., 2014, Nerlich et al., 2007), but the presence of a structural defect could conceivably allow vertical growth into the decompressed nucleus (Freemont et al., 2002). Any such nerves may have been overlooked in the present study if they were short, or if the cartilage endplate was not included in the surgically-retrieved disc sample. A third pattern of re-vascularisation and re-innervation is evident if a degenerated disc herniates (prolapses). Then, displaced fragments of nucleus and/or annulus escape the pressurised confines of the disc and swell rapidly, allowing their GAGs to escape (Dolan et al., 1987). The resulting collagenous tissue can then be covered, and subsequently invaded from all sides, by blood vessels and nerves, so that the herniation is eventually ‘resorbed’ (Gronblad et al., 2000). Similar events probably explain most of the differences between ‘HD’ and ‘D&S’ discs in the present study.

The above discussion indicates that distributions of blood vessels and nerves within degenerated intervertebral discs depend very much on the nature of structural disruption in the disc and endplates, as well as on age and GAG content.

Clinical relevance: Nociceptive nerves within human discs could cause chronic discogenic pain if they become ‘sensitised’, most likely by inflammatory changes or bacterial infection. Neuropeptides such as Substance P, CGRP and VIP (Brown et al., 1997, Ashton et al., 1994b, Coppes et al., 1997, Edgar, 2007) play an important role in neurogenic inflammation and vasodilation of blood vessels (Goupille et al., 1998), and discogenic pain is closely associated with pro-inflammatory cytokines such as the interleukins (Altun, 2016, Risbud and Shapiro, 2014) and TNF α (Lai et al., 2016). Probably, inflammatory cells enter disc tissues along the same defects as blood vessels (**Figure 4**) and are stimulated to release mediators such as phospholipase A2, prostaglandin E2, and nitric oxide (Saal, 1995, Saal et al., 1990, Kang et al., 1996, Kang et al., 1997, Habtemariam et al., 1998, Gronblad et al., 1994). Inflammatory cells also synthesize, store and secrete nerve growth factors, which promote nerve ingrowths into more central regions of the discs (Peng et al., 2006). Disc nerves could also be sensitised by anaerobic bacteria, which are present in many degenerated and herniated discs (Albert et al., 2013a) where they appear to cause severe and chronic back pain (Albert et al., 2013b).

Unanswered questions: The present results suggest a spatial association between disc nerves and blood vessels, but they do not prove that disc nerves require adjacent blood vessels, or demonstrate which grow in first.

Conclusion: The present study shows clearly where small nerves and blood vessels are present within disc tissues, and where they are absent. Results support our hypothesis, and suggest that nerve and blood vessel ingrowth into degenerated human discs is largely confined to physically-disrupted and proteoglycan-depleted regions of tissue.

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Author contributions:

PL: experimental design and methods; experimental work and interpretation of results; manuscript preparation

CLM: experimental design and methods; interpretation of results; manuscript preparation

IJH: surgical tissues; experimental design; advice concerning clinical relevance

PD: experimental design; interpretation of results; manuscript preparation

MAA: experimental design; interpretation of results; manuscript preparation

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TABLES:

	Herniated Discs - with Sciatica (HD/S)	Herniated Discs - no Sciatica (HD/NS)	Degenerated and Spondylolisthesis Discs (D&S)	Adolescent Scoliosis Discs (SD)
N	10	11	11	8
Mean age (yrs)	51 (range 37 - 68)	53 (range 35 - 74)	53 (range 39-72)	15 (range 14 -15)
Spinal level (n)	L2-3 (1), L3-4 (1), L4-5 (4), L5-S1 (4)	L3-4 (1), L4-5 (2), L5-S1 (8)	L2-3 (1), L3-4 (1), L4-5 (5), L5-S1 (4)	T12-L1 (1), L1-2 (2), L2-3 (2), L3-4 (2), L4-5 (1)
Gender (n)	M (2), F (8)	M (6), F (5)	M (5), F (6)	F (8)
Pfirschmann grade	3.5 (range 3 - 5)	3.4 (range 2 - 4)	3.0 (range 2-4)	1
Pain (months)	20 (range 2 - 60)	8 (range 3 - 18)	18 (range 4 – 60)	No pain

Table 1: Details of the disc specimens from four patient groups.

Histologic variable	Grades
Neovascularity/blood vessels Newly formed blood vessels with reparative alterations	0=absent 1=rarely present 2=present in intermediate amount 3=abundant
Tears/fissures Splitting of collagen fibre bundles in the annulus fibrosus and nucleus regions creating a gap or break within the tissue	0=absent 1=rarely present 2=present in intermediate amount 3=abundant
GAG Depletion Areas with loss of toluidine blue staining for GAG	0=absent 1=rarely present 2=present in intermediate amount 3=abundant
Inflammatory cells Immunocytes with small irregular nuclei, often in swarms along the edges of /surfaces of disc tissue	0=absent 1=rarely present 2=present in intermediate amount 3=abundant

Table 2: Variables for histological assessment of discs, based on a modified Boos Scale (Boos et al., 2002).

	<i>Patient Groups</i>											
	SCOLIOSIS n=8			HD/S n=10			HD/NS n=11			D & S n=11		
Tissue type	N	IAF	OAF	NP	IAF	OAF	NP	IAF	OAF	NP	IAF	OAF
Blood vessels (count/mm ²)	0	0	0	0**	4.5** (3.2)	8.2* (6.5)	0*	1.9* (1.8)	2.5* (0.7)	0	0.4 (0.9)	0.9 (1.9)
Blood vessel area (µm ²)	0	0	0	0*	19,558* (13,517)	25,677* (24,043)	0**	2,627** (4,628)	7,888** (6,710)	0	1,597 (5,221)	4,788 (13,993)
Blood vessel distance (µm)	0	0	0	0*	197* (131)	305* (276)	0**	66** (88)	290** (248)	0	50 (113)	139 (252)
All Nerves (count/mm ²)	0	0	0	0**	2.1** (1.6)	2.6** (2.0)	0*	0.6* (1.0)	1.5* (0.7)	0	0.3 (0.7)	0.5 (1.1)
Sub P Nerves Distance (µm)	0	0	0	0**	45** (29)	37** (33)	0	24 (50)	48 (16)	0	8.6 (25.9)	30.8 (55.8)
Sub P Nerves Max dist. (µm)	0	0	0	0	75	73	0	140	50		78	144
PGP 9.5 Nerves Distance (µm)	0	0	0	0*	71* (87)	62* (82)	0*	48* (73)	121* (12)	0	9.2 (23.5)	40 (78)
PGP 9.5 Nerves Max dist. (µm)	0	0	0	0	237	206	0	207	129	0	206	17.7

Table 3: Quantitative data concerning nerves and blood vessels in 30µm thick sections. Data represent the mean (SD), and are compared for three tissue types (NP, IAF, OAF) and four patient groups. Significant differences between the three tissue types are shown in bold, with significance levels denoted: * (P<0.05) or ** (P<0.01). ‘Distance’ refers to distance from nearest free surface. Sub P = Substance P.

Patient Group		Blood vessels (count/mm ²)	Blood vessels area (μm ²)	Blood vessel distance (μm)	All Nerves (count/mm ²)	Sub P Nerves Distance (μm)	PGP 9.5 Nerves Distance (μm)
HD/S	HD/NS	0.003	0.001	0.002	0.256	0.630	0.953
	D&S	0.001	0.000	0.001	0.123	0.760	0.835
	SCOLI	0.001	0.000	0.001	0.034	0.055	0.047
HD/NS	HD/S	0.003	0.001	0.002	0.256	0.630	0.953
	D&S	0.986	0.979	0.556	0.978	0.996	0.506
	SCOLI	0.816	0.892	0.912	0.675	0.536	0.037
D&S	HD/S	0.001	0.000	0.001	0.123	0.760	0.835
	HD/NS	0.986	0.979	0.556	0.978	0.996	0.506
	SCOLI	0.942	0.985	0.922	0.872	0.413	0.195
SCOLI	HD/S	0.001	0.000	0.001	0.034	0.055	0.047
	HD/NS	0.816	0.892	0.912	0.675	0.536	0.037
	D&S	0.942	0.985	0.991	0.872	0.413	0.195

Table 4: Differences in quantitative data between the four patient groups, assessed separately for each tissue type. Mean values are compared using univariate ANOVA with post-hoc Scheffe's test. Data are P-values, and significant differences (P<0.05) between the four patient groups are shown in bold.

Figure captions

Figure 1: Outer annulus fibrosus (OAF) tissue stained with H&E. A) Non-degenerated scoliotic disc (scale bar 200 μm). B) degenerated spondylolisthesis disc (scale bar 100 μm). Note the elongated fibroblast-like cells (arrows) aligned with the crimped collagen fibres, and the numerous microscopic tears and splits in B.

Figure 2: Inner annulus fibrosus (IAF) tissue stained with H&E. A) Non-degenerated spondylolisthesis (grade 2) disc (scale bar 50 μm). B) Herniated disc (scale bar 100 μm). Note the rounded cell morphology, and the prevalence of small cell clusters (arrows) which are larger in B.

Figure 3: Nucleus pulposus (NP) tissue stained with H&E. A) Non-degenerated disc (scale bar 30 μm). B) Degenerated disc with cell clusters around a matrix fissure (scale bar 100 μm). Note the amorphous matrix in both images, and the larger cell clusters in B. White arrows indicate cells with disrupted cell membranes and some loss in nuclear stain, in contrast to cells with distinct nuclear and cytoplasmic staining (black arrows).

Figure 4: Inflammatory cells in herniated annulus fibrosus. H&E stain, scale bar = 50 μm . A) Outer annulus fibrosus (OAF) tissue from a herniated disc with sciatica. Note the blood vessels in oblique transverse section (black arrow) together with inflammatory cells, and fibroblasts (white arrow). B) Inner annulus fibrosus (IAF) tissue from a herniated disc without sciatica. Note the disorganised infolded lamellae. C) Outer annulus fibrosus (OAF) tissue from a herniated disc with sciatica.

Figure 5: Co-localisation of blood vessels and matrix defects in degenerated inner annulus fibrosus (IAF) tissue. Scale bar = 50 μm . A) Cell nuclei stained blue with DAPI suggest blood vessels. C) Blood vessel immunopositive to CD-31 shown in red. B). Composite image showing blood vessels co-localised with a matrix tear as indicated by phase contrast imaging.

Figure 6: Nerves in annulus tissues of herniated discs. Cell nuclei are stained blue with DAPI. Scale bar = 50 μm . A) Fine nerves stained red for Substance P. Phase contrast imaging suggests matrix features. B) Nerves stained red for PGP 9.5. C) Nerves stained red for PGP 9.5. D) Fine peripheral nerve (white arrow) stained red for Substance P. **[POLLY, PLEASE INSERT A SCALE BAR ON EACH IMAGE]**

Figure 7: ‘Volocity’ image analysis software was used to measure the furthest distance of each stained object (in this case a nerve immunostained for PGP 9.5) from the nearest free surface.

Figure 8: Quantification of blood vessels in inner annulus fibrosus tissue (IAF) of a herniated disc using confocal microscopy. A) Blood vessel(s) immunostained stained red for CD-31. Cell nuclei stained blue by DAPI. Scale bar 100 μm . B) ‘Volocity’ image analysis software was used to identify stained objects, count them, colour code them, and measure the area occupied by each.

