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Abstract: Experimental investigation of nucleate boiling heat transfer of a water-based 11 12 multi-walled carbon nanotubes (MWCNTs) nanofluid in a confined space is presented in this study. First, the effects of four different surfactants on the stability of the nanofluids were 13 investigated and the suitable surfactant gum acacia (GA) was selected for the boiling 14 experiments. Then, the boiling experiments of the nanofluids with various volume fractions 15 (0.005% - 0.2%) of the MWCNTs were conducted at a sub-atmospheric pressure of 1×10^{-3} Pa 16 and the test heat fluxes are from 100 to 740 kW/m². Furthermore, GA with four different mass 17 fractions was respectively dissolved in the nanofluids to investigate the effect of the GA 18 19 concentration on the boiling heat transfer. The effects of the heat flux, the concentrations of the 20 MWCNTs and surfactants, the bubble behaviors and the surface conditions after the boiling processes have been analyzed. The results show that the MWCNTs nanofluid can enhance 21 boiling heat transfer as compared to the base fluid. This is mainly caused by the nanoparticles 22 23 deposition on the boiling surface result in increasing the surface roughness and reducing surface contact angle. It is also found that addition of GA can inhibit the deposition of the nanoparticles 24 but may reduce the boiling heat transfer coefficient of the nanofluids. According to the 25

experimental results, the maximum heat transfer coefficient enhancement ratio can reach 40.53%. 26 It is also noticed that the heat transfer enhancement ratio decreases with increasing the heat flux 27 at lower heat fluxes from 100 to 340 kW/m^2 while it increases with increasing the heat flux at 28 higher fluxes from 340 to 740 kW/m². At the lower heat fluxes, the deposition layer increases the 29 frequency of bubble formation and thus the boiling heat transfer is strengthened. While at the 30 high heat fluxes, the increasing heat flux may strengthen the capability of the nanoparticles 31 deposition and the disturbance of the nanoparticles and increase the enhancement ratio of heat 32 transfer coefficient. 33

34 Keywords: nanofluids, MWCNTs, nucleate boiling, heat transfer, enhancement, mechanism

35

36 1. Introduction

As a new type of heat transfer medium, nanofluids have been attracting tremendous 37 attention in the field of thermal science and engineering in recent years due to their high thermal 38 conductivity, unique colloidal property and heat transfer behaviors [1-8]. Numerous researchers 39 have conducted investigation into the heat transfer enhancement including single phase and 40 phase change heat transfer using nanofluids [9-20]. In particular, the nucleate boiling heat 41 transfer characteristics in confined spaces are of great interest to removing high heat flux in the 42 microelectronic system, laser devices, green and highly efficient lighting with limited cooling 43 spaces. Although a large number of researchers have investigated on the pool boiling heat 44 transfer characteristics with plenty kinds of nanofluids in unconfined spaces, there lacks study of 45 the characteristics of nucleate boiling heat transfer using the multi-walled carbon nanotubes 46 (MWCNTs) nanofluid in confined spaces at sub-atmospheric pressures. Therefore, it is essential 47 to conduct experimental investigation on the relevant topic. 48

49 Nanofluids which possess application prospects in the heat transfer field were firstly

proposed by Choi [1] in 1995. From then on, numerous studies of heat transfer of nanofluids have been conducted to understand and explore their fundamentals and applications. The suspension stability and thermal conduction mechanism of nanofluids were studied by Xuan et al. [2], Assael [3] and many other researchers [4, 5]. Hwang et al. [6] prepared four kinds of nanofluids using MWCNTs, CuO and SiO₂ nanoparticles. They found that the thermal conductivity of nanofluids was higher than its base fluid and the thermal conductivity of MWCNTs nanofluid was the highest than other nanofluids under the same concentration.

As a new research frontier, nanofluids two phase flow and thermal physics is the subject of 57 growing concern [7, 8]. Investigation into the nanofluids phase change phenomena and 58 59 complicated heat transfer mechanisms have intensively been performed over the past decade. Most researchers have found that the mechanisms of pool boiling heat transfer of nanofluids are 60 different from those of conductive and convective heat transfer of nanofluids [11-13]. Yang and 61 Maa [14] are possibly the first to conduct pool boiling experiments using nanofluids. Their 62 experimental results have indicated that low concentrations of Al₂O₃ nanofluids with 50 nm 63 diameter can enhance the nucleate pool boiling heat transfer. Xue et al. [15] studied the boiling 64 curve, bubble pattern and contact angle of gum acacia (GA) solution and carbon nanotubes 65 nanofluids. The results showed GA solution enhanced transition boiling heat transfer rate, since 66 67 GA powder improved the wettability of water. In addition, the critical heat flux of nanofluids pronouncedly increases than that of GA solution due to the deposition of nanoparticles. Amiri et 68 al. [16] performed some pool boiling experiments using carbon nanotubes nanofluid considering 69 different functional groups of nanotubes. They investigated the pool boiling HTC of covalent 70 nanofluids increases than that of deionized water, the heat transfer of non-covalent nanofluids 71 became worse for the reason of the effect of heat resistance. Sarafraz et al. [17-19] study the pool 72 boiling of the MWCNTs and Al₂O₃ nanofluids on several surfaces and conditions. About 73 MWCNTs nanofluids, they found that the nucleate boiling of the nanofluids could still lead to 74

the particle deposition, but the micro-finned surfaces broke the deposition to enhance the 75 76 nucleation site and thus the boiling heat transfer increasing. Shoghl et al. [20] studied the pool boiling heat transfer of nanofluids with ZnO, α -Al₂O₃ and MWCNTs. Their results indicate that 77 the effects of boiling surface and properties of nanofluids to prove both of them may 78 significantly influence the boiling heat transfer characteristics. For instance, the carbon 79 nanotube-water nanofluids which improved the property of fluids and boiling surface 80 characteristics could enhance the nucleate boiling heat transfer. Quite different results of nucleate 81 boiling heat transfer with various surface conditions have been reported by researchers. 82 Therefore, it is essential to explore and understand the various mechanisms governing the heat 83 transfer processes. 84

According to the foregoing literature review, it is obvious that quite different results of boiling heat transfer with nanofluids and experimental conditions have been obtained. As pointed out by Cheng and Liu [7], there are still challenges to understand the boiling phenomena of nanofluids and their heat transfer mechanisms. Great effort should be made to achieve the complete and systematic knowledge in this aspect. In particular, it's still necessary to investigate and understand the heat transfer mechanisms through well designed and careful performed experiments and theoretical analysis.

92 Furthermore, the confined heat sink can be traced back to the ribbed radiator of CPU etc. In order to reduce the space and improve the heat efficiency of heat exchanger, flat plate heat pipe 93 thermal spreader replaces the traditional radiator. The boiling in confined space condition just 94 happens in this kind of heat pipe. Rops et al. [21] analyzed the nucleate boiling heat transfer on a 95 spatially confined surface. They found that the depth of the boiling pot, the material of the 96 bounding wall and the diameter of the inlet water supply didn't affect the enhancement of boiling 97 heat transfer. Zhang et al. [22] reported an experimental investigation of phase-change 98 phenomena in a small confined space. In the study, the boiling and condensation possessed 99

100 dramatically impacted each other and the bubbles were limited not only by the distance between 101 boiling and condensation surface, but also by the condensation process. Liu and Yang [23] observed that the boiling heat transfer characteristics were affected by lots of factor in confined 102 space, especially vapor blowing, liquid suction and vapor waving resistance. They also found the 103 enhancement ratio of heat transfer coefficient reduced by the condition of decreasing boiling 104 space or increasing heat flux. However, the study of boiling heat transfer using nanofluids in 105 confined spaces at sub-atmospheric pressures is very limited in the literature so far. Using 106 nanofluid as working fluid seems a promising method of improving the heat transfer 107 performance. The study on the mechanism of boiling heat transfer in confined with nanofluids is 108 109 helpful to the application of nanofluids. Therefore, it is necessary to conduct the relevant study in this aspect. 110

The objectives of this paper are to experimentally investigate the complicated nucleate 111 boiling mechanisms of nanofluids in a confined space under a sub-atmospheric pressure 112 condition. First, the technology used for preparation of nanofluids is described. Then, 113 experiments of nucleate boiling heat transfer of the MWCNTs nanofluids were conducted in a 114 confined space at a pressure of 1×10^{-3} Pa. The influences of heat flux, the concentration of 115 nanofluids and surfactant on the heat transfer behaviors were presented. The scanning electron 116 microscopy (SEM) photographs of boiling surfaces were used to analyze the modification by the 117 deposition of nanoparticles. The roughness and contact angle of boiling surface and the 118 visualization of the bubble behaviors were used to explain the boiling heat transfer mechanisms 119 of the MWCNTs nanofluids. 120

121 2. Technology of the water-based MWCNTs nanofluid preparation

122 2.1. Characterization of the MWCNTs

123 The multi-walled carbon nanotube nanoparticles were manufactured by Beijing DK Nano

technology Co. Ltd utilizing the chemical vapor deposition method. The physical parameters of 124 the MWCNTs are shown in Table 1. The MWCNTs have an outer diameter of 10-20 nm and an 125 inner diameter of 5-10 nm. Their length is from 10 to 30 µm. The density of the MWCNTs is 2.1 126 g/cm^3 and its specific surface area is 200 m²/g. The purity of the MWCNTs is larger than 98%. 127 Figure 1(a) shows a transmission electron microscopy (TEM) photograph of the multiple carbon 128 walls of a tubular structure of the MWCNTs at a scale of 20 nm. Figure 1(b) shows a SEM 129 photograph of the MWCNTs at scale of 500 nm. It can be seen that the nanoparticles 130 agglomerate and twine together. Therefore, it is necessary to scatter the nanoparticles using 131 physical and chemical methods [24-26] at first when preparing the water-based MWCNTs 132 nanofluids. 133

134 2.2. Technology for preparation of the water-based MWCNTs nanofluid

In this study, magnetic stirrer and ultrasonic oscillation were adopted to disperse the 135 MWCNTs in the base fluid deionized water. In addition, some surfactants were added in the base 136 fluid to prevent the second aggregation and suspend the MWCNTs stably for a long time. In 137 general, one step method or two step method is used for the preparation of the nanofluids [27]. 138 The two steps method was adopted to prepare the water-based MWCNTs nanofluids. The first 139 step is to prepare the nanoparticles which have been manufactured. The surfactant is added into 140 141 the base fluid and the solution is well mixed by stirring the solution with a magnetic stirrer for 5 minutes. Then the nanoparticles are added into the surfactant solution. After 5 minutes stirring 142 with the magnetic stirrer, the nanofluid is then well mixed with an ultrasonic oscillation for 1 143 hour. 144

Selection of a surfactant was performed at first. Four different popular surfactants which have been used in the nanofluids preparation including cetyltrimethyl ammonium bromide (CTAB), polyvinyl pyrrolidone (PVP), sodium dodecyl benzene sulfonate (SDBS) and gum acacia (GA) were initially used in preparing the MWCNTs nanofluids. The surfactants were all

white particles and manufactured by Tianjin Fuchen Chemical Reagents Factory. The effects of 149 150 surfactant on the stability of the nanofluids stability were studied through the static precipitation method. All the fresh prepared nanofluid samples with 0.1% volume concentrations of MWCNTs 151 and four kinds of surfactants with 0.1% mass concentration looked similar in appearance, as 152 shown in Fig. 2 (a). As is shown, the nanofluid with CTAB has foam at the liquid surface and the 153 foam remains there for a long time. Foaming was found in the nanofluids with SDBS when 154 prepared it, but it vanished quickly after standing a while. The nonion surfactants (PVP and GA) 155 did not provide any foam. After standing for three months as shown in Fig. 2 (b), some obvious 156 nanoparticles precipitation can be found in the nanofluids with the cation and anion surfactants 157 158 (CTAB and SDBS). The nanofluids with the nonion surfactants have much better stability than cation and anion surfactants. Yazid et al. [28] pointed out that GA was frequently used as the 159 surfactant to stabilize the carbon nanotubes in water. Our observation has confirmed their 160 161 statement. Therefore, GA was chosen as the surfactant in preparing the water-based MWCNTs nanofluid used in the boiling experiments. 162

As mentioned above, stable dispersed nanofluids can be prepared adding GA with 0.1% mass concentration. Increasing the concentration of surfactant can explore the influence of the surfactant on boiling, so GA with four different mass concentrations of 0.1%, 0.3%, 0.5% and 0.7% was respectively dissolved in the base fluids. The MWCNTs of five different volume fractions of 0.005%, 0.01%, 0.05%, 0.1% and 0.2% were added into the base fluids with or without the surfactant. All the MWCNTs nanofluids with and without GA were prepared for the boiling experiments in the present study.

170 **3. Experimental setup and experiment procedure**

The experimental setup consists of an experimental rig, an assembled test section and a measurement system. The details of these are elaborated here in this section.

173 3.1. Experimental rig

Figure 3 shows the schematic diagram of the experimental rig used for the nucleate boiling heat transfer experiments in a confined space. The experimental rig mainly includes a thermostatic water container (1), voltage regulator (2), cartridge heaters (3), a copper rod (4), insulation layer (5), a copper sheet (6), quartz window (7), pressure gauge (8), a vacuum pump (9), a high-speed video camera (10), a data acquisition instrument (11) and a PC (12). It consists of a boiling system, a condensation system, a visualization quartz window together with a high-speed video camera, a measurement system and a PC for storing the measured parameters.

The boiling system includes a test section, a copper rod, several cartridge heaters and a voltage regulator. Four cartridge heaters were assembled inside a copper rod which is tightly contacted with a flat test section. The cartridge heaters connected to a voltage regulator are used to generate heat through electrical resistance and transfer the heat through the copper rod to the test section to generate boiling processes. The voltage regulator is used to adjust the heat flux in the boiling experiments.

The condensation system comprises a condensation chamber, a copper sheet and a thermostatic water container. Water in the thermostatic container was maintained at a constant temperature of 12° C and used to condensate the vapor generated in the test chamber. The vacuum device is used to remove the gas in the boiling test chamber before fill up the working fluid and maintain a sub-atmospheric pressure condition specified in the boiling experiments. The chamber wall between two copper sheets is made of a quartz window which is used for the visualization of the boiling process using the high-speed video camera.

Three **T** type thermocouples arranged along the axial direction of the copper rod are used to measure the local temperatures along the axis of the round copper rod. With the measured temperatures, the boiling surface temperature of the test section and heat flux can be calculated using one-dimensional linear heat conduction. The surface of the copper sheet was polished with 198 a 5000# sandpaper before the experiments. The data acquisition system is used to collect the 199 temperatures of three points on the top of the copper heater, the fluid temperature, the vapor 200 temperature in the test chamber and the operation pressure.

201 *3.2*. *Test section*

Figure 4 shows the schematic diagram of the test section and the heating system. The 202 heating section is mainly composed of the copper sheet, the copper rod and four cartridge heaters 203 204 with a diameter of 8 mm. As is showed in Fig. 4, both sections of the upper and lower copper rod are cylindrical and four cartridge heaters are symmetrically arranged at the lower end of the rod 205 to provide heat source for the boiling experiments. The maximum heat flux was adjusted to 750 206 kW/m² which does not reach critical heat flux as we focused on nucleate boiling heat transfer and 207 mechanisms in our study. The diameter of the upper copper rod is 20 mm, which has the same as 208 the diameter of the boiling surface. Three T type thermocouples are arranged along the axis of 209 210 the copper rod in the upper section of it to measure the local temperatures and then they are used to calculate the heat flux and the temperature of boiling surface in the boiling experiments. 211 212 Thermal grease was used to connect the thermocouples and copper rod, so the contact resistance could be neglected. In order to investigate the boiling heat transfer characteristics of the 213 MWCNTs nanofluids at sub-atmospheric pressure, the vacuum system is used to achieve the 214 desired test pressure of 1×10^{-3} Pa. The top surface of the copper rod connected to a horizontal 215 copper sheet which a thickness of only 0.3 mm. The thin sheet of copper has an excellent sealing 216 effect while can neglect horizontal heat conduction effectively because of its thin axial size. 217

218 *3.3*. *Experimental procedure*

To conduct the boiling experiments, first, the vacuum system was run for more than 30 minutes to make the test chamber at a sub-atmospheric condition. Second, the working fluid was pumped into the test chamber. Following this, the vacuum device was operated again to discharge the dissolved gas escaped from the working fluid and an operation pressure of 1×10^{-3} Pa was maintained in the test chamber for the boiling experiments. Finally, the condensation system, the circulating water system, the data acquisition system and the power supply was started in sequence. The voltage regulator was used to adjust the voltage at several values of 50 V, 70 V, 90 V, 100 V, 110 V, 120 V, and 130 V to generate different heat fluxes used for the test runs in the boiling experiments. After steady state was achieved for each test run, the measured parameters were taken by the data acquisition system and stored in the PC for further data reduction and analysis.

4. Data reduction methods and uncertainty analysis

231 4.1. Data reduction methods

With the measured parameters of local temperatures in the copper rod and the fluid temperature, the heat flux and boiling heat transfer coefficient may be calculated. The boiling heat transfer coefficient is calculated as:

235

$$h = \frac{q}{T_{\rm w} - T_{\rm f}} \tag{1}$$

where T_w is the wall surface temperature of the test section and T_f is the saturation temperature of the working fluid, (T_w-T_f) is the superheat degree and q is the heat flux.

It's not accurate to calculated heat flow by the voltage and current of the power supply due to a small amount of heat loss. Therefore, the heat flux would be obtained through steady state heat conduction along the axial direction of the copper rod, assuming one dimensional heat conduction, as

243
$$q = -\lambda \frac{\mathrm{d}T}{\mathrm{d}z} = -\lambda \cdot \frac{1}{2} \cdot \left(\frac{T_3 - T_2}{\Delta z_{3-2}} + \frac{T_2 - T_1}{\Delta z_{2-1}} \right)$$
(2)

where λ is the thermal conductivity of the copper heater, dT/dz is the average temperature gradient calculated according to the measured temperatures T_1 , T_2 , and T_3 as indicated in Fig. 4, *z* is the axial distance between the two temperature measurement points. The calculated value ofheat flux is slightly lower than the power supply within 7%.

The boiling surface temperature of the test section T_w is determined using one dimensional conduction heat transfer along the vertical direction of the copper heater as:

250
$$T_{\rm w} = T_1 + \frac{\mathrm{d}T}{\mathrm{d}z} \Delta z_{\rm w-1} = T_1 + \frac{1}{2} \times \left(\frac{T_3 - T_2}{\Delta z_{3-2}} + \frac{T_2 - T_1}{\Delta z_{2-1}} \right) \times 0.023 \tag{3}$$

To evaluate the enhancement of the nucleate boiling heat transfer of the nanofluids, the heat transfer coefficient enhancement ratio is defined as:

$$\eta = \frac{h_{\rm nf} - h_{\rm dw}}{h_{\rm dw}} \times 100\% \tag{4}$$

where h_{nf} and h_{dw} are the boiling heat transfer coefficients of the MWCNTs nanofluids and the deionized water respectively.

256 4.2. Uncertainty analysis

The thermocouples were well calibrated before the experiments and the measured temperatures are accurate to ± 0.1 K. The measured pressure gauge is accurate to 0.25% and the distances between the two temperature measurement points are accurate to ± 0.1 mm. The accuracies of voltmeter and ammeter are ± 0.1 V and ± 0.025 A.

Using the methods of Kline and McClintock [29], the uncertainties of heat flux and heat transfer coefficient determined by Eqs. (1) and (2) may be analyzed as follows:

263
$$\frac{\Delta q}{q} = \sqrt{\left(\frac{\Delta\lambda}{\lambda}\right)^2 + \left(\frac{\Delta\delta T}{\delta T}\right)^2 + \left(\frac{\Delta\delta z}{\delta z}\right)^2}$$
(5)

264
$$\frac{\Delta h}{h} = \sqrt{\left(\frac{\delta q}{q}\right)^2 + \left(\frac{\Delta \delta T}{\delta T}\right)^2} \tag{6}$$

The uncertainly of thermal conductivity could be negligible, because the heater is processed by a piece of standard copper. Table 2 summaries the measurement uncertainties. The uncertainty of the heat flux is 2.02% and the uncertainty of heat transfer coefficient is 2.78%.

268 5. Experimental result and discussion

269 5.1. Boiling heat transfer behaviours of the MWCNTs nanofluid and the deionized water

In order to compare the boiling heat transfer behaviors of the MWCNTs nanofluids to those of the deionized water, experiments of the test fluids were respectively run from single-phase heat transfer to the nucleate boiling under a sub-atmospheric pressure of 1×10^{-3} Pa.

273 Figure 5(a) shows the instantaneous variation of the boiling surface temperature with the heating time for both the nanofluids with the volume concentration of 0.05% and the base fluid 274 at the heat flux of 740 kW/m^2 . Figure 5(b) shows the variation of the heat transfer coefficient 275 with the heating time. At the same heat flux, the boiling curve of the MWCNTs nanofluid is 276 similar to that the base fluid. It can be seen that the boiling surface temperatures of both fluids 277 reduce immediately at the boiling incipience. In the meantime, the heat transfer coefficients 278 increase rapidly after the boiling incipience for both fluids. The boiling heat transfer coefficients 279 gradually increase until reaching the steady state of boiling heat transfer. However, there are 280 some differences boiling behaviors between the MWCNTs nanofluid and the base fluid water. 281 On the one hand, the initial boiling surface temperature of the nanofluids is slightly lower than 282 that of water. It indicates that the boiling incipience of the nanofluids occurs earlier than that of 283 water. On the other hand, the boiling surface temperatures of the nanofluids are much lower than 284 those of water and the transient boiling heat transfer coefficients of the nanofluids are much 285 greater than those of water after reaching steady state boiling. 286

Figure 6(a) shows the photo of the MWCNTs deposition on the boiling surface. It shows that the nanoparticles are only adhered on the center of copper sheet although the all test section is uniform smooth copper surface. It can be explained by the following reason: nanofluids are composed of solid phase of the nanoparticles and liquid phase of the deionized water. The phase change of nanofluids is generated on the boiling surface, and the liquid phase is vaporized and divorced from the surface. However, most of the nanoparticles cannot be taken away by the vapor. Therefore, the soild phase is separated from the liquid phase, so the nanoparticles stay on the boiling surface to form agglomerates and gradually produce a deposition. Thus, more and more nanoparticles are deposited on the boiling surface where the center of the copper sheet is.

The result of microscopic photograph by $\times 80$ SEM in Fig. 6(b) shows the rough surface of 296 deposition with pits and bulges. Fig. 6(c) by $\times 30k$ SEM proves the point that the deposition is 297 formed by irregular agglomeration of nanotube particles. The surface roughnesses of a copper 298 surface polished by 5000# sandpaper and a nanoparticles surface by 0.05% volume fraction 299 nanofluids deposition were tested using stylus profiler (DektakXT, Bruker, Germany). The 300 copper surface roughness is 20.79 nm and the deposition surface is 4.82 µm. Therefore, the 301 deposition evidently changes the surface roughness of the test section and enhances the boiling 302 303 heart transfer. This observation agrees to the experimental results by Kole and Dey [30]. They indicated that the surface roughness was influenced by deposition of the nanoparticles. 304

305 A static contact angle experiment using deionized water on the smooth surface and the deposition surface was measured by contact angle testing system (OCA15EC, Dataphysics, 306 Germany). As is showed in Fig. 7, the nanoparticles deposition surface decrease 16 degree 307 308 compared with the copper surface. The variation of contact angle has a great influence on the solid-liquid-vapor interface. Das et al. [31] pointed out that functioned surface could reduce the 309 contact angle to enhance boiling heat transfer. The MWCNTs deposition is conductive to wet the 310 surface, make bubbles easier departure from the boiling surface and increase the boiling heat 311 transfer coefficient. Overall, the main reason of enhanced boiling heat transfer is due to the 312 deposition of agglomerate nanoparticles which may increase the nucleate sites and bubble 313 frequency. 314

5.2. The effects of the MWCNTs concentrations and the surfactant on the nucleate boiling heat transfer behaviours

Experiments of the boiling heat transfer characteristics of the MWCNTs nanofluid with 317 different volume concentrations from 0.005% to 0.2% without surfactant were conducted at a 318 sub-atmospheric pressure of 1×10^{-3} Pa. First, experiments were conducted at a heat flux of 100 319 kW/m^2 at which the first bubble would generate for the boiling of the deionized water as 320 observed via visualization. Figure 8(a) shows the variation of heat flux versus the superheat 321 degree for the boiling processes with the MWCNTs nanofluid with three volume concentrations 322 of 0.005%, 0.01% and 0.05% and the deionized water at the steady state test conditions. Figure 323 324 8(b) shows the variation of boiling heat transfer coefficient versus the heat flux for the corresponding test fluids respectively. The experimental results demonstrate that the nanofluids 325 lead to reducing the boiling surface temperatures compared to those of water under the same heat 326 327 flux. This means that addition of the MWCNTs in the deionized water can enhance the boiling heat transfer. As shown in Fig. 8(b), the heat flux has a significant effect on the boiling heat 328 transfer coefficient. The boiling heat transfer coefficient increases with increasing the heat flux 329 for both the nanofluids and the base fluid. Furthermore, the boiling heat transfer coefficient of 330 the base fluid can be enhanced by adding the MWCNTs in view of boiling curves shifting to the 331 332 left. It is obvious as indicated that increasing the concentration of the MWCNTs nanofluid may lead to an enhancement of boiling heat transfer. The enhancement increases with increasing the 333 concentration in the present study. The main reason is that increasing concentration of the 334 335 nanofluid increases the deposition of the nanoparticles on the boiling heat transfer surface and thus increases the nucleation sites and bubble frequency, as such more bubbles may be generated 336 in the boiling process. 337

Figure 9 shows the comparison of the boiling heat transfer coefficient with the MWCNTs volume concentration at a lower heat flux of 100 kW/m² and a higher heat flux of 740 kW/m².

The heat transfer coefficients at the higher heat flux are around 4 times higher than those at the 340 341 lower heat flux. The heat transfer coefficient is enhanced with increasing the concentration, although the particle deposition may cause some thermal resistance. Therefore, the thickness of 342 deposition would not be the major factor of HTC in this study. It should be noted that there is a 343 fast-increasing of the nucleate boiling heat transfer coefficients occurred at lower concentrations 344 of the nanofluids. However, this variation of the boiling heat transfer coefficients becomes flat at 345 higher concentrations. It means that this is a critical concentration of the nanofluid at which the 346 boiling heat transfer enhancement remains unchanged beyond this critical concentration. This 347 effect of the nanofluids concentration on the boiling heat transfer coefficient enhancement may 348 349 be attributed to the variation of the surface roughness due to the nanoparticles deposition. However, there is no significant change with further increasing the concentration of the 350 nanofluid beyond the critical concentration and thus the enhancement of the boiling heat transfer 351 352 coefficient remains unchanged.

Addition of a surfactant has an important influence on the physical properties of nanofluid 353 such as the surface tension, viscosity, thermal conductivity [32, 33] and the nucleate boiling heat 354 transfer behaviors [34, 35]. In order to understand the effects of various surfactants on the 355 boiling heat transfer behavior in the present study, four different mass concentrations of GA 356 (0.1%, 0.3%, 0.5%, 0.7%) were added into the nanofluid of 0.1% volume concentration of 357 MWCNTs. Figure 10 shows the variation of the boiling heat transfer coefficient with the mass 358 concentration of GA at three different heat fluxes of 520, 630 and 740 kW/m^2 . It is obvious that 359 the variations of the heat transfer coefficients clearly indicate that the boiling heat transfer is 360 deteriorated with increasing the concentration of GA in the nanofluids. Furthermore, the heat 361 transfer coefficient curves fall down sharply with increasing the heat flux. It means the negative 362 effect of surfactant GA on the boiling heat transfer becomes more significant at a higher heat flux 363 than those at a lower heat flux. 364

The conditions of the nanofluids before and after the boiling processes were compared with 365 each other as to understand how the boiling process affects the nanofluid. Figure 11 shows the 366 photographs of the MWCNTs nanofluid before and after boiling processes. Figure 11(a) shows 367 the condition of the prepared nanofluids in all concentrations of GA. The nanofluid is black and 368 the multi-walled carbon nanotube particles are well mixed in the base fluid after ultrasonic 369 oscillation. Figure 11 (b) and (c) shows the condition of the MWCNTs nanofluid after boiling 370 without and with surfactant GA, respectively. The MWCNTs in nanofluid without GA 371 agglomerate and deposit at the bottom of nanofluid after boiling while the nanofluid with 372 surfactant GA still keep good dispersion after boiling process. With increasing heat flux, the 373 activity of nanoparticles is more severe in the liquid, which is helpful to the dispersion of 374 nanoparticles by surfactant. However, the main reason for the enhancement of heat transfer by 375 nanofluid is the aggregation layer of the nanoparticles on the boiling surface. According to this 376 observation, it is obvious that the surfactant can make particles uniformly dispersed in the base 377 fluid and inhibit the deposition generated on the boiling surface, reduce the roughness of boiling 378 surface and weaken the active nucleation sites. 379

380 5.3. The enhancement ratio of boiling heat transfer coefficients of the MWCNTs nanofluid

In order to evaluate the heat transfer enhancement performance, the boiling heat transfer 381 382 coefficient enhancement ratios of the nanofluids with four different MWCNTs concentrations of 0.005%, 0.01%, 0.05% and 0.1% are compared with each other here. Figure 12 shows the 383 variation of the heat transfer coefficient enhancement ratio versus the heat flux from 100 kW/m^2 384 to 740 kW/m². The maximum heat transfer enhancement ratio is 40.53%. Furthermore, the heat 385 transfer enhancement ratio initially decreases with increasing the heat flux until a value of about 386 340 kW/m^2 and then increases with increasing the heat flux after this initial decrease. The heat 387 transfer enhancement ratio trends can be explained through the bubble formation and departure 388 behaviors through the visualization of the boiling processes using a high-speed video camera. 389

In order to observe the variation of bubble formation clearly, boiling experiments of the deionized water were conducted on the surface with deposition. The MWCNTs nanofluid was replaced with the deionized water and the deposition of the MWCNTs was kept on the boiling surface, which was formed by nanofluid with 0.05% concentration after boiling. The bubble generation, growth and departure processes were observed to explain the experimental results and the heat transfer mechanisms.

Figure 13 shows the comparison of the bubble generation processes observed at a low heat 396 flux of 100 kW/m² and a high heat flux of 740 kW/m² on the boiling surface with the deposition 397 of the MWCNTs. As shown in Fig. 13(a), a bubble emerges on the boiling surface and kept 398 399 growing. At low pressure, the superheated liquid is full around the bubbles because of the low boiling point of working fluid. On the one hand, with the bubble rising, the bubble volume 400 increases with the increase of the pressure. On the other hand, the bubble dramatically becomes 401 402 large because the water around the bubble continually vaporizes into the bubble. Shortly afterwards, it departures from the surface slowly which may deteriorate the heat transfer from the 403 404 boiling surface to the fluid. The vapor condenses rapidly after contacting the upper copper surface. At last, the liquid back to initial state without phase-change. 405

As mentioned in the fore-going, the deposition of the nanoparticles on the boiling surface 406 evidently improves the number of nucleation sites and contact angle which can increase and 407 reduce the region of no phase-change. The slower generation and departure of bubble, the more 408 obvious enhancement of heat transfer of deposition. At lower heat flux, the increase of the 409 bubble formation rate is the most important mechanism to enhanced heat transfer by nanofluids. 410 But with increasing the heat flux, the bubble formation rate also increases, hence heat transfer 411 enhancement of nanofluids with increasing heat flux becomes weak. As observed in Fig. 12, this 412 transitional heat flux is around 340 kW/m² where the bubbles become continuous. Thus the 413 boiling heat transfer coefficient enhancement ratios continue to decline from 100 to 340 kW/m^2 414

415 heat fluxes.

416 The different boiling patterns at a higher heat flux are shown in Fig. 13(b). It shows that more than one bubble generated from the boiling surface and grew bigger rapidly, and then the 417 bubbles departure becomes fast. New bubbles generated immediately when the previous bubbles 418 just left and the heat transfer becomes stable. Shoghl et al. [20] proposed the effect of both 419 deposition surface and properties of nanofluids influenced the boiling heat transfer coefficient. 420 The enhanced heat transfer mechanisms at high heat fluxes are attributed to not only the increase 421 of the nucleation site density but also the disturbance of particles in fluid. In this study, the 422 experimental results also show that the enhancement ratio of boiling heat transfer coefficient can 423 be increased by improving the effect of deposition and degree of particle disturbance with 424 increasing heat flux at high heat fluxes from 340 to 740 kW/m². 425

426 **6.** Conclusions

In the present study, first, stable and uniform nanofluid preparation technology is introduced. 427 Then, experiments of nucleate boiling heat transfer characteristics of the MWCNT water-based 428 nanofluids and the base fluid deionized water in a confined space were conducted at a 429 sub-atmospheric pressure of 1×10^{-3} Pa and heat fluxes from 100 to 740 kW/m². The uncertainty 430 of the heat flux is 2.02% and the uncertainty of heat transfer coefficient is 2.78%. The roughness 431 and contact angle of the deposited layer and copper surface were compared. The effects of the 432 concentrations of nanoparticles and surfactants on the boiling heat transfer behaviors have been 433 analyzed. The bubble generation and departure characteristics together with the observed particle 434 deposition on the boiling heat transfer surface have been used to explain the experimental results 435 and the heat transfer enhancement mechanisms. The effects of heat flux on the heat transfer 436 enhancement have also been discussed. From the present study, the following conclusions have 437 been reached: 438

(1) Stable and uniform water-based MWCNTs nanofluid can be produced using the two stepsmethod with addition of GA.

(2) Compared with the base fluid, the MWCNTs nanofluid can enhance boiling heat transfer.
The maximum heat transfer enhancement can reach 40.53%. The main reason of the heat
transfer enhancement is due to the deposition of the MWCNTs on the boiling surface which
can increase the roughness and reduce the contact angle.

(3) The boiling heat transfer coefficient increases with increasing concentration of the MWCNTs
nanofluids owing to increasing nucleation sites of boiling surface and bubble formation rate.
A critical volume concentration was found where the boiling heat transfer coefficient will not
be further enhanced. In general, it is limited to enhance the boiling heat transfer coefficient
by nanofluids because further deposition of the nanoparticles won't obviously improve the
boiling surface.

(4) Addition a surfactant may keep the stable and uniform of the MWCNTs nanofluid. However,
it seems that the surfactant has a negative effect on the boiling heat transfer in the present
study. Addition of GA inhibits the formation of deposition and thus weakens the boiling heat
transfer of the nanofluid. The higher the concentration of GA, the worse the boiling heat
transfer is.

(5) The heat flux has a significant effect on the boiling heat transfer ratio. The boiling heat
transfer enhancement ratio decreases with increasing the heat flux when the heat flux is less
than 340 W/m² while it increases with increasing the heat flux beyond this value.

(6) The mechanisms of the boiling heat transfer enhancement of the MWCNTs nanofluid are quite different for the lower and higher heat fluxes. At the low heat fluxes, the deposition layer increases the bubble formation frequency, and substantially strengthens the boiling heat transfer. At the high heat fluxes, the increase of nanoparticles concentration and heat flux enhances particle disturbance in fluid. Besides, with the enhancement of deposition and 464 particle disturbance, the enhancement ratio of boiling heat transfer coefficient is evidently465 increased.

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Nomenclatures

h	heat transfer coefficient, W/ $m^2 \cdot K$
q	heat flux, W/m ²
Т	temperature, K
Z	distance between two temperature measurement points, m

Greek symbols

λ	thermal conductivity, W/ m·K
η	enhancement ratio of boiling heat transfer coefficient, %

Subscripts

f	working fluid		
W	boiling surface		
nf	nanofluids		
dw	deionized water		

Abbreviations

GA	gum acacia
MWCNTs	multi-walled carbon nanotubes
SEM	scanning electron microscopy
TEM	transmission electron microscopy

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Table 1

Parameters of the multi-walled carbon nanotube nanoparticles.

Outer	Inner	Length	Purity	Density	Specific surface
diameter(nm)	diameter(nm)	(µm)		(g/cm ³)	area(m ² /g)
10-20	5-10	10-30	>98%	2.1	200

Table 2

Parameter	Unit	Uncertainty
Temperature	K	± 0.1
Distance between thermal couples	mm	± 0.1
Voltage	V	± 0.1
Current	А	±0.025
Pressure	Pa	0.25%
Heat flux	W/m^2	2.02%
Heat transfer coefficient	$W/m^2 \cdot K$	2.78%

The summary of measurement uncertainties.



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(a)



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